# **Searching**

An extension of binary search with p processors gives that one can find the rank of an element in

$$\log_{p+1}(n) = \frac{\log n}{\log(p+1)}$$

many parallel steps with *p* processors. (not work-optimal)

This requires a CREW PRAM model. For the EREW model searching cannot be done faster than  $\mathcal{O}(\log n - \log p)$  with p processors even if there are p copies of the search key.

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# Merging

We have already seen a merging-algorithm that runs in time  $\mathcal{O}(\log n)$  and work  $\mathcal{O}(n)$ .

Using the fast search algorithm we can improve this to a running time of  $\mathcal{O}(\log \log n)$  and work  $\mathcal{O}(n \log \log n)$ .

# Merging

Given two sorted sequences  $A = (a_1, ..., a_n)$  and  $B = (b_1, ..., b_n)$ , compute the sorted squence  $C = (c_1, ..., c_n)$ .

### **Definition 1**

Let  $X = (x_1, ..., x_t)$  be a sequence. The rank  $\operatorname{rank}(y : X)$  of y in X is

$$rank(y:X) = |\{x \in X \mid x \le y\}|$$

For a sequence  $Y = (y_1, ..., y_s)$  we define  $\operatorname{rank}(Y : X) := (r_1, ..., r_s)$  with  $r_i = \operatorname{rank}(y_i : X)$ .

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### Merging

Input:  $A = a_1, ..., a_n$ ;  $B = b_1, ..., b_m$ ;  $m \le n$ 

- 1. if m < 4 then rank elements of B, using the parallel search algorithm with p processors. Time: O(1). Work: O(n).
- **2.** Concurrently rank elements  $b_{\sqrt{m}}, b_{2\sqrt{m}}, \ldots, b_m$  in A using the parallel search algorithm with  $p = \sqrt{n}$ . Time:  $\mathcal{O}(1)$ . Work:  $\mathcal{O}(\sqrt{m} \cdot \sqrt{n}) = \mathcal{O}(n)$

$$j(i) := \operatorname{rank}(b_{i,\sqrt{m}}:A)$$

3. Let  $B_i = (b_{i\sqrt{m}+1}, \dots, b_{(i+1)\sqrt{m}-1});$  and  $A_i = (a_{i(i)+1}, \dots, a_{i(i+1)}).$ 

Recursively compute  $rank(B_i : A_i)$ .

**4.** Let k be index not a multiple of  $\sqrt{m}$ .  $i = \lceil \frac{k}{\sqrt{m}} \rceil$ . Then  $\operatorname{rank}(b_k : A) = j(i) + \operatorname{rank}(b_k : A_i)$ .

The algorithm can be made work-optimal by standard techniques.

proof on board...

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# Mergesort

Let L[v] denote the (sorted) sublist of elements stored at the leaf nodes rooted at v.

We can view Mergesort as computing L[v] for a complete binary tree where the leaf nodes correspond to nodes in the given array.

Since the merge-operations on one level of the complete binary tree can be performed in parallel we obtain time  $O(h \log \log n)$ and work  $\mathcal{O}(hn)$ , where  $h = \mathcal{O}(\log n)$  is the height of the tree.

### Mergesort

#### Lemma 2

A straightforward parallelization of Mergesort can be implemented in time  $O(\log n \log \log n)$  and with work  $O(n \log n)$ .

This assumes the CREW-PRAM model.

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# **Pipelined Mergesort**

We again compute L[v] for every node in the complete binary tree.

After round s,  $L_s[v]$  is an **approximation** of L[v] that will be improved in future rounds.

For  $s \ge 3 \operatorname{height}(v)$ ,  $L_s[v] = L[v]$ .

# **Pipelined Mergesort**

In every round, a node v sends  $\mathrm{sample}(L_s[v])$  (an approximation of its current list) upwards, and receives approximations of the lists of its children.

It then computes a new approximation of its list.

A node is called active in round s if  $s \le 3 \operatorname{height}(v)$  (this means its list is not yet complete at the start of the round, i.e.,  $L_{s-1}[v] \ne L[v]$ ).



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#### Colesort 0 0 0 1 1 2 2 2 2 3 4 4 4 4 5 5 6 6 6 6 7 7 7 7 7 7 7 8 8 8 9 9 0 0 0 1 1 2 2 2 2 3 4 4 4 4 5 5 6 6 6 6 7 7 7 7 7 7 7 8 8 8 8 9 9 2 2 2 3 4 4 4 5 6 6 7 7 7 8 9 2 2 2 2 3 4 4 4 5 6 6 7 7 7 8 9 0 0 0 1 1 4 5 6 6 7 7 7 7 8 8 9 0 0 0 1 1 4 5 6 6 7 7 7 7 8 8 9 0 0 5 6 7 7 8 9 0 1 1 4 6 7 7 8 2 2 3 4 6 6 7 9 2 2 4 4 5 7 7 8 0 0 5 6 7 7 8 9 0 1 1 4 6 7 7 8 2 2 3 4 6 6 7 9 2 2 4 4 5 7 7 8 0 1 1 7 0 1 1 7 0 5 6 7 2 2 6 9 2 2 6 9 0 7 8 9 4 6 7 8 3 4 6 7 2 4 5 8 2 4 7 7 0 5 6 7 2 4 5 8 4 6 7 8 3 4 6 7 2 4 7 7 7 9 0 8 5 6 0 7 1 1 0 7 6 7 4 8 2 6 2 9 4 7 3 6 4 8 2 5 7 7 2 4 7 9 0 8 5 6 0 7 1 1 1 0 7 6 7 4 8 2 6 2 9 4 7 3 6 4 8 2 5 7 7 2 4 PA © Harald Räcke 7 Searching and Sorting 131

# **Pipelined Mergesort**

```
Algorithm 11 ColeSort()

1: initialize L_0[v] = A_v for leaf nodes; L_0[v] = \emptyset otw.

2: for s \leftarrow 1 to 3 \cdot \text{height}(T) do

3: for all active nodes v do

4: //u and w children of v

5: L_s'[u] \leftarrow \text{sample}(L_{s-1}[u])

6: L_s'[w] \leftarrow \text{sample}(L_{s-1}[w])

7: L_s[v] \leftarrow \text{merge}(L_s'[u], L_s'[u])
```

$$sample(L_s[v]) = \begin{cases} sample_4(L_s[v]) & s \le 3 \text{ height}(v) \\ sample_2(L_s[v]) & s = 3 \text{ height}(v) + 1 \\ sample_1(L_s[v]) & s = 3 \text{ height}(v) + 2 \end{cases}$$

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# **Pipelined Mergesort**

#### Lemma 3

After round  $s = 3 \operatorname{height}(v)$ , the list  $L_s[v]$  is complete.

### Proof:

- clearly true for leaf nodes
- suppose it is true for all nodes up to height h;
- fix a node v on level h+1 with children u and w
- ▶  $L_{3h}[u]$  and  $L_{3h}[w]$  are complete by induction hypothesis
- ► further sample( $L_{3h+2}[u]$ ) = L[u] and sample( $L_{3h+2}[v]$ ) = L[v]
- ▶ hence in round 3h + 3 node v will merge the complete list of its children; after the round L[v] will be complete

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# **Pipelined Mergesort**

### Lemma 4

The number of elements in lists  $L_s[v]$  for active nodes v is at  $most \mathcal{O}(n)$ .

proof on board...

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### **Definition 5**

A sequence X is a c-cover of a sequence Y if for any two consecutive elements  $\alpha, \beta$  from  $(-\infty, X, \infty)$  the set  $|\{\gamma_i \mid \alpha \leq \gamma_i \leq \beta\}| \leq c$ .

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# **Pipelined Mergesort**

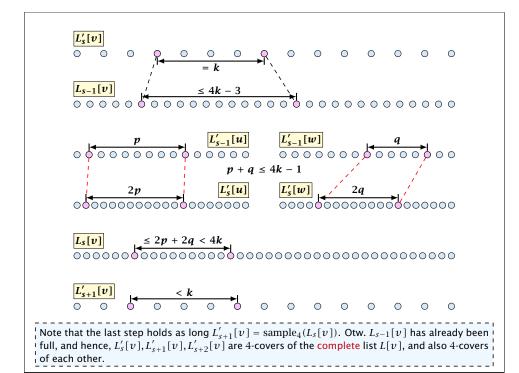
### Lemma 6

 $L'_{s}[v]$  is a 4-cover of  $L'_{s+1}[v]$ .

If [a, b] with  $a, b \in L'_{\mathfrak{s}}[v] \cup \{-\infty, \infty\}$  fulfills  $|[a,b] \cap (L'_s[v] \cup \{-\infty,\infty\})| = k \text{ we say } [a,b] \text{ intersects}$  $(-\infty, L'_s[v], +\infty)$  in k items.

### Lemma 7

If [a,b] intersects  $(-\infty, L'_s[v], \infty)$  in  $k \ge 2$  items, then [a,b]intersects  $(-\infty, L'_{s+1}, \infty)$  in at most 2k items.



# Merging with a Cover

### Lemma 8

Given two sorted sequences A and B. Let X be a c-cover of A and B for constant c, and let  $\operatorname{rank}(X:A)$  and  $\operatorname{rank}(X:B)$  be known.

We can merge A and B in time  $\mathcal{O}(1)$  using  $\mathcal{O}(|X|)$  operations.

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In order to do the merge in iteration s+1 in constant time we need to know

$$\operatorname{rank}(L_s[v]:L'_{s+1}[u])$$
 and  $\operatorname{rank}(L_s[v]:L'_{s+1}[v])$ 

and we need to know that  $L_s[v]$  is a 4-cover of  $L'_{s+1}[u]$  and  $L'_{s+1}[v]$ .

# Merging with a Cover

### Lemma 9

Given two sorted sequences A and B. Let X be a c-cover of A for constant c, and let  $\operatorname{rank}(X:A)$  and  $\operatorname{rank}(X:B)$  be known.

We can merge A and B in time  $\mathcal{O}(1)$  using  $\mathcal{O}(|X|+|B|)$  operations; this means we can compute  $\mathrm{rank}(A:B)$  and  $\mathrm{rank}(B:A)$ .

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### Lemma 10

 $L_s[v]$  is a 4-cover of  $L'_{s+1}[u]$  and  $L'_{s+1}[v]$ .

- $L_{s}[v] \supseteq L'_{s}[u], L'_{s}[u]$
- $ightharpoonup L'_s[u]$  is 4-cover of  $L'_{s+1}[u]$
- ▶ Hence,  $L_s[v]$  is 4-cover of  $L'_{s+1}[u]$  as adding more elements cannot destroy the cover-property.

# **Analysis**

### Lemma 11

Suppose we know for every internal node v with children u and w

- $ightharpoonup rank(L'_s[v]:L'_{s+1}[v])$
- ightharpoonup rank $(L'_{\mathfrak{s}}[u]:L'_{\mathfrak{s}}[w])$
- $ightharpoonup rank(L'_{\mathfrak{s}}[w]:L'_{\mathfrak{s}}[u])$

### We can compute

- $ightharpoonup rank(L'_{s+1}[v]:L'_{s+2}[v])$
- $ightharpoonup rank(L'_{s+1}[u]:L'_{s+1}[w])$
- $ightharpoonup rank(L'_{s+1}[w]:L'_{s+1}[u])$

in constant time and  $O(|L_{s+1}[v]|)$  operations, where v is the parent of u and w.

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### Given

- ▶  $rank(L'_{s}[u]:L'_{s+1}[u])$  (4-cover)
- ightharpoonup rank $(L'_{\mathfrak{s}}[u]:L'_{\mathfrak{s}+1}[w])$
- $ightharpoonup rank(L'_{s}[w]:L'_{s+1}[u])$
- ▶  $rank(L'_{s}[w]:L'_{s+1}[w])$  (4-cover)

Compute (recall that  $L_s[v] = merge(L'_s[u], L'_s[w])$ )

- ightharpoonup rank $(L_s[v]:L'_{s+1}[u])$
- ightharpoonup rank $(L_s[v]:L'_{s+1}[w])$

### Compute

- $ightharpoonup rank(L_s[v]:L_{s+1}[v])$  (by adding)
- $ightharpoonup \operatorname{rank}(L'_{s+1}[v]:L'_{s+2}[v])$  (by sampling)

### Given

- ►  $rank(L'_{s}[u]:L'_{s+1}[u])$  (4-cover)
- ightharpoonup rank $(L'_{\mathfrak{s}}[u]:L'_{\mathfrak{s}}[w])$
- ightharpoonup rank $(L'_s[w]:L'_s[u])$
- ►  $rank(L'_{s}[w]:L'_{s+1}[w])$  (4-cover)

### Compute

- $ightharpoonup rank(L'_{s}[w]:L'_{s+1}[u])$
- $ightharpoonup \operatorname{rank}(L'_{\mathfrak{s}}[u]:L'_{\mathfrak{s}+1}[w])$

### Compute

- $ightharpoonup rank(L'_{s+1}[w]:L'_{s+1}[u])$
- $ightharpoonup rank(L'_{s+1}[u]:L'_{s+1}[w])$

ranks between siblings can be computed easily

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