## Why do we not use a list for implementing the ADT Dynamic Set?

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- ► time for delete Θ(1) if we are given a handle to the object, otw. Θ(n)

# $\overset{\bullet}{\longrightarrow} \overset{\bullet}{\longrightarrow} 5 \longleftrightarrow 8 \longleftrightarrow 10 \longleftrightarrow 12 \longleftrightarrow 14 \Longleftrightarrow 18 \Longleftrightarrow 23 \longleftrightarrow 26 \longleftrightarrow 28 \Longleftrightarrow 35 \longleftrightarrow 43 \longleftrightarrow \infty$



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7.6 Skip Lists

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7.6 Skip Lists

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Add an express lane:



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Choose  $|L_1| = \sqrt{n}$ . Then search time  $\Theta(\sqrt{n})$ .

Add more express lanes. Lane  $L_i$  contains roughly every  $\frac{L_{i-1}}{L_i}$ -th item from list  $L_{i-1}$ .



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▶ ...

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$$|L_k| + \sum_{i=1}^k \frac{L_{i-1}}{L_i} + 3(k+1)$$
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Choosing  $k = \Theta(\log n)$  gives a logarithmic running time.

#### How to do insert and delete?

If we want that in  $L_i$  we always skip over roughly the same number of elements in  $L_{i-1}$  an insert or delete may require a lot of re-organisation.

**Use randomization instead!** 



7.6 Skip Lists

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#### Insert:

- A search operation gives you the insert position for element x in every list.
- Flip a coin until it shows head, and record the number  $t \in \{1, 2, ...\}$  of trials needed.
- Insert x into lists  $L_0, \ldots, L_{t-1}$ .

**Delete**:

- You get all predecessors via backward pointers.
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The time for both operations is dominated by the search time.



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### Definition 1 (High Probability)

We say a **randomized** algorithm has running time  $O(\log n)$  with high probability if for any constant  $\alpha$  the running time is at most  $O(\log n)$  with probability at least  $1 - \frac{1}{n^{\alpha}}$ .

Here the  $\mathcal{O}$ -notation hides a constant that may depend on  $\alpha$ .



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Here the O-notation hides a constant that may depend on  $\alpha$ .

Suppose there are a polynomially many events  $E_1, E_2, ..., E_\ell$ ,  $\ell = n^c$  each holding with high probability (e.g.  $E_i$  may be the event that the *i*-th search in a skip list takes time at most  $O(\log n)$ ).



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This means  $Pr[E_1 \land \cdots \land E_\ell]$  holds with high probability.

#### Lemma 2

A search (and, hence, also insert and delete) in a skip list with n elements takes time O(logn) with high probability (w. h. p.).



#### **Backward analysis:**





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From this it follows that w.h.p. there are no long paths.

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$$\left(\frac{n}{k}\right)^k \le \binom{n}{k} \le \left(\frac{en}{k}\right)^k$$

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$$\binom{n}{k} = \frac{n \cdot \ldots \cdot (n-k+1)}{k!} \le \frac{n^k}{k!} = \frac{n^k \cdot k^k}{k^k \cdot k!}$$
$$= \left(\frac{n}{k}\right)^k \cdot \frac{k^k}{k!} \le \left(\frac{en}{k}\right)^k$$



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7.6 Skip Lists

Let  $E_{z,k}$  denote the event that a search path is of length z (number of edges) but does not visit a list above  $L_k$ .



7.6 Skip Lists

◆ 圖 ▶ < 置 ▶ < 置 ▶ 216/604 Let  $E_{z,k}$  denote the event that a search path is of length z (number of edges) but does not visit a list above  $L_k$ .

In particular, this means that during the construction in the backward analysis we see at most k heads (i.e., coin flips that tell you to go up) in z trials.



### $\Pr[E_{z,k}]$



7.6 Skip Lists

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 $\Pr[E_{z,k}] \le \Pr[\text{at most } k \text{ heads in } z \text{ trials}]$ 



7.6 Skip Lists

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 $\Pr[E_{z,k}] \le \Pr[\text{at most } k \text{ heads in } z \text{ trials}]$ 

$$\leq \binom{z}{k} 2^{-(z-k)}$$



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7.6 Skip Lists

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choosing  $k = \gamma \log n$  with  $\gamma \ge 1$  and  $z = (\beta + \alpha)\gamma \log n$ 



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now choosing  $\beta = 6\alpha$  gives

 $\Pr[E_{z,k}] \leq \Pr[\text{at most } k \text{ heads in } z \text{ trials}]$ 

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for  $\alpha \geq 1$ .



7.6 Skip Lists

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So far we fixed  $k = \gamma \log n$ ,  $\gamma \ge 1$ , and  $z = 7\alpha \gamma \log n$ ,  $\alpha \ge 1$ .



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This means that a search path of length  $\Omega(\log n)$  visits a list on a level  $\Omega(\log n)$ , w.h.p.



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Let  $A_{k+1}$  denote the event that the list  $L_{k+1}$  is non-empty. Then

$$\Pr[A_{k+1}] \le n2^{-(k+1)} \le n^{-(\gamma-1)}$$
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For the search to take at least  $z = 7\alpha \gamma \log n$  steps either the event  $E_{z,k}$  or the even  $A_{k+1}$  must hold.

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Pr[search requires z steps]



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 $\Pr[\text{search requires } z \text{ steps}] \le \Pr[E_{z,k}] + \Pr[A_{k+1}]$ 

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For the search to take at least  $z = 7 \alpha y \log n$  steps either the event  $E_{z,k}$  or the even  $A_{k+1}$  must hold. Hence,

 $\begin{aligned} &\Pr[\text{search requires } z \text{ steps}] \leq \Pr[E_{z,k}] + \Pr[A_{k+1}] \\ &\leq n^{-\alpha} + n^{-(\gamma-1)} \end{aligned}$ 



So far we fixed  $k = \gamma \log n$ ,  $\gamma \ge 1$ , and  $z = 7\alpha \gamma \log n$ ,  $\alpha \ge 1$ .

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For the search to take at least  $z = 7 \alpha y \log n$  steps either the event  $E_{z,k}$  or the even  $A_{k+1}$  must hold. Hence,

 $\Pr[\text{search requires } z \text{ steps}] \le \Pr[E_{z,k}] + \Pr[A_{k+1}]$  $\le n^{-\alpha} + n^{-(\gamma-1)}$ 

This means, the search requires at most *z* steps, w. h. p.

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