

Part III

PRAM Algorithms

Prefix Sum

input: $x[1] \dots x[n]$

output: $s[1] \dots s[n]$ with $s[i] = \sum_{j=1}^i x[j]$ (w.r.t. operator $*$)

Algorithm 6 PrefixSum($n, x[1] \dots x[n]$)

```
1: // compute prefixsums;  $n = 2^k$ 
2: if  $n = 1$  then  $s[1] \leftarrow x[1]$ ; return
3: for  $1 \leq i \leq n/2$  pardo
4:      $a[i] \leftarrow x[2i-1] * x[2i]$ 
5:  $z[1], \dots, z[n/2] \leftarrow \text{PrefixSum}(n/2, a[1] \dots a[n/2])$ 
6: for  $1 \leq i \leq n$  pardo
7:      $i$  even :  $s[i] \leftarrow z[i/2]$ 
8:      $i = 1$   :  $s[1] = x[1]$ 
9:      $i$  odd  :  $s[i] \leftarrow z[(i-1)/2] * x[i]$ 
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Prefix Sum

s -values

time steps

x -values

Prefix Sum

s-values

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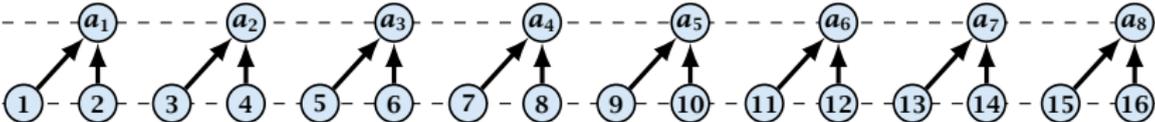
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10
- 11
- 12
- 13
- 14
- 15
- 16

x-values

Prefix Sum

s -values

time steps

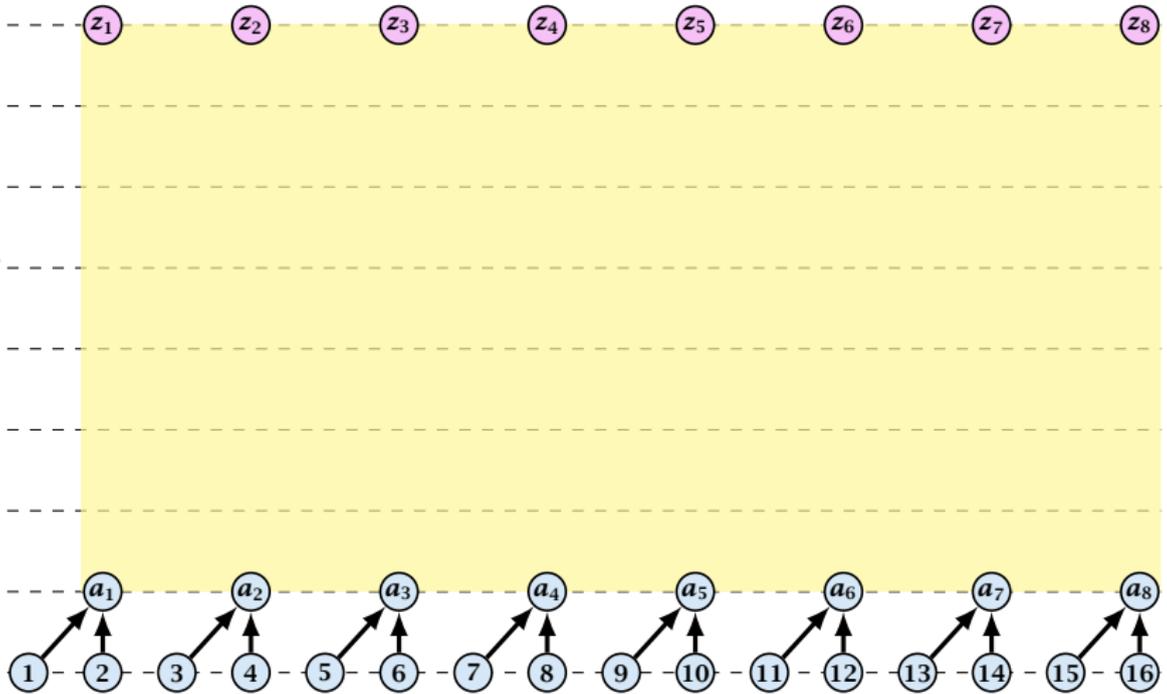


x -values

Prefix Sum

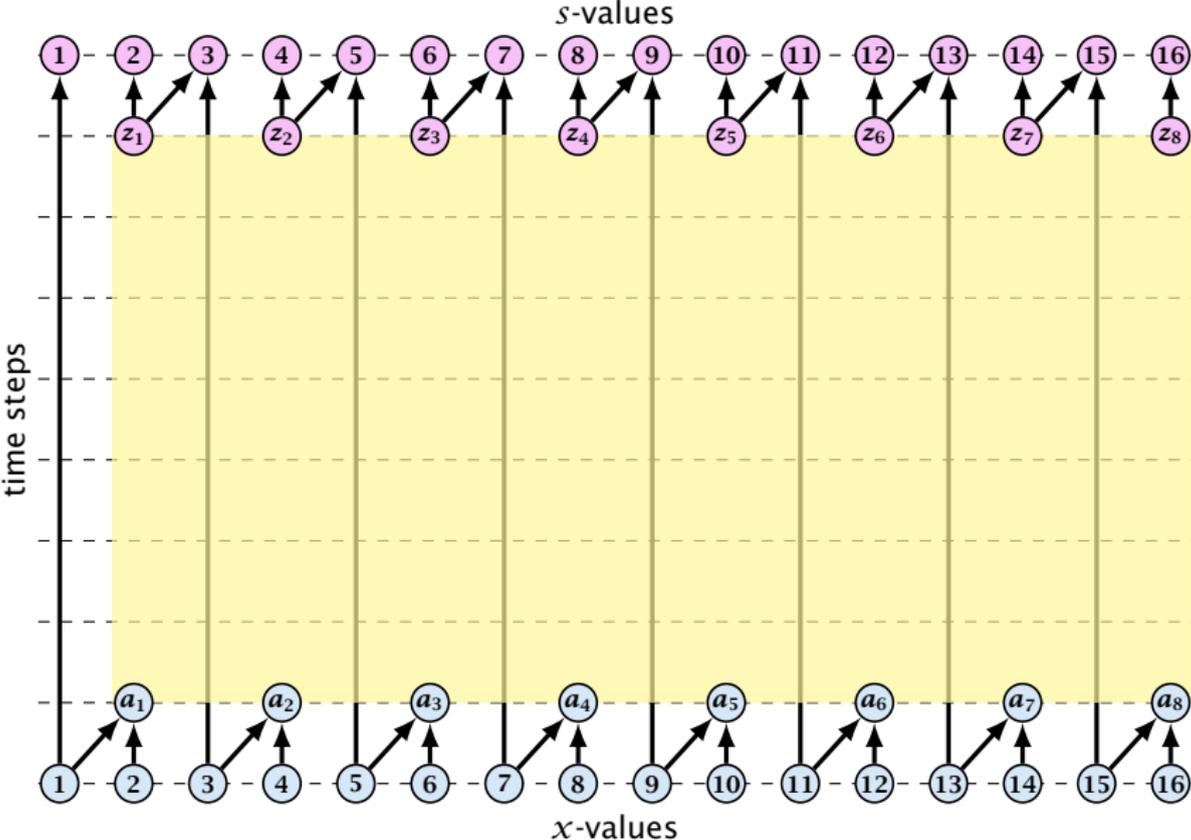
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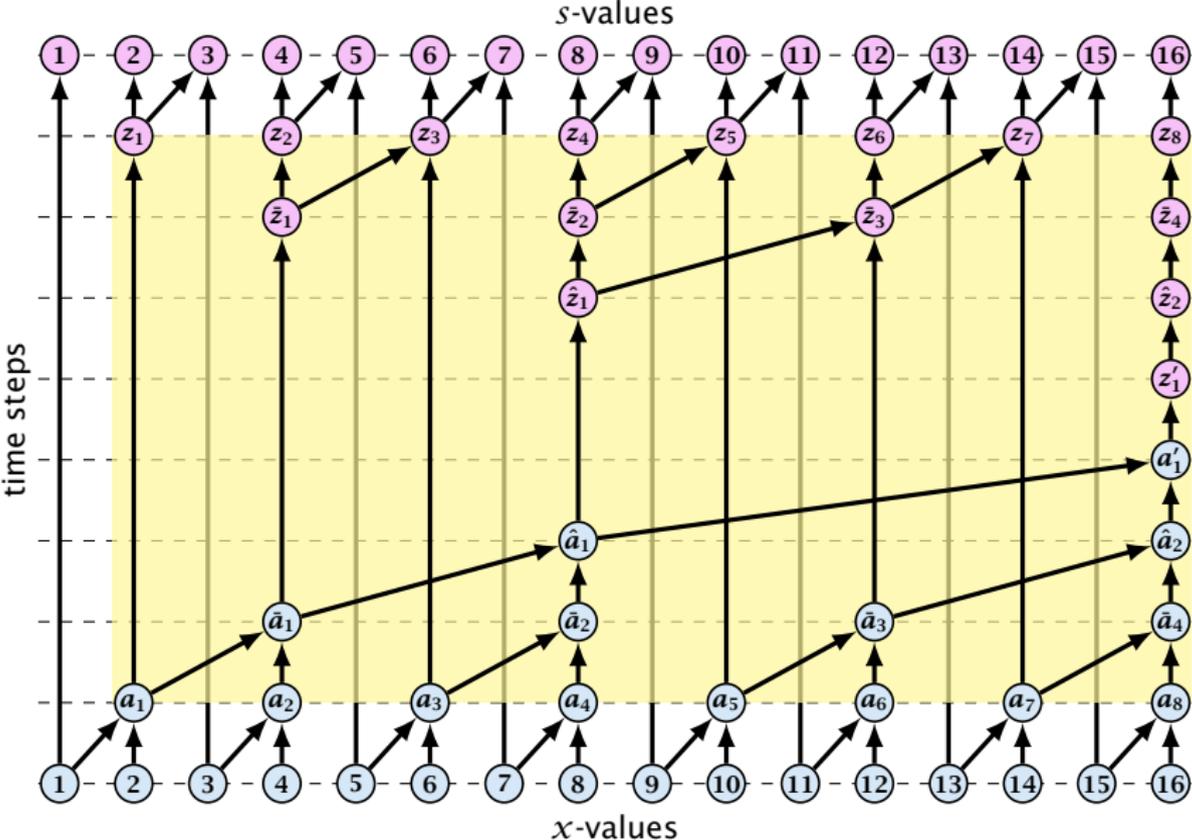


x-values

Prefix Sum



Prefix Sum



Prefix Sum

The algorithm uses work $\mathcal{O}(n)$ and time $\mathcal{O}(\log n)$ for solving Prefix Sum on an EREW-PRAM with n processors.

It is clearly work-optimal.

Theorem 1

On a CREW PRAM a Prefix Sum requires running time $\Omega(\log n)$ regardless of the number of processors.

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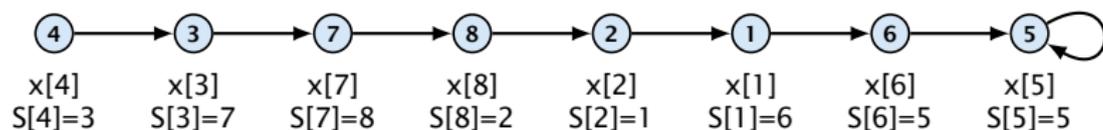
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Parallel Prefix

Input: a linked list given by successor pointers; a value $x[i]$ for every list element; an operator $*$;

Output: for every list position ℓ the sum (w.r.t. $*$) of elements after ℓ in the list (including ℓ)



Parallel Prefix

Algorithm 7 ParallelPrefix

```
1: for  $1 \leq i \leq n$  par do  
2:    $P[i] \leftarrow S[i]$   
3:   while  $S[i] \neq S[S[i]]$  do  
4:      $x[i] \leftarrow x[i] * x[S[i]]$   
5:      $S[i] \leftarrow S[S[i]]$   
6:   if  $P[i] \neq i$  then  $S[i] \leftarrow x[S(i)]$ 
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The algorithm runs in time $\mathcal{O}(\log n)$.

It has work requirement $\mathcal{O}(n \log n)$. non-optimal

This technique is also known as pointer jumping

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4.3 Divide & Conquer — Merging

Given two sorted sequences $A = (a_1, \dots, a_n)$ and $B = (b_1, \dots, b_n)$, compute the sorted sequence $C = (c_1, \dots, c_n)$.

Definition 2

Let $X = (x_1, \dots, x_t)$ be a sequence. The **rank** $\text{rank}(y : X)$ of y in X is

$$\text{rank}(y : X) = |\{x \in X \mid x \leq y\}|$$

For a sequence $Y = (y_1, \dots, y_s)$ we define $\text{rank}(Y : X) := (r_1, \dots, r_s)$ with $r_i = \text{rank}(y_i : X)$.

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Observation:

We can assume wlog. that elements in A and B are different.

Then for $c_i \in C$ we have $i = \text{rank}(c_i : A \cup B)$.

This means we just need to determine $\text{rank}(x : A \cup B)$ for all elements!

Observe, that $\text{rank}(x : A \cup B) = \text{rank}(x : A) + \text{rank}(x : B)$.

Clearly, for $x \in A$ we already know $\text{rank}(x : A)$, and for $x \in B$ we know $\text{rank}(x : B)$.

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Compute $\text{rank}(x : A)$ for all $x \in B$ and $\text{rank}(x : B)$ for all $x \in A$.
can be done in $\mathcal{O}(\log n)$ time with $2n$ processors by binary search

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$A = (a_1, \dots, a_n); B = (b_1, \dots, b_n);$
log n integral; $k := n / \log n$ integral;

Algorithm 8 GenerateSubproblems

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1:  $j_0 \leftarrow 0$ 
2:  $j_k \leftarrow n$ 
3: for  $1 \leq i \leq k - 1$  pardo
4:    $j_i \leftarrow \text{rank}(b_{i \log n} : A)$ 
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6:    $B_i \leftarrow (b_{i \log n + 1}, \dots, b_{(i+1) \log n})$ 
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If C_i is the merging of A_i and B_i then the sequence $C_0 \dots C_{k-1}$ is a sorted sequence.

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We can generate the subproblems in time $\mathcal{O}(\log n)$ and work $\mathcal{O}(n)$.

Note that in a sub-problem B_i has length $\log n$.

If we run the algorithm again for every subproblem, (where A_i takes the role of B) we can in time $\mathcal{O}(\log \log n)$ and work $\mathcal{O}(n)$ generate subproblems where A_j and B_j have both length at most $\log n$.

Such a subproblem can be solved by a single processor in time $\mathcal{O}(\log n)$ and work $\mathcal{O}(|A_i| + |B_i|)$.

Parallelizing the last step gives total work $\mathcal{O}(n)$ and time $\mathcal{O}(\log n)$.

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4.4 Maximum Computation

Lemma 4

On a CRCW PRAM the maximum of n numbers can be computed in time $\mathcal{O}(1)$ with n^2 processors.

proof on board...

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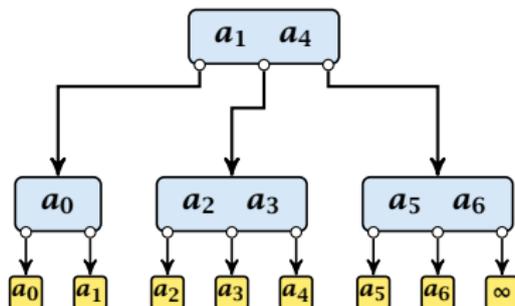
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4.5 Inserting into a (2, 3)-tree

Given a (2, 3)-tree with n elements, and a sequence $x_0 < x_1 < x_2 < \dots < x_k$ of elements. We want to insert elements x_1, \dots, x_k into the tree ($k \ll n$).

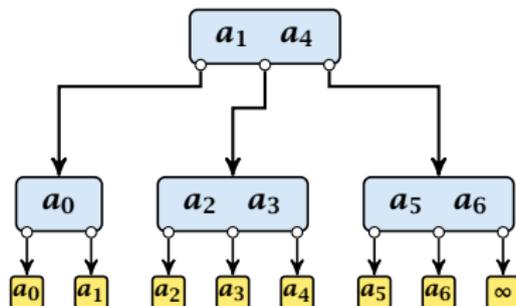
time: $\mathcal{O}(\log n)$; work: $\mathcal{O}(k \log n)$



4.5 Inserting into a (2, 3)-tree

Given a (2, 3)-tree with n elements, and a sequence $x_0 < x_1 < x_2 < \dots < x_k$ of elements. We want to insert elements x_1, \dots, x_k into the tree ($k \ll n$).

time: $\mathcal{O}(\log n)$; **work:** $\mathcal{O}(k \log n)$



4.5 Inserting into a (2, 3)-tree

1. determine for every x_i the leaf element before which it has to be inserted

time: $\mathcal{O}(\log n)$; work: $\mathcal{O}(k \log n)$; **CREW PRAM**

all x_i 's that have to be inserted before the same element form a **chain**

2. determine the largest/smallest/middle element of every chain

time: $\mathcal{O}(1)$; work: $\mathcal{O}(k)$;

3. insert the middle element of every chain
compute new chains

time: $\mathcal{O}(\log n)$; work: $\mathcal{O}(k_i \log n)$; $k_i = \#$ inserted elements
(computing new chains is constant time)

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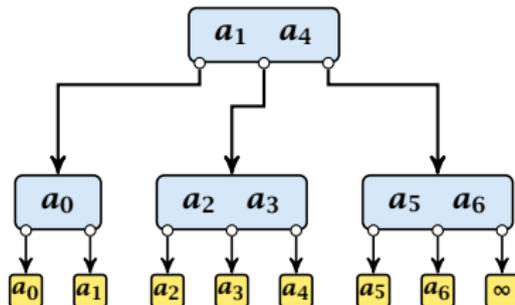
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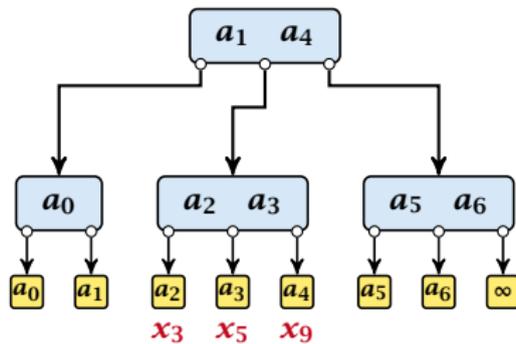
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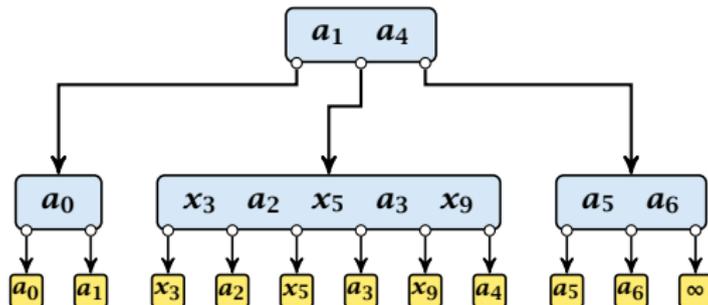
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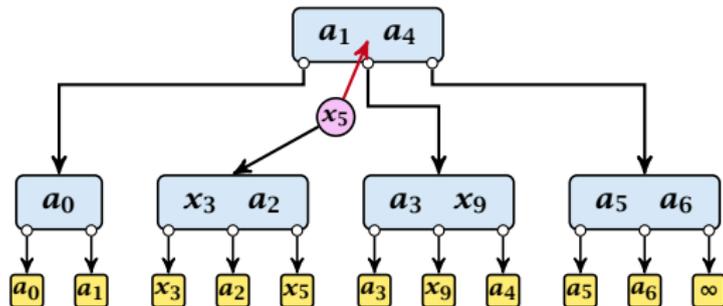
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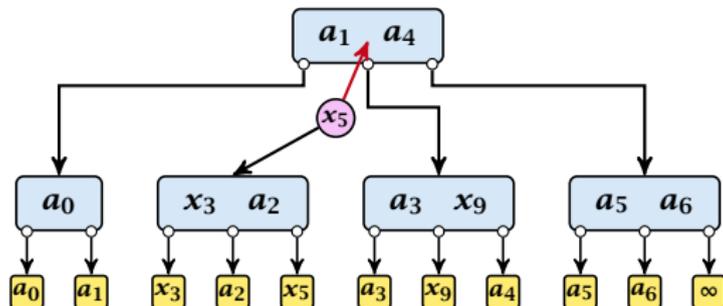
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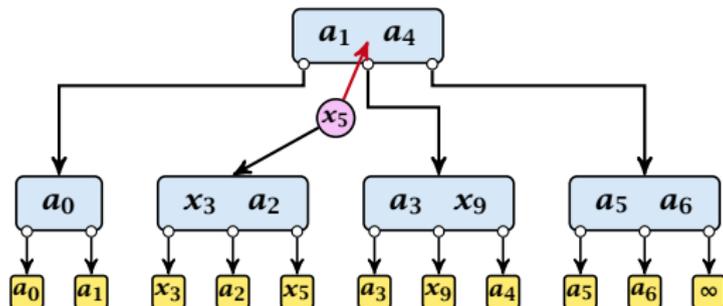


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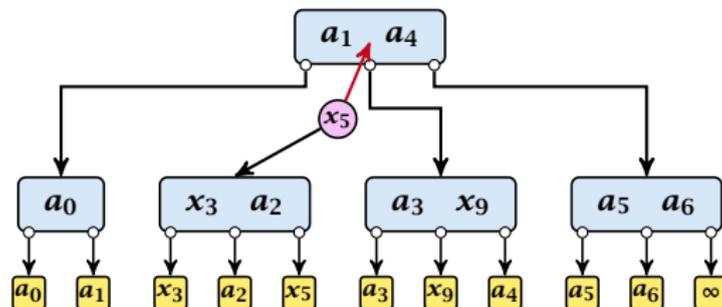
- ▶ each internal node is split into at most two parts

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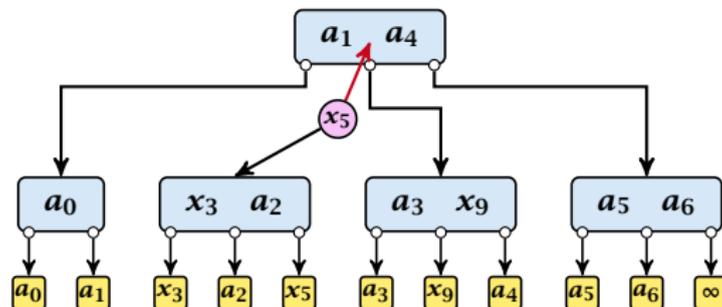
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- ▶ each internal node is split into at most two parts
- ▶ each split operation promotes at most one element
- ▶ hence, on every level we want to insert at most one element per successor pointer
- ▶ we can use the same routine for every level

4.5 Inserting into a (2, 3)-tree

- ▶ Step 3, works in phases; one phase for every level of the tree
- ▶ Step 4, works in rounds; in each round a different set of elements is inserted

Observation

We can start with phase i of round r as long as phase i of round $r - 1$ and (of course), phase $i - 1$ of round r has finished.

This is called **Pipelining**. Using this technique we can perform all rounds in Step 4 in just $\mathcal{O}(\log k + \log n)$ many parallel steps.

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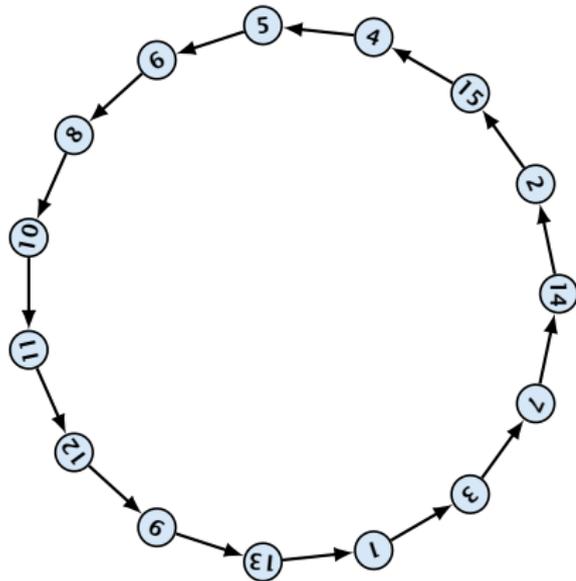
4.6 Symmetry Breaking

The following algorithm colors an n -node cycle with $\lceil \log n \rceil$ colors.

Algorithm 9 BasicColoring

- 1: **for** $1 \leq i \leq n$ **par**do
- 2: $\text{col}(i) \leftarrow i$
- 3: $k_i \leftarrow$ smallest bitpos where $\text{col}(i)$ and $\text{col}(S(i))$ differ
- 4: $\text{col}'(i) \leftarrow 2k + \text{col}(i)_k$

4.6 Symmetry Breaking



v	col	k	col'
1	0001	1	2
3	0011	2	4
7	0111	0	1
14	1110	2	5
2	0010	0	0
15	1111	0	1
4	0100	0	0
5	0101	0	1
6	0110	1	3
8	1000	1	2
10	1010	0	0
11	1011	0	1
12	1100	0	0
9	1001	2	4
13	1101	2	5

4.6 Symmetry Breaking

Applying the algorithm to a coloring with bit-length t generates a coloring with largest color at most

$$2(t - 1) + 1$$

and bit-length at most

$$\log_2(2(t - 1) + 1) = \log_2(2t - 1) = t - \log_2(2t - 1)$$

Applying the algorithm repeatedly generates a constant number of colors after $\log^* n$ operations.

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As long as the bit-length $t \geq 4$ the bit-length decreases.

Applying the algorithm with bit-length 3 gives a coloring with colors in the range $0, \dots, 5 = 2t - 1$.

We can improve to a 3-coloring by successively re-coloring nodes from a color-class:

Algorithm 10 ReColor

```
1: for  $\ell \leftarrow 5$  to 3
2:   for  $1 \leq i \leq n$  pardo
3:     if  $\text{col}(i) = \ell$  then
4:        $\text{col}(i) \leftarrow \min\{\{0, 1, 2\} \setminus \{\text{col}(P[i]), \text{col}(S[i])\}\}$ 
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This requires time $\mathcal{O}(1)$ and work $\mathcal{O}(n)$.

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4.6 Symmetry Breaking

Lemma 7

We can color vertices in a ring with three colors in $\mathcal{O}(\log^ n)$ time and with $\mathcal{O}(n \log^* n)$ work.*

not work optimal

4.6 Symmetry Breaking

Lemma 8

Given n integers in the range $0, \dots, \mathcal{O}(\log n)$, there is an algorithm that sorts these numbers in $\mathcal{O}(\log n)$ time using a linear number of operations.

Proof: Exercise!

4.6 Symmetry Breaking

Algorithm 11 OptColor

```
1: for  $1 \leq i \leq n$  pardo
2:    $\text{col}(i) \leftarrow i$ 
3:   apply BasicColoring once
4:   sort vertices by colors
5:   for  $\ell = 2^{\lceil \log n \rceil}$  to 3 do
6:     for all vertices  $i$  of color  $\ell$  pardo
7:        $\text{col}(i) \leftarrow \min\{\{0, 1, 2\} \setminus \{\text{col}(P[i]), \text{col}(S[i])\}\}$ 
```

Lemma 9

A ring can be colored with 3 colors in time $\mathcal{O}(\log n)$ and with work $\mathcal{O}(n)$.

work optimal but not too fast

List Ranking

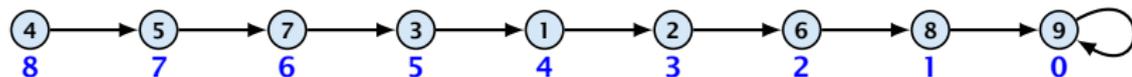
Input:

A list given by successor pointers;



Output:

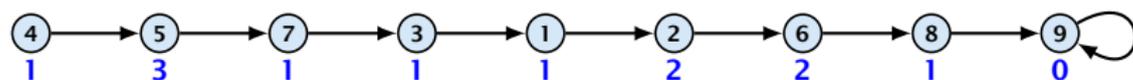
For every node number of hops to end of the list;



Observation:

Special case of parallel prefix

List Ranking

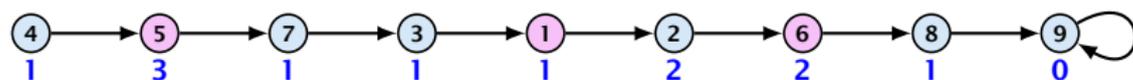


1. Given a list with values; perhaps from previous iterations.

The list is given via predecessor pointers $P(i)$ and successor pointers $S(i)$.

$S(4) = 5, S(2) = 6, P(3) = 7$, etc.

List Ranking

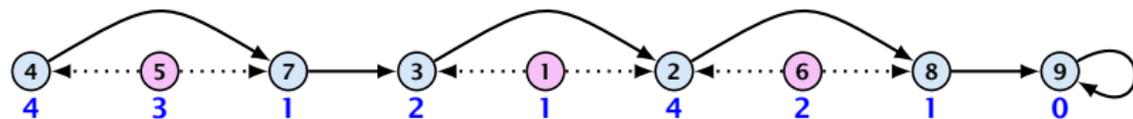


2. Find an independent set; time: $\mathcal{O}(\log n)$; work: $\mathcal{O}(n)$.

The independent set should contain a constant fraction of the vertices.

Color vertices; take local minima

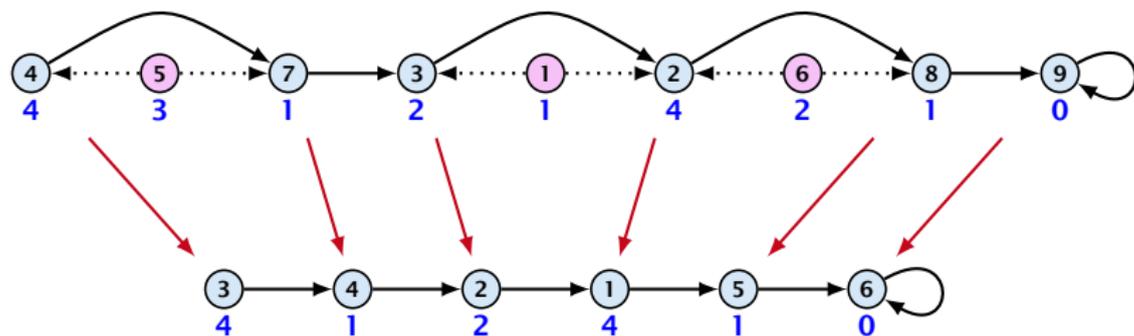
List Ranking



3. Splice the independent set out of the list;

At the independent set vertices the array still contains old values for $P(i)$ and $S(i)$;

List Ranking

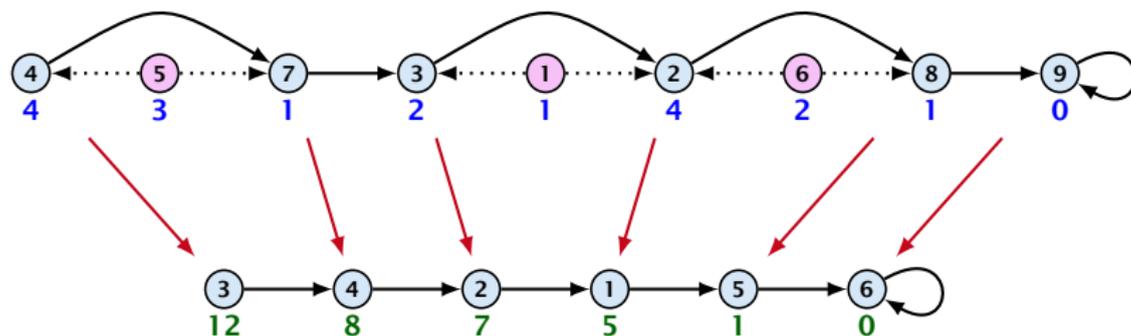


4. Compress remaining n' nodes into a new array of n' entries.

The index positions can be computed by a prefix sum in time $\mathcal{O}(\log n)$ and work $\mathcal{O}(n)$

Pointers can then be adjusted in time $\mathcal{O}(1)$.

List Ranking

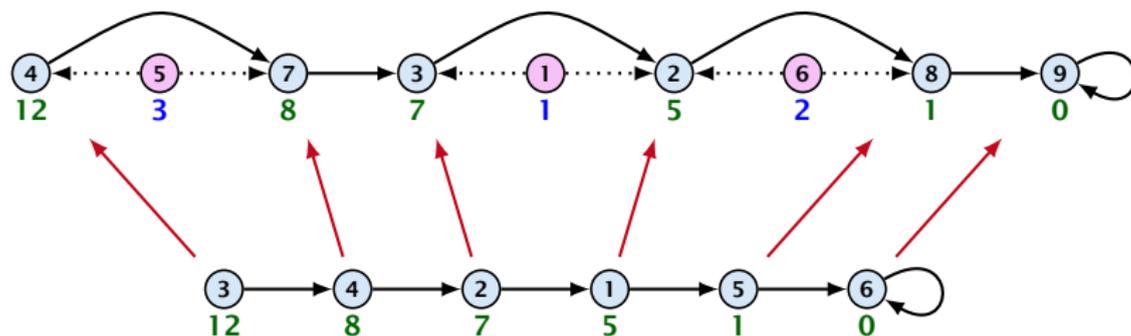


5. Solve the problem on the remaining list.

If current size is less than $n/\log n$ do pointer jumping:
time $\mathcal{O}(\log n)$; work $\mathcal{O}(n)$.

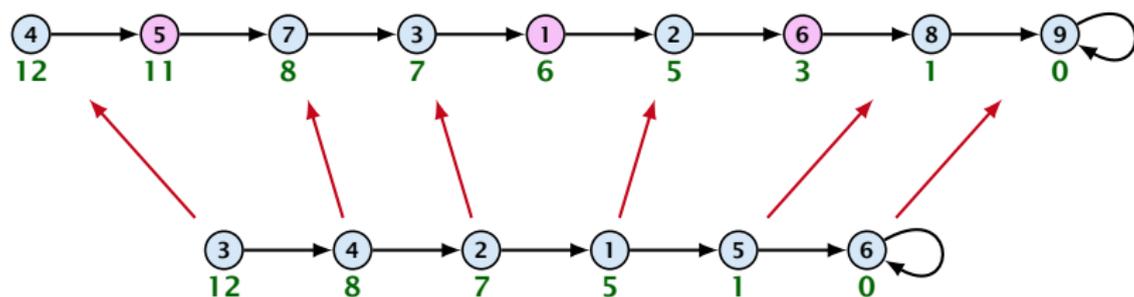
Otherwise continue shrinking the list by finding an
independent set

List Ranking



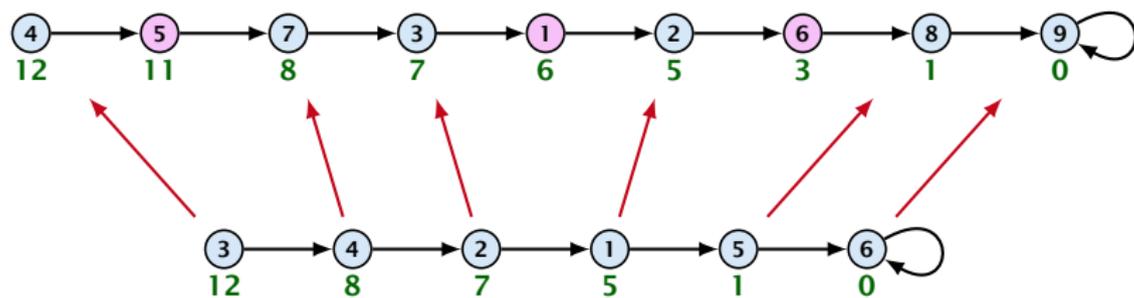
6. Map the values back into the larger list. Time: $\mathcal{O}(1)$;
Work: $\mathcal{O}(n)$

List Ranking



7. Compute values for independent set nodes. Time: $\mathcal{O}(1)$; Work: $\mathcal{O}(1)$.
8. Splice nodes back into list. Time: $\mathcal{O}(1)$; Work: $\mathcal{O}(1)$.

List Ranking



We need $\mathcal{O}(\log \log n)$ shrinking iterations until the size of the remaining list reaches $\mathcal{O}(n / \log n)$.

Each shrinking iteration takes time $\mathcal{O}(\log n)$.

The work for all shrinking operations is just $\mathcal{O}(n)$, as the size of the list goes down by a constant factor in each round.

List Ranking can be solved in time $\mathcal{O}(\log n \log \log n)$ and work $\mathcal{O}(n)$ on an EREW-PRAM.

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Optimal List Ranking

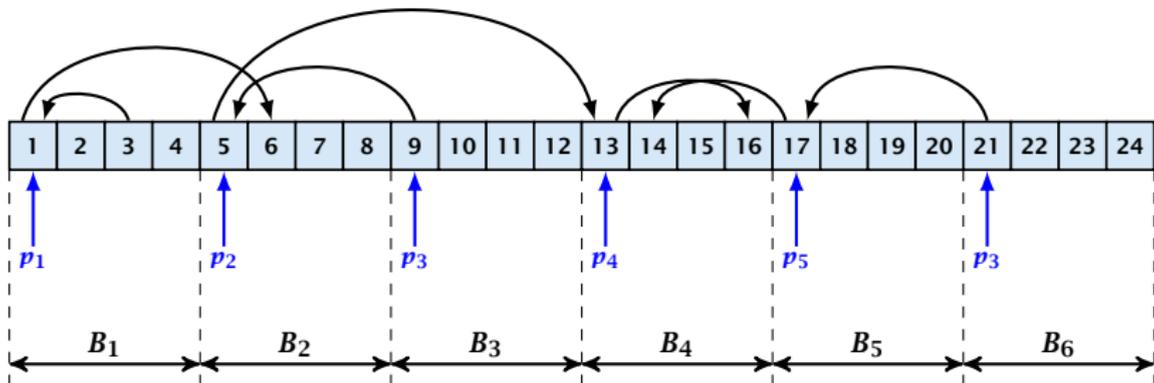
In order to reduce the work we have to improve the shrinking of the list to $\mathcal{O}(n/\log n)$ nodes.

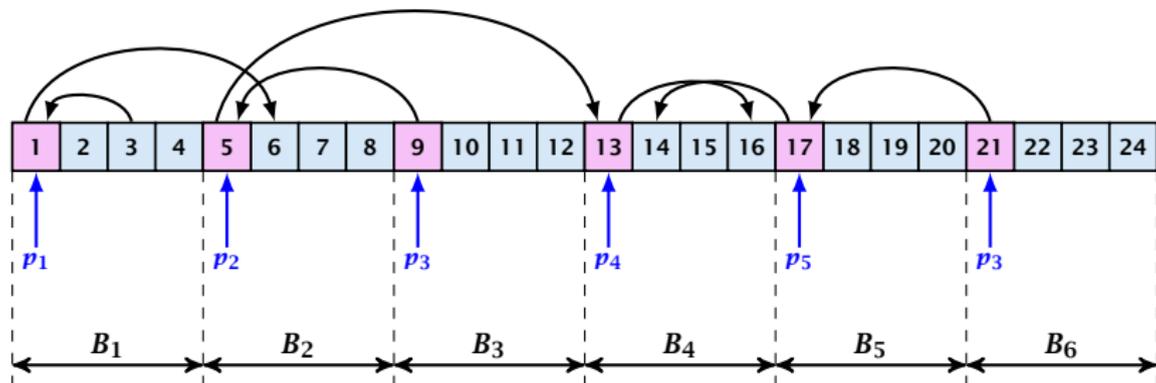
After this we apply pointer jumping

Optimal List Ranking

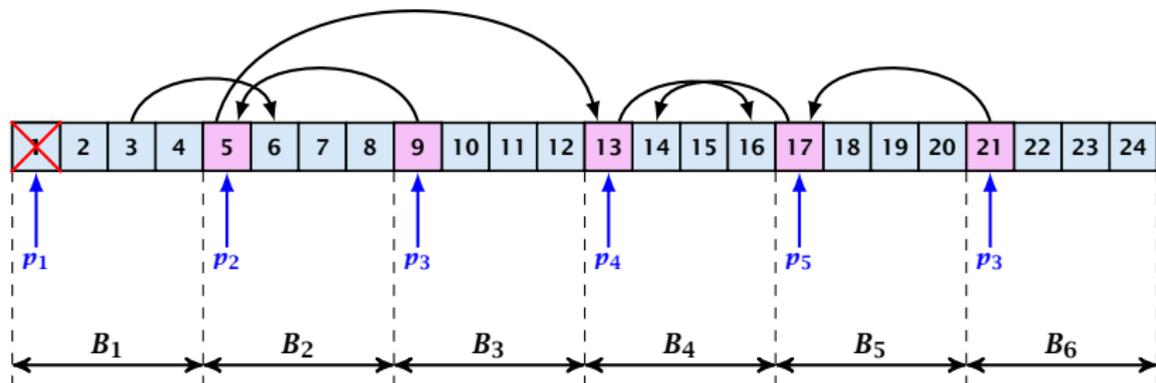
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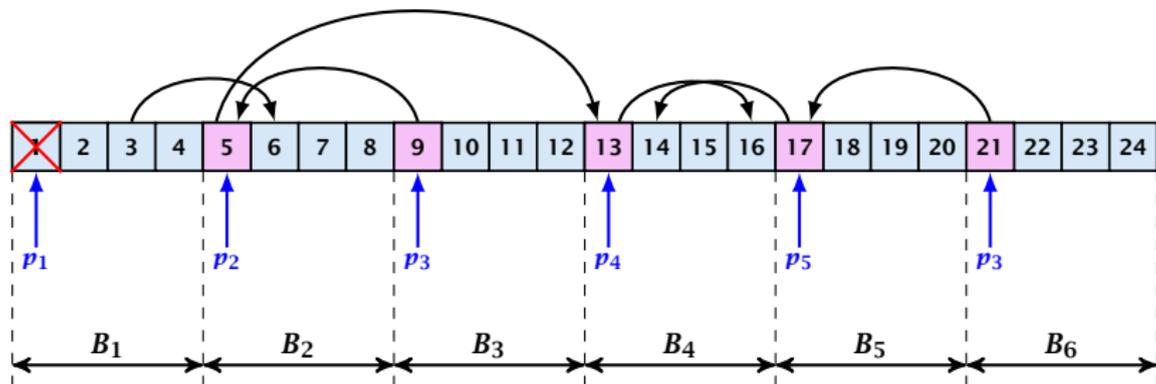




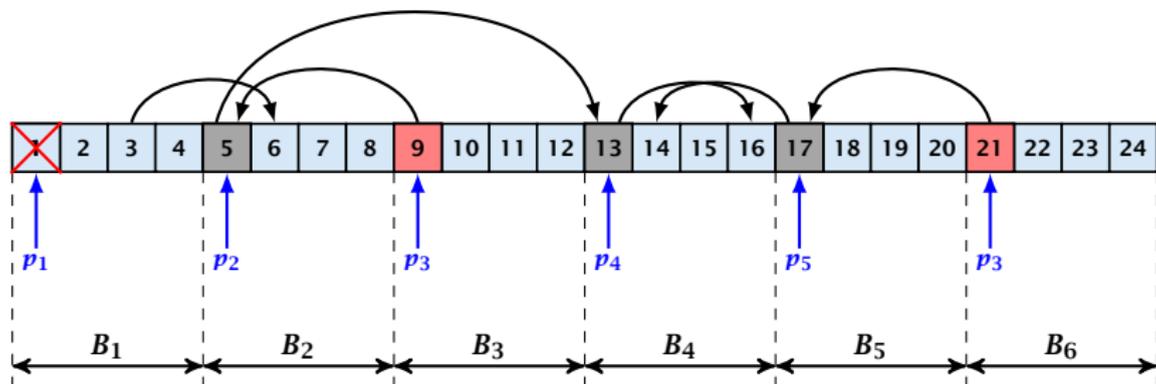
- ▶ some nodes are **active**;
- ▶ active nodes without neighbouring active nodes are **isolated**;
- ▶ the others form sublists;



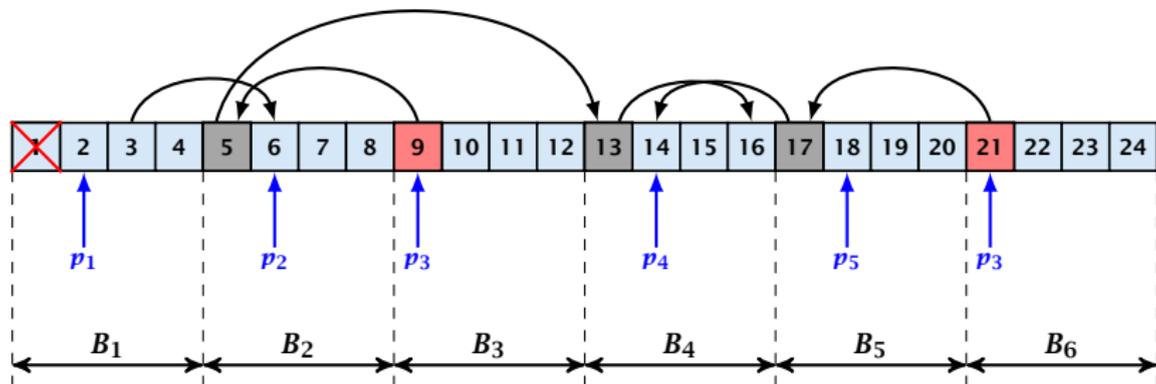
1 delete isolated nodes from the list;



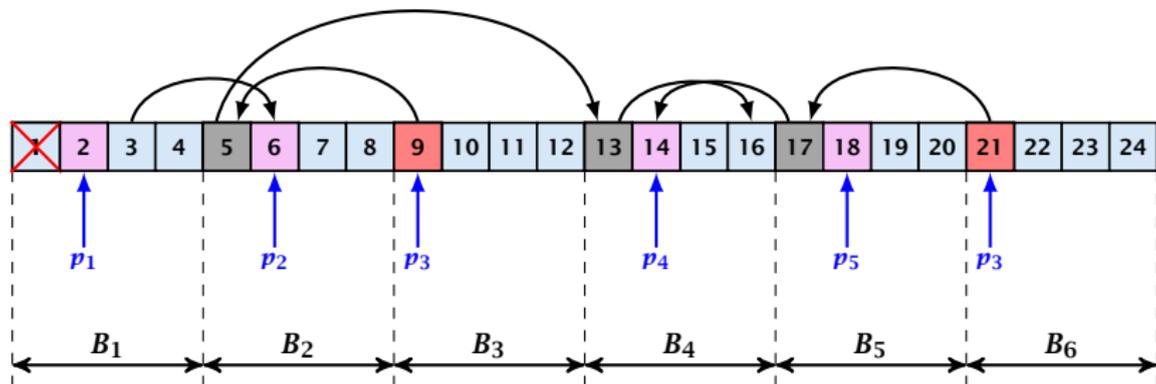
- 1 delete isolated nodes from the list;
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work: $\mathcal{O}(n)$;



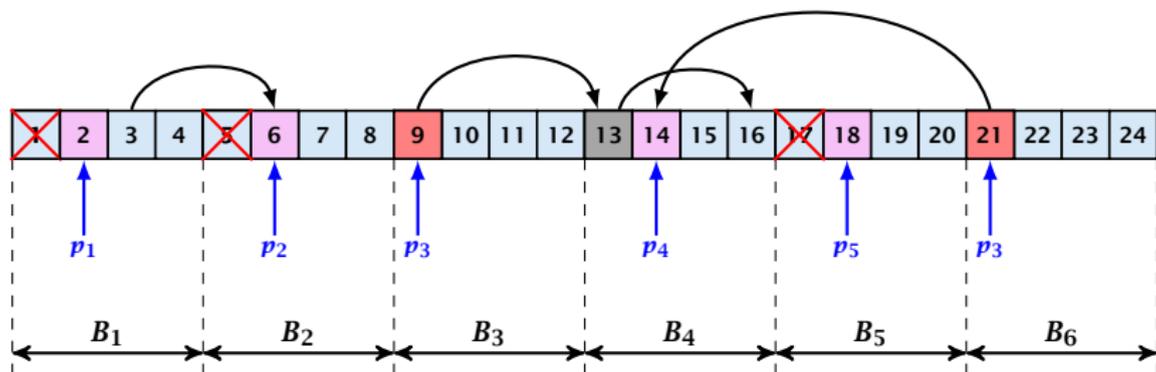
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 - 2 color each sublist with $\mathcal{O}(\log \log n)$ colors; time: $\mathcal{O}(1)$; work: $\mathcal{O}(n)$;
- label local minima w.r.t. color as **ruler**; others as **subject**
 first node of sublist is ruler; **needs to be changed!!!**



3 advance pointers of removed nodes and of subjects;

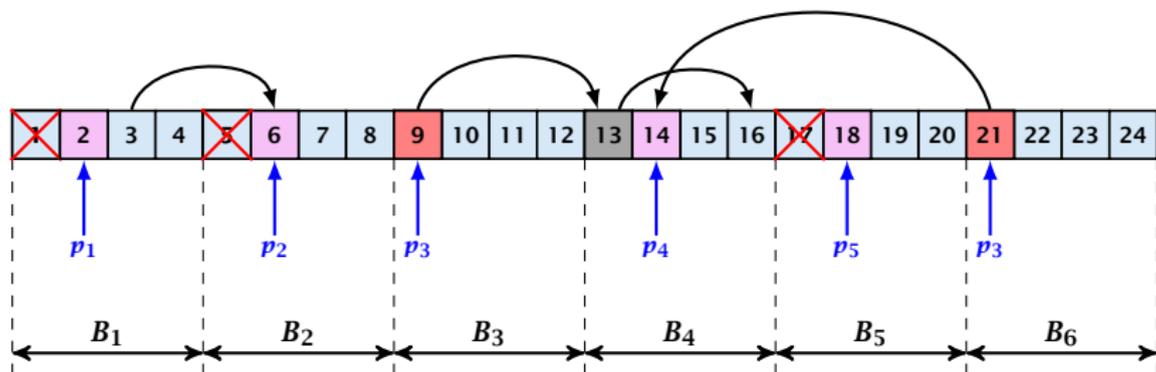


3 advance pointers of removed nodes and of subjects;
make new nodes active



New Iteration

0. every ruler deletes its next subject;
rulers without a subject become active



Optimal List Ranking

Each iteration requires constant time and work $\mathcal{O}(n/\log n)$, because we just work on one node in every block.

We need to prove that we just require $\mathcal{O}(\log n)$ iterations to reduce the size of the list to $\mathcal{O}(n/\log n)$.

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Observations/Remarks:

- ▶ If the p -pointer of a block cannot be advanced without leaving the block, the processor responsible for this block simply stops working; all other blocks continue.
- ▶ The p -node of a block (the node p_i is pointing to) at the beginning of a round is either a ruler with a living subject or the node will become active during the round.
- ▶ The subject nodes always lie to the left of the p -node of the respective block (if it exists).

Measure of Progress:

▶ A ruler will always advance.

▶ A subject will always advance.

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Analysis

For the analysis we assign a weight to every node in every block as follows.

Definition 10

The **weight** of the i -th node in a block is

$$(1 - q)^i$$

with $q = \frac{1}{\log \log n}$, where the node-numbering starts from 0.
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Properties:

- ▶ A ruler should have at most $\log \log n$ subjects.
- ▶ The weight of a ruler should be at most the weight of any of its subjects.
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Given a sublist of active nodes.

Color the sublist with $\mathcal{O}(\log \log n)$ colors. Take the local minima w.r.t. this coloring.

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Consider some chain.

We make all local minima w.r.t. the weight function into a ruler; ties are broken according to block-id (so that comparing weights gives a strict inequality).

A ruler gets as subjects the nodes left of it until the next local maximum (or the start of the chain) (including the local maximum) and the nodes right of it until the next local maximum (or the end of the chain) (excluding the local maximum).

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The i -th node in a block is assigned a weight of $(1 - q)^i$,
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The total weight of a block is at most $1/q$ and the total weight of
all items is at most $\frac{n}{q \log n}$.

to show:

After $\mathcal{O}(\log n)$ iterations the weight is at most
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In every iteration the weight drops by a factor of

$$(1 - q/4) .$$

We consider subject nodes to just have half their weight.

We can view the step of becoming a subject as a precursor to deletion.

Hence, a node loses half its weight when becoming a subject and the remaining half when deleted.

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Each p -node is **responsible** for some other nodes; it has to generate a weight reduction large enough so that the weight of all nodes it is responsible for decreases by the desired factor.

An active node is responsible for all nodes that come after it in its block.

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Hence, weight reduces by a factor $(1 - q) \leq (1 - q/4)$.

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Suppose we generate a ruler with at least one subject.

Weight of ruler: $(1 - q)^{i_1}$.

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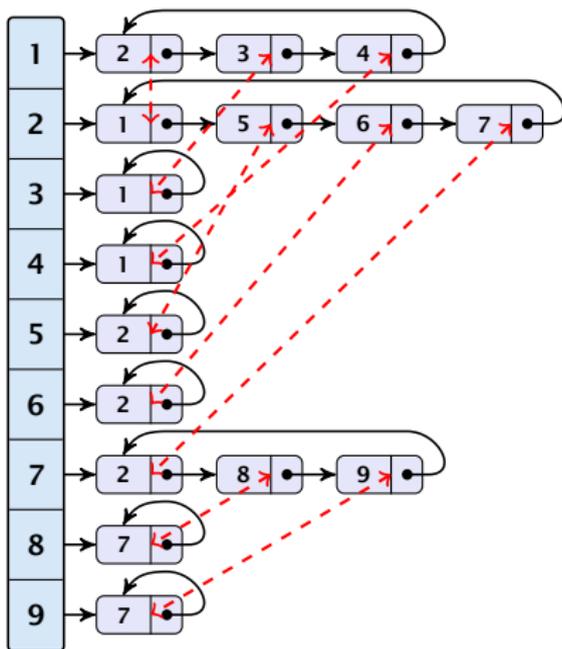
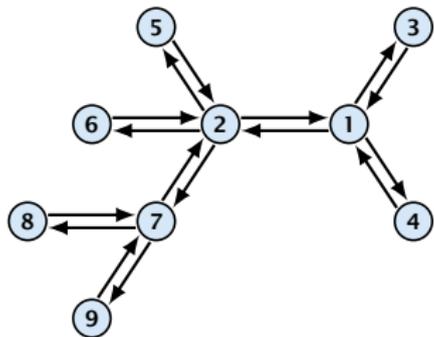
$$Q' = Q - \frac{1}{2} (1 - q)^{i_2} \leq \left(1 - \frac{q}{3}\right) Q$$

After s iterations the weight is at most

$$\frac{n}{q \log n} \left(1 - \frac{q}{4}\right)^s \stackrel{!}{\leq} \frac{n}{\log n} (1 - q)^{\log n}$$

Choosing $i = 5 \log n$ the inequality holds for sufficiently large n .

Tree Algorithms



Euler Circuits

Every node v fixes an arbitrary ordering among its adjacent nodes:

$$u_0, u_1, \dots, u_{d-1}$$

We obtain an Euler tour by setting

$$\text{succ}((u_i, v)) = (v, u_{(i+1) \bmod d})$$

Euler Circuits

Lemma 11

An Euler circuit can be computed in constant time $\mathcal{O}(1)$ with $\mathcal{O}(n)$ operations.

Rooting a tree

- ▶ split the Euler tour at node r
- ▶ this gives a list on the set of directed edges (Euler path)
- ▶ assign $x[e] = 1$ for every edge;
- ▶ perform parallel prefix; let $s[\cdot]$ be the result array
- ▶ if $s[(u, v)] < s[(v, u)]$ then u is parent of v ;

Postorder Numbering

- ▶ split the Euler tour at node r
- ▶ this gives a list on the set of directed edges (Euler path)
- ▶ assign $x[e] = 1$ for every edge $(v, \text{parent}(v))$
- ▶ assign $x[e] = 0$ for every edge $(\text{parent}(v), v)$
- ▶ perform parallel prefix
- ▶ $\text{post}(v) = s[(v, \text{parent}(v))]$; $\text{post}(r) = n$

Level of nodes

- ▶ split the Euler tour at node r
- ▶ this gives a list on the set of directed edges (Euler path)
- ▶ assign $x[e] = -1$ for every edge $(v, \text{parent}(v))$
- ▶ assign $x[e] = 1$ for every edge $(\text{parent}(v), v)$
- ▶ perform parallel prefix
- ▶ $\text{level}(v) = s[(\text{parent}(v), v)]$; $\text{level}(r) = 0$

Number of descendants

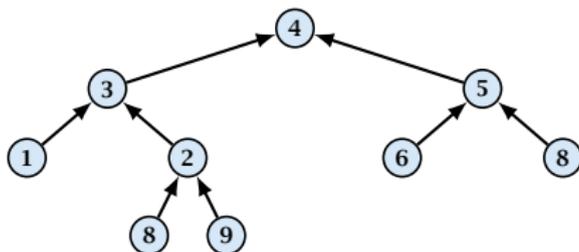
- ▶ split the Euler tour at node r
- ▶ this gives a list on the set of directed edges (Euler path)
- ▶ assign $x[e] = 0$ for every edge $(\text{parent}(v), v)$
- ▶ assign $x[e] = 1$ for every edge $(v, \text{parent}(v))$, $v \neq r$
- ▶ perform parallel prefix
- ▶ $\text{size}(v) = s[(v, \text{parent}(v))] - s[(\text{parent}(v), v)]$

Rake Operation

Given a binary tree T .

Given a leaf $u \in T$ with $p(u) \neq r$ the **rake-operation** does the following

- ▶ remove u and $p(u)$
- ▶ attach sibling of u to $p(p(u))$

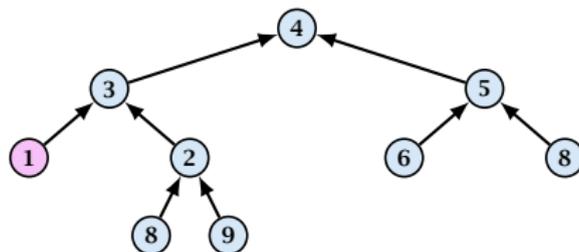


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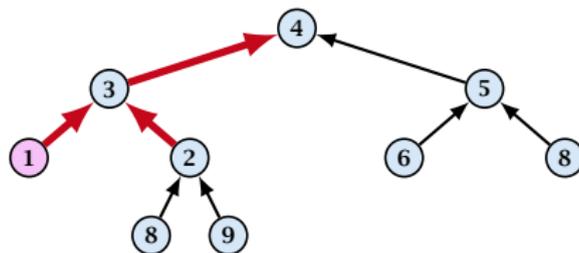


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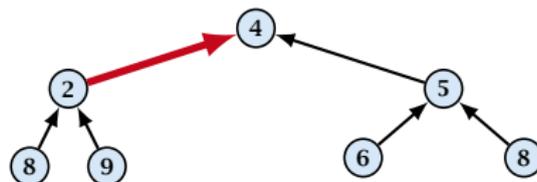


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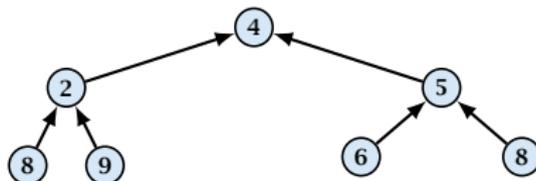


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We want to apply rake operations to a binary tree T until T just consists of the root with two children.

Possible Problems:

we could accidentally apply the rake operation to two leaves

we could accidentally apply the rake operation to two leaves x and y such that $y(x)$ and $x(y)$ are connected

By choosing leaves carefully we ensure that none of the above cases occurs

We want to apply rake operations to a binary tree T until T just consists of the root with two children.

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Algorithm:

- ▶ label leaves consecutively from left to right (excluding left-most and right-most leaf), and store them in an array A
- ▶ for $\lceil \log(n + 1) \rceil$ iterations
 - ▶ apply rule to all odd leaves that are left children
 - ▶ apply rule operation to remaining odd leaves (only at even $\lceil \log(n + 1) \rceil$ iterations)
 - ▶ remove leaves

Algorithm:

- ▶ label leaves consecutively from left to right (excluding left-most and right-most leaf), and store them in an array A
- ▶ for $\lceil \log(n + 1) \rceil$ iterations
 - ▶ apply rake to all odd leaves that are left children
 - ▶ apply rake operation to remaining odd leaves (odd at start of round!!!)
 - ▶ A =even leaves

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Observations

- ▶ the rake operation does not change the order of leaves
- ▶ two leaves that are siblings do not perform a rake operation in the same round because one is even and one odd at the start of the round
- ▶ two leaves that have adjacent parents either have different parity (even/odd) or they differ in the type of child (left/right)

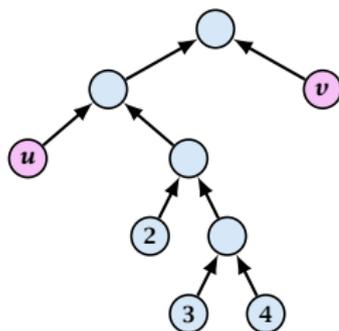
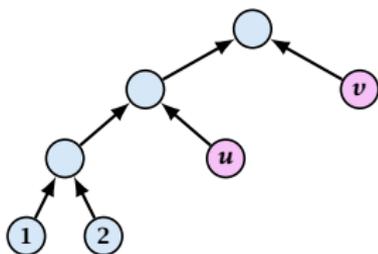
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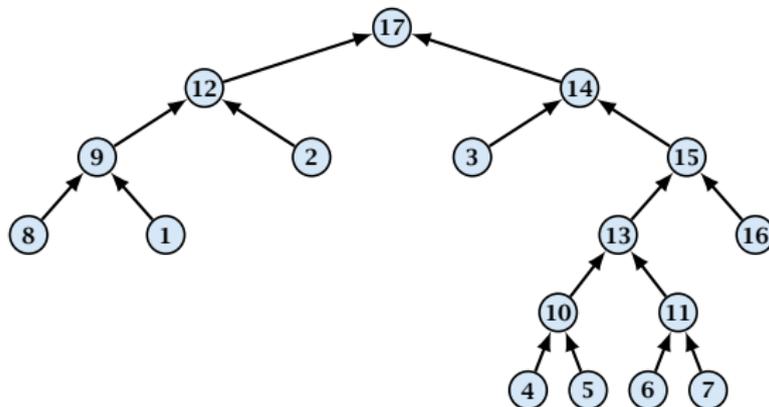
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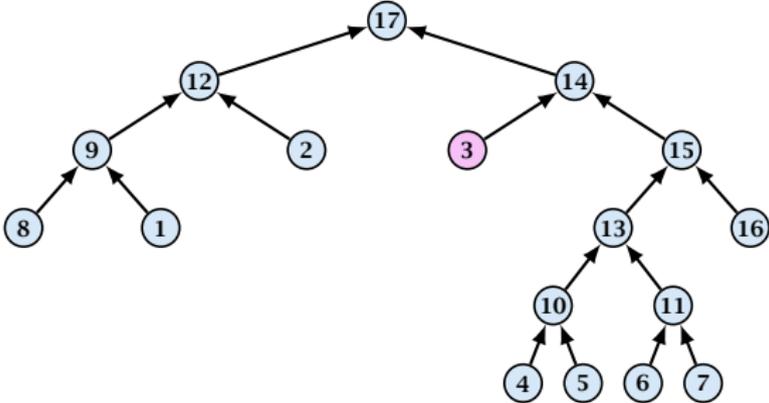
Cases, when the left edge btw. $p(u)$ and $p(v)$ is a left-child edge.



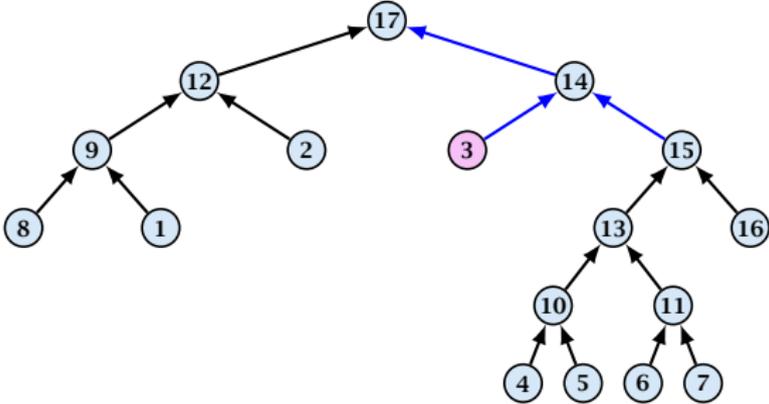
Example



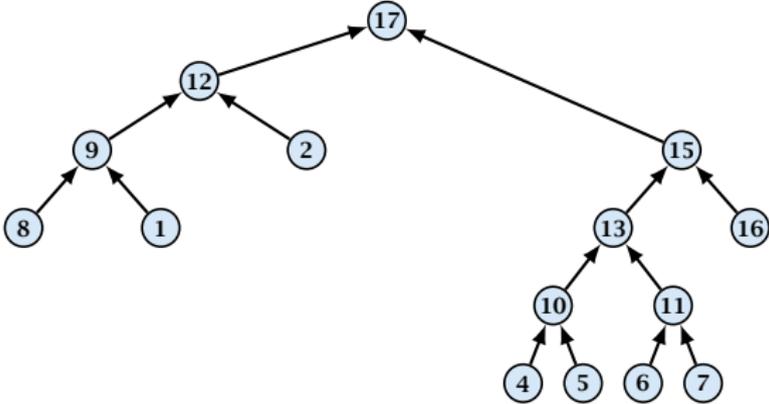
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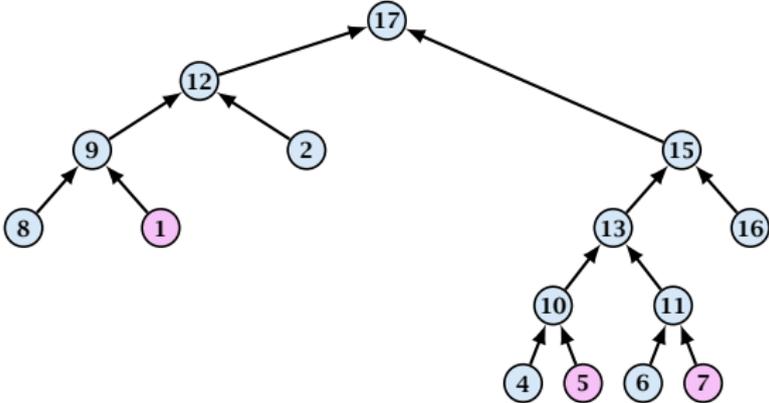
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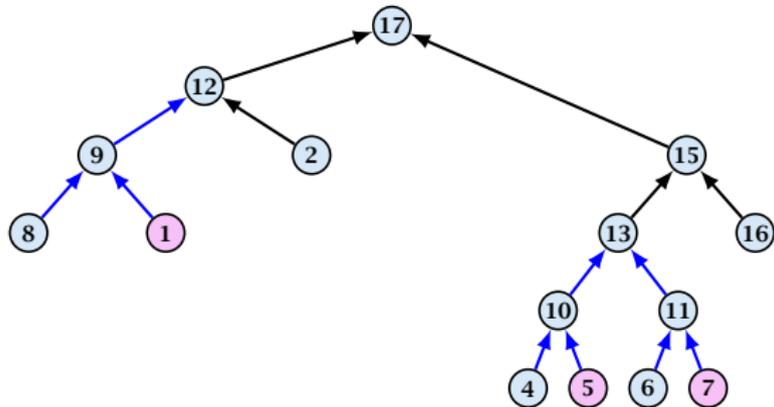
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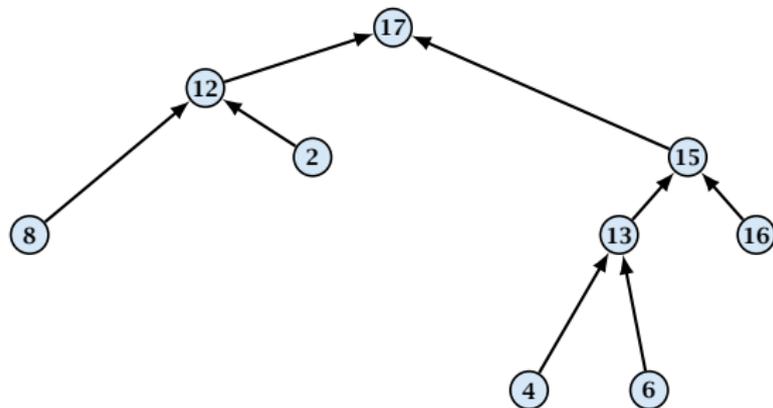
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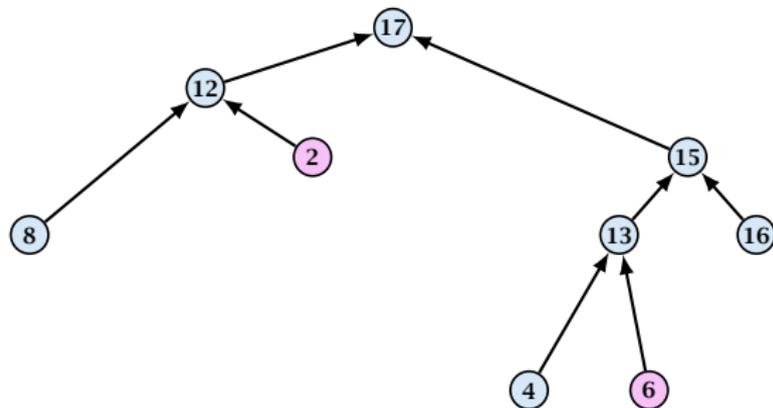
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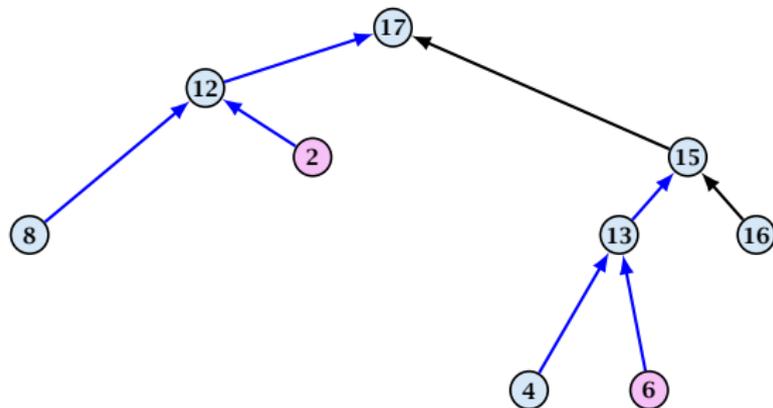
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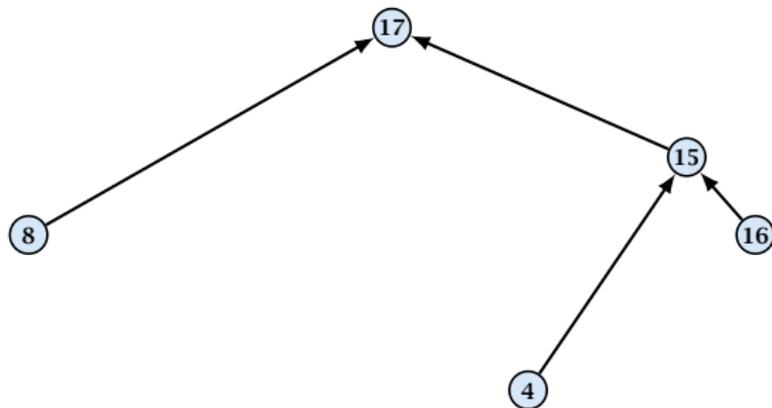
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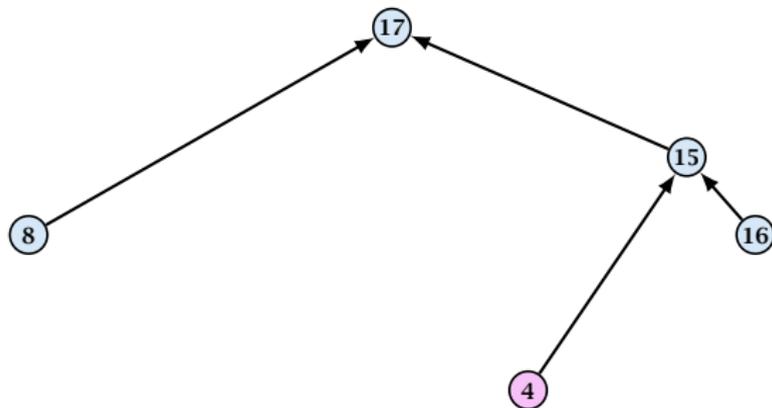
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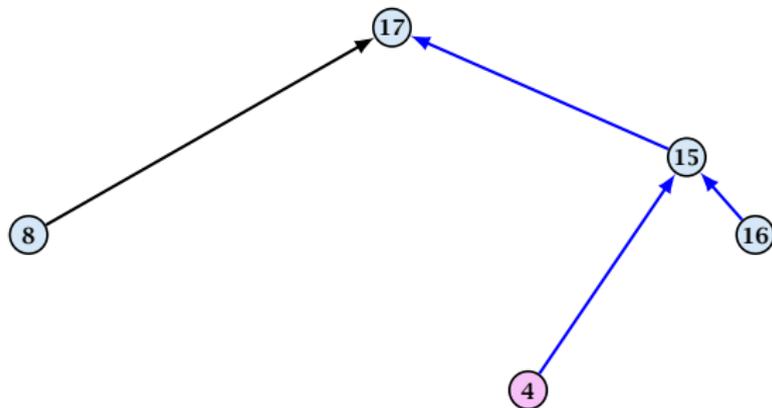
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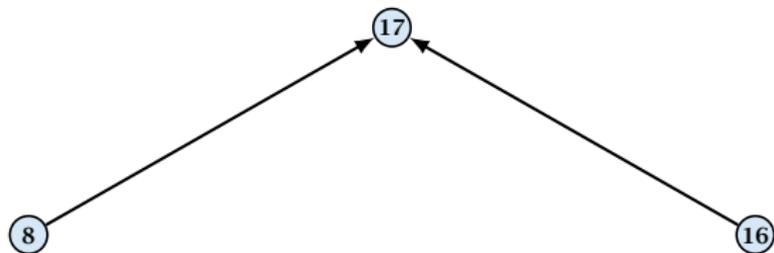
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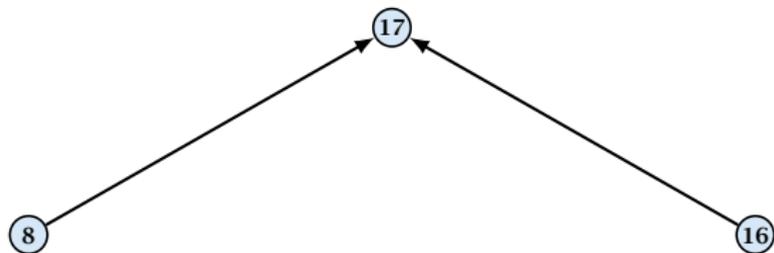
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- ▶ one iteration can be performed in constant time with $\mathcal{O}(|A|)$ processors, where A is the array of leaves;
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Evaluating Expressions

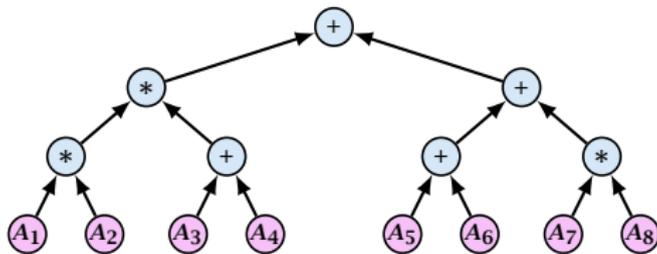
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If the tree is not balanced this may be time-consuming.

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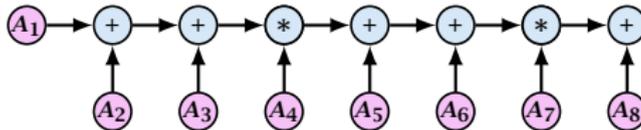
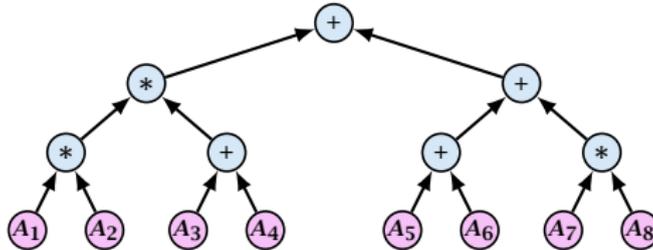
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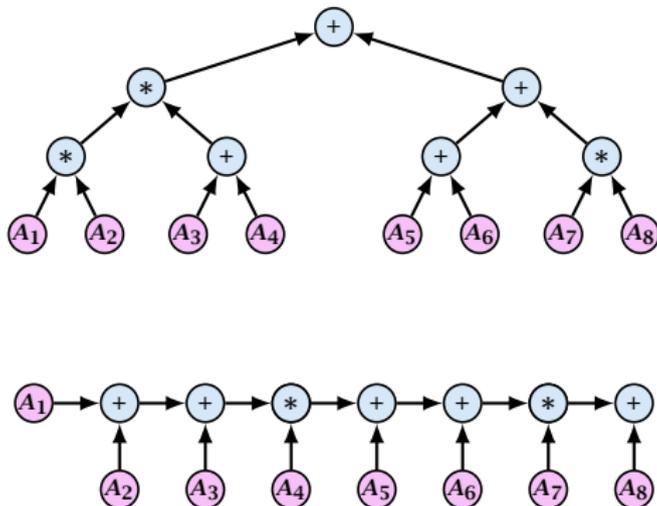
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Evaluating Expressions

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If the tree is not balanced this may be time-consuming.

We can use the rake-operation to do this quickly.

Applying the rake-operation changes the tree.

In order to maintain the value we introduce parameters a_v and b_v for every node that still allows to compute the value of a node based on the value of its children.

Invariant:

Let u be internal node with children v and w . Then

$$\text{val}(u) = (a_v \cdot \text{val}(v) + b_v) \otimes (a_w \cdot \text{val}(w) + b_w)$$

where $\otimes \in \{*, +\}$ is the operation at node u .

Initially, we can choose $a_v = 1$ and $b_v = 0$ for every node.

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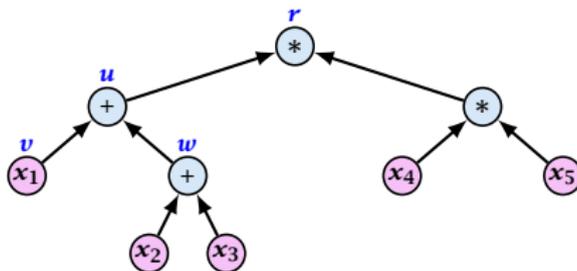
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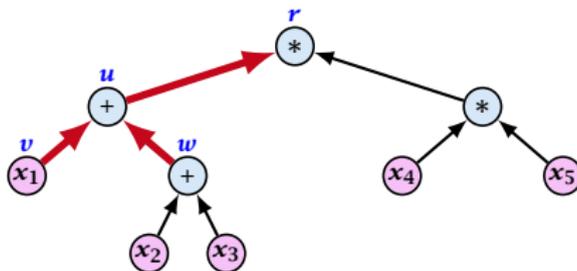
Currently the value at u is

$$\text{value}(u) = (a_v \cdot \text{value}(v) + b_v) + (a_w \cdot \text{value}(w) + b_w) \\ = (a_v \cdot x_1 + b_v) + (a_w \cdot (x_2 + x_3) + b_w)$$

In the expression for r this goes in as

$$\text{value}(r) = (a_r \cdot \text{value}(u) + b_r) \cdot (x_4 \cdot x_5) \\ = \underbrace{(a_v \cdot x_1 + b_v)}_{a'_w} + \underbrace{(a_w \cdot (x_2 + x_3) + b_w)}_{b'_w}$$

Rake Operation



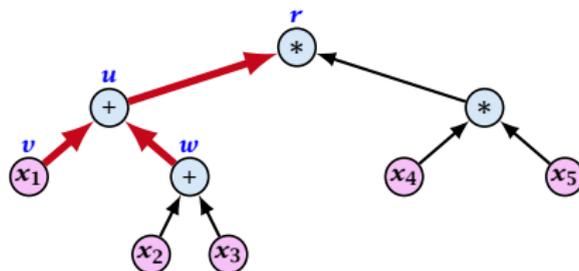
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Rake Operation



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$$v + w = x_1 + x_2 + x_3$$

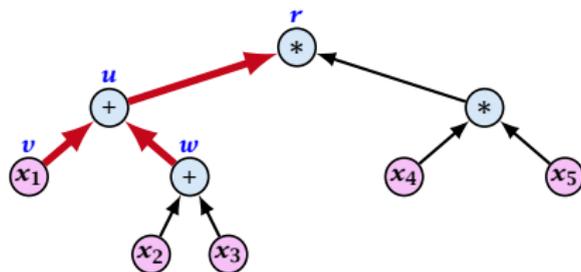
$$r = (x_1 + x_2 + x_3) * (x_4 * x_5)$$

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Rake Operation



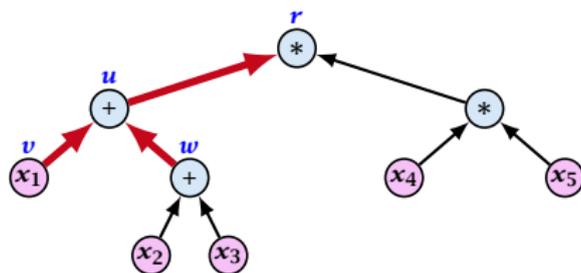
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Rake Operation



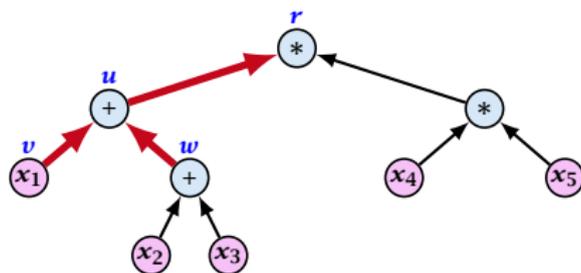
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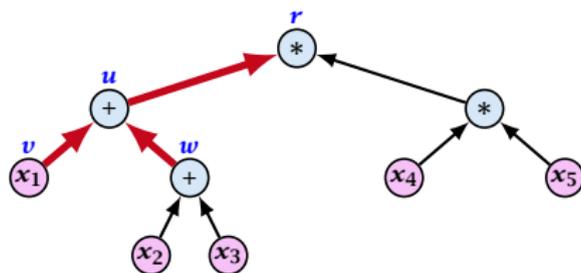
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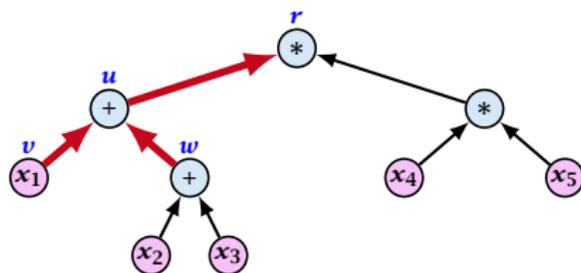
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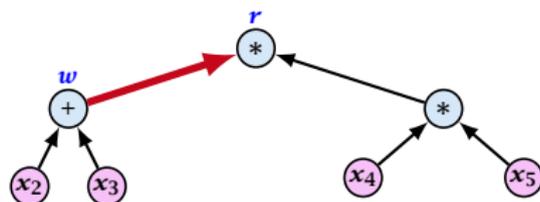
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Rake Operation



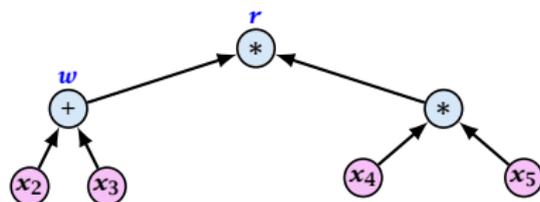
Currently the value at u is

$$\begin{aligned}\text{val}(u) &= (a_v \cdot \text{val}(v) + b_v) + (a_w \cdot \text{val}(w) + b_w) \\ &= x_1 + (a_w \cdot \text{val}(w) + b_w)\end{aligned}$$

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If we change the a and b -values during a rake-operation according to the previous slide we can calculate the value of the root in the end.

Lemma 12

We can evaluate an arithmetic expression tree in time $\mathcal{O}(\log n)$ and work $\mathcal{O}(n)$ regardless of the height or depth of the tree.

By performing the rake-operation in the reverse order we can also compute the value at each node in the tree.

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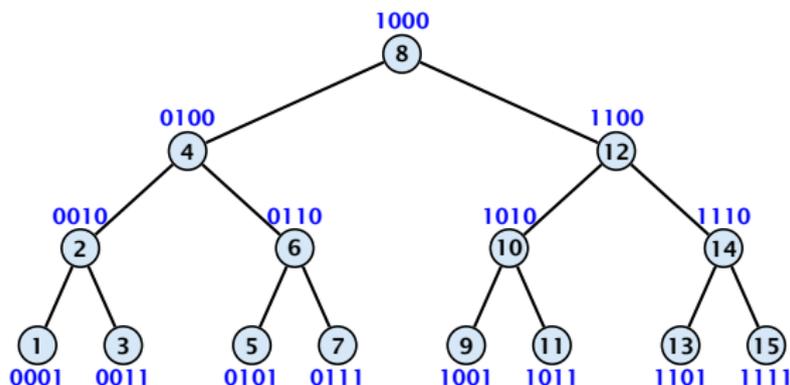
We compute *tree functions* for arbitrary trees in time $\mathcal{O}(\log n)$ and a linear number of operations.

proof on board...

In the **LCA (least common ancestor) problem** we are given a tree and the goal is to design a data-structure that answers LCA-queries in constant time.

Least Common Ancestor

LCAs on complete binary trees (inorder numbering):

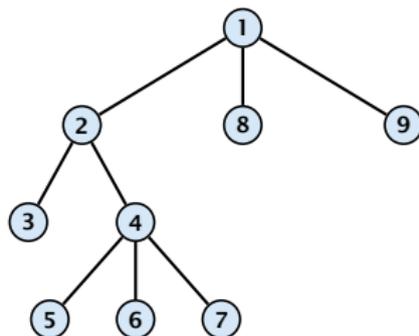


The least common ancestor of u and v is

$$z_1 z_2 \dots z_i 1 0 \dots 0$$

where z_{i+1} is the first bit-position in which u and v differ.

Least Common Ancestor



nodes

1	2	3	2	4	5	4	6	4	7	4	2	1	8	1	9	1
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

levels

0	1	2	1	2	3	2	3	2	3	2	1	0	1	0	1	0
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

$\ell(v)$ is index of first appearance of v in node-sequence.

$r(v)$ is index of last appearance of v in node-sequence.

$\ell(v)$ and $r(v)$ can be computed in constant time, given the node- and level-sequence.

Least Common Ancestor

Lemma 14

1. *u is ancestor of v iff $\ell(u) < \ell(v) < r(u)$*
2. *u and v are not related iff either $r(u) < \ell(v)$ or $\ell(u) < r(v)$*
3. *suppose $r(u) < \ell(v)$ then $\text{LCA}(u, v)$ is vertex with minimum level over interval $[r(u), \ell(v)]$.*

Range Minima Problem

Given an array $A[1 \dots n]$, a **range minimum query** (ℓ, r) consists of a left index $\ell \in \{1, \dots, n\}$ and a right index $r \in \{1, \dots, n\}$.

The answer has to return the index of the minimum element in the subsequence $A[\ell \dots r]$.

The goal in the **range minima problem** is to preprocess the array such that range minima queries can be answered quickly (constant time).

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Observation

Given an algorithm for solving the range minima problem in time $T(n)$ and work $W(n)$ we can obtain an algorithm that solves the LCA-problem in time $\mathcal{O}(T(n) + \log n)$ and work $\mathcal{O}(n + W(n))$.

Remark

In the sequential setting the LCA-problem and the range minima problem are equivalent. This is not necessarily true in the parallel setting.

For solving the LCA-problem it is sufficient to solve the **restricted range minima problem** where two successive elements in the array just differ by $+1$ or -1 .

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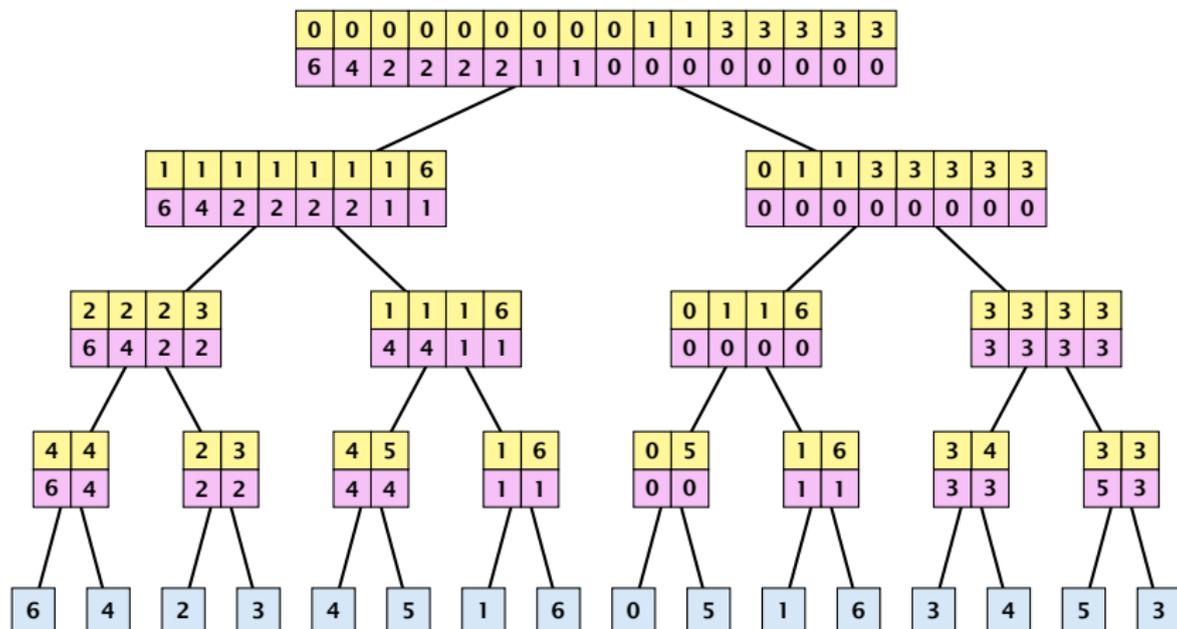
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Prefix and Suffix Minima

Tree with prefix-minima and suffix-minima:



- ▶ Suppose we have an array A of length $n = 2^k$
- ▶ We compute a complete binary tree T with n leaves.
- ▶ Each internal node corresponds to a subsequence of A . It contains an array with the prefix and suffix minima of this subsequence.

Given the tree T we can answer a range minimum query (ℓ, r) in constant time.

we can determine the LCA x of ℓ and r in constant time.

Since T is a complete binary tree

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The minimum of these two values is the result.

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We can solve the range minima problem in time $\mathcal{O}(\log n)$ and work $\mathcal{O}(n \log n)$.

Reducing the Work

Partition A into blocks B_i of length $\log n$

Preprocess each B_i block separately by a sequential algorithm so that range-minima queries within the block can be answered in constant time. (how?)

For each block B_i compute the minimum x_i and its prefix and suffix minima.

Use the previous algorithm on the array $(x_1, \dots, x_{n/\log n})$.

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Answering a query (ℓ, r) :

- ▶ if ℓ and r are from the same block the data-structure for this block gives us the result in constant time
- ▶ if ℓ and r are from different blocks the result is a minimum of three elements:
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$$\log_{p+1}(n) = \frac{\log n}{\log(p+1)}$$

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Merging

Given two sorted sequences $A = (a_1, \dots, a_n)$ and $B = (b_1, \dots, b_n)$, compute the sorted sequence $C = (c_1, \dots, c_n)$.

Definition 16

Let $X = (x_1, \dots, x_t)$ be a sequence. The **rank** $\text{rank}(y : X)$ of y in X is

$$\text{rank}(y : X) = |\{x \in X \mid x \leq y\}|$$

For a sequence $Y = (y_1, \dots, y_s)$ we define $\text{rank}(Y : X) := (r_1, \dots, r_s)$ with $r_i = \text{rank}(y_i : X)$.

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Input: $A = a_1, \dots, a_n$; $B = b_1, \dots, b_m$; $m \leq n$

1. if $m < 4$ then rank elements of B , using the parallel search algorithm with p processors. Time: $\mathcal{O}(1)$. Work: $\mathcal{O}(n)$.
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$$j(i) := \text{rank}(b_{i\sqrt{m}} : A)$$

3. Let $B_i = (b_{i\sqrt{m}+1}, \dots, b_{(i+1)\sqrt{m}-1})$; and
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Recursively compute $\text{rank}(B_i : A_i)$.

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The algorithm can be made work-optimal by standard techniques.

proof on board...

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Let $L[v]$ denote the (sorted) sublist of elements stored at the leaf nodes rooted at v .

We can view Mergesort as computing $L[v]$ for a complete binary tree where the leaf nodes correspond to nodes in the given array.

Since the merge-operations on one level of the complete binary tree can be performed in parallel we obtain time $\mathcal{O}(h \log \log n)$ and work $\mathcal{O}(hn)$, where $h = \mathcal{O}(\log n)$ is the height of the tree.

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Pipelined Mergesort

We again compute $L[v]$ for every node in the complete binary tree.

After round s , $L_s[v]$ is an **approximation** of $L[v]$ that will be improved in future rounds.

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In every round, a node v sends $\text{sample}(L_s[v])$ (an approximation of its current list) upwards, and receives approximations of the lists of its children.

It then computes a new approximation of its list.

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A node is called **active** in round s if $s \leq 3 \text{height}(v)$ (this means its list is not yet complete at the start of the round, i.e., $L_{s-1}[v] \neq L[v]$).

Pipelined Mergesort

Algorithm 11 ColeSort()

```
1: initialize  $L_0[v] = A_v$  for leaf nodes;  $L_0[v] = \emptyset$  otw.  
2: for  $s \leftarrow 1$  to  $3 \cdot \text{height}(T)$  do  
3:   for all active nodes  $v$  do  
4:     //  $u$  and  $w$  children of  $v$   
5:      $L'_s[u] \leftarrow \text{sample}(L_{s-1}[u])$   
6:      $L'_s[w] \leftarrow \text{sample}(L_{s-1}[w])$   
7:      $L_s[v] \leftarrow \text{merge}(L'_s[u], L'_s[w])$ 
```

$$\text{sample}(L_s[v]) = \begin{cases} \text{sample}_4(L_s[v]) & s \leq 3 \text{ height}(v) \\ \text{sample}_2(L_s[v]) & s = 3 \text{ height}(v) + 1 \\ \text{sample}_1(L_s[v]) & s = 3 \text{ height}(v) + 2 \end{cases}$$

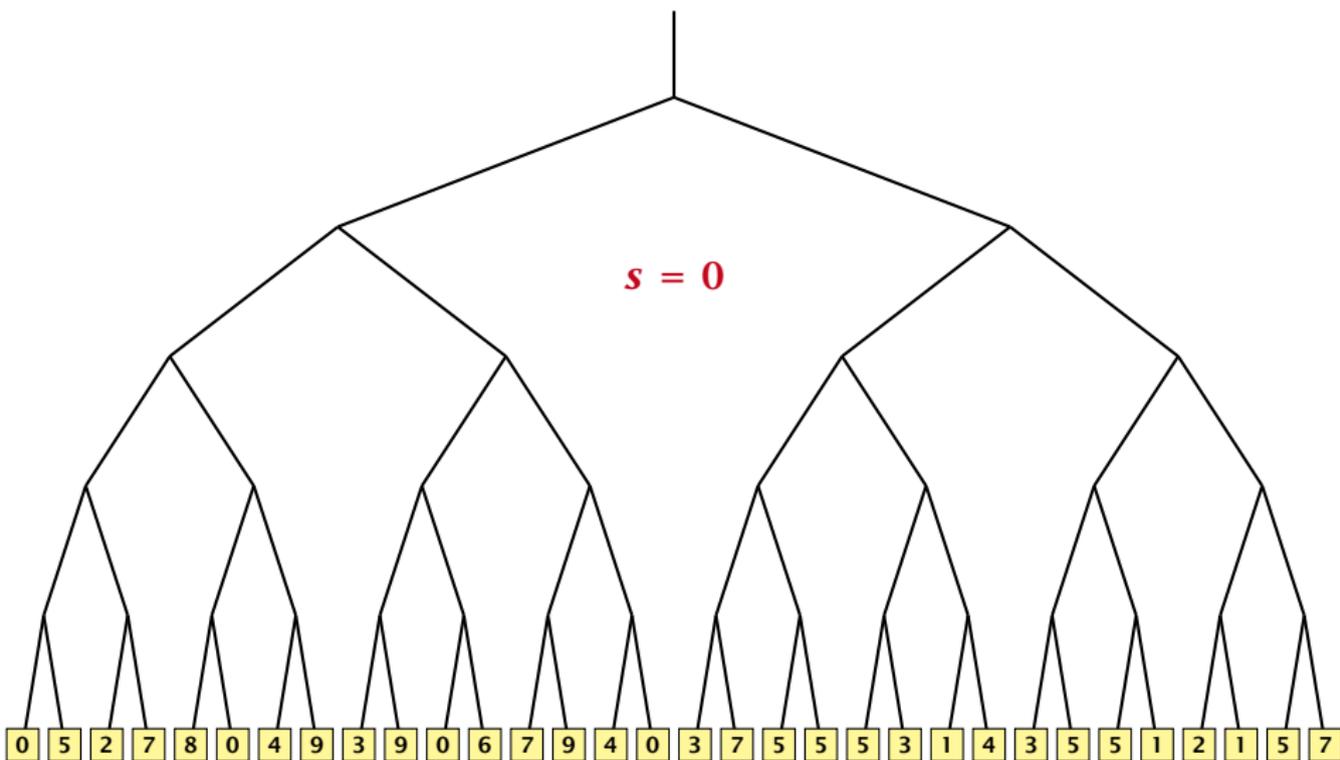
Pipelined Mergesort

Algorithm 11 ColeSort()

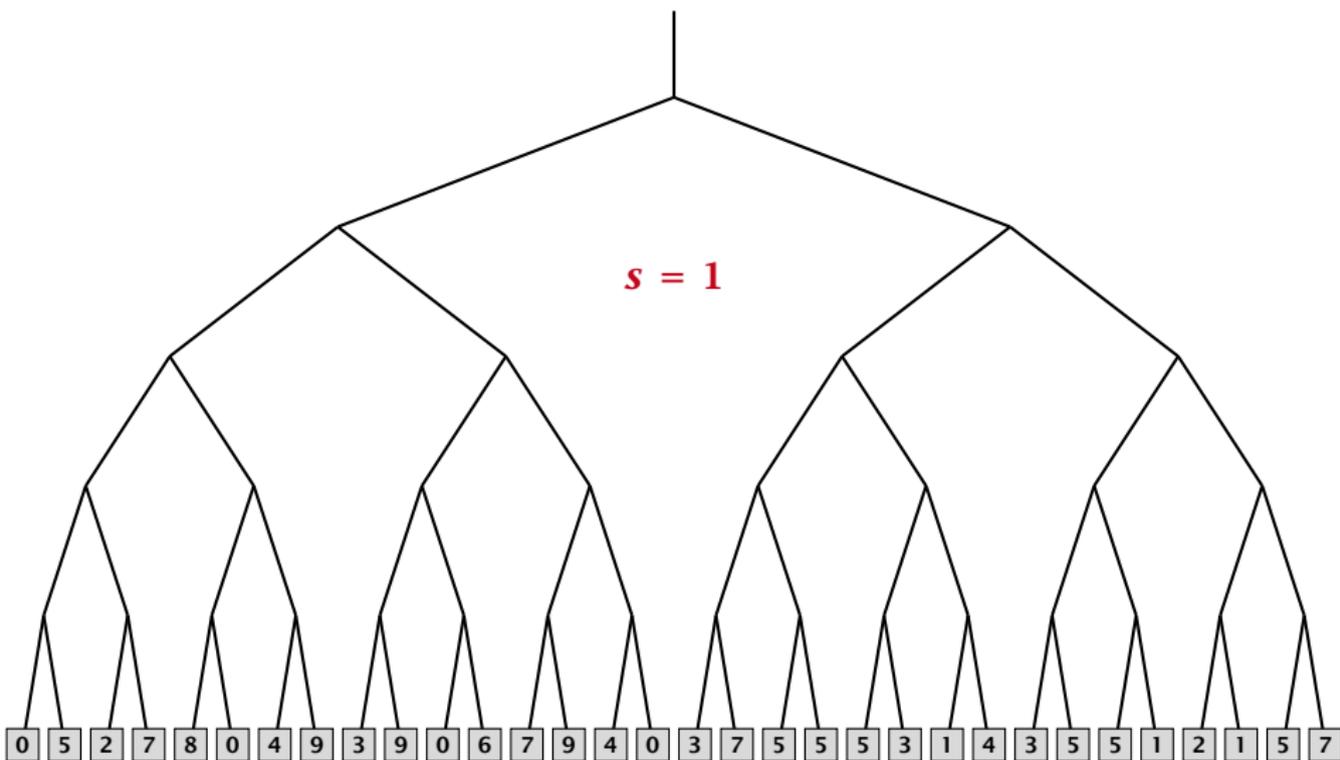
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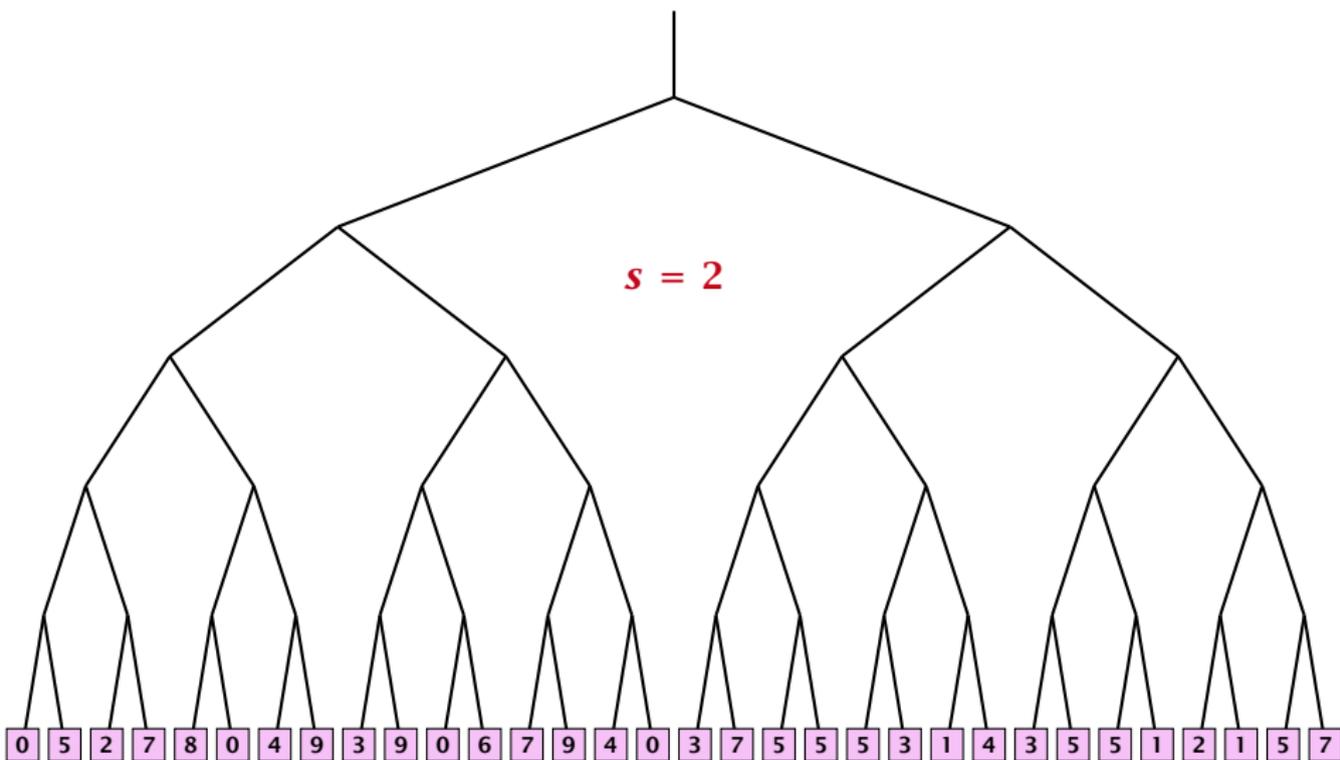
Colesort



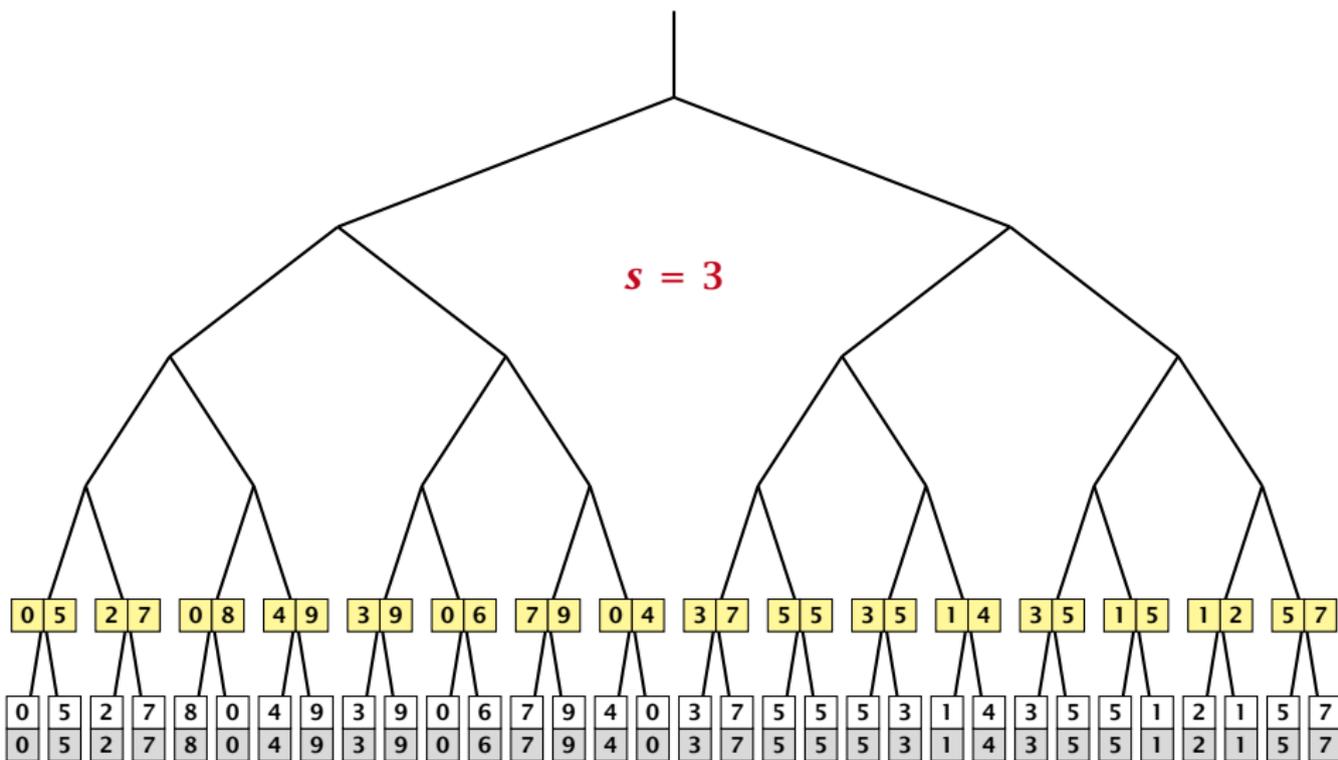
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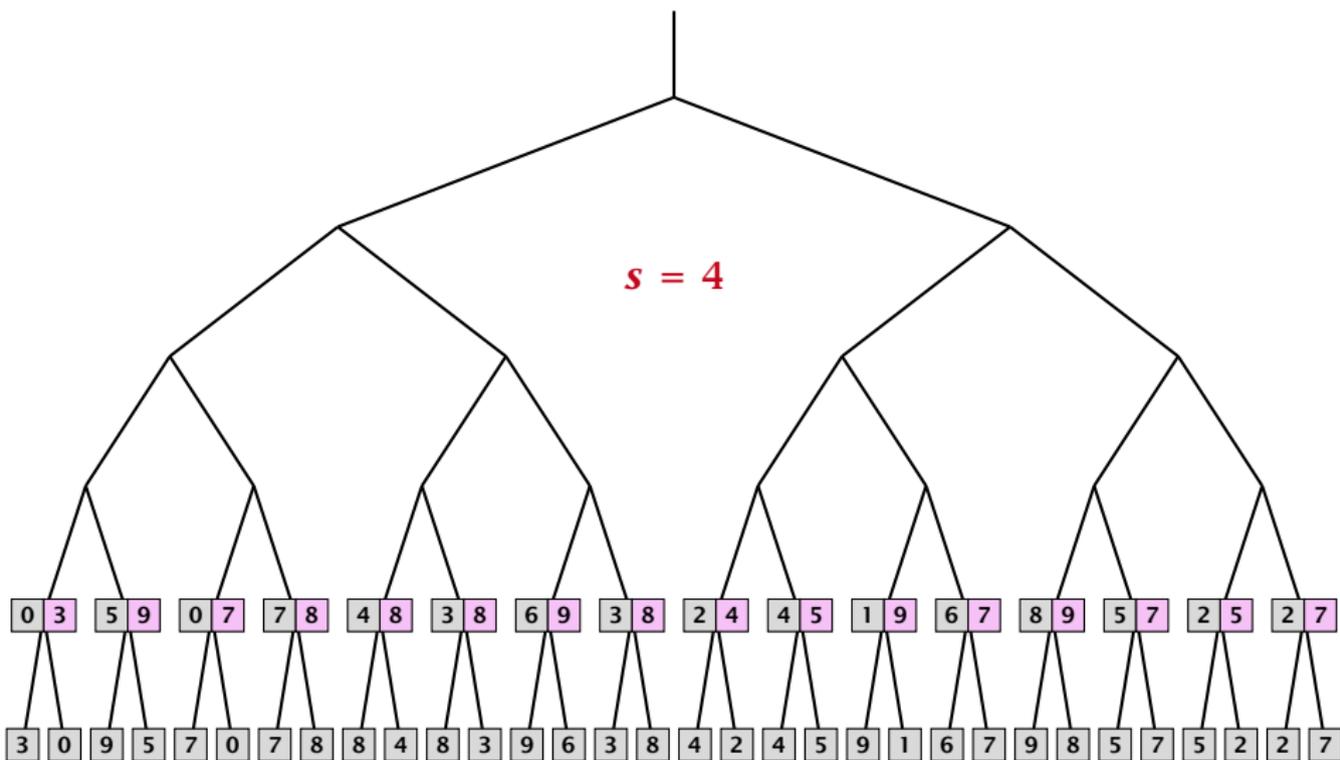
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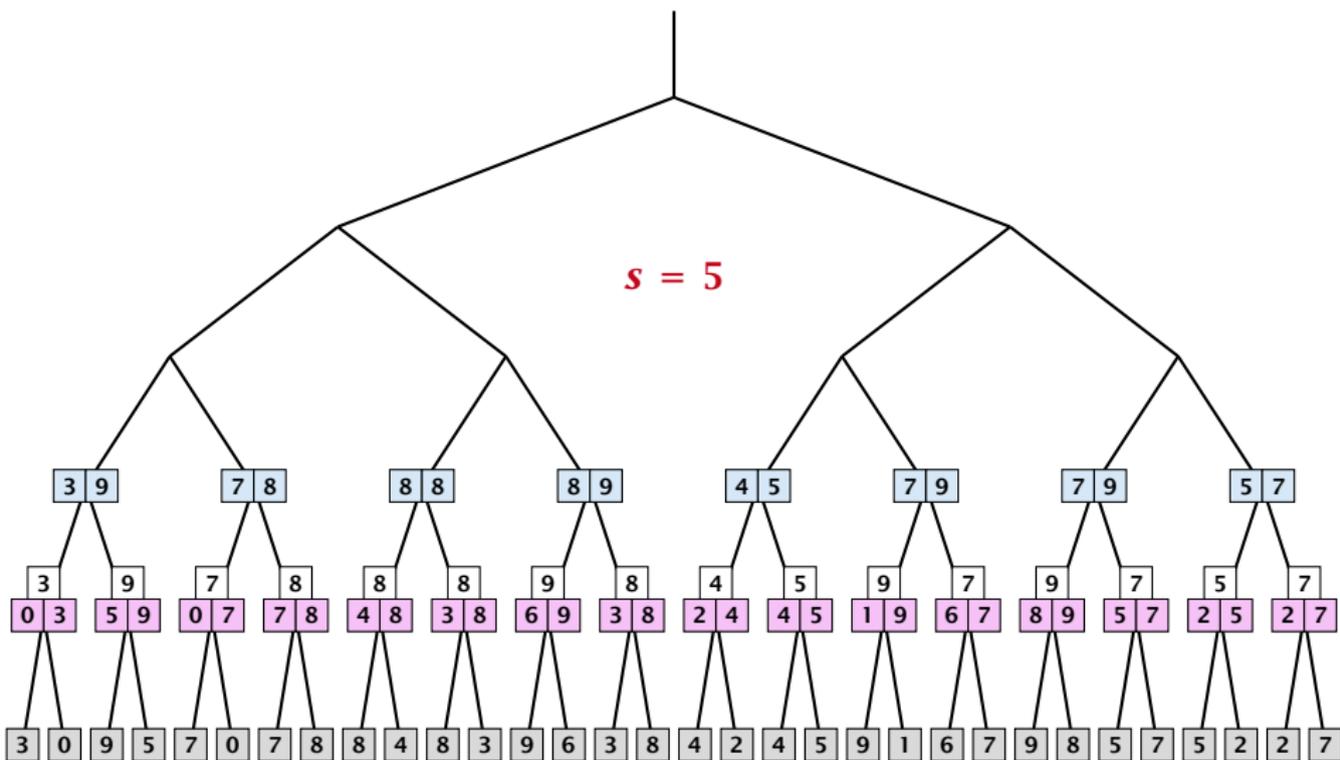
ColeSORT



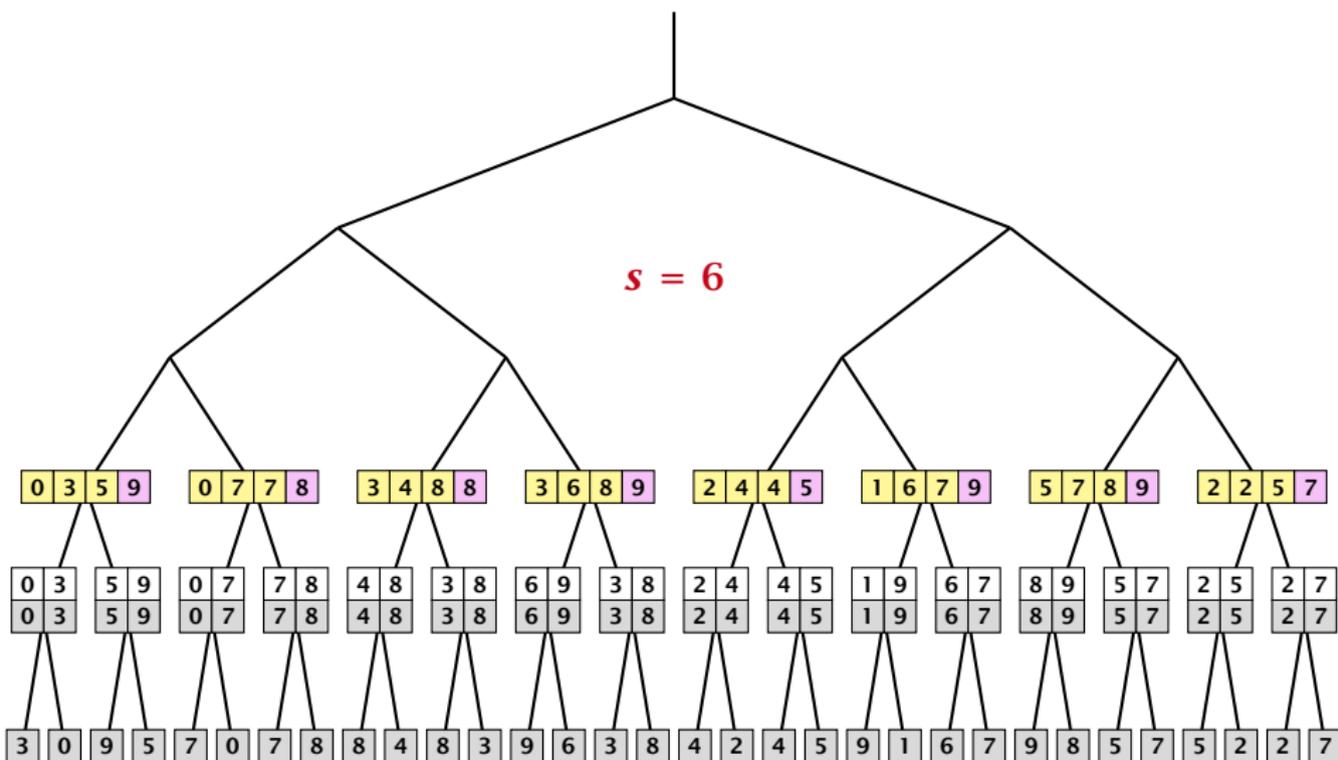
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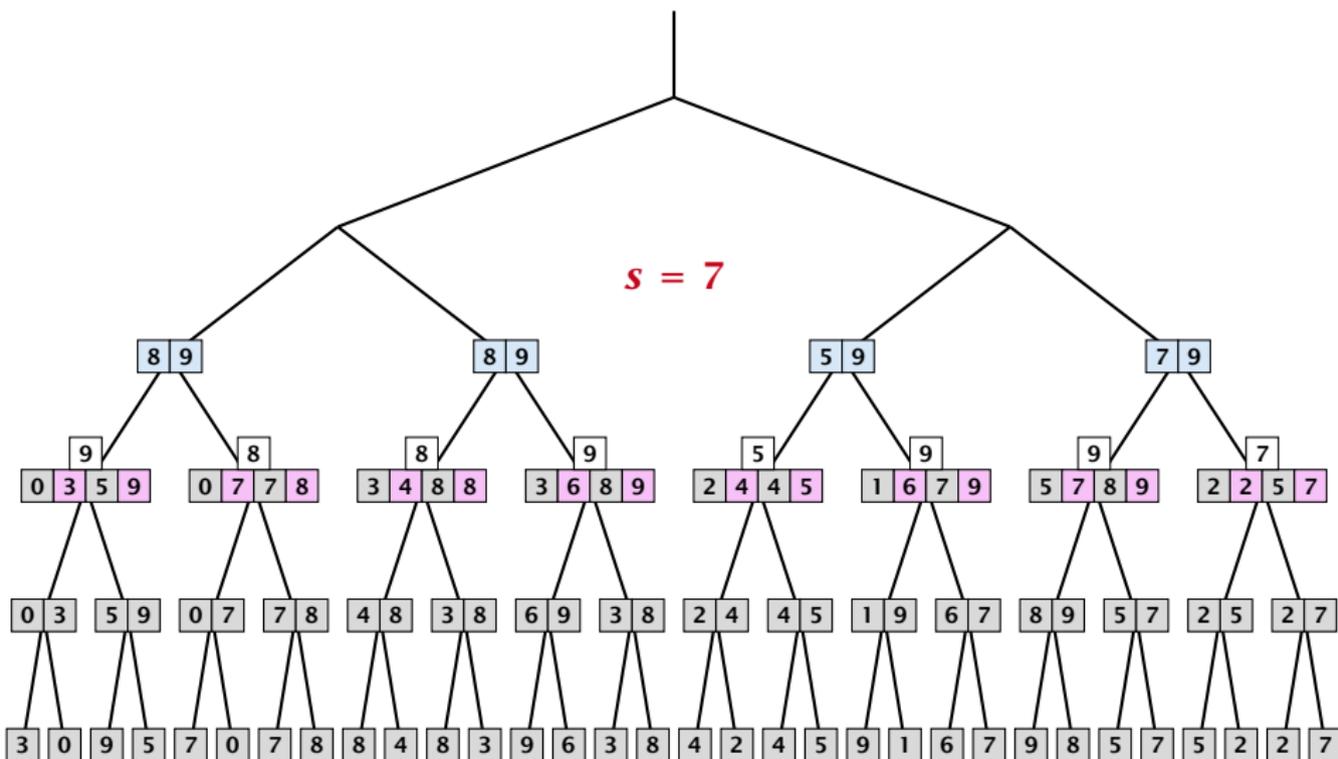
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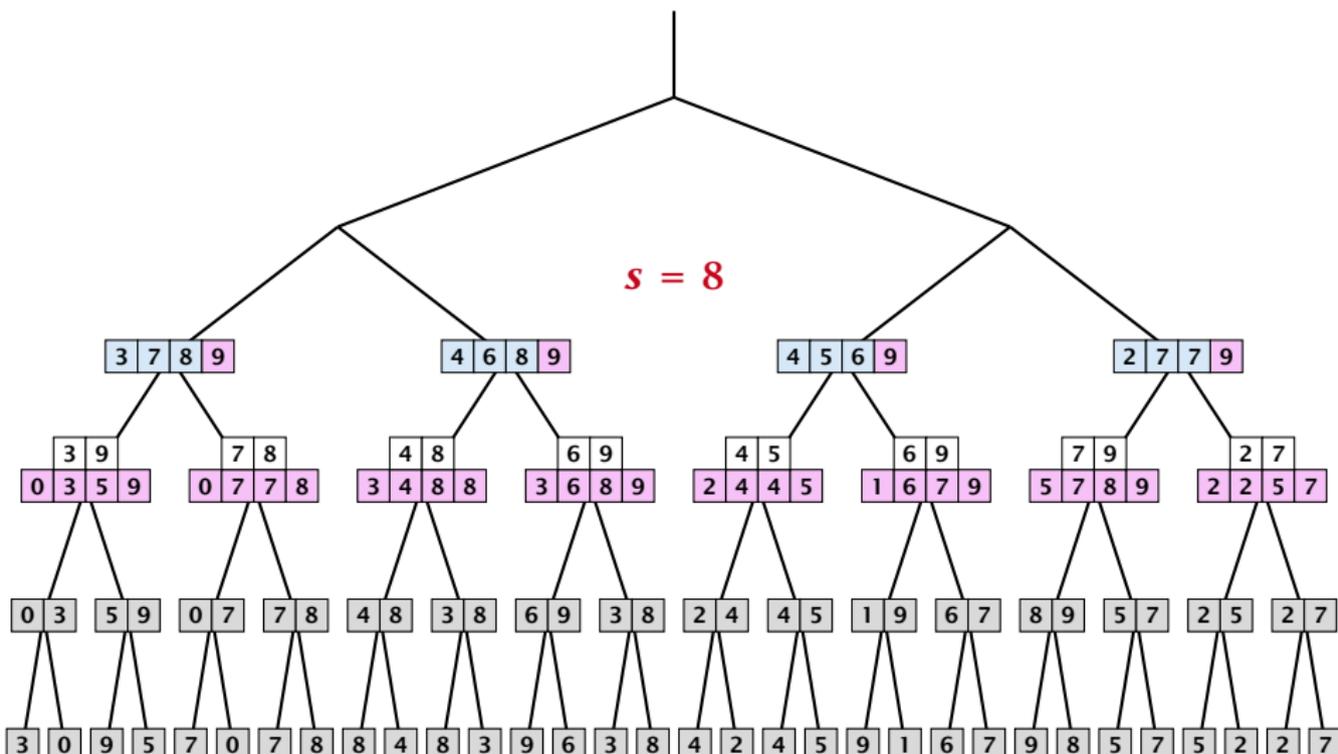
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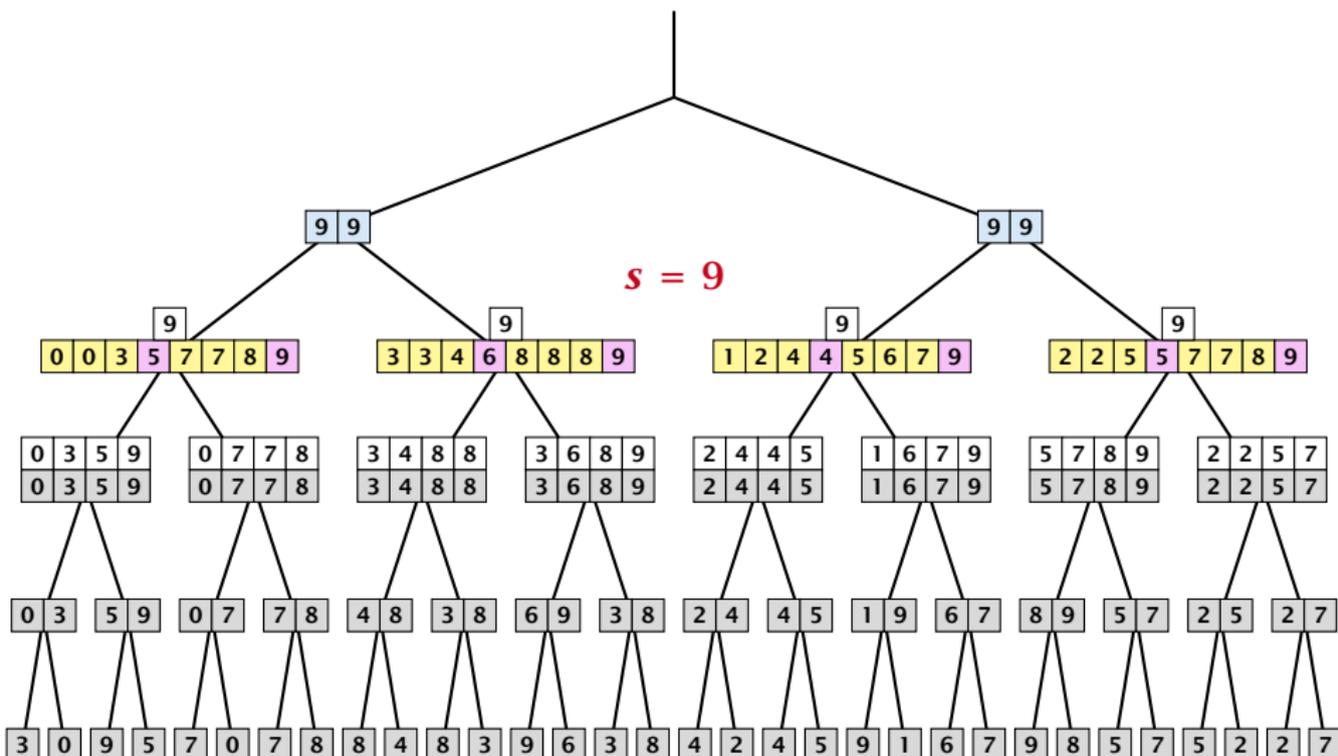
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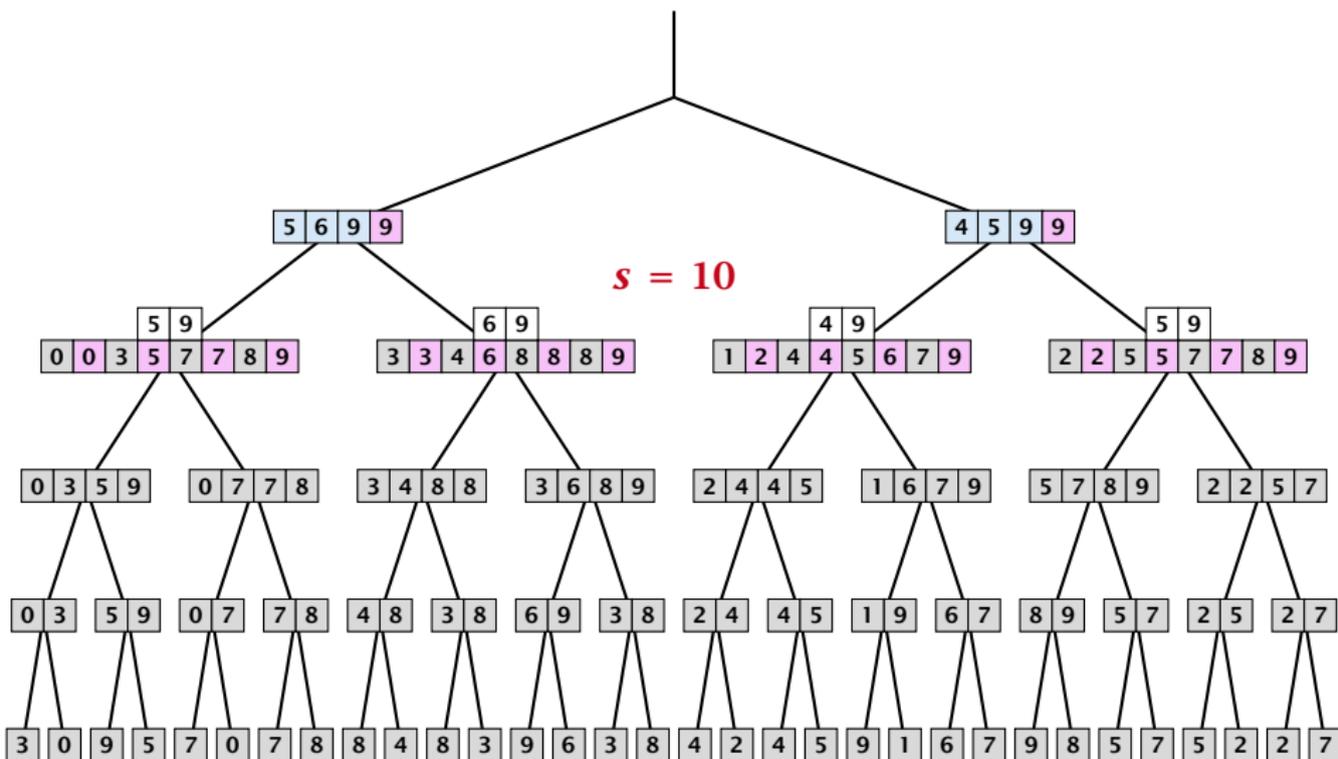
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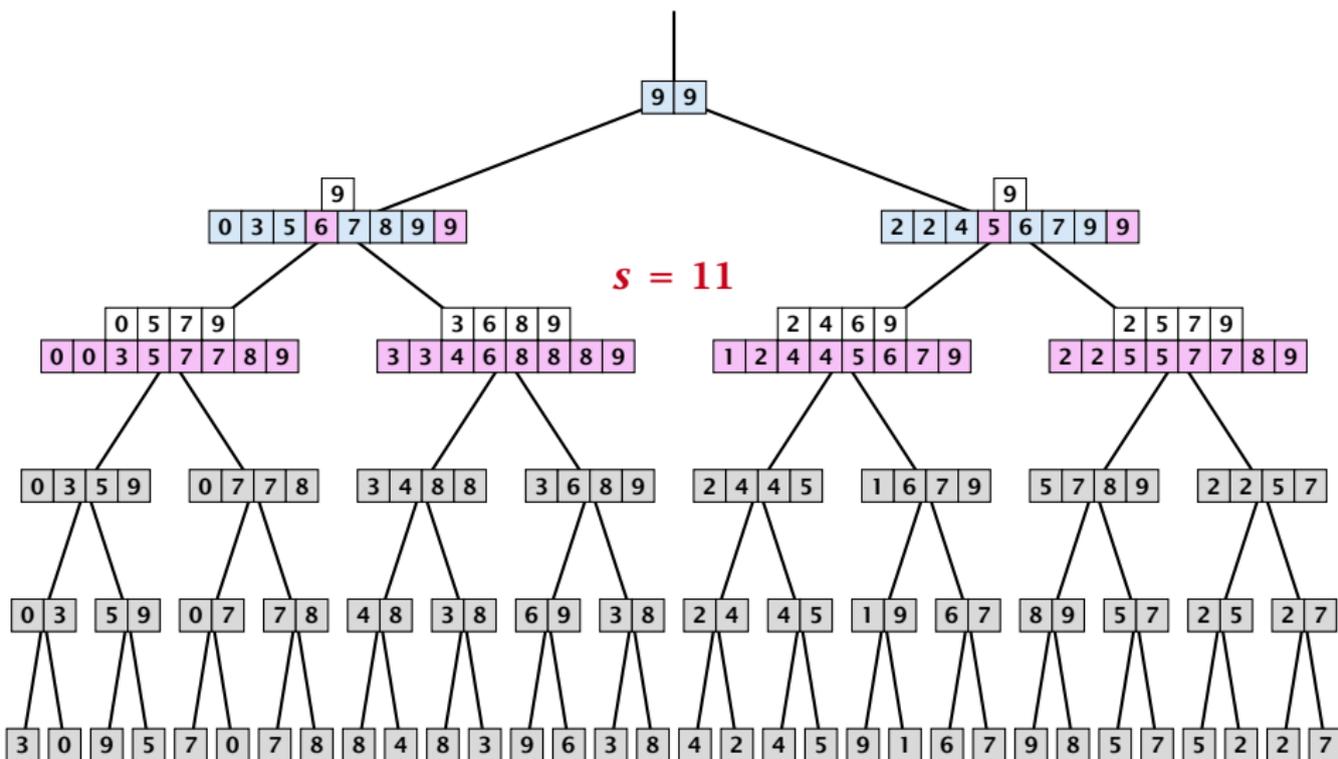
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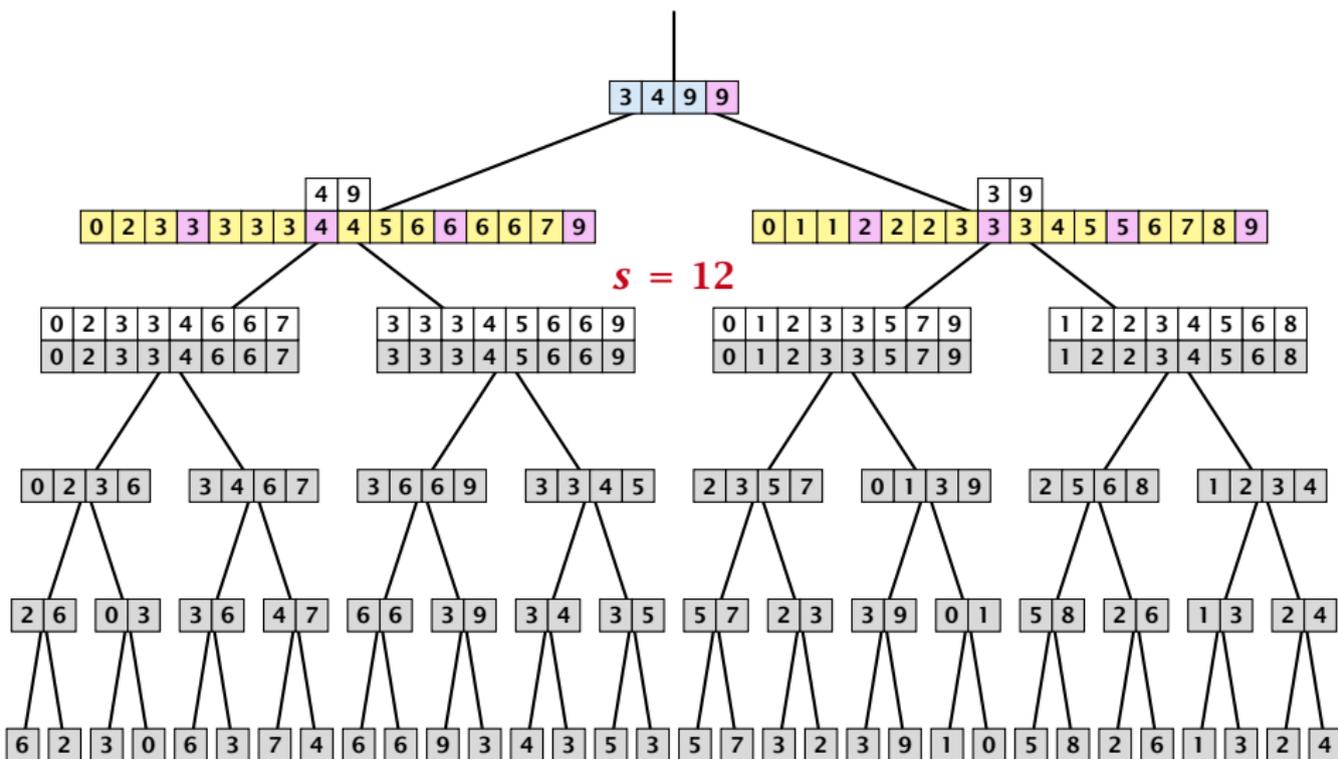
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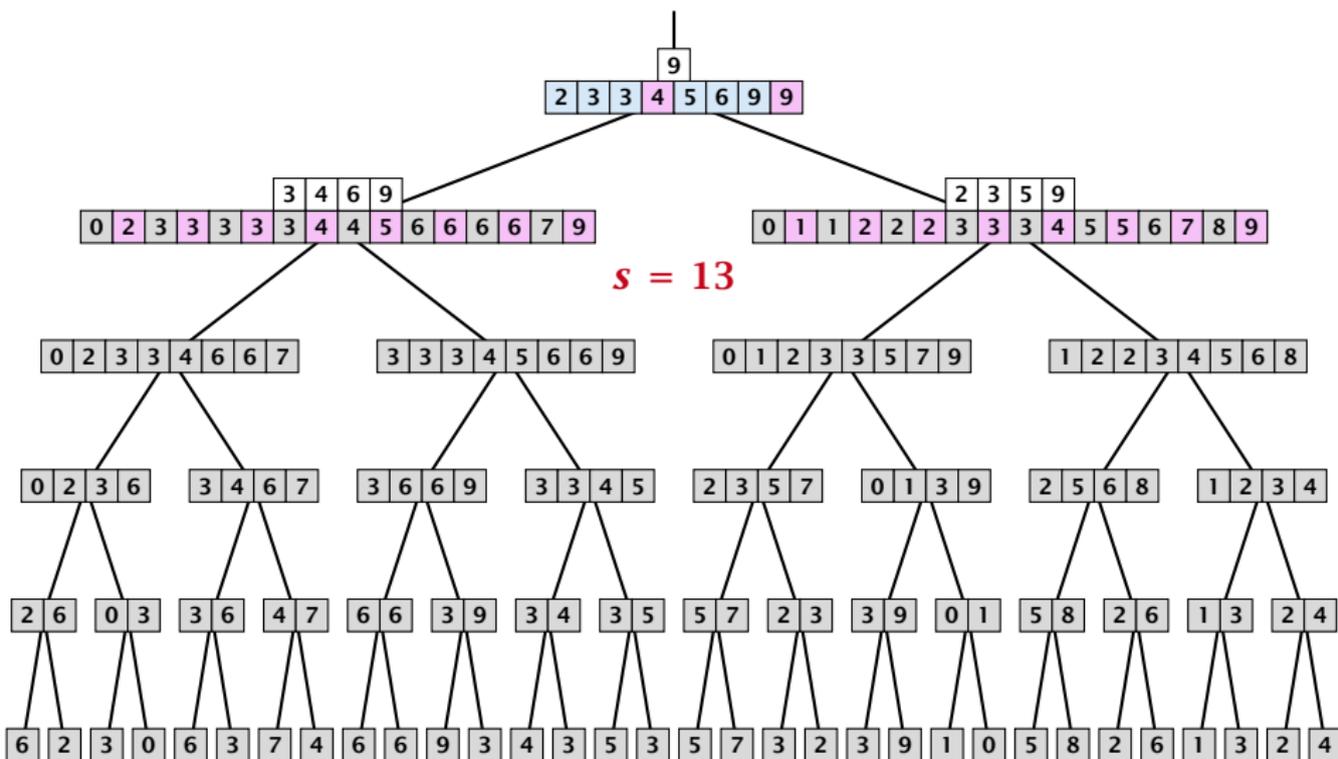
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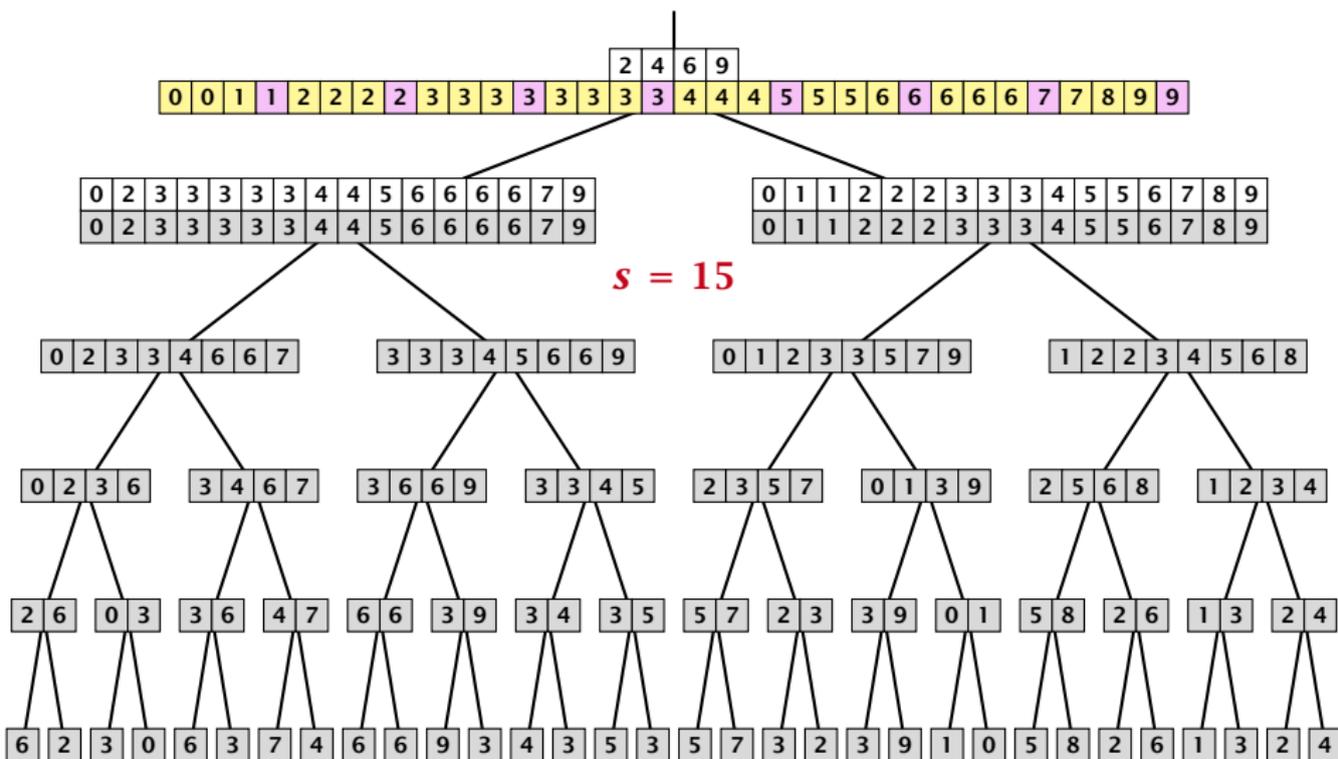
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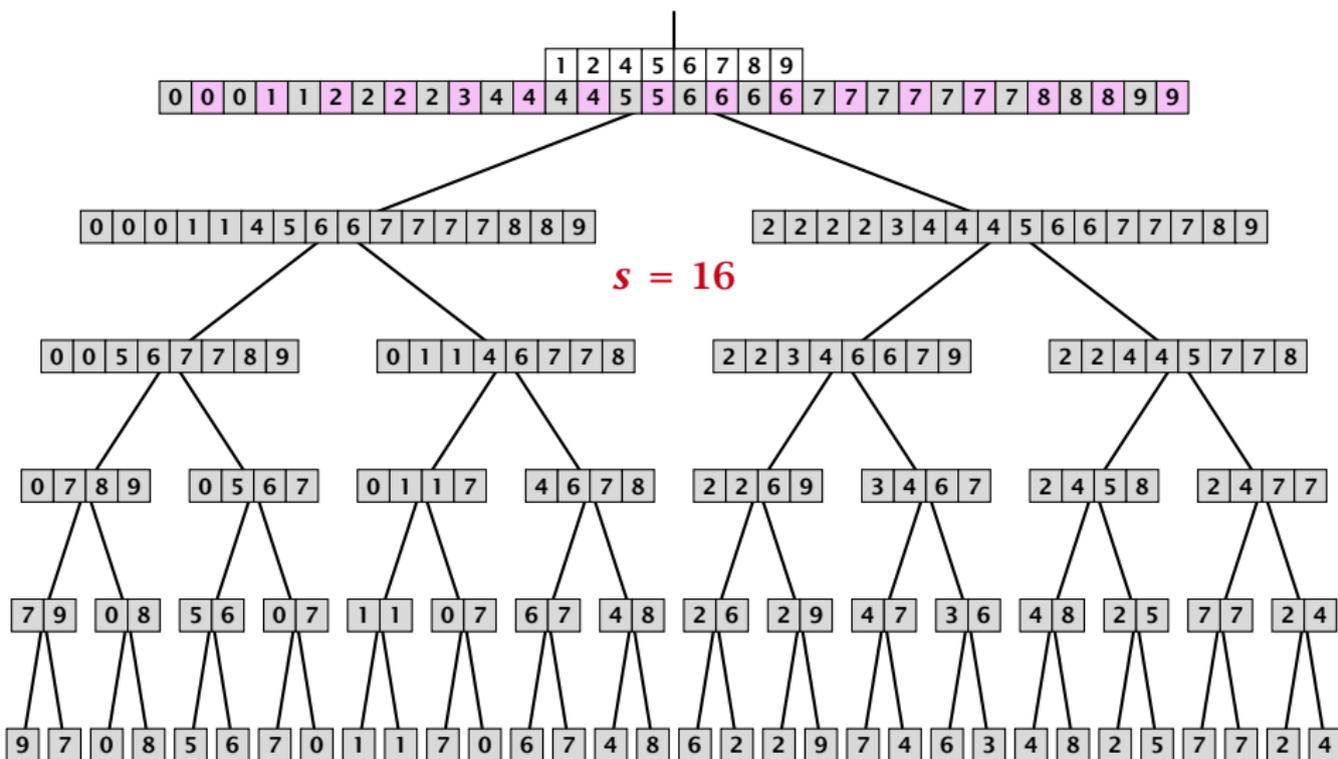
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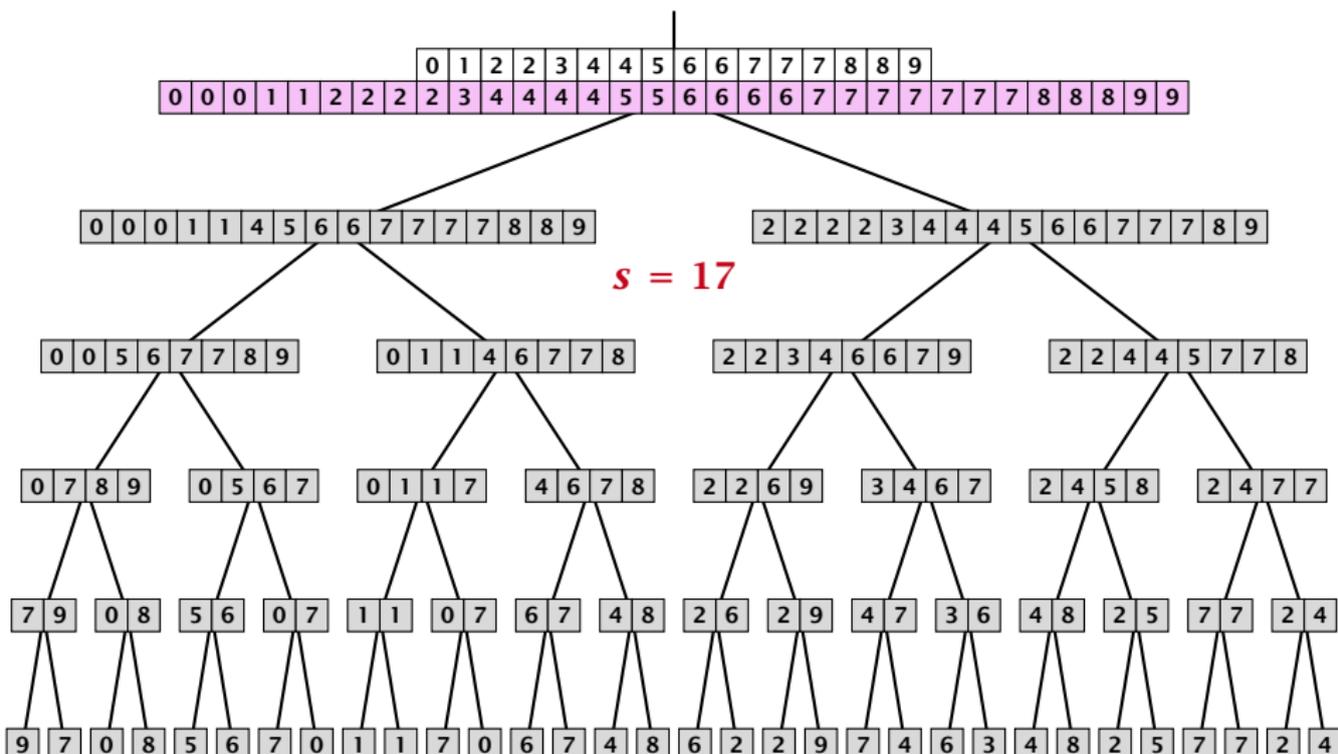
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Colesort



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Pipelined Mergesort

Lemma 18

After round $s = 3 \text{ height}(v)$, the list $L_s[v]$ is complete.

Proof:

[Faint, illegible text, likely bleed-through from the reverse side of the slide]

Pipelined Mergesort

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After round $s = 3 \text{ height}(v)$, the list $L_s[v]$ is complete.

Proof:

- ▶ clearly true for leaf nodes
- ▶ suppose it is true for all nodes up to height h ;
- ▶ fix a node v on level $h + 1$ with children u and w
- ▶ $L_{3h}[u]$ and $L_{3h}[w]$ are complete by induction hypothesis
- ▶ further $\text{sample}(L_{3h+2}[u]) = L[u]$ and $\text{sample}(L_{3h+2}[v]) = L[v]$
- ▶ hence in round $3h + 3$ node v will merge the complete list of its children; after the round $L[v]$ will be complete

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Pipelined Mergesort

Lemma 19

The number of elements in lists $L_S[v]$ for active nodes v is at most $\mathcal{O}(n)$.

proof on board...

Definition 20

A sequence X is a **c -cover** of a sequence Y if for any two consecutive elements α, β from $(-\infty, X, \infty)$ the set $|\{y_i \mid \alpha \leq y_i \leq \beta\}| \leq c$.

Pipelined Mergesort

Lemma 21

$L'_s[v]$ is a 4-cover of $L'_{s+1}[v]$.

If $[a, b]$ with $a, b \in L'_s[v] \cup \{-\infty, \infty\}$ fulfills
 $|[a, b] \cap (L'_s[v] \cup \{-\infty, \infty\})| = k$ we say $[a, b]$ intersects
 $(-\infty, L'_s[v], +\infty)$ in k items.

Lemma 22

If $[a, b]$ intersects $(-\infty, L'_s[v], \infty)$ in $k \geq 2$ items, then $[a, b]$
intersects $(-\infty, L'_{s+1}, \infty)$ in at most $2k$ items.

Pipelined Mergesort

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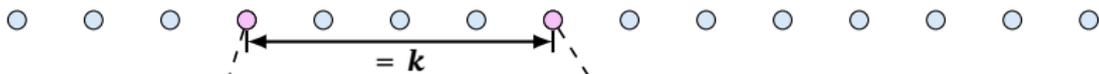
$L'_s[\mathbf{v}]$



$L'_s[\mathbf{v}]$



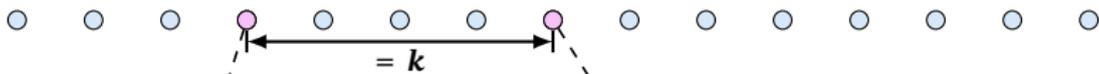
$L'_s[v]$



$L_{s-1}[v]$



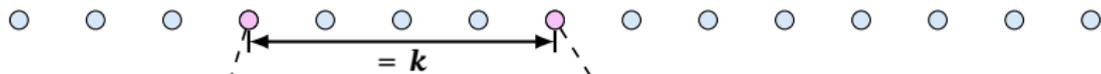
$L'_s[v]$



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$L'_s[v]$

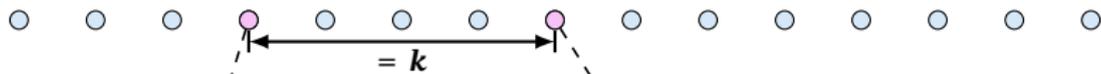


$L_{s-1}[v]$



$$p + q \leq 4k - 1$$

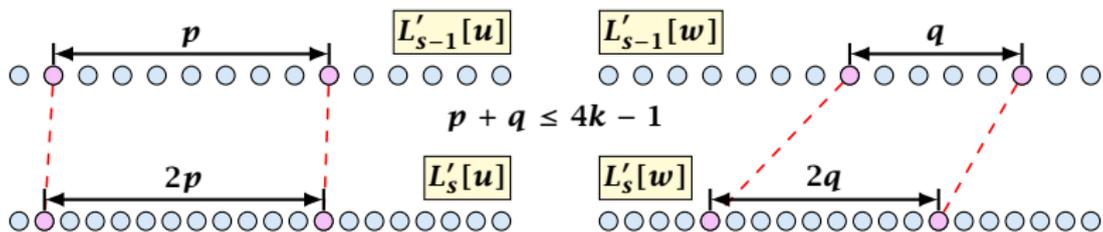
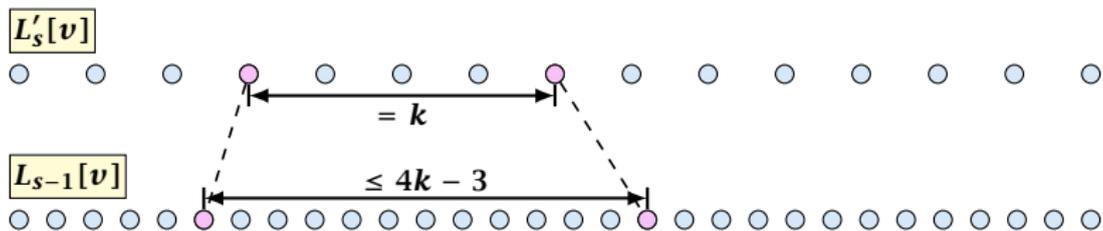
$L'_s[v]$

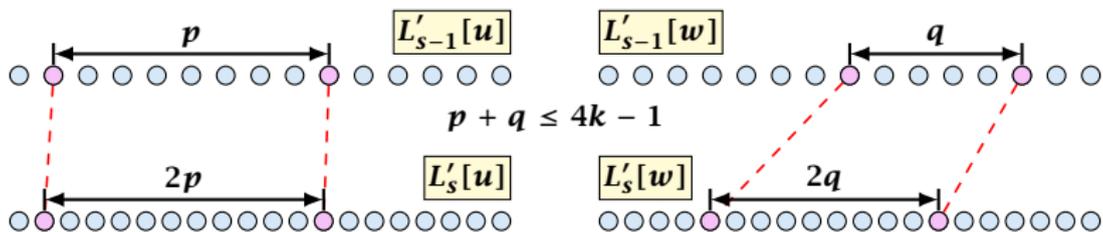
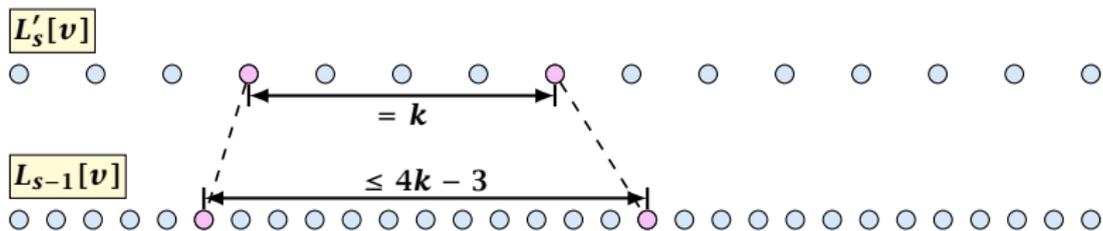


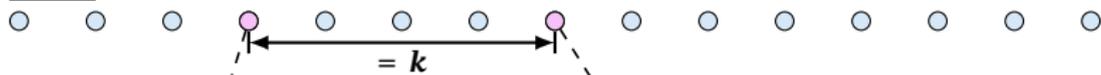
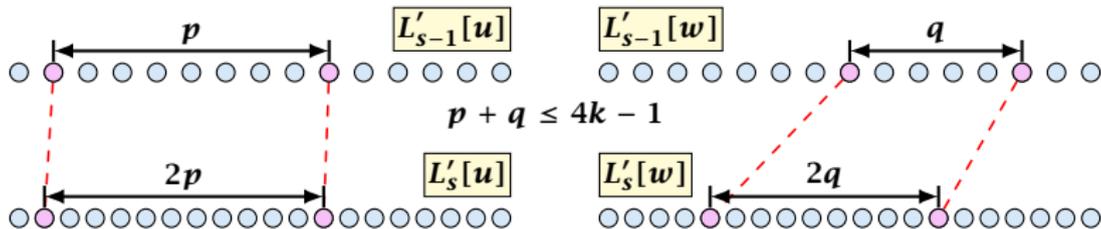
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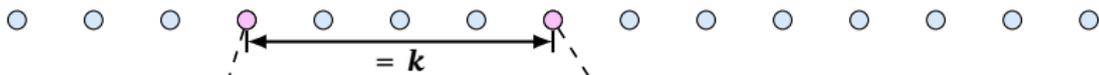
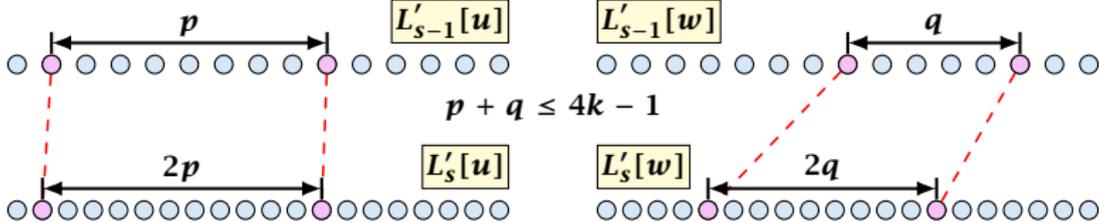


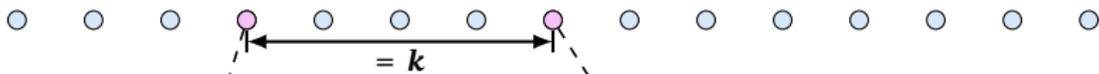
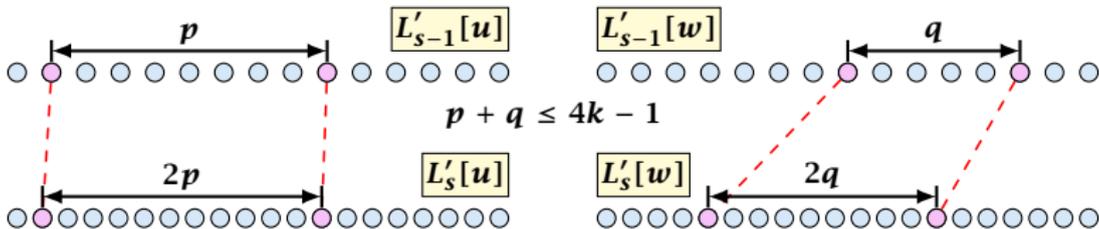
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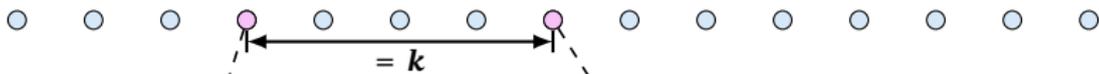
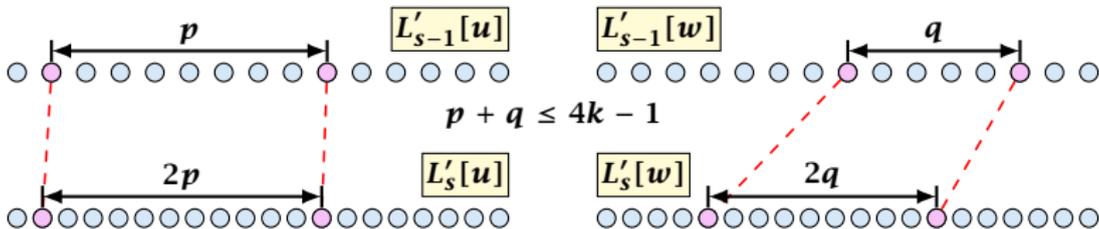


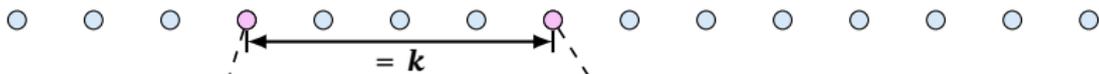


$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$ 

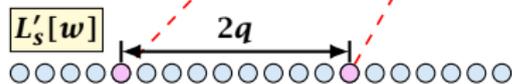
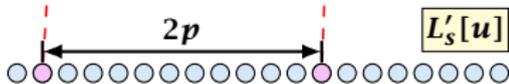
$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$ 

$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$  $L'_{s+1}[v]$ 

$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$  $L'_{s+1}[v]$ 

$L'_s[v]$  $L_{s-1}[v]$  $L'_{s-1}[u]$ $L'_{s-1}[w]$

$$p + q \leq 4k - 1$$

 $L_s[v]$  $L'_{s+1}[v]$ 

Merging with a Cover

Lemma 23

Given two sorted sequences A and B . Let X be a c -cover of A and B for constant c , and let $\text{rank}(X : A)$ and $\text{rank}(X : B)$ be known.

We can merge A and B in time $\mathcal{O}(1)$ using $\mathcal{O}(|X|)$ operations.

Merging with a Cover

Lemma 24

Given two sorted sequences A and B . Let X be a c -cover of A for constant c , and let $\text{rank}(X : A)$ and $\text{rank}(X : B)$ be known.

We can merge A and B in time $\mathcal{O}(1)$ using $\mathcal{O}(|X| + |B|)$ operations; this means we can compute $\text{rank}(A : B)$ and $\text{rank}(B : A)$.

In order to do the merge in iteration $s + 1$ in constant time we need to know

$$\text{rank}(L_s[v] : L'_{s+1}[u]) \text{ and } \text{rank}(L_s[v] : L'_{s+1}[v])$$

and we need to know that $L_s[v]$ is a 4-cover of $L'_{s+1}[u]$ and $L'_{s+1}[v]$.

Lemma 25

$L_s[v]$ is a 4-cover of $L'_{s+1}[u]$ and $L'_{s+1}[v]$.

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- ▶ $L_s[v] \supseteq L'_s[u], L'_s[u]$
- ▶ $L'_s[u]$ is 4-cover of $L'_{s+1}[u]$
- ▶ Hence, $L_s[v]$ is 4-cover of $L'_{s+1}[u]$ as adding more elements cannot destroy the cover-property.

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- ▶ Hence, $L_S[v]$ is 4-cover of $L'_{S+1}[u]$ as adding more elements cannot destroy the cover-property.

Analysis

Lemma 26

Suppose we know for every internal node v with children u and w

- ▶ $\text{rank}(L'_s[v] : L'_{s+1}[v])$
- ▶ $\text{rank}(L'_s[u] : L'_s[w])$
- ▶ $\text{rank}(L'_s[w] : L'_s[u])$

We can compute

- ▶ $\text{rank}(L'_{s+1}[v] : L'_{s+2}[v])$
- ▶ $\text{rank}(L'_{s+1}[u] : L'_{s+1}[w])$
- ▶ $\text{rank}(L'_{s+1}[w] : L'_{s+1}[u])$

in constant time and $\mathcal{O}(|L_{s+1}[v]|)$ operations, where v is the parent of u and w .

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_s[w])$
- ▶ $\text{rank}(L'_s[w] : L'_s[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute

- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$

Compute

- ▶ $\text{rank}(L'_{s+1}[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_{s+1}[u] : L'_{s+1}[w])$

ranks between siblings can be computed easily

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute (recall that $L_s[v] = \text{merge}(L'_s[u], L'_s[w])$)

- ▶ $\text{rank}(L_s[v] : L'_{s+1}[u])$
- ▶ $\text{rank}(L_s[v] : L'_{s+1}[w])$

Compute

- ▶ $\text{rank}(L_s[v] : L_{s+1}[v])$ (by adding)
- ▶ $\text{rank}(L'_{s+1}[v] : L'_{s+2}[v])$ (by sampling)

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute (recall that $L_s[v] = \text{merge}(L'_s[u], L'_s[w])$)

- ▶ $\text{rank}(L_s[v] : L'_{s+1}[u])$
- ▶ $\text{rank}(L_s[v] : L'_{s+1}[w])$

Compute

- ▶ $\text{rank}(L_s[v] : L_{s+1}[v])$ (by adding)
- ▶ $\text{rank}(L'_{s+1}[v] : L'_{s+2}[v])$ (by sampling)

Definition 27

A 0-1 sequence S is **bitonic** if it can be written as the concatenation of subsequences S_1 and S_2 such that either

- ▶ S_1 is monotonically increasing and S_2 monotonically decreasing, or
- ▶ S_1 is monotonically decreasing and S_2 monotonically increasing.

Note, that this just defines **bitonic 0-1 sequences**. Bitonic sequences are defined differently.

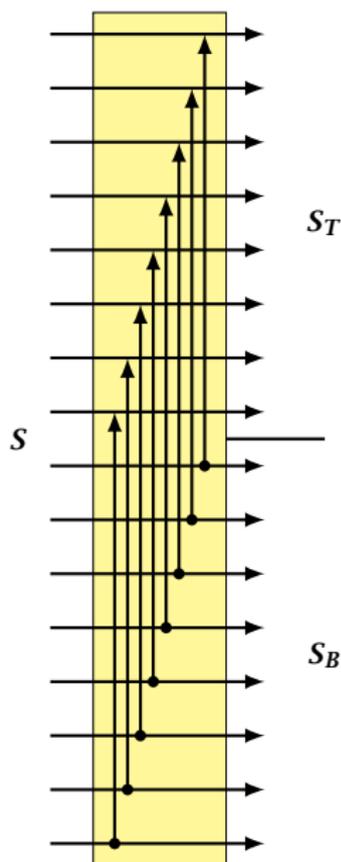
Bitonic Merger

If we feed a bitonic 0-1 sequence S into the network on the right we obtain two bitonic sequences S_T and S_B s.t.

1. $S_B \leq S_T$ (element-wise)
2. S_B and S_T are bitonic

Proof:

- ▶ assume wlog. S more 1's than 0's.
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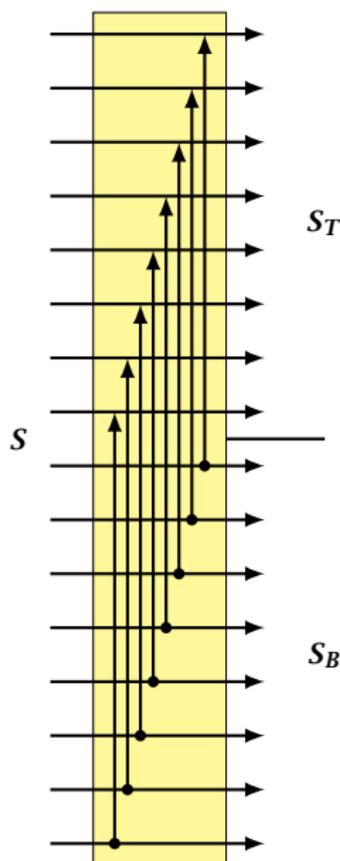
Bitonic Merger

If we feed a bitonic 0-1 sequence S into the network on the right we obtain two bitonic sequences S_T and S_B s.t.

1. $S_B \leq S_T$ (element-wise)
2. S_B and S_T are bitonic

Proof:

- ▶ assume wlog. S more 1's than 0's.
- ▶ assume for contradiction two 0s at same comparator ($i, j = i + 2^d$)
 - ▶ everything 0 btw i and j means we have more than 50% zeros (\neq).
 - ▶ all 1s btw. i and j means we have less than 50% ones (\neq).
 - ▶ 1 btw. i and j and elsewhere means S is not bitonic (\neq).



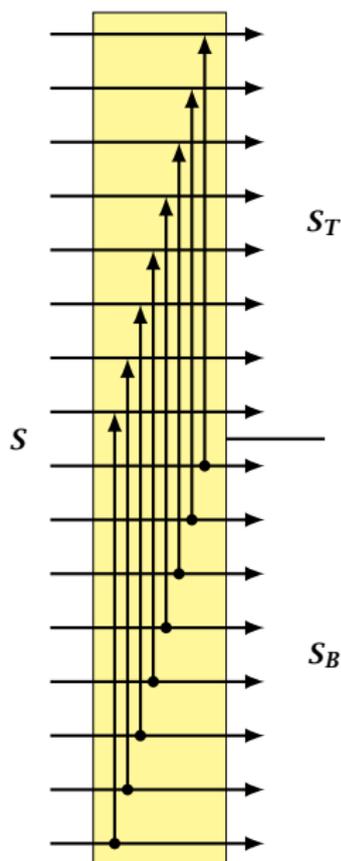
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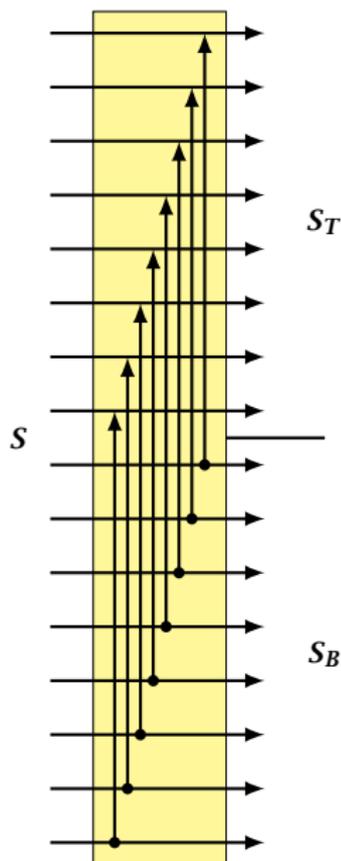
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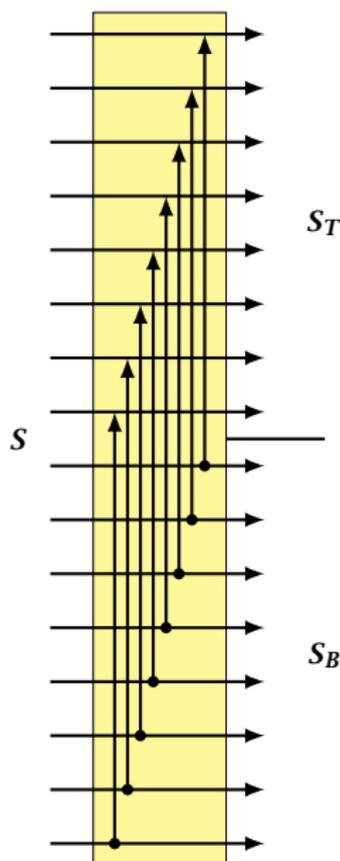
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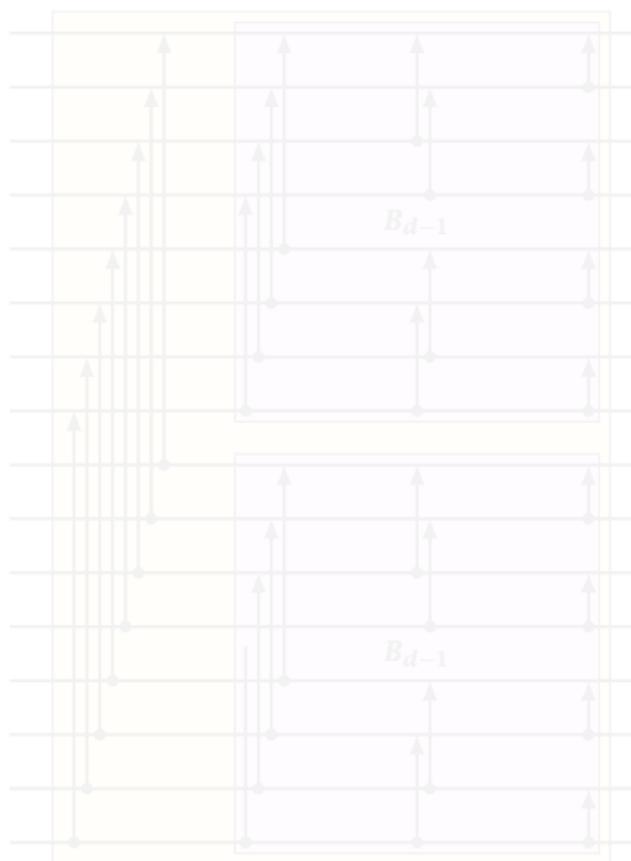
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Bitonic Merger B_d

The bitonic merger B_d of dimension d is constructed by combining two bitonic mergers of dimension $d - 1$.

If we feed a bitonic 0-1 sequence into this, the sequence will be sorted.

(actually, any bitonic sequence will be sorted, but we do not prove this)



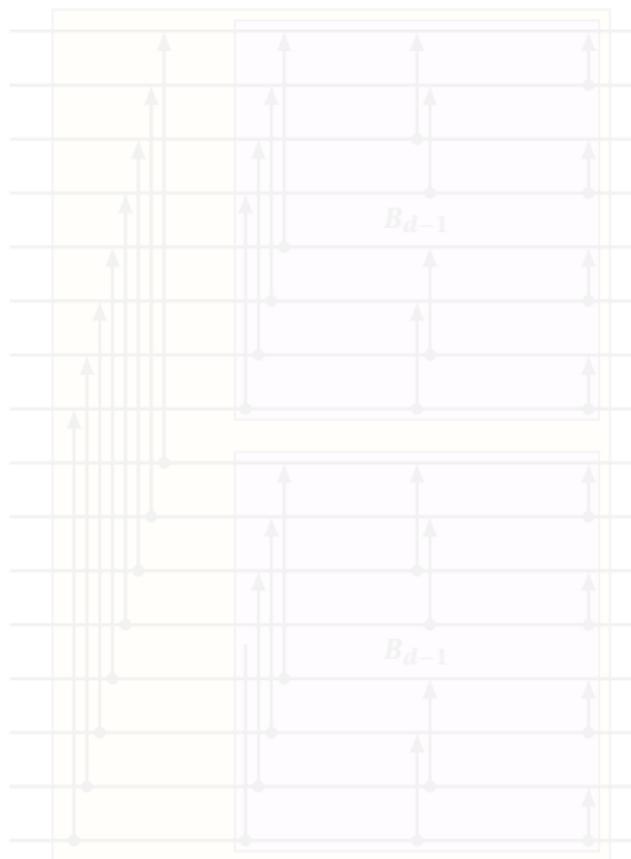
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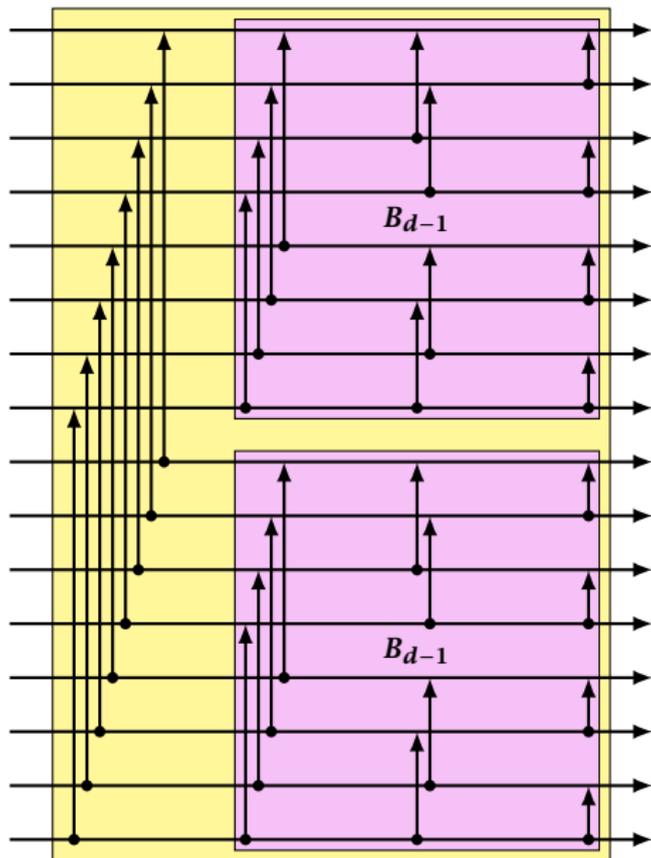
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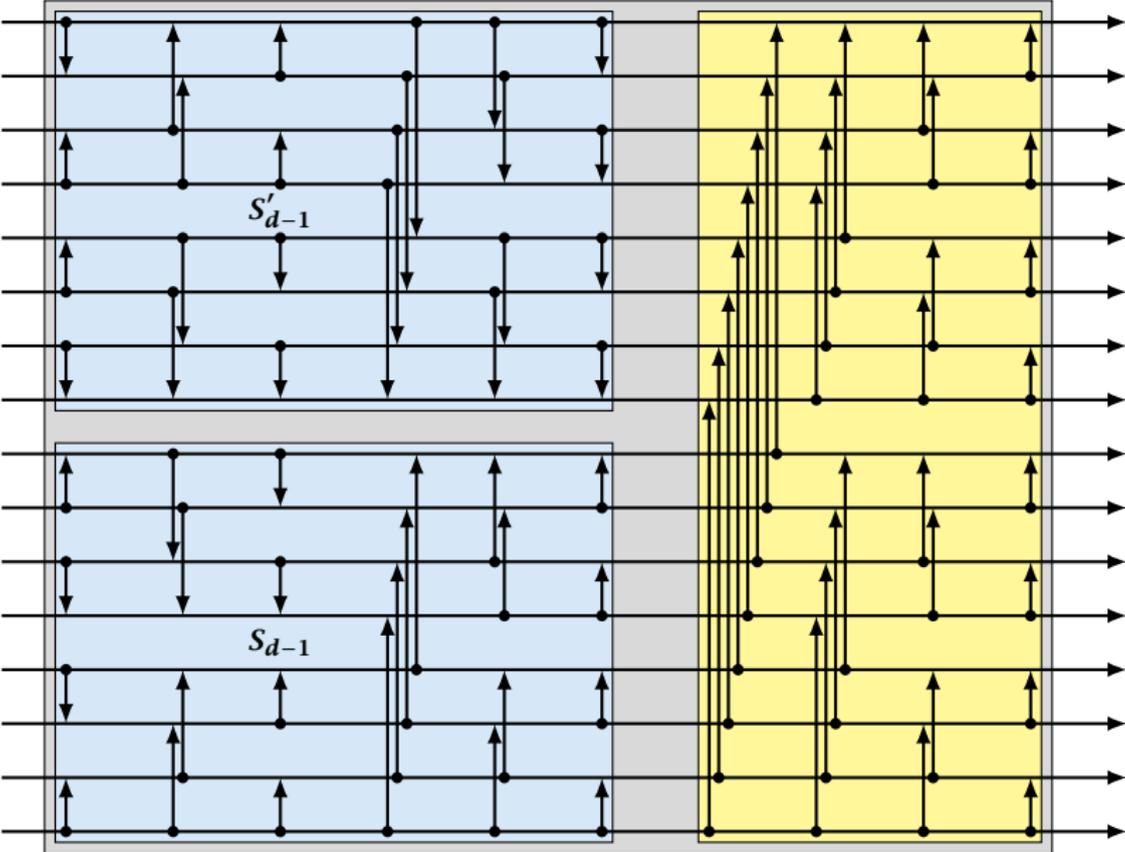
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Bitonic Sorter S_d



Bitonic Merger: ($n = 2^d$)

- ▶ comparators: $C(n) = 2C(n/2) + n/2 \Rightarrow C(n) = \mathcal{O}(n \log n)$.

$$\text{depth: } D(n) = D(n/2) + 1 \Rightarrow D(n) = \mathcal{O}(\log n).$$

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Odd-Even Merge

How to merge two sorted sequences?

$A = (a_1, a_2, \dots, a_n)$, $B = (b_1, b_2, \dots, b_n)$, n even.

Split into odd and even sequences:

$A_{\text{odd}} = (a_1, a_3, a_5, \dots, a_{n-1})$, $A_{\text{even}} = (a_2, a_4, a_6, \dots, a_n)$

$B_{\text{odd}} = (b_1, b_3, b_5, \dots, b_{n-1})$, $B_{\text{even}} = (b_2, b_4, b_6, \dots, b_n)$

Let

$X = \text{merge}(A_{\text{odd}}, B_{\text{odd}})$ and $Y = \text{merge}(A_{\text{even}}, B_{\text{even}})$

Then

$S = (x_1, \min\{x_2, y_1\}, \max\{x_2, y_1\}, \min\{x_3, y_2\}, \dots, y_n)$

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Theorem 28

There exists a sorting network with depth $\mathcal{O}(\log n)$ and $\mathcal{O}(n \log n)$ comparators.

Parallel Comparison Tree Model

A parallel comparison tree (with parallelism p) is a 3^p -ary tree.

- ▶ each internal node represents a set of p comparisons btw. p pairs (not necessarily distinct)
- ▶ a leaf v corresponds to a unique permutation that is valid for all the comparisons on the path from the root to v
- ▶ the number of parallel steps is the height of the tree

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Comparison PRAM

A comparison PRAM is a PRAM where we can only compare the input elements;

- ▶ we cannot view them as strings
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A lower bound for the comparison tree with parallelism p directly carries over to the comparison PRAM with p processors.

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A Lower Bound for Searching

Theorem 29

Given a sorted table X of n elements and an element y . Searching for y in X requires $\Omega\left(\frac{\log n}{\log(p+1)}\right)$ steps in the parallel comparison tree with parallelism $p < n$.

A Lower Bound for Maximum

Theorem 30

A graph G with m edges and n vertices has an independent set on at least $\frac{n^2}{2m+n}$ vertices.

base case ($n = 1$)

- ▶ The only graph with one vertex has $m = 0$, and an independent set of size 1.

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induction step ($1, \dots, n \rightarrow n + 1$)

- ▶ Let G be a graph with $n + 1$ vertices, and v a node with minimum degree (d).
- ▶ Let G' be the graph after deleting v and its adjacent vertices in G .
- ▶ $n' = n - (d + 1)$
- ▶ $m' \leq m - \frac{d}{2}(d + 1)$ as we remove $d + 1$ vertices, each with degree at least d
- ▶ In G' there is an independent set of size $((n')^2 / (2m' + n'))$.
- ▶ By adding v we obtain an independent set of size

$$1 + \frac{(n')^2}{2m' + n'} \geq \frac{n^2}{2m + n}$$

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A Lower Bound for Maximum

Theorem 31

Computing the maximum of n elements in the comparison tree requires $\Omega(\log \log n)$ steps whenever the degree of parallelism is $p \leq n$.

Theorem 32

Computing the maximum of n elements requires $\Omega(\log \log n)$ steps on the comparison PRAM with n processors.

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Computing the maximum of n elements requires $\Omega(\log \log n)$ steps on the comparison PRAM with n processors.

An adversary can specify the input such that at the end of the $(i + 1)$ -st step the maximum lies in a set C_{i+1} of size s_{i+1} such that

- ▶ no two elements of C_{i+1} have been compared

- ▶ $s_{i+1} \geq \frac{s_i^2}{2p+c_i}$

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Theorem 33

The selection problem requires $\Omega(\log n / \log \log n)$ steps on a comparison PRAM.

not proven yet

A Lower Bound for Merging

The (k, s) -merging problem, asks to merge k pairs of subsequences A^1, \dots, A^k and B^1, \dots, B^k where we know that all elements in $A^i \cup B^i$ are smaller than elements in $A^j \cup B^j$ for $(i < j)$.

A Lower Bound for Merging

Lemma 34

Suppose we are given a parallel comparison tree with parallelism p to solve the (k, s) merging problem. After the first step an adversary can specify the input such that an arbitrary (k', s') merging problem has to be solved, where

$$k' = \frac{3}{4}\sqrt{pk}$$

$$s' = \frac{s}{4}\sqrt{\frac{k}{p}}$$

A Lower Bound for Merging

Partition A^i s and B^i s into blocks of length roughly s/ℓ ; hence ℓ blocks.

Define an $\ell \times \ell$ binary matrix M^i , where M^i_{xy} is 0 iff the parallel step **did not** compare an element from A^i_x with an element from B^i_y .

The matrix has $2\ell - 1$ diagonals.

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Choose for every i the diagonal of M^i that has most zeros.

Pair all $A_{j+d_i}^i, B_j^i$, (where $d_i \in \{-(\ell - 1), \dots, \ell - 1\}$ specifies the chosen diagonal) for which the entry in M^i is zero.

We can choose value s.t. elements for the j -th pair along the diagonal are **all** smaller than for the $(j + 1)$ -th pair.

Hence, we get a (k', s') problem.

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Choose for every i the diagonal of M^i that has most zeros.

Pair all $A_{j+d_i}^i, B_j^i$, (where $d_i \in \{-(\ell - 1), \dots, \ell - 1\}$ specifies the chosen diagonal) for which the entry in M^i is zero.

We can choose value s.t. elements for the j -th pair along the diagonal are **all** smaller than for the $(j + 1)$ -th pair.

Hence, we get a (k', s') problem.

How many pairs do we have?

- ▶ there are $k\ell$ blocks in total
- ▶ there are $k \cdot \ell^2$ matrix entries in total
- ▶ there are at least $k \cdot \ell^2 - p$ zeros.
- ▶ choosing a random diagonal (same for every matrix M^i) hits at least

$$\frac{k\ell^2 - p}{2\ell - 1} \geq \frac{k\ell}{2} - \frac{p}{2\ell}$$

zeroes.

- ▶ Choosing $\ell = 2\sqrt{\frac{p}{k}}$ gives

$$k' \geq \frac{3}{4}\sqrt{pk} \text{ and } s' = \lfloor \frac{s}{\ell} \rfloor \geq \frac{s}{2\ell} = \frac{s}{4}\sqrt{\frac{k}{p}}$$

where we assume $\frac{s}{\ell} \geq 2$.

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where we assume $\frac{s}{\ell} \geq 2$.

Lemma 35

Let $T(k, s, p)$ be the number of parallel steps required on a comparison tree to solve the (k, s) merging problem. Then

$$T(k, p, s) \geq \frac{1}{4} \log \frac{\log \frac{p}{k}}{\log \frac{p}{ks}}$$

provided that $p \geq 2ks$ and $p \leq ks^2/4$

Induction Step:

Assume that

$$T(k', s', p) \geq \frac{1}{4} \log \frac{\log \frac{p}{k'}}{\log \frac{p}{k' s'}}$$

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$$\begin{aligned} T(k', s', p) &\geq \frac{1}{4} \log \frac{\log \frac{p}{k'}}{\log \frac{p}{k' s'}} \\ &\geq \frac{1}{4} \log \frac{\log \frac{4}{3} \sqrt{\frac{p}{k}}}{\log \frac{16}{3} \frac{p}{k s}} \\ &\geq \frac{1}{4} \log \frac{\frac{1}{2} \log \frac{p}{k}}{7 \log \frac{p}{k s}} \end{aligned}$$

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Assume that

$$\begin{aligned}T(k', s', p) &\geq \frac{1}{4} \log \frac{\log \frac{p}{k'}}{\log \frac{p}{k's'}} \\ &\geq \frac{1}{4} \log \frac{\log \frac{4}{3} \sqrt{\frac{p}{k}}}{\log \frac{16}{3} \frac{p}{ks}} \\ &\geq \frac{1}{4} \log \frac{\frac{1}{2} \log \frac{p}{k}}{7 \log \frac{p}{ks}} \\ &\geq \frac{1}{4} \log \frac{\log \frac{p}{k}}{\log \frac{p}{ks}} - 1\end{aligned}$$

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Assume that

$$\begin{aligned}T(k', s', p) &\geq \frac{1}{4} \log \frac{\log \frac{p}{k'}}{\log \frac{p}{k's'}} \\&\geq \frac{1}{4} \log \frac{\log \frac{4}{3} \sqrt{\frac{p}{k}}}{\log \frac{16}{3} \frac{p}{ks}} \\&\geq \frac{1}{4} \log \frac{\frac{1}{2} \log \frac{p}{k}}{7 \log \frac{p}{ks}} \\&\geq \frac{1}{4} \log \frac{\log \frac{p}{k}}{\log \frac{p}{ks}} - 1\end{aligned}$$

This gives the induction step.

Theorem 36

Merging requires at least $\Omega(\log \log n)$ time on a CRCW PRAM with n processors.

Simulations between PRAMs

Theorem 37

We can simulate a p -processor priority CRCW PRAM on a p -processor EREW PRAM with slowdown $\mathcal{O}(\log p)$.

Simulations between PRAMs

Theorem 38

We can simulate a p -processor priority CRCW PRAM on a $p \log p$ -processor common CRCW PRAM with slowdown $\mathcal{O}(1)$.

Simulations between PRAMs

Theorem 39

We can simulate a p -processor priority CRCW PRAM on a p -processor common CRCW PRAM with slowdown $\mathcal{O}\left(\frac{\log p}{\log \log p}\right)$.

Simulations between PRAMs

Theorem 40

We can simulate a p -processor priority CRCW PRAM on a p -processor arbitrary CRCW PRAM with slowdown $\mathcal{O}(\log \log p)$.

Lower Bounds for the CREW PRAM

Ideal PRAM:

- ▶ every processor has unbounded local memory
- ▶ in each step a processor reads a global variable
- ▶ then it does some (unbounded) computation on its local memory
- ▶ then it writes a global variable

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Lower Bounds for the CREW PRAM

Definition 41

An input index i **affects a memory location M** at time t on some input I if the content of M at time t differs between inputs I and $I(i)$ (i -th bit flipped).

$$L(M, t, I) = \{i \mid i \text{ affects } M \text{ at time } t \text{ on input } I\}$$

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Lower Bounds for the CREW PRAM

Definition 42

An input index i **affects a processor** P at time t on some input I if the state of P at time t differs between inputs I and $I(i)$ (i -th bit flipped).

$$K(P, t, I) = \{i \mid i \text{ affects } P \text{ at time } t \text{ on input } I\}$$

Lower Bounds for the CREW PRAM

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An input index i **affects a processor** P at time t on some input I if the state of P at time t differs between inputs I and $I(i)$ (i -th bit flipped).

$$K(P, t, I) = \{i \mid i \text{ affects } P \text{ at time } t \text{ on input } I\}$$

Lower Bounds for the CREW PRAM

Lemma 43

If $i \in K(P, t, I)$ with $t > 1$ then either

- ▶ $i \in K(P, t - 1, I)$, or*
- ▶ P reads a global memory location M on input I at time t , and $i \in L(M, t - 1, I)$.*

Lower Bounds for the CREW PRAM

Lemma 44

If $i \in L(M, t, I)$ with $t > 1$ then either

- ▶ *A processor writes into M at time t on input I and $i \in K(P, t, I)$, or*
- ▶ *No processor writes into M at time t on input I and
 - ▶ *either $i \in L(M, t - 1, I)$*
 - ▶ *or a processor P writes into M at time t on input $I(i)$.**

Let $k_0 = 0, \ell_0 = 1$ and define

$$k_{t+1} = k_t + \ell_t \text{ and } \ell_{t+1} = 3k_t + 4\ell_t$$

Lemma 45

$|K(P, t, I)| \leq k_t$ and $|L(M, t, I)| \leq \ell_t$ for any $t \geq 0$

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$|K(P, t, I)| \leq k_t$ and $|L(M, t, I)| \leq \ell_t$ for any $t \geq 0$

base case ($t = 0$):

- ▶ No index can influence the local memory/state of a processor before the first step (hence $|K(P, 0, I)| = k_0 = 0$).
- ▶ Initially every index in the input affects exactly one memory location. Hence $|L(M, 0, I)| = 1 = \ell_0$.

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- ▶ Initially every index in the input affects exactly one memory location. Hence $|L(M, 0, I)| = 1 = \ell_0$.

induction step ($t \rightarrow t + 1$):

$K(P, t + 1, I) \subseteq K(P, t, I) \cup L(M, t, I)$, where M is the location read by P in step $t + 1$.

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$$|K(P, t + 1, I)|$$

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$$\begin{aligned} |K(P, t + 1, I)| &\leq |K(P, t, I)| + |L(M, t, I)| \\ &\leq k_t + \ell_t \end{aligned}$$

induction step ($t \rightarrow t + 1$):

For the bound on $|L(M, t + 1, I)|$ we have two cases.

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A processor P writes into location M at time $t + 1$ on input I .

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Then,

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$$L(M, t + 1, I) \subseteq L(M, t, I) \cup Y(M, t + 1, I)$$

$Y(M, t + 1, I)$ is the set of indices u_j that cause some processor P_{w_j} to write into M at time $t + 1$ on input I .

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Fact:

For all pairs u_s, u_t with $P_{w_s} \neq P_{w_t}$ either $u_s \in K(P_{w_t}, t + 1, I(u_t))$ or $u_t \in K(P_{w_s}, t + 1, I(u_s))$.

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Otherwise, P_{w_t} and P_{w_s} would both write into M at the same time on input $I(u_s)(u_t)$.

Let $U = \{u_1, \dots, u_r\}$ denote all indices that cause some processor to write into M .

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We set up a bipartite graph between U and V , such that $(u_i, (I(u_j), P_{w_j})) \in E$ if u_i affects P_{w_j} at time $t + 1$ on input $I(u_j)$.

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Each vertex $(I(u_j), P_{w_j})$ has degree at most k_{t+1} as this is an upper bound on indices that can influence a processor P_{w_j} .

Hence, $|E| \leq r \cdot k_{t+1}$.

For an index u_j there can be at most k_{t+1} indices u_i with $P_{w_i} = P_{w_j}$.

Hence, there must be at least $\frac{1}{2}r(r - k_{t+1})$ pairs u_i, u_j with $P_{w_i} \neq P_{w_j}$.

Each pair introduces at least one edge.

Hence,

$$|E| \geq \frac{1}{2}r(r - k_{t+1})$$

This gives $r \leq 3k_{t+1} \leq 3k_t + 3\ell_t$

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Recall that $L(M, t + 1, i) \subseteq L(M, t, i) \cup Y(M, t + 1, I)$

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$$\begin{pmatrix} k_{t+1} \\ \ell_{t+1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 3 & 4 \end{pmatrix} \begin{pmatrix} k_t \\ \ell_t \end{pmatrix} \quad \begin{pmatrix} k_0 \\ \ell_0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Eigenvalues:

$$\lambda_1 = \frac{1}{2}(5 + \sqrt{21}) \text{ and } \lambda_2 = \frac{1}{2}(5 - \sqrt{21})$$

Eigenvectors:

$$v_1 = \begin{pmatrix} 1 \\ -(1 - \lambda_1) \end{pmatrix} \text{ and } v_2 = \begin{pmatrix} 1 \\ -(1 - \lambda_2) \end{pmatrix}$$

$$v_1 = \begin{pmatrix} 1 \\ \frac{3}{2} + \frac{1}{2}\sqrt{21} \end{pmatrix} \text{ and } v_2 = \begin{pmatrix} 1 \\ \frac{3}{2} - \frac{1}{2}\sqrt{21} \end{pmatrix}$$

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$$\begin{pmatrix} k_0 \\ \ell_0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{21}} (\mathbf{v}_1 - \mathbf{v}_2)$$

$$\begin{pmatrix} k_t \\ \ell_t \end{pmatrix} = \frac{1}{\sqrt{21}} (\lambda_1^t \mathbf{v}_1 - \lambda_2^t \mathbf{v}_2)$$

$$\mathbf{v}_1 = \left(\frac{3}{2} + \frac{1}{2}\sqrt{21} \right) \text{ and } \mathbf{v}_2 = \left(\frac{3}{2} - \frac{1}{2}\sqrt{21} \right)$$

$$\begin{pmatrix} k_0 \\ \ell_0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{21}}(\mathbf{v}_1 - \mathbf{v}_2)$$

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$$\begin{pmatrix} k_t \\ \ell_t \end{pmatrix} = \frac{1}{\sqrt{21}}(\lambda_1^t \mathbf{v}_1 - \lambda_2^t \mathbf{v}_2)$$

Solving the recurrence gives

$$k_t = \frac{\lambda_1^t}{\sqrt{21}} - \frac{\lambda_2^t}{\sqrt{21}}$$

$$\ell_t = \frac{3 + \sqrt{21}}{2\sqrt{21}} \lambda_1^t + \frac{-3 + \sqrt{21}}{2\sqrt{21}} \lambda_2^t$$

with $\lambda_1 = \frac{1}{2}(5 + \sqrt{21})$ and $\lambda_2 = \frac{1}{2}(5 - \sqrt{21})$.

Theorem 46

The following problems require logarithmic time on a CREW PRAM.

- ▶ *Sorting a sequence of x_1, \dots, x_n with $x_i \in \{0, 1\}$*
- ▶ *Computing the maximum of n inputs*
- ▶ *Computing the sum $x_1 + \dots + x_n$ with $x_i \in \{0, 1\}$*

A Lower Bound for the EREW PRAM

Definition 47 (Zero Counting Problem)

Given a monotone binary sequence x_1, x_2, \dots, x_n determine the index i such that $x_i = 0$ and $x_{i+1} = 1$.

We show that this problem requires $\Omega(\log n - \log p)$ steps on a p -processor EREW PRAM.

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We show that this problem requires $\Omega(\log n - \log p)$ steps on a p -processor EREW PRAM.

Let I_i be the input with i zeros folled by $n - i$ ones.

Index i affects processor P at time t if the state in step t is differs between I_{i-1} and I_i .

Index i affects location M at time t if the content of M after step t differs between inputs I_{i-1} and I_i .

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Index i affects location M at time t if the content of M after step t differs between inputs I_{i-1} and I_i .

Lemma 48

If $i \in K(P, t)$ then either

- ▶ *$i \in K(P, t - 1)$, or*
- ▶ *P reads some location M on input I_i (and, hence, also on I_{i-1}) at step t and $i \in L(M, t - 1)$*

Lemma 49

If $i \in L(M, t)$ then either

- ▶ $i \in L(M, t - 1)$, or
- ▶ Some processor P writes M at step t on input I_i and $i \in K(P, t)$.
- ▶ Some processor P writes M at step t on input I_{i-1} and $i \in K(P, t)$.

Define

$$C(t) = \sum_P |K(P, t)| + \sum_M \max\{0, |L(M, t)| - 1\}$$

$$C(T) \geq n, C(0) = 0$$

Claim:

$$C(t) \leq 6C(t-1) + 3|P|$$

This gives $C(T) \leq \frac{6^T - 1}{5} 3|P|$ and hence $T = \Omega(\log n - \log |P|)$.

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For an index i to **newly** appear in $L(M, t)$ some processor must write into M on either input I_i or I_{i-1} .

Hence, any index in $K(P, t)$ can at most generate two **new** indices in $L(M, t)$.

This means that the number of new indices in any set $L(M, t)$ (over all M) is at most

$$2 \sum_P |K(P, t)|$$

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Hence,

$$\sum_M |L(M, t)| \leq \sum_M |L(M, t - 1)| + 2 \sum_P |K(P, t)|$$

We can assume wlog. that $L(M, t - 1) \subseteq L(M, t)$. Then

$$\sum_M \max\{0, |L(M, t)| - 1\} \leq \sum_M \max\{0, |L(M, t - 1)| - 1\} + 2 \sum_P |K(P, t)|$$

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For an index i to **newly** appear in $K(P, t)$, P must read a memory location M with $i \in L(M, t)$ on input I_i (and also on input I_{i-1}).

Since we are in the EREW model at most one processor can do so in every step.

Let $J(i, t)$ be memory locations read in step t on input I_i , and let $J_t = \bigcup_i J(i, t)$.

$$\sum_P |K(P, t)| \leq \sum_P |K(P, t-1)| + \sum_{M \in J_t} |L(M, t-1)|$$

Over all inputs I_i a processor can read at most $|K(P, t-1)| + 1$ different memory locations (why?).

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Hence,

$$\begin{aligned}\sum_P |K(P, t)| &\leq \sum_P |K(P, t - 1)| + \sum_{M \in J_t} |L(M, t - 1)| \\ &\leq \sum_P |K(P, t - 1)| + \sum_{M \in J_t} (|L(M, t - 1)| - 1) + J_t\end{aligned}$$

Hence,

$$\begin{aligned}\sum_P |K(P, t)| &\leq \sum_P |K(P, t - 1)| + \sum_{M \in J_t} |L(M, t - 1)| \\ &\leq \sum_P |K(P, t - 1)| + \sum_{M \in J_t} (|L(M, t - 1)| - 1) + J_t \\ &\leq 2 \sum_P |K(P, t - 1)| + \sum_{M \in J_t} (|L(M, t - 1)| - 1) + |P|\end{aligned}$$

Hence,

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Recall

$$\sum_M \max\{0, |L(M, t)| - 1\} \leq \sum_M \max\{0, |L(M, t-1)| - 1\} + 2 \sum_P |K(P, t)|$$

This gives

$$\begin{aligned} & \sum_P K(P, t) + \sum_M \max\{0, |L(M, t)| - 1\} \\ & \leq 4 \sum_M \max\{0, |L(M, t - 1)| - 1\} + 6 \sum_P |K(P, t - 1)| + 3|P| \end{aligned}$$

Hence,

$$C(t) \leq 6C(t - 1) + 3|P|$$

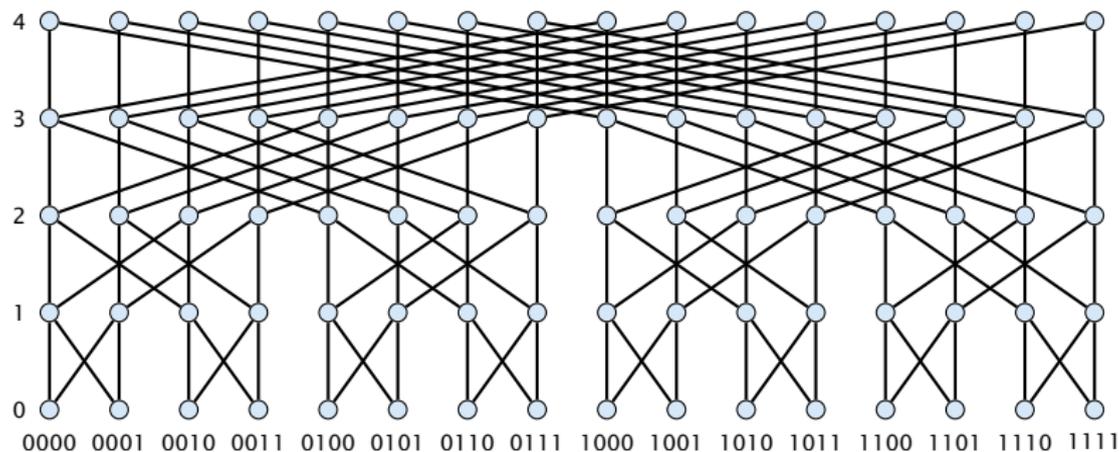
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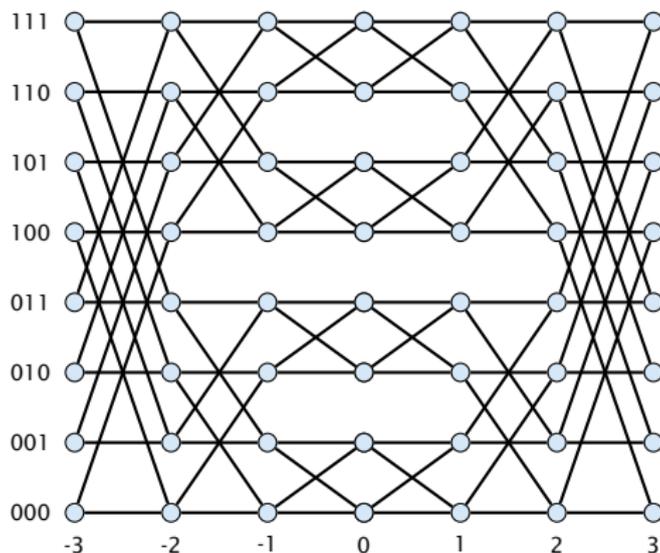
Butterfly Network BF(d)



- ▶ node set $V = \{(\ell, \bar{x}) \mid \bar{x} \in [2]^d, \ell \in [d+1]\}$, where $\bar{x} = x_0 x_1 \dots x_{d-1}$ is a bit-string of length d
- ▶ edge set $E = \{(\ell, \bar{x}), (\ell+1, \bar{x}') \mid \ell \in [d], \bar{x} \in [2]^d, x'_i = x_i \text{ for } i \neq \ell\}$

Sometimes the first and last level are identified.

Beneš Network

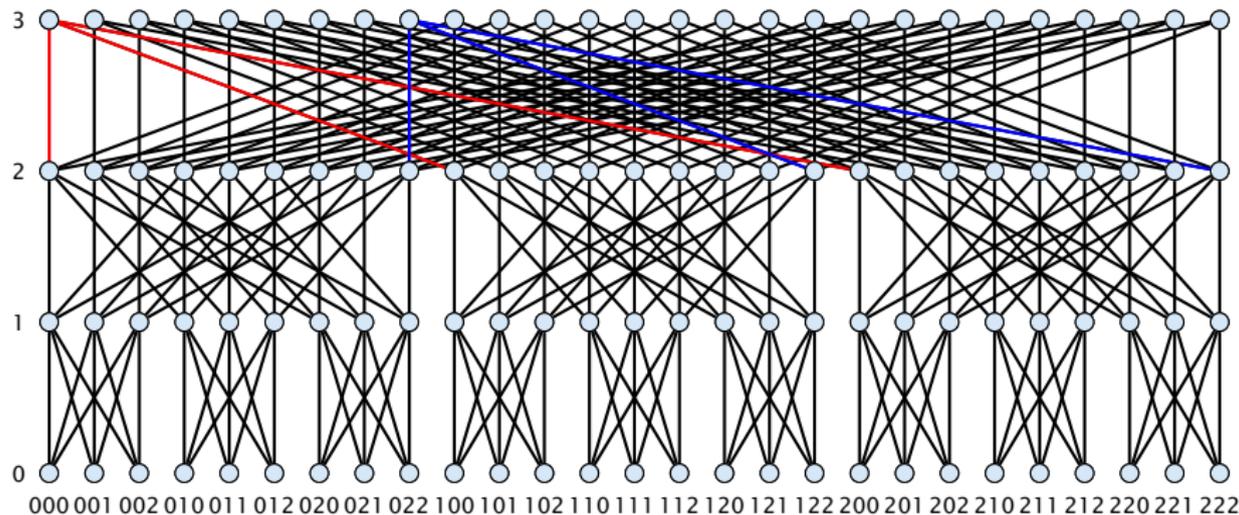


▶ node set $V = \{(\ell, \bar{x}) \mid \bar{x} \in [2]^d, \ell \in \{-d, \dots, d\}\}$

▶ edge set

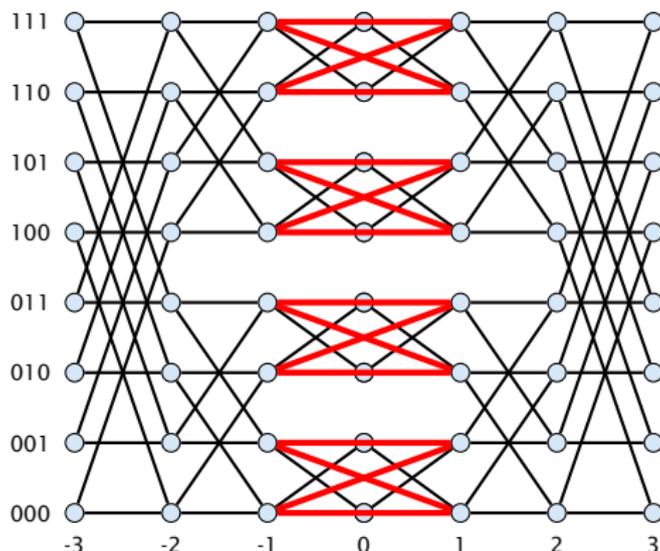
$$E = \{ \{(\ell, \bar{x}), (\ell + 1, \bar{x}')\} \mid \ell \in [d], \bar{x} \in [2]^d, x'_i = x_i \text{ for } i \neq \ell\} \\ \cup \{ \{(-\ell, \bar{x}), (\ell - 1, \bar{x}')\} \mid \ell \in [d], \bar{x} \in [2]^d, x'_i = x_i \text{ for } i \neq \ell\} \}$$

n -ary Butterfly Network $BF(n, d)$



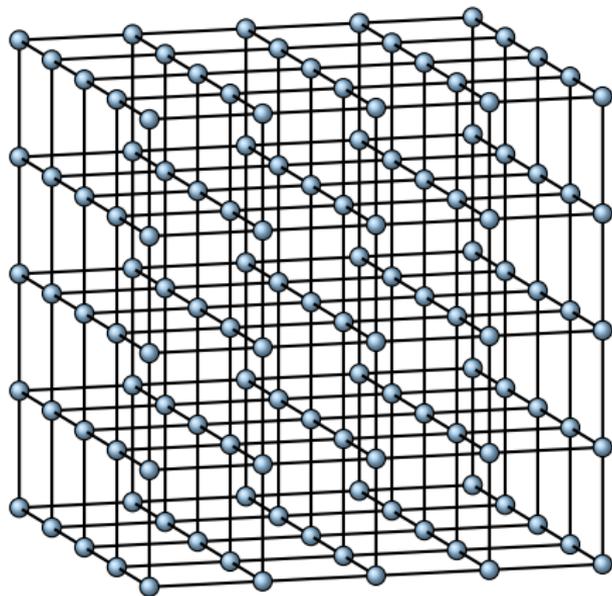
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Permutation Network $PN(n, d)$



- ▶ There is an n -ary version of the Benes network (2 n -ary butterflies glued at level 0).
- ▶ identifying levels 0 and 1 (or 0 and -1) gives $PN(n, d)$.

The d -dimensional mesh $M(n, d)$



- ▶ node set $V = [n]^d$
- ▶ edge set $E = \{ \{(x_0, \dots, x_i, \dots, x_{d-1}), (x_0, \dots, x_i + 1, \dots, x_{d-1})\} \mid x_s \in [n] \text{ for } s \in [d] \setminus \{i\}, x_i \in [n - 1] \}$

Remarks

$M(2, d)$ is also called d -dimensional hypercube.

$M(n, 1)$ is also called linear array of length n .

Permutation Routing

Lemma 50

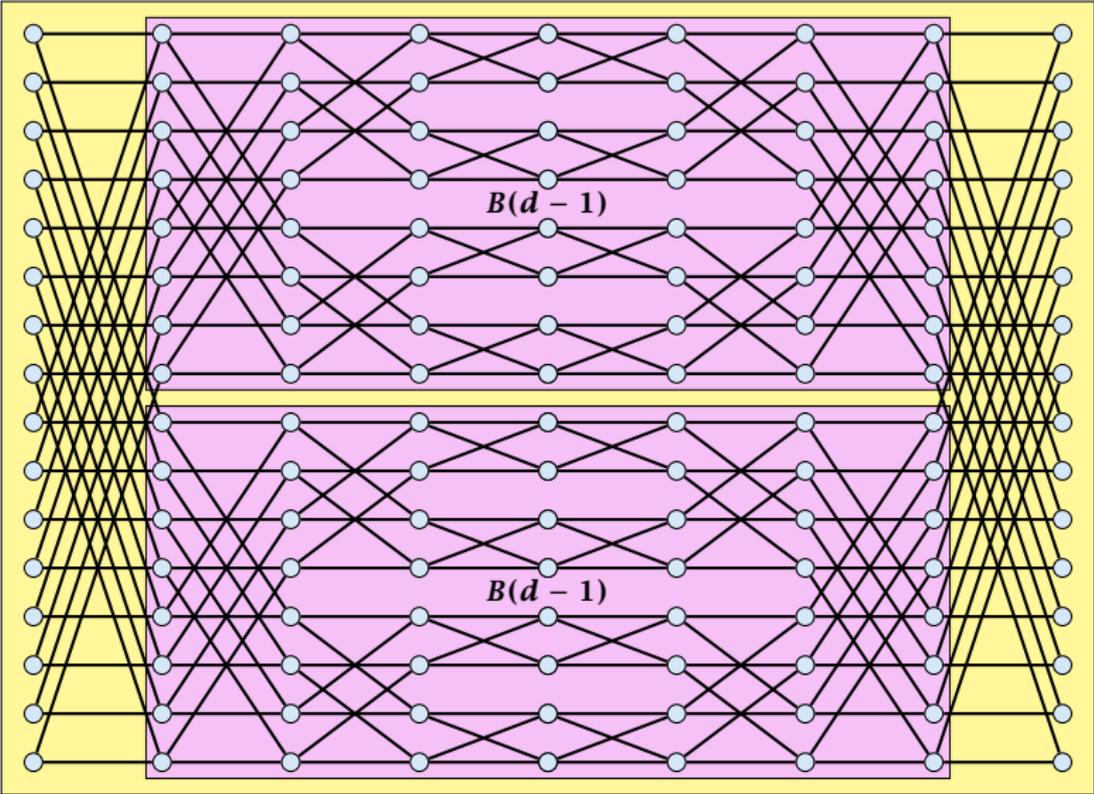
On the linear array $M(n, 1)$ any permutation can be routed online in $2n$ steps with buffersize 3.

Permutation Routing

Lemma 51

On the Beneš network any permutation can be routed offline in $2d$ steps between the sources level $(+d)$ and target level $(-d)$.

Recursive Beneš Network



Permutation Routing

base case $d = 0$

trivial

induction step $d \rightarrow d + 1$

The packets that start at (s, d) and $(t(d), d)$ have to be sent into different sub-networks.

The packets that end at $(s, -d)$ and $(t(-d), -d)$ have to come out of different sub-networks.

We can generate a graph on the set of packets.

Every packet has an incident source edge (connecting it to the conflicting start packet)

Every packet has an incident target edge (connecting it to the conflicting packet at its target)

This clearly gives a bipartite graph. Coloring this graph tells us which packet to send into which sub-network.

Permutation Routing

base case $d = 0$

trivial

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The packets that start at (s, d) and $(t(d), d)$ have to be sent into different sub-networks.

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Every packet has an incident target edge (connecting it to the conflicting packet at its target)

This clearly gives a bipartite graph. Coloring this graph is equivalent to finding a permutation routing which does not

Permutation Routing

base case $d = 0$

trivial

induction step $d \rightarrow d + 1$

- ▶ The packets that start at (\bar{a}, d) and $(\bar{a}(d), d)$ have to be sent into different sub-networks.
- ▶ The packets that end at $(\bar{a}, -d)$ and $(\bar{a}(d), -d)$ have to come out of different sub-networks.

We can generate a graph on the set of packets.

Every packet has an incident source edge (connecting it to the originating packet at IS target)

Every packet has an incident target edge (connecting it to the originating packet at IS target)

The graph is bipartite. Call the packets in the source set S and the packets in the target set T .

Permutation Routing

base case $d = 0$

trivial

induction step $d \rightarrow d + 1$

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We can generate a graph on the set of packets.

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We can generate a graph on the set of packets.

- ▶ Every packet has an incident source edge (connecting it to the conflicting start packet)
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- ▶ This clearly gives a bipartite graph; Coloring this graph tells us which packet to send into which sub-network.

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- ▶ This clearly gives a bipartite graph; Coloring this graph tells us which packet to send into which sub-network.

Permutation Routing on the n -ary Beneš Network

Instead of two we have n sub-networks $B(n, d - 1)$.

All packets starting at positions

$\{(x_0, \dots, x_i, \dots, x_{d-1}, d) \mid x_i \in [n]\}$ have to be sent to different sub-networks.

All packets ending at positions

$\{(x_0, \dots, x_i, \dots, x_{d-1}, d) \mid x_i \in [n]\}$ have to come from different sub-networks.

The conflict graph is a n -uniform 2-regular hypergraph.

We can color such a graph with n colors such that no two nodes in a hyperedge share a color.

This gives the routing.

Permutation Routing on the n -ary Beneš Network

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All packets ending at positions

$\{(x_0, \dots, x_i, \dots, x_{d-1}, d) \mid x_i \in [n]\}$ have to come from different sub-networks.

The conflict graph is a n -uniform 2-regular hypergraph.

We can color such a graph with n colors such that no two nodes in a hyperedge share a color.

This gives the routing.

Permutation Routing on the n -ary Beneš Network

Instead of two we have n sub-networks $B(n, d - 1)$.

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This gives the routing.

Lemma 52

On a d -dimensional mesh with sidelength n we can route any permutation (offline) in $4dn$ steps.

We can simulate the algorithm for the n -ary Beneš Network.

Each step can be simulated by routing on disjoint linear arrays.
This takes at most $2n$ steps.

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We simulate the behaviour of the Beneš network on the n -dimensional mesh.

In round $r \in \{-d, \dots, -1, 0, 1, \dots, d-1\}$ we simulate the step of sending from level r of the Beneš network to level $r+1$.

Each node $\tilde{x} \in [n]^d$ of the mesh simulates the node (r, \tilde{x}) .

Hence, if in the Beneš network we send from (r, \tilde{x}) to $(r+1, \tilde{x}')$ we have to send from \tilde{x} to \tilde{x}' in the mesh.

All communication is performed along linear arrays. In round $r < 0$ the linear arrays along dimension $-r-1$ (recall that dimensions are numbered from 0 to $d-1$) are used

$$\tilde{x}_{d-1} \dots \tilde{x}_{-r} \alpha \tilde{x}_{-r-2} \dots \tilde{x}_0$$

In rounds $r \geq 0$ linear arrays along dimension r are used.

Hence, we can perform a round in $\mathcal{O}(n)$ steps.

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The nodes are of the form (ℓ, \bar{x}) , $\bar{x} \in [n]^d$, $\ell \in -d, \dots, d$.

We can view nodes with same first coordinate forming columns and nodes with the same second coordinate as forming rows. This gives rows of length $2d + 1$ and columns of length n^d .

We route in 3 phases:

1. Forward packets along the rows such that afterwards no column contains packets that have the same target row. $O(d)$ steps.

2. We can use pipelining to permute every column, so that afterwards every packet is in its target row. $O(2d + 2d)$ steps.

3. Every packet is in its target row. Permute packets to their right destinations. $O(d)$ steps.

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Lemma 54

We can do offline permutation routing of (partial) permutations in $2d$ steps on the hypercube.

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We can sort on the hypercube $M(2, d)$ in $\mathcal{O}(d^2)$ steps.

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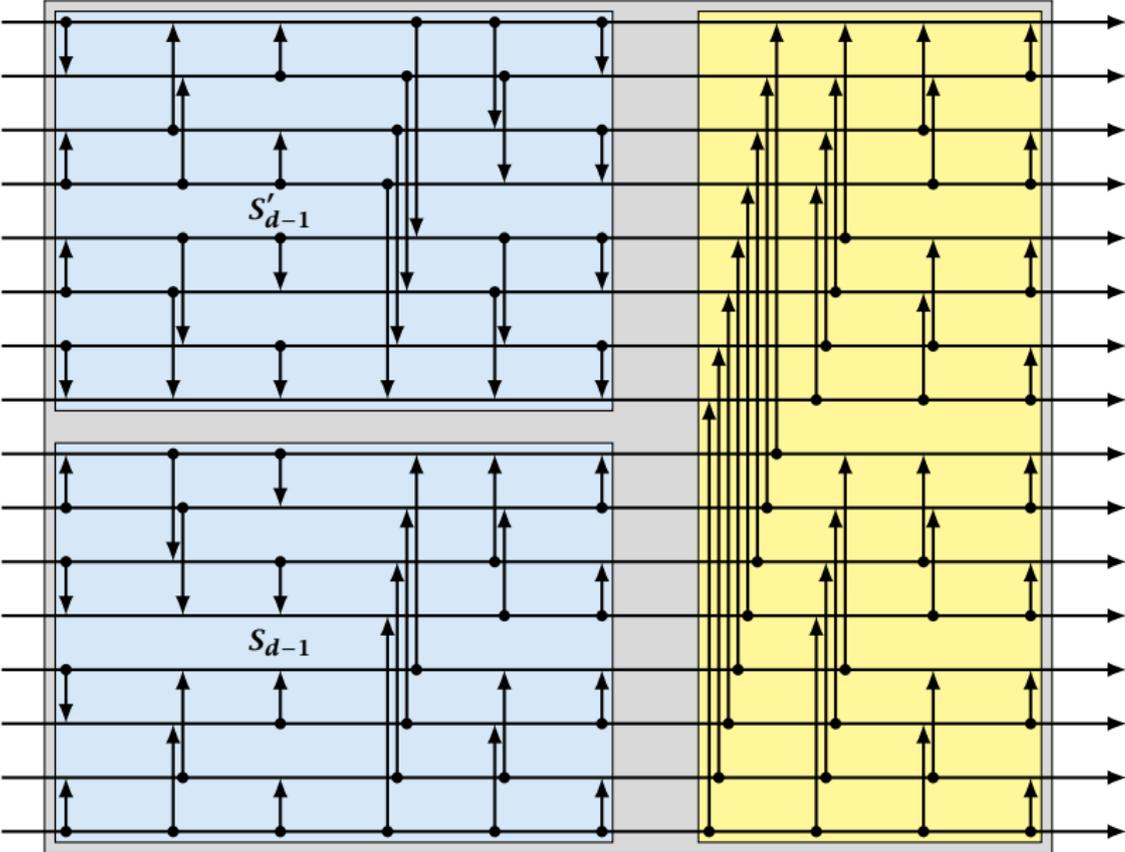
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Bitonic Sorter S_d



ASCEND/DESCEND Programs

Algorithm 11 ASCEND(procedure *oper*)

```
1: for  $dim = 0$  to  $d - 1$   
2:   for all  $\bar{a} \in [2]^d$  pardo  
3:      $oper(\bar{a}, \bar{a}(dim), dim)$ 
```

Algorithm 11 DESCEND(procedure *oper*)

```
1: for  $dim = d - 1$  to  $0$   
2:   for all  $\bar{a} \in [2]^d$  pardo  
3:      $oper(\bar{a}, \bar{a}(dim), dim)$ 
```

oper should only depend on the dimension and on values stored in the respective processor pair $(\bar{a}, \bar{a}(dim), V[\bar{a}], V[\bar{a}(dim)])$.

oper should take constant time.

Algorithm 11 $\text{oper}(a, a', \text{dim}, T_a, T_{a'})$

1: **if** $a_{\text{dim}}, \dots, a_0 = 0^{\text{dim}+1}$ **then**

2: $T_a = \min\{T_a, T_{a'}\}$

Performing an ASCEND run with this operation computes the minimum in processor 0.

We can sort on $M(2, d)$ by using d DESCEND runs.

We can do offline permutation routing by using a DESCEND run followed by an ASCEND run.

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We can perform an ASCEND/DESCEND run on a linear array $M(2^d, 1)$ in $\mathcal{O}(2^d)$ steps.

The CCC network is obtained from a hypercube by replacing every node by a cycle of degree d .

- ▶ nodes $\{(\ell, \bar{x}) \mid \bar{x} \in [2]^d, \ell \in [d]\}$
- ▶ edges $\{(\ell, \bar{x}), (\ell, \bar{x}(\ell)) \mid \bar{x} \in [2]^d, \ell \in [d]\}$

constant degree

Lemma 57

Let $d = 2^k$. An ASCEND run of a hypercube $M(2, d + k)$ can be simulated on $CCC(d)$ in $\mathcal{O}(d)$ steps.

The shuffle exchange network $SE(d)$ is defined as follows

▶ nodes: $V = [2]^d$

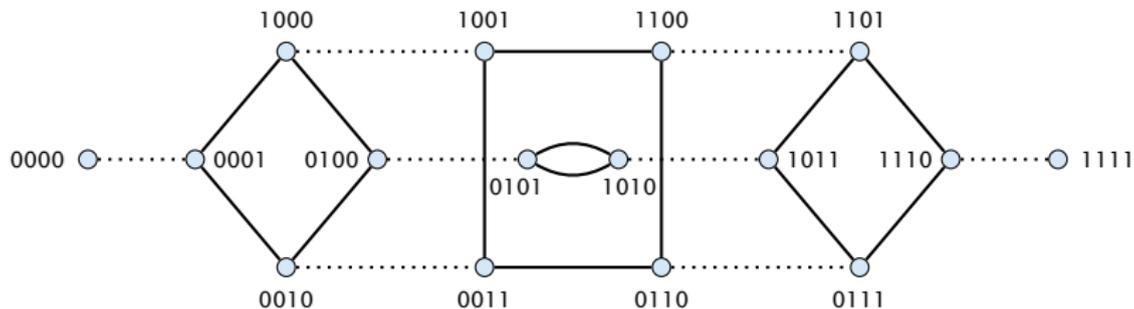
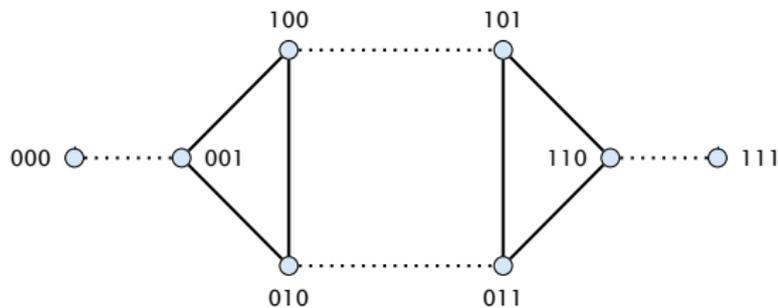
▶ edges:

$$E = \left\{ \{x\bar{\alpha}, \bar{\alpha}x\} \mid x \in [2], \bar{\alpha} \in [2]^{d-1} \right\} \cup \left\{ \{\bar{\alpha}0, \bar{\alpha}1\} \mid \bar{\alpha} \in [2]^{d-1} \right\}$$

constant degree

Edges of the first type are called **shuffle edges**. Edges of the second type are called **exchange edges**

Shuffle Exchange Networks



Lemma 58

We can perform an ASCEND run of $M(2, d)$ on $SE(d)$ in $\Theta(d)$ steps.

Simulations between Networks

For the following observations we need to make the definition of parallel computer networks more precise.

Each node of a given network corresponds to a processor/RAM.

In addition each processor has a **read register** and a **write register**.

In one (**synchronous**) step each neighbour of a processor P_i can write into P_i 's write register or can read from P_i 's read register.

Usually we assume that proper care has to be taken to avoid concurrent reads and concurrent writes from/to the same register.

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Simulations between Networks

Definition 59

A configuration C_i of processor P_i is the complete description of the state of P_i including local memory, program counter, read-register, write-register, etc.

Suppose a machine M is in configuration (C_0, \dots, C_{p-1}) , performs t synchronous steps, and is then in configuration $C = (C'_0, \dots, C'_{p-1})$.

C'_i is called the t -th successor configuration of C for processor i .

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Simulations between Networks

Definition 60

Let $C = (C_0, \dots, C_{p-1})$ a configuration of M . A machine M' with $q \geq p$ processors **weakly simulates** t steps of M with slowdown k if

- ▶ in the beginning there are p non-empty processors sets $A_0, \dots, A_{p-1} \subseteq M'$ so that all processors in A_i know C_i ;
- ▶ after at most $k \cdot t$ steps of M' there is a processor $Q^{(i)}$ that knows the t -th successors configuration of C for processor P_i .

Simulations between Networks

Definition 61

M' **simulates** M with slowdown k if

- ▶ M' weakly simulates machine M with slowdown k
- ▶ and **every** processor in A_i knows the t -th successor configuration of C for processor P_i .

We have seen how to simulate an ASCEND/DESCEND run of the hypercube $M(2, d + k)$ on $CCC(d)$ with $d = 2^k$ in $\mathcal{O}(d)$ steps.

Hence, we can simulate $d + k$ steps (one ASCEND run) of the hypercube in $\mathcal{O}(d)$ steps. This means slowdown $\mathcal{O}(1)$.

Lemma 62

Suppose a network S with n processors can route any permutation in time $\mathcal{O}(t(n))$. Then S can simulate any **constant degree** network M with at most n vertices with slowdown $\mathcal{O}(t(n))$.

Map the vertices of M to vertices of S in an arbitrary way.

Color the edges of M with $\Delta + 1$ colors, where $\Delta = \mathcal{O}(1)$ denotes the maximum degree.

Each color gives rise to a permutation.

We can route this permutation in S in $t(n)$ steps.

Hence, we can perform the required communication for one step of M by routing $\Delta + 1$ permutations in S . This takes time $t(n)$.

A processor of M is simulated by the same processor of S throughout the simulation.

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A processor of M is simulated by the same processor of S throughout the simulation.

Lemma 63

Suppose a network S with n processors can sort n numbers in time $\mathcal{O}(t(n))$. Then S can simulate any network M with at most n vertices with slowdown $\mathcal{O}(t(n))$.

Lemma 64

There is a constant degree network on $\Theta(n^{1+\epsilon})$ nodes that can simulate any constant degree network with slowdown $\Theta(1)$.

Suppose we allow concurrent reads, this means in every step all neighbours of a processor P_i can read P_i 's read register.

Lemma 65

A constant degree network M that can simulate any n -node network has slowdown $\mathcal{O}(\log n)$ (independent of the size of M).

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We show the lemma for the following type of simulation.

- ▶ There are representative sets A_i^t for every step t that specify which processors of M simulate processor P_i in step t (know the configuration of P_i after the t -th step).
- ▶ The representative sets for different processors are disjoint.
- ▶ for all $i \in \{1, \dots, n\}$ and steps t , $A_i^t \neq \emptyset$.

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- ▶ for all $i \in \{1, \dots, n\}$ and steps t , $A_i^t \neq \emptyset$.

This is a step-by-step simulation.

Suppose processor P_i reads from processor P_{j_i} in step t .

Every processor $Q \in M$ with $Q \in A_i^{t+1}$ must have a path to a processor $Q' \in A_i^t$ and to $Q'' \in A_{j_i}^t$.

Let k_t be the largest distance (maximized over all i, j_i).

Then the simulation of step t takes time at least k_t .

The slowdown is at least

$$k = \frac{1}{\ell} \sum_{t=1}^{\ell} k_t$$

Suppose processor P_i reads from processor P_{j_i} in step t .

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Then the simulation of step t takes time at least k_t .

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We show

- ▶ The simulation of a step takes at least time $\gamma \log n$, or
- ▶ the size of the representative sets shrinks by a lot

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- ▶ Hence, there must exist a j_i such that $\Gamma_{2k}(A_i)$ contains at most

$$|C_{j_i}| := \frac{|A_i| \cdot c^{2k}}{n-1} \leq \frac{|A_i| \cdot c^{3k}}{n}.$$

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Choosing $k = \Theta(\log n)$ gives that this is at most $|A_i|/n^\epsilon$.

Let ℓ be the total number of steps and s be the number of **short** steps when $k_t < \gamma \log n$.

In a step of time k_t a representative set can at most increase by c^{k_t+1} .

Let h_ℓ denote the number of representatives after step ℓ .

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In a step of time k_t a representative set can at most increase by c^{k_t+1} .

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$$n \leq h_\ell \leq h_0 \left(\frac{1}{n^\epsilon} \right)^s \prod_{t \in \text{long}} c^{k_t+1} \leq \frac{n}{n^{\epsilon s}} \cdot c^{\ell + \sum_t k_t}$$

If $\sum_t k_t \geq \ell \left(\frac{\epsilon}{2} \log_c n - 1 \right)$, we are done. Otw.

$$n \leq n^{1 - \epsilon s + \ell \frac{\epsilon}{2}}$$

This gives $s \leq \ell/2$.

Hence, at most 50% of the steps are short.

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Hence, at most 50% of the steps are short.

Deterministic Online Routing

Lemma 66

*A permutation on an $n \times n$ -mesh can be routed **online** in $\mathcal{O}(n)$ steps.*

Deterministic Online Routing

Definition 67 (Oblivious Routing)

Specify a path-system \mathcal{W} with a path $P_{u,v}$ between u and v for every pair $\{u, v\} \in V \times V$.

A packet with source u and destination v moves along path $P_{u,v}$.

Deterministic Online Routing

Definition 68 (Oblivious Routing)

Specify a path-system \mathcal{W} with a path $P_{u,v}$ between u and v for every pair $\{u, v\} \in V \times V$.

Definition 69 (node congestion)

For a given path-system the **node congestion** is the maximum number of path that go through any node $v \in V$.

Definition 70 (edge congestion)

For a given path-system the **edge congestion** is the maximum number of path that go through any edge $e \in E$.

Deterministic Online Routing

Definition 71 (dilation)

For a given path system the **dilation** is the maximum length of a path.

Lemma 72

Any oblivious routing protocol requires at least $\max\{C_f, D_f\}$ steps, where C_f and D_f , are the congestion and dilation, respectively, of the path-system used. (node congestion or edge congestion depending on the communication model)

Lemma 73

*Any reasonable oblivious routing protocol requires at most $\mathcal{O}(D_f \cdot C_f)$ steps (**unbounded buffers**).*

Theorem 74 (Borodin, Hopcroft)

For any path system \mathcal{W} there exists a permutation $\pi : V \rightarrow V$ and an edge $e \in E$ such that at least $\Omega(\sqrt{n}/\Delta)$ of the paths go through e .

Let $\mathcal{W}_v = \{P_{v,u} \mid u \in V\}$.

We say that an edge e is **z -popular** for v if at least z paths from \mathcal{W}_v contain e .

For any node v there are many edges that are quite popular for v .

$|V| \times |E|$ -matrix $A(z)$:

$$A_{v,e}(z) = \begin{cases} 1 & e \text{ is } z\text{-popular for } v \\ 0 & \text{otherwise} \end{cases}$$

Define



$$A_v(z) = \sum_e A_{v,e}(z)$$



$$A_e(z) = \sum_v A_{v,e}(z)$$

Lemma 75

Let $z \leq \frac{n-1}{\Delta}$.

For every node $v \in V$ there exist at least $\frac{n}{2\Delta z}$ edges that are z popular for v . This means

$$A_v(z) \geq \frac{n}{2\Delta z}$$

Lemma 76

There exists an edge e' that is z -popular for at least z nodes with $z = \Omega(\sqrt{n}\Delta)$.

$$\sum_e A_e(z) = \sum_v A_v(z) \geq \frac{n^2}{2\Delta z}$$

There must exist an edge e'

$$A_{e'}(z) \geq \left\lceil \frac{n^2}{|E| \cdot 2\Delta z} \right\rceil \geq \left\lceil \frac{n}{2\Delta^2 z} \right\rceil$$

where the last step follows from $|E| \leq \Delta n$.

We choose z such that $z = \frac{n}{2\Delta^2 z}$ (i.e., $z = \sqrt{n}/(\sqrt{2}\Delta)$).

This means e' is $\lceil z \rceil$ -popular for $\lceil z \rceil$ nodes.

We can construct a permutation such that z paths go through e' .

Deterministic oblivious routing may perform very poorly.

What happens if we have a random routing problem in a butterfly?

Suppose every source on level 0 has p packets, that are routed to random destinations.

How many packets go over node v on level i ?

From v we can reach $2^d/2^i$ different targets.

Hence,

$$\Pr[\text{packet goes over } v] \leq \frac{2^{d-i}}{2^d} = \frac{1}{2^i}$$

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Expected number of packets:

$$E[\text{packets over } v] = p \cdot 2^i \cdot \frac{1}{2^i} = p$$

since only $p2^i$ packets can reach v .

But this is trivial.

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But this is trivial.

What is the probability that at least r packets go through v .

$$\begin{aligned}\Pr[\text{at least } r \text{ path through } v] &\leq \binom{p \cdot 2^i}{r} \cdot \left(\frac{1}{2^i}\right)^r \\ &\leq \left(\frac{p2^i \cdot e}{r}\right)^r \cdot \left(\frac{1}{2^i}\right)^r \\ &= \left(\frac{pe}{r}\right)^r\end{aligned}$$

$\Pr[\text{there exists a node } v \text{ such that at least } r \text{ path through } v]$

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Choose r as $2ep + (\ell + 1)d + \log d = \mathcal{O}(p + \log N)$, where N is number of sources in $\text{BF}(d)$.

$$\text{Pr}[\text{exists node } v \text{ with more than } r \text{ paths over } v] \leq \frac{1}{N^\ell}$$

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Scheduling Packets

Assume that in every round a node may forward at most one packet but may receive up to two.

We select a random rank $R_p \in [k]$. Whenever, we forward a packet we choose the packet with smaller rank. Ties are broken according to packet id.

Random Rank Protocol

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Random Rank Protocol

Definition 77 (Delay Sequence of length s)

- ▶ **delay path** \mathcal{W}
- ▶ lengths l_0, l_1, \dots, l_s , with $l_0 \geq 1, l_1, \dots, l_s \geq 0$ lengths of **delay-free sub-paths**
- ▶ **collision nodes** $v_0, v_1, \dots, v_s, v_{s+1}$
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Properties

- ▶ $\text{rank}(P_0) \geq \text{rank}(P_1) \geq \dots \geq \text{rank}(P_s)$
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- ▶ if the routing takes $d + s$ steps then the delay sequence has length s

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Definition 78 (Formal Delay Sequence)

- ▶ a path \mathcal{W} of length d from a source to a target
- ▶ s integers $\ell_0 \geq 1, \ell_1, \dots, \ell_s \geq 0$ and $\sum_{i=0}^s \ell_i = d$
- ▶ nodes v_0, \dots, v_s, v_{s+1} on \mathcal{W} with v_i being on level $d - \ell_0 - \dots - \ell_{i-1}$
- ▶ $s + 1$ packets P_0, \dots, P_s , where P_i is a packet with path through v_i and v_{i-1}
- ▶ numbers $R_s \leq R_{s-1} \leq \dots \leq R_0$

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We say a formal delay sequence is **active** if $\text{rank}(P_i) = k_i$ holds for all i .

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- ▶ there are N^2 ways to choose \mathcal{W}
- ▶ there are $\binom{s+d-1}{s}$ ways to choose l_i 's with $\sum_{i=0}^s l_i = d$
- ▶ the collision nodes are fixed
- ▶ there are at most C^{s+1} ways to choose the collision packets where C is the node congestion
- ▶ there are at most $\binom{s+k}{s+1}$ ways to choose $0 \leq k_s \leq \dots \leq k_0 < k$

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- ▶ there are $\binom{s+d-1}{s}$ ways to choose ℓ_i 's with $\sum_{i=0}^s \ell_i = d$
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- ▶ there are at most C^{s+1} ways to choose the collision packets where C is the node congestion
- ▶ there are at most $\binom{s+k}{s+1}$ ways to choose $0 \leq k_s \leq \dots \leq k_0 < k$

Lemma 79

$$N_s \leq \left(\frac{2eC(s+k)}{s+1} \right)^{s+1}$$

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Hence the probability that the routing takes more than $d + s$ steps is at most

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We choose $s = 8eC - 1 + (\ell + 3)d$ and $k = s + 1$. This gives that the probability is at most $\frac{1}{N^\ell}$.

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Hence, with high probability routing random problems with p packets per source in a butterfly requires only $\mathcal{O}(p + d)$ steps.

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Valiants Trick

Where did the scheduling analysis use the butterfly?

We only used

- ▶ all routing paths are of the same length d
- ▶ there are a polynomial number of delay paths

Choose paths as follows:

- ▶ route from source to random destination on target level
- ▶ route to real target column (albeit on source level)
- ▶ route to target

All phases run in time $\mathcal{O}(p + d)$ with high probability.

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Multicommodity Flow Problem

- ▶ undirected (weighted) graph $G = (V, E, c)$
- ▶ commodities (s_i, t_i) , $i \in \{1, \dots, k\}$
- ▶ a **multicommodity flow** is a flow $f : E \times \{1, \dots, k\} \rightarrow \mathbb{R}^+$
 - ▶ for all edges $e \in E$, $\sum_i f_i(e) \leq c(e)$
 - ▶ for all nodes $x \in V$, $\sum_{e=(s_i, x)} f_i(e) = \sum_{e=(x, t_i)} f_i(e)$

Goal A (Maximum Multicommodity Flow)

maximize $\sum_i \sum_{e=(s_i, x) \in E} f_i(e)$

Goal B (Maximum Concurrent Multicommodity Flow)

maximize $\min_i \sum_{e=(s_i, x) \in E} f_i(e) / d_i$ (throughput fraction), where d_i is **demand for commodity i**

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for all edges $e \in E, \sum_i f_i(e) \leq c(e)$

for all nodes $x \in V, \sum_i f_i(x) = 0$

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Valiants Trick

A **Balanced Multicommodity Flow Problem** is a concurrent multicommodity flow problem in which incoming and outgoing flow is equal to

$$c(v) = \sum_{e=(v,x) \in E} c(e)$$

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For a multicommodity flow S we assume that we have a decomposition of the flow(s) into flow-paths.

We use $C(S)$ to denote the congestion of the flow problem (inverse of throughput fraction), and $D(S)$ the length of the longest routing path.

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For a network $G = (V, E, c)$ we define the **characteristic flow problem** via

- ▶ demands $d_{u,v} = \frac{c(u)c(v)}{c(V)}$

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Definition 80

A (randomized) oblivious routing scheme is given by a path system \mathcal{P} and a weight function w such that

$$\sum_{p \in \mathcal{P}_{s,t}} w(p) = 1$$

Construct an oblivious routing scheme from S as follows:

- ▶ let $f_{x,y}$ be the flow between x and y in S



$$f_{x,y} \geq d_{x,y}/C(S) \geq d_{x,y}/F = \frac{1}{F} \frac{c(x)c(y)}{c(V)}$$

- ▶ for $p \in \mathcal{P}_{x,y}$ set $w(p) = f_p/f_{x,y}$

gives an oblivious routing scheme.

Valiants Trick

We apply this routing scheme twice:

- ▶ first choose a path from $\mathcal{P}_{s,v}$, where v is chosen uniformly according to $c(v)/c(V)$
- ▶ then choose path according to $\mathcal{P}_{v,t}$

If the input flow problem/packet routing problem is balanced doing this randomization results in flow solution S (twice).

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Example: hypercube.

Oblivious Routing for the Mesh

We can route any permutation on an $n \times n$ mesh in $\mathcal{O}(n)$ steps, by x - y routing. Actually $\mathcal{O}(d)$ steps where d is the largest distance between a source-target pair.

What happens if we do not have a permutation?

$x - y$ routing may generate large congestion if some pairs have a lot of packets.

Valiants trick may create a large dilation.

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Let for a multicommodity flow problem P $C_{\text{opt}}(P)$ be the optimum congestion, and $D_{\text{opt}}(P)$ be the optimum dilation (by perhaps different flow solutions).

Lemma 81

There is an oblivious routing scheme for the mesh that obtains a flow solution S with $C(S) = \mathcal{O}(C_{\text{opt}}(P) \log n)$ and $D(S) = \mathcal{O}(D_{\text{opt}}(P))$.

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Lemma 82

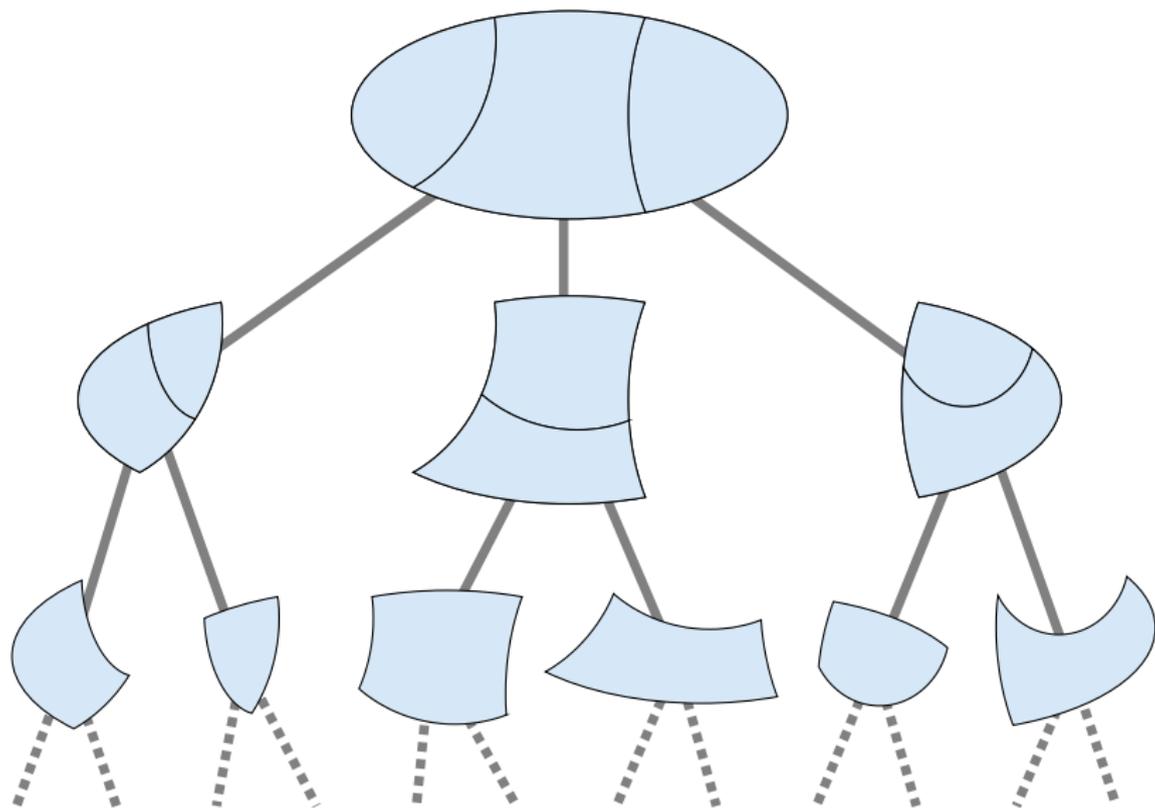
For any oblivious routing scheme on the mesh there is a demand P such that routing P will give congestion $\Omega(\log n \cdot C_{\text{opt}})$.

In the following we design oblivious algorithms that obtain close to optimum congestion (no bounds on dilation).

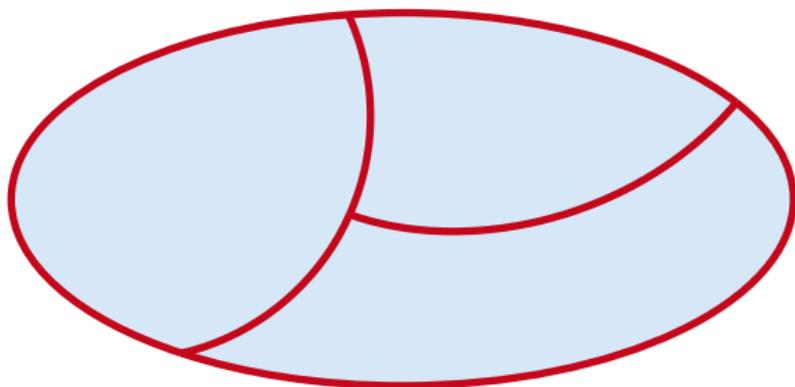
We always assume that we route a flow (instead of packet routing).

We can also assume this is a randomized path-selection scheme that guarantees that the **expected** load on an edge is close to the optimum congestion.

Hierarchical Decompositions



Hierarchical Decompositions & Oblivious Routing



define multicommodity flow problem for every cluster:

- ▶ every border edge of a sub-cluster injects one unit and distributes it evenly to all others

Formally

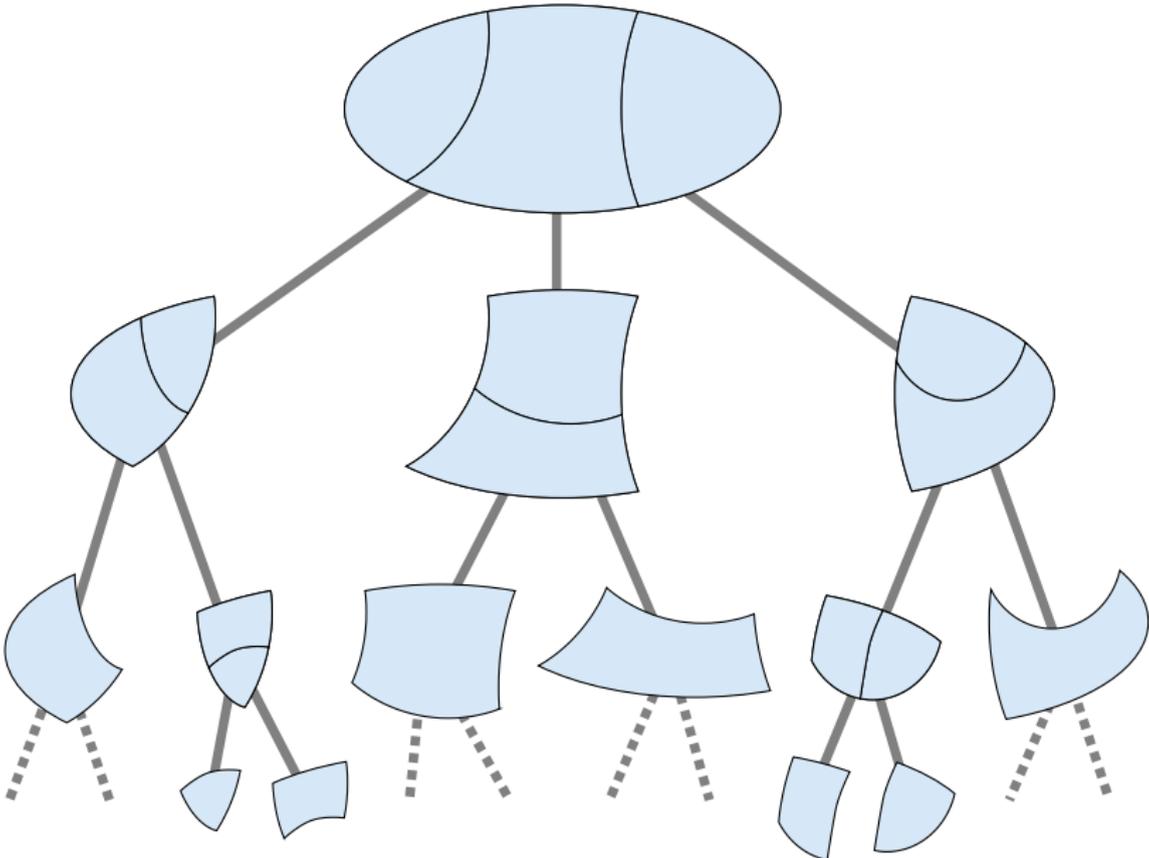
- ▶ cluster S partitioned into clusters S_1, \dots, S_ℓ
- ▶ weight $w_S(v)$ of node v is total capacity of edges connecting v to nodes in other sub-clusters or outside of S
- ▶ demand for pair $(x, y) \in S \times S$

$$\frac{w_S(x)w_S(y)}{w_S(S)}$$

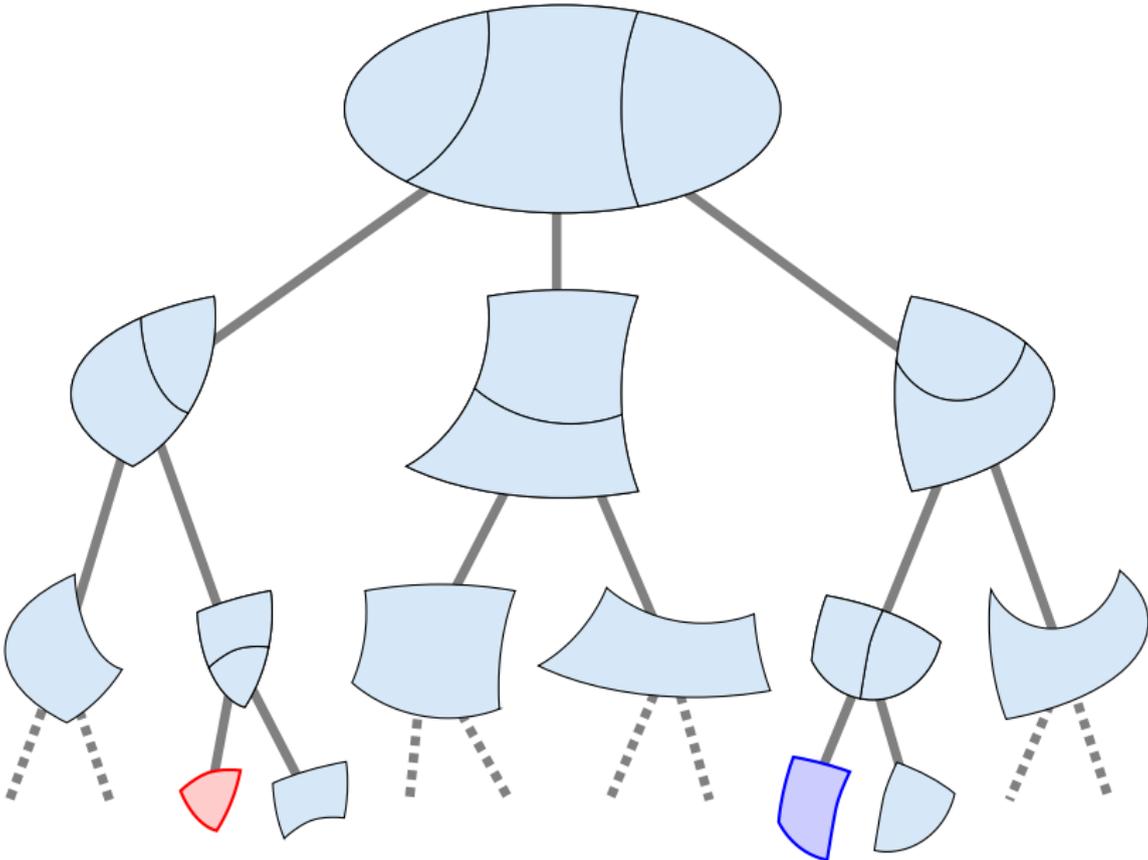
- ▶ gives flow problem for every cluster
- ▶ if every flow problem can be solved with congestion C then there is an oblivious routing scheme that always obtains congestion

$$\mathcal{O}(\text{height}(T) \cdot C \cdot C_{\text{opt}}(\mathcal{P}))$$

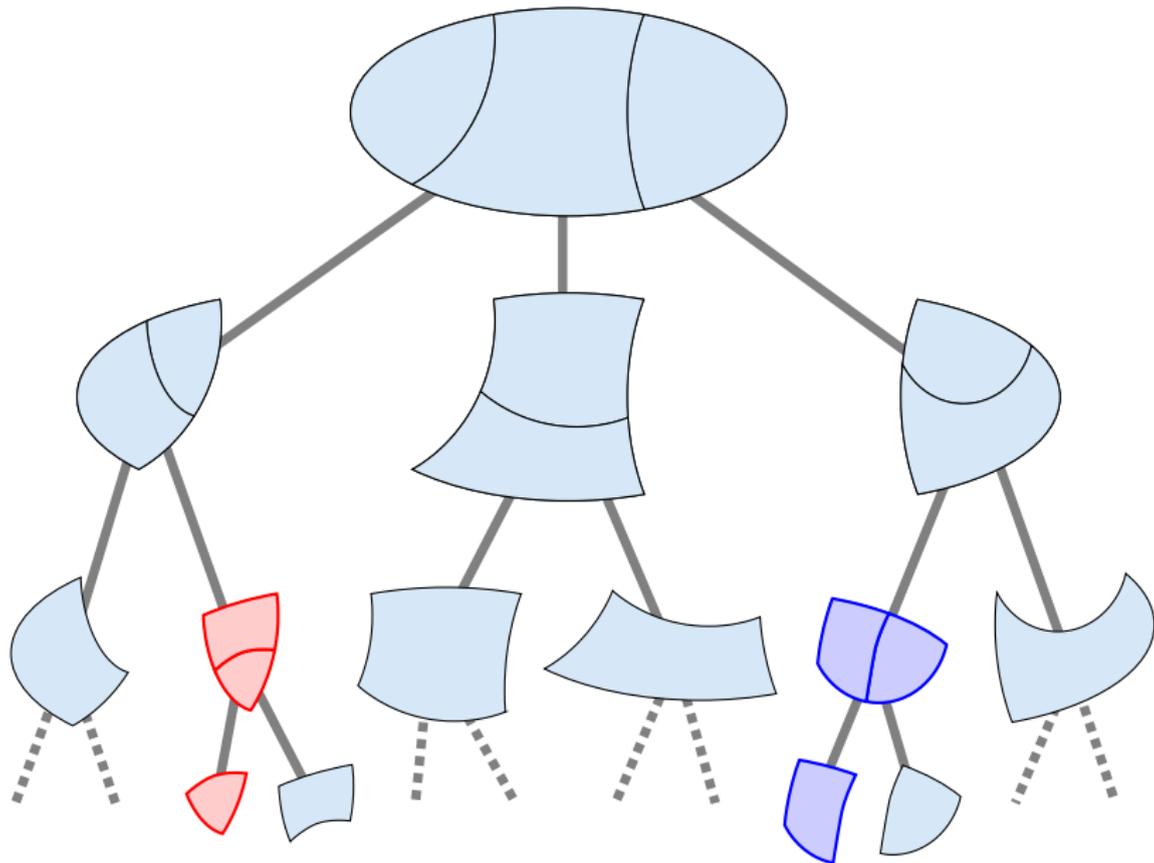
Oblivious Routing Scheme



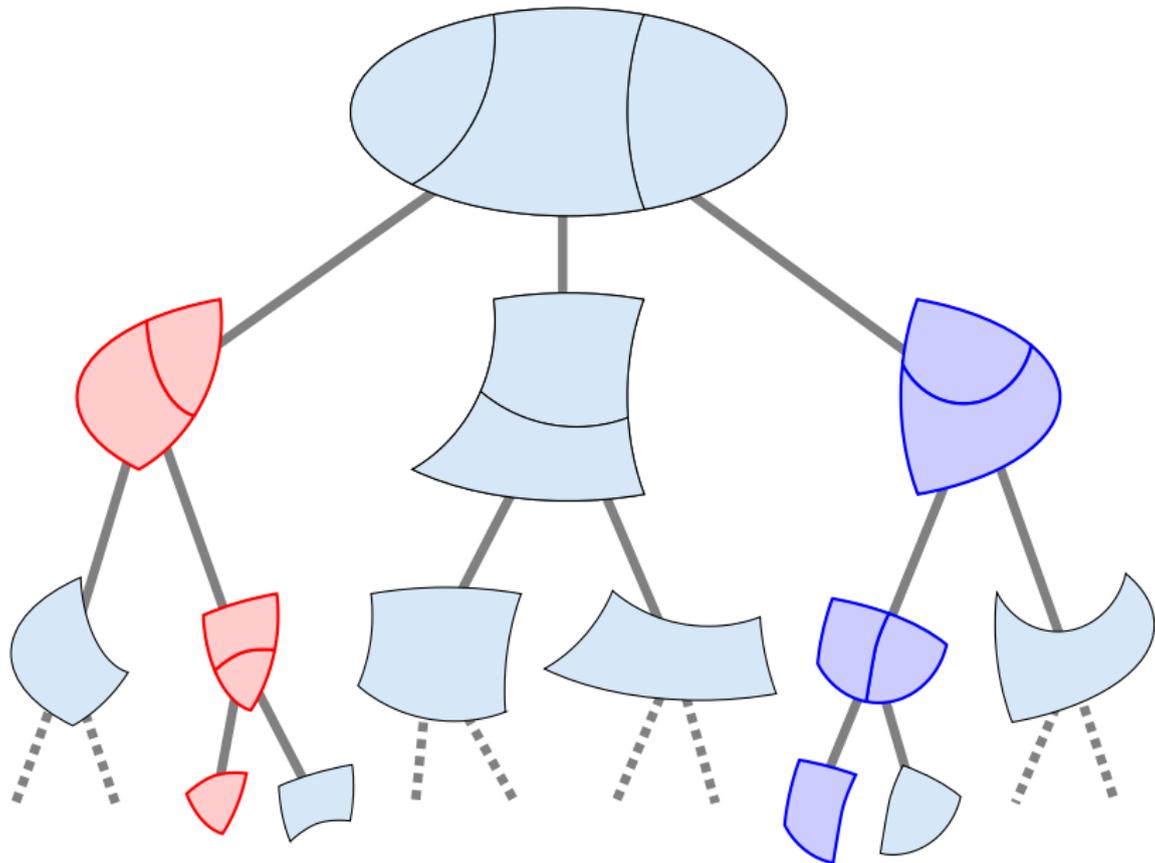
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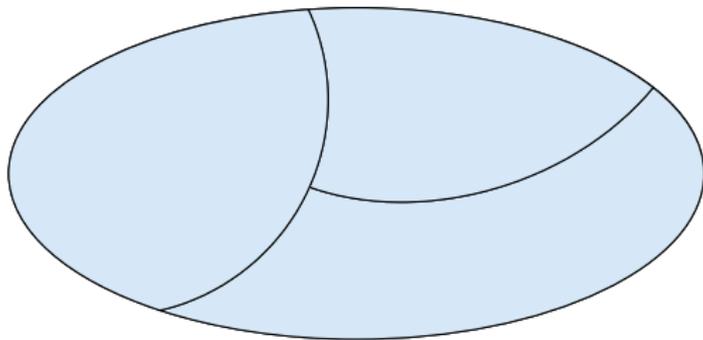
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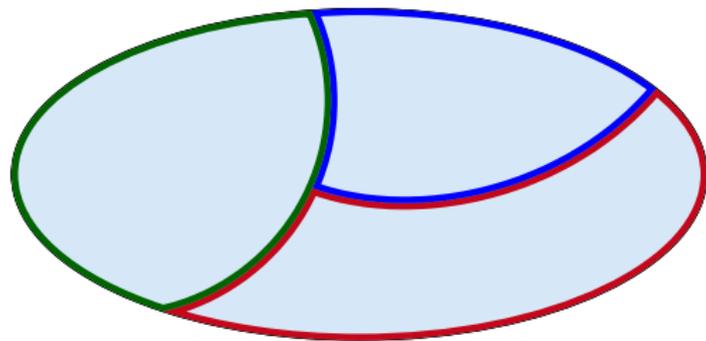
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Oblivious Routing Scheme — A Single Cluster S



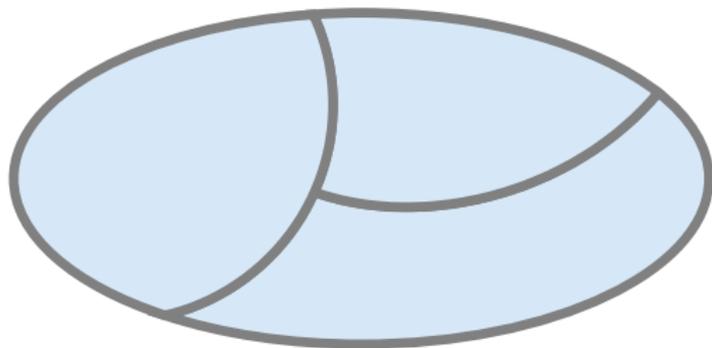
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Input:

Messages from sub-clusters have been routed to random border-edges of corresponding sub-cluster.

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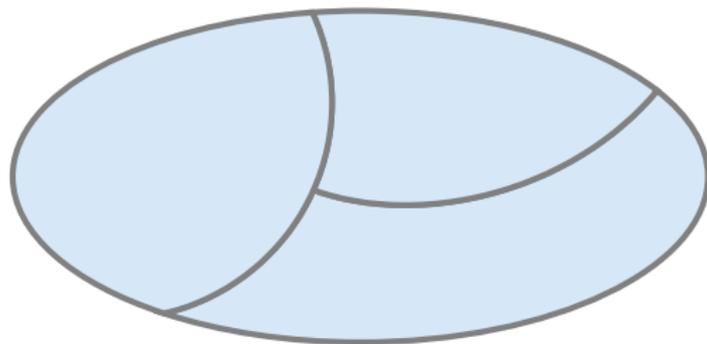


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1. forward messages to random intra sub-cluster edge

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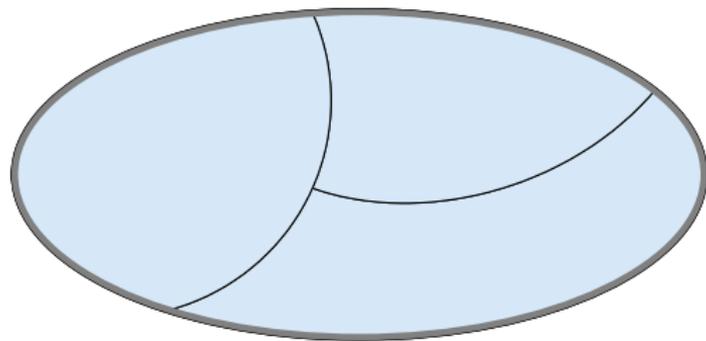


Input:

Messages from sub-clusters have been routed to random border-edges of corresponding sub-cluster.

1. forward messages to random intra sub-cluster edge
2. delete messages for which source and target are in S

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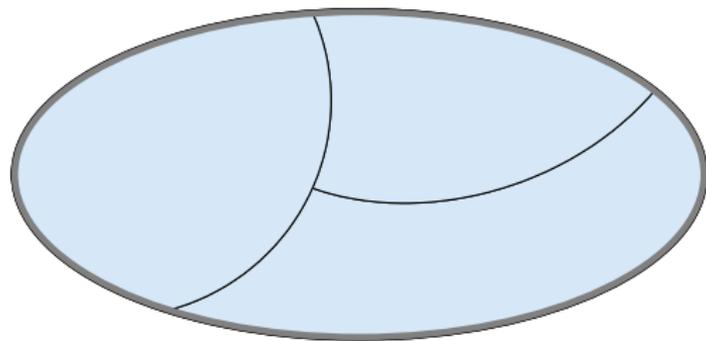


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all performed by applying flow problem for cluster several times

Sparsest Cut

Definition 83

Given a multicommodity flow problem \mathcal{P} with demands D_i between source-target pairs s_i, t_i . A **sparsest cut** for \mathcal{P} is a set S that minimizes

$$\Phi(S) = \frac{\text{capacity}(S, V \setminus S)}{\text{demand}(S, V \setminus S)} .$$

$\text{demand}(S, V \setminus S)$ is the demand that crosses cut S .

$\text{capacity}(S, V \setminus S)$ is the capacity across the cut.

Sparsest Cut

Clearly,

$$1/\Phi_{\min} \leq C_{\text{opt}}(\mathcal{P})$$

For single-commodity flows we have $1/\Phi_{\min} = C_{\text{opt}}(\mathcal{P})$.

In general we have

$$\frac{1}{\Phi_{\min}} \leq C_{\text{opt}}(\mathcal{P}) \leq \mathcal{O}(\log n) \cdot \frac{1}{\Phi_{\min}}.$$

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LP Formulation

Maximum Concurrent Flow:

$$\begin{array}{ll} \max & \lambda \\ \text{s.t.} & \forall i \quad \sum_{p \in \mathcal{P}_{s_i, t_i}} f_p \geq D_i \\ & \forall e \in E \quad \sum_{p: e \in p} f_p \leq c(e) \\ & f_p, \lambda \geq 0 \end{array}$$

$\mathcal{P}_{s,t}$ is the set of path that connect s and t .

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The Dual:

$$\begin{array}{ll} \min & \sum_e c(e) \ell(e) \\ \text{s.t.} & \forall p \in \mathcal{P} \quad \sum_{e \in p} \ell(e) \geq \text{dist}_i \\ & \sum_i D_i \text{dist}_i \geq 1 \\ & \text{dist}_i, \ell(e) \geq 0 \end{array}$$

Duality

Primal:

$$\begin{array}{ll} \max & c^t x \\ \text{s.t.} & Ax \leq b \\ & x \geq 0 \end{array}$$

Dual:

$$\begin{array}{ll} \min & b^t y \\ \text{s.t.} & A^t y \geq c \\ & y \geq 0 \end{array}$$

Metric Embeddings

Definition 84

A metric (V, d) is an ℓ_1 -embeddable metric if there exists a function $f : V \rightarrow \mathbb{R}^m$ for some m such that

$$d(u, v) = \|f(u) - f(v)\|_1$$

Definition 85

A metric (V, d) embeds into ℓ_1 with **distortion** α if there exists a function $f : V \rightarrow \mathbb{R}^m$ for some m such that

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Theorem 86

Any metric (V, d) on $|V| = n$ points is embeddable into ℓ_1 with distortion $\mathcal{O}(\log n)$.

Theorem 87

For any flow problem \mathcal{P} one can obtain at least a throughput of $\Phi_{\min}/\log n$, where Φ_{\min} denotes the sparsity of the sparsest cut. In other words

$$C_{opt}(\mathcal{P}) \leq \mathcal{O}(\log n) \frac{1}{\Phi_{\min}}$$

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The optimum throughput is given by

$$\begin{array}{ll} \min & \sum_e c(e)d(e) \\ \text{s.t.} & d \text{ metric} \\ & \sum_i D_i d(s_i, t_i) \geq 1 \end{array}$$

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Fréchet Embedding

Given a set A of points we define a mapping

$$f(x) := d(x, A)$$

The mapping f is **contracting** this means

$$\|f(x) - f(y)\| \leq d(x, y)$$

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Suppose we have a probability distribution p over sets A_1, \dots, A_k :

Then define $f : V \rightarrow \mathbb{R}^k$ by

$$f(x)_i : V = p(A_i) \cdot d(x, A_i)$$

f is still contracting.

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f is still contracting.

We use a probability distribution over sets such that the expected distance between x and y is at least

$$d(x, y) / \mathcal{O}(\log n)$$