

7.7 Hashing

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- ▶ **$S.insert(x)$** : Insert an element x .
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So far we have implemented the search for a key by carefully choosing split-elements.

Then the memory location of an object x with key k is determined by successively comparing k to split-elements.

Hashing tries to **directly** compute the memory location from the given key. The goal is to have constant search time.

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Definitions:

- ▶ Universe U of keys, e.g., $U \subseteq \mathbb{N}_0$. U very large.
- ▶ Set $S \subseteq U$ of keys, $|S| = m \leq n$.
- ▶ Array $T[0, \dots, n-1]$ hash-table.
- ▶ Hash function $h : U \rightarrow [0, \dots, n-1]$.

The hash-function h should fulfill:

- ▶ Fast to evaluate.
- ▶ Small storage requirement.
- ▶ Good distribution of elements over the whole table.

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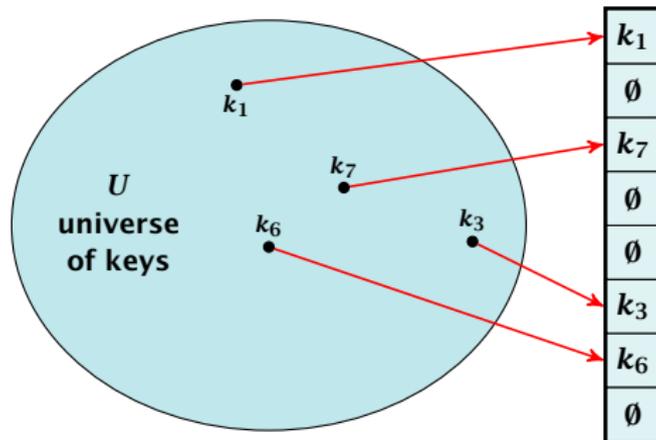
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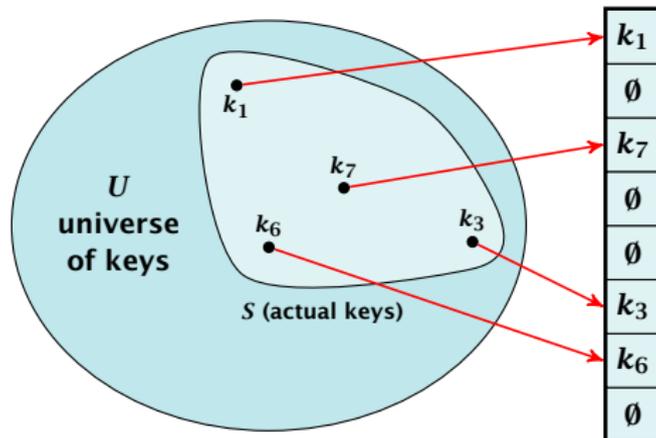
Ideally the hash function maps **all** keys to different memory locations.



This special case is known as **Direct Addressing**. It is usually very unrealistic as the universe of keys typically is quite large, and in particular larger than the available memory.

7.7 Hashing

Suppose that we **know** the set S of actual keys (no insert/no delete). Then we may want to design a **simple** hash-function that maps all these keys to different memory locations.



Such a hash function h is called a **perfect hash function** for set S .

7.7 Hashing

If we do not know the keys in advance, the best we can hope for is that the hash function distributes keys evenly across the table.

Problem: Collisions

Usually the universe U is much larger than the table-size n .

Hence, there may be two elements k_1, k_2 from the set S that map to the same memory location (i.e., $h(k_1) = h(k_2)$). This is called a collision.

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Typically, collisions do not appear once the size of the set S of actual keys gets close to n , but already once $|S| \geq \omega(\sqrt{n})$.

Lemma 21

The probability of having a collision when hashing m elements into a table of size n under uniform hashing is at least

$$1 - e^{-\frac{m(m-1)}{2n}} \approx 1 - e^{-\frac{m^2}{2n}}.$$

Uniform hashing:

Choose a hash function uniformly at random from all functions $f : U \rightarrow [0, \dots, n-1]$.

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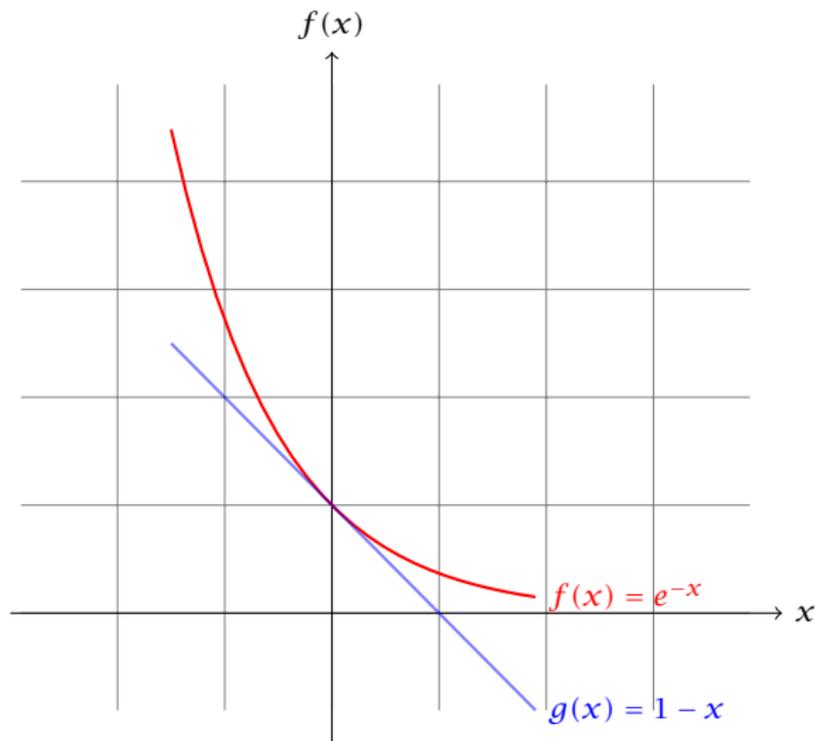
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Here the first equality follows since the ℓ -th element that is hashed has a probability of $\frac{n-\ell+1}{n}$ to not generate a collision under the condition that the previous elements did not induce collisions. □



The inequality $1 - x \leq e^{-x}$ is derived by stopping the Taylor-expansion of e^{-x} after the second term.

Resolving Collisions

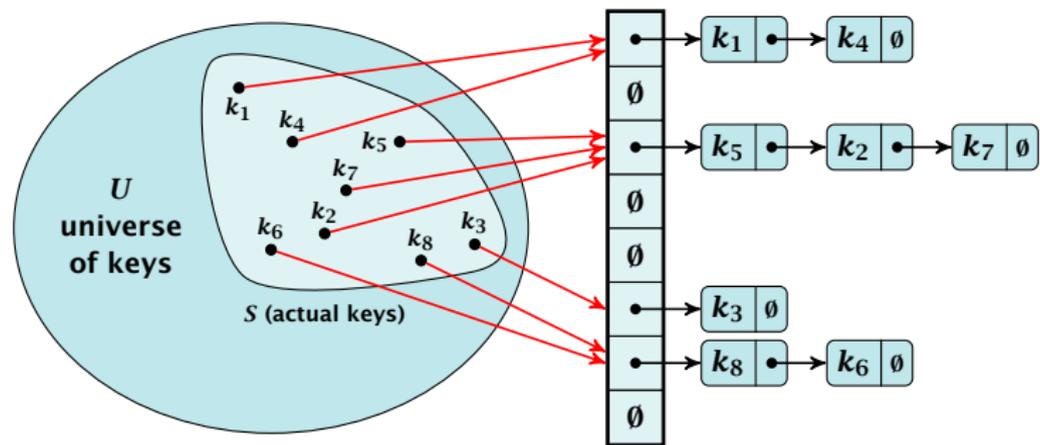
The methods for dealing with collisions can be classified into the two main types

- ▶ **open addressing**, aka. closed hashing
- ▶ **hashing with chaining**. aka. closed addressing, open hashing.

Hashing with Chaining

Arrange elements that map to the same position in a linear list.

- ▶ Access: compute $h(x)$ and search list for $\text{key}[x]$.
- ▶ Insert: insert at the front of the list.



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Let A denote a strategy for resolving collisions. We use the following notation:

- ▶ A^+ denotes the average time for a **successful** search when using A ;
- ▶ A^- denotes the average time for an **unsuccessful** search when using A ;
- ▶ We parameterize the complexity results in terms of $\alpha := \frac{m}{n}$, the so-called **fill factor** of the hash-table.

We assume **uniform hashing** for the following analysis.

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Note that this result does not depend on the hash-function that is used.

Hashing with Chaining

For a successful search observe that we do **not** choose a list at random, but we consider a random key k in the hash-table and ask for the search-time for k .

This is 1 plus the number of elements that lie before k in k 's list.

Let k_ℓ denote the ℓ -th key inserted into the table.

Let for two keys k_i and k_j , X_{ij} denote the event that i and j hash to the same position. Clearly, $\Pr[X_{ij} = 1] = 1/n$ for uniform hashing.

The expected successful search cost is

$$\mathbb{E} \left[\frac{1}{m} \sum_{i=1}^m \left(1 + \sum_{j=i+1}^m X_{ij} \right) \right]$$

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Hence, the expected cost for a successful search is $A^+ \leq 1 + \frac{\alpha}{2}$.

Open Addressing

All objects are stored in the table itself.

Define a function $h(k, j)$ that determines the table-position to be examined in the j -th step. The values $h(k, 0), \dots, h(k, n - 1)$ form a permutation of $0, \dots, n - 1$.

Search(k): Try position $h(k, 0)$; if it is empty your search fails; otherwise continue with $h(k, 1), h(k, 2), \dots$.

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Search(k): Try position $h(k, 0)$; if it is empty your search fails; otw. continue with $h(k, 1), h(k, 2), \dots$

Insert(x): Search until you find an empty slot; insert your element there. If your search reaches $h(k, n - 1)$, and this slot is non-empty then your table is full.

Open Addressing

Choices for $h(k, j)$:

- ▶ $h(k, i) = h(k) + i \pmod n$. Linear probing.
- ▶ $h(k, i) = h(k) + c_1 i + c_2 i^2 \pmod n$. Quadratic probing.
- ▶ $h(k, i) = h_1(k) + i h_2(k) \pmod n$. Double hashing.

For quadratic probing and double hashing one has to ensure that the search covers all positions in the table (i.e., for double hashing $h_2(k)$ must be relatively prime to n ; for quadratic probing c_1 and c_2 have to be chosen carefully).

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Linear Probing

- ▶ Advantage: **Cache-efficiency**. The new probe position is very likely to be in the cache.
- ▶ Disadvantage: **Primary clustering**. Long sequences of occupied table-positions get longer as they have a larger probability to be hit. Furthermore, they can merge forming larger sequences.

Lemma 22

Let L be the method of linear probing for resolving collisions:

$$L^+ \approx \frac{1}{2} \left(1 + \frac{1}{1 - \alpha} \right)$$

$$L^- \approx \frac{1}{2} \left(1 + \frac{1}{(1 - \alpha)^2} \right)$$

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- ▶ Any probe into the hash-table usually creates a cash-miss.

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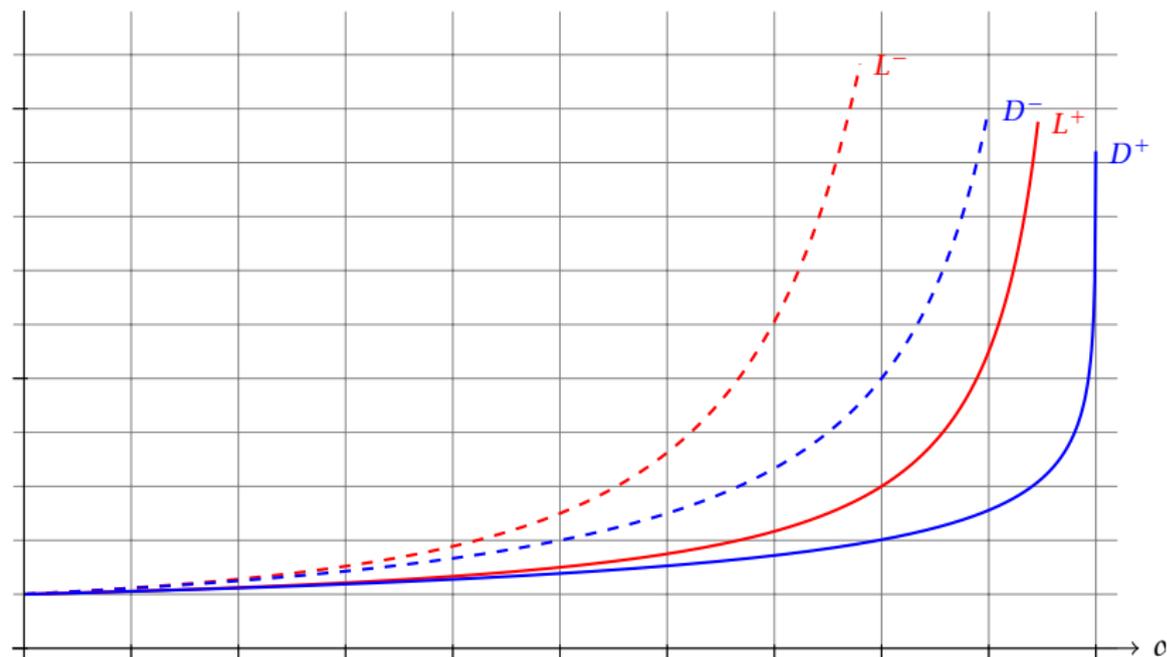
$$D^- \approx \frac{1}{1 - \alpha}$$

7.7 Hashing

Some values:

α	Linear Probing		Quadratic Probing		Double Hashing	
	L^+	L^-	Q^+	Q^-	D^+	D^-
0.5	1.5	2.5	1.44	2.19	1.39	2
0.9	5.5	50.5	2.85	11.40	2.55	10
0.95	10.5	200.5	3.52	22.05	3.15	20

7.7 Hashing



Analysis of Idealized Open Address Hashing

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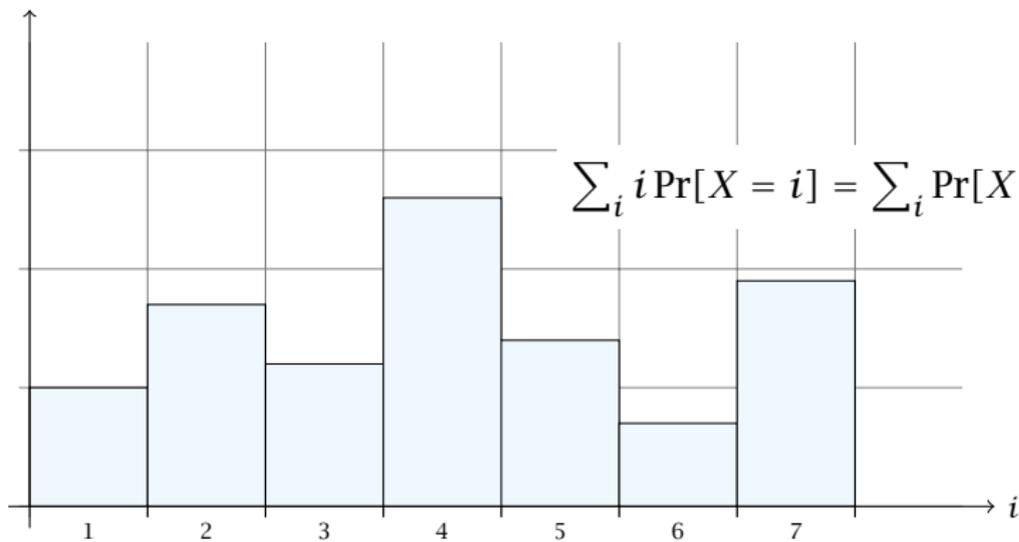
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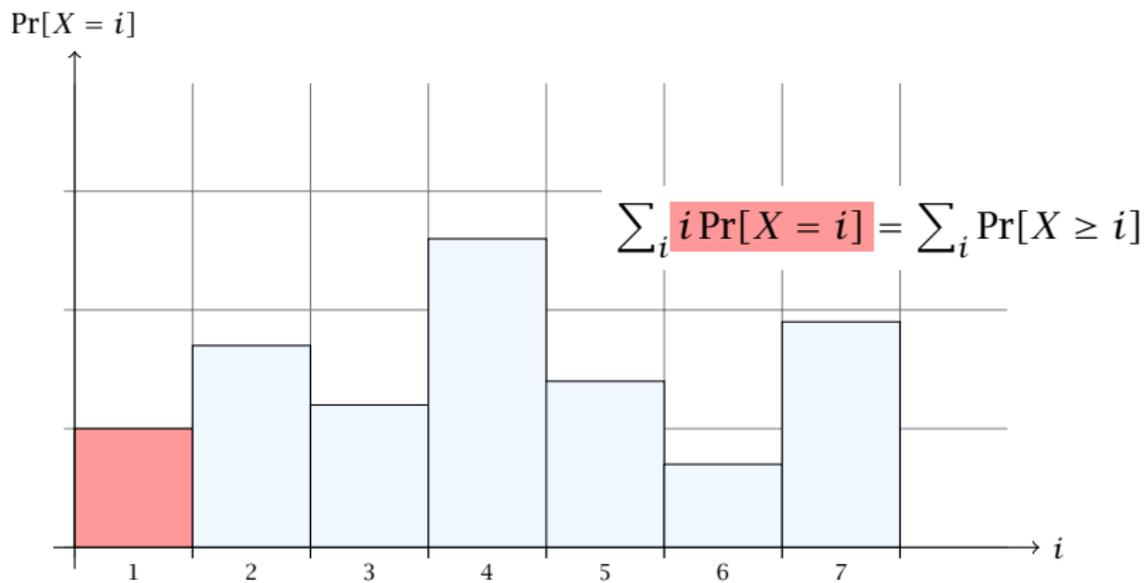
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$$\frac{1}{1 - \alpha} = 1 + \alpha + \alpha^2 + \alpha^3 + \dots$$

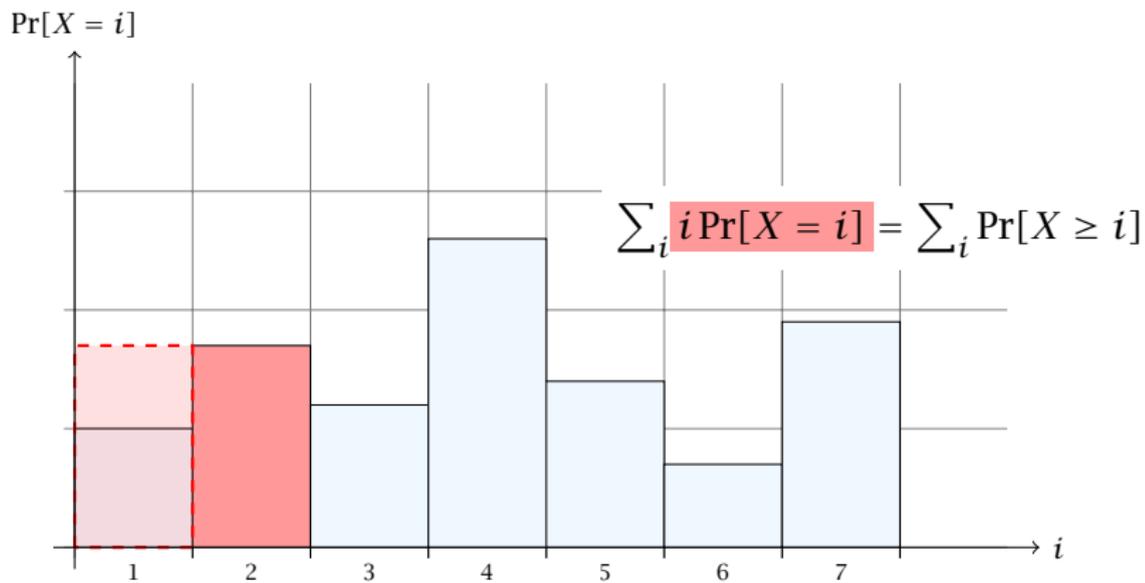
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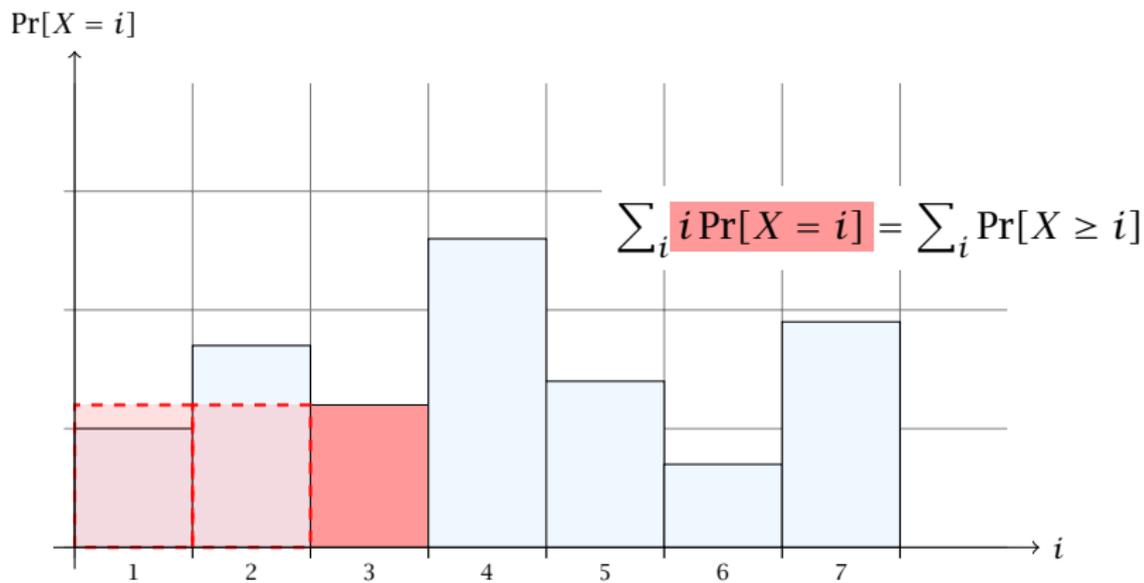
$i = 1$



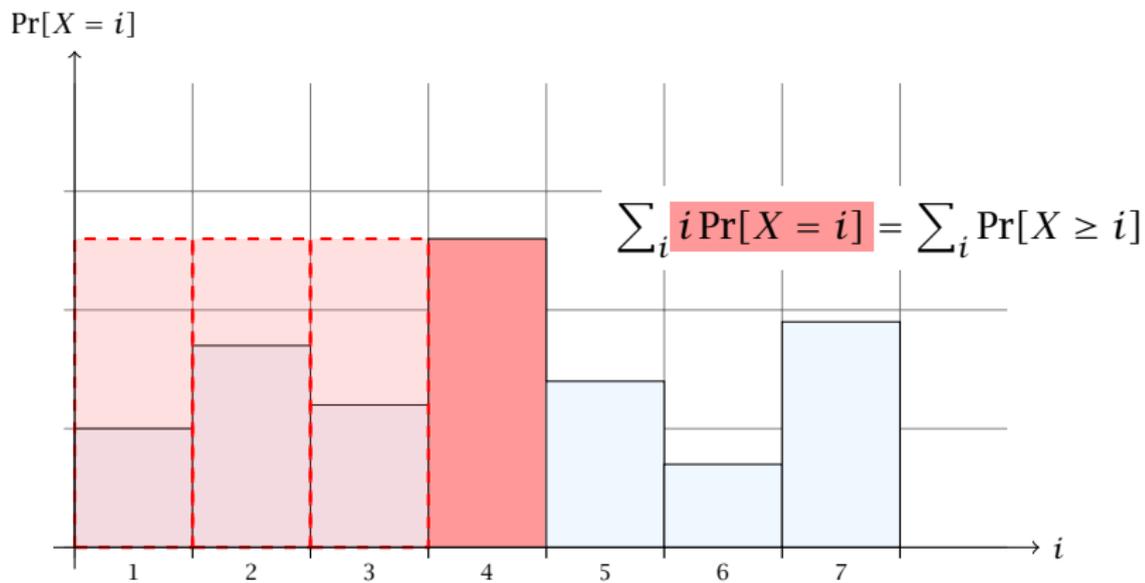
$i = 2$



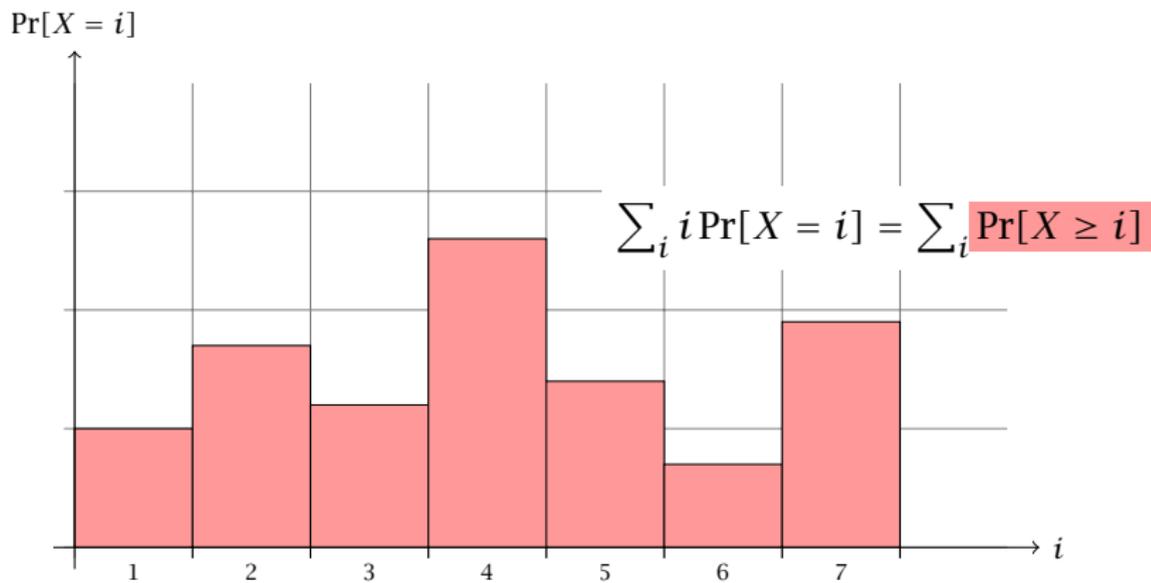
$i = 3$



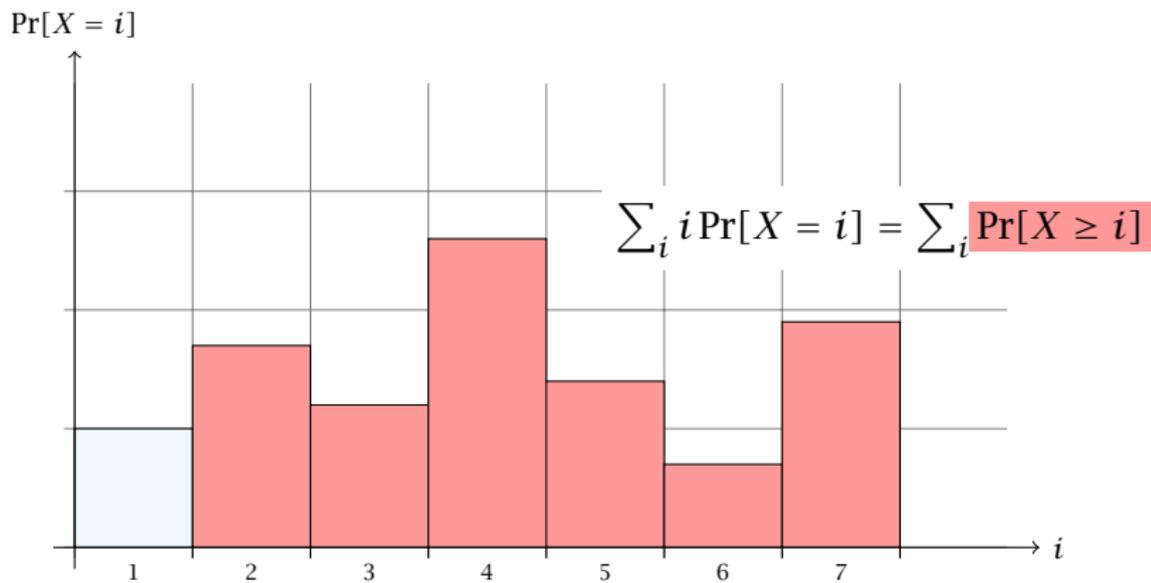
$i = 4$



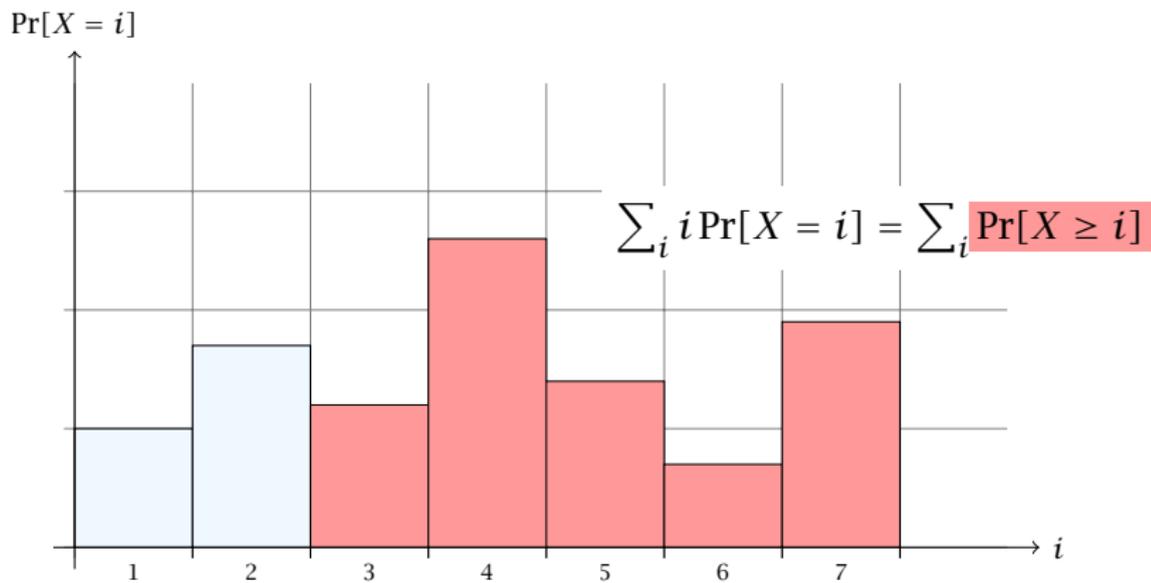
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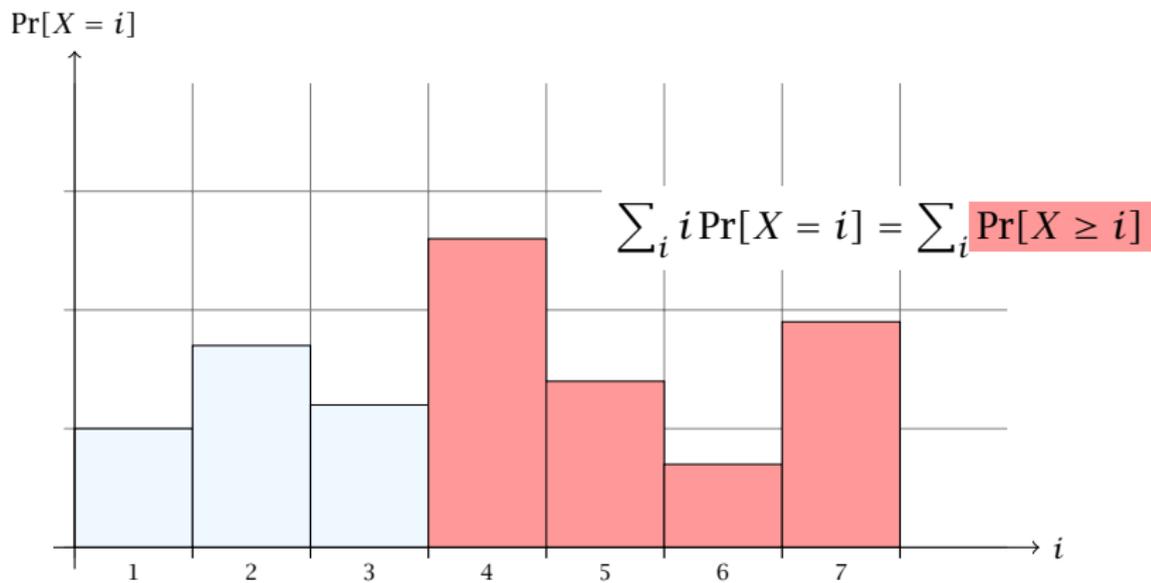
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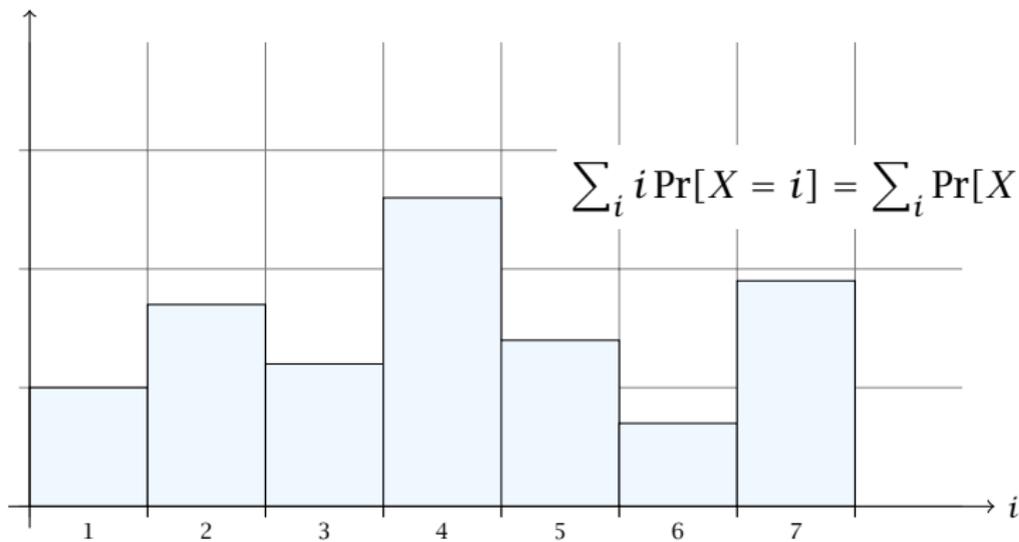
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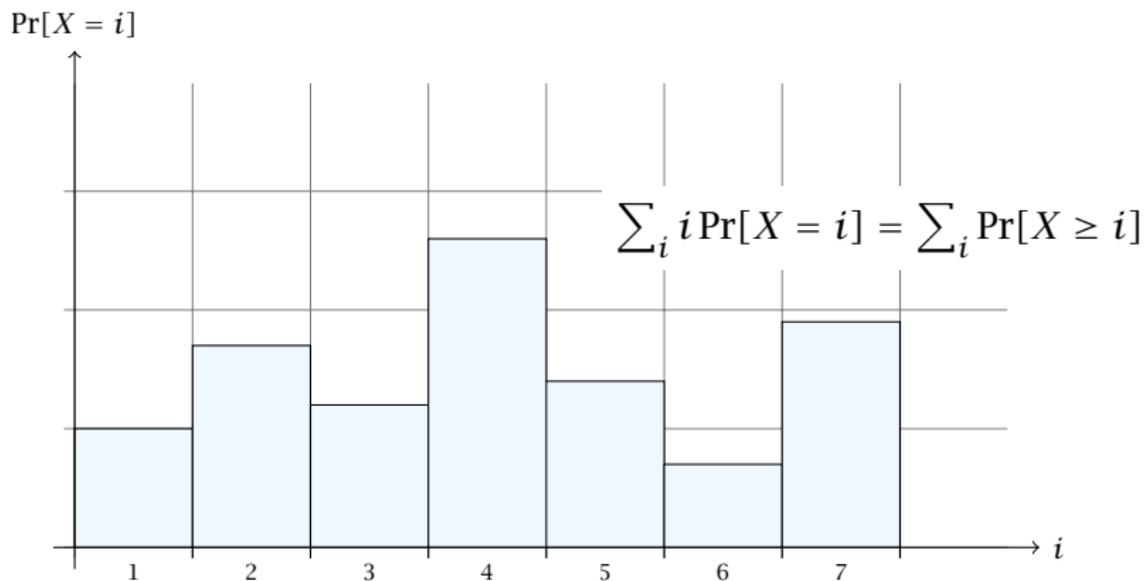


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The j -th rectangle appears in both sums j times. (j times in the first due to multiplication with j ; and j times in the second for summands $i = 1, 2, \dots, j$)

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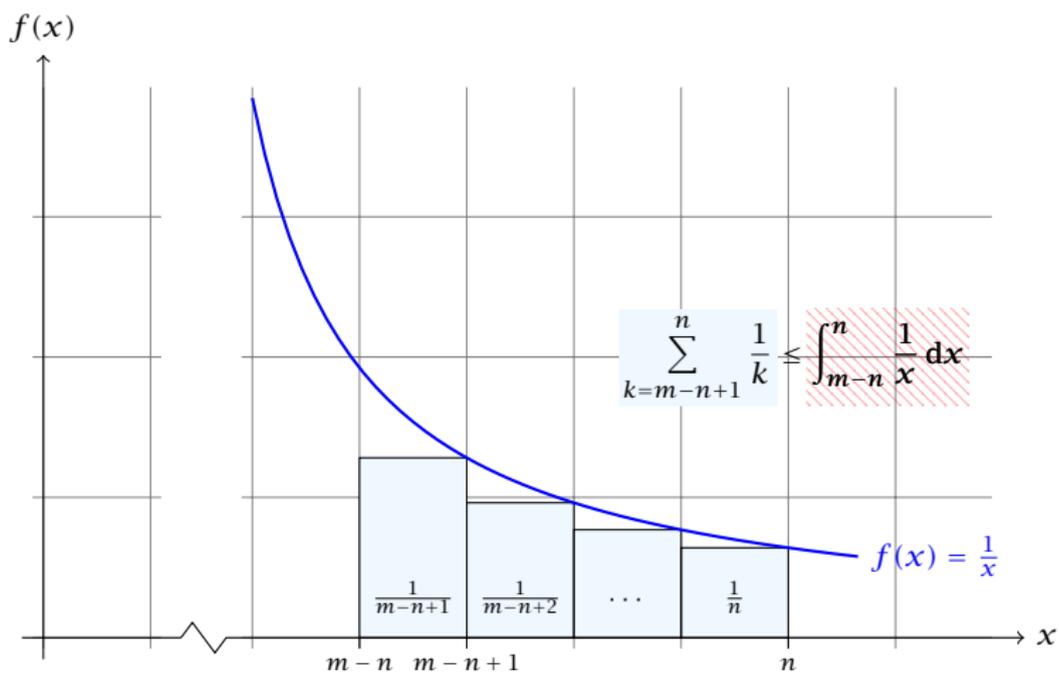
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How do we delete in a hash-table?

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Regardless, of the choice of hash-function there is always an input (a set of keys) that has a very poor worst-case behaviour.

Therefore, so far we assumed that the hash-function is random so that regardless of the input the average case behaviour is good.

However, the assumption of uniform hashing that h is chosen randomly from all functions $f : U \rightarrow [0, \dots, n - 1]$ is clearly unrealistic as there are $n^{|U|}$ such functions. Even writing down such a function would take $|U| \log n$ bits.

Universal hashing tries to define a set \mathcal{H} of functions that is much smaller but still leads to good average case behaviour when selecting a hash-function uniformly at random from \mathcal{H} .

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Definition 25

A class \mathcal{H} of hash-functions from the universe U into the set $\{0, \dots, n-1\}$ is called **universal** if for all $u_1, u_2 \in U$ with $u_1 \neq u_2$

$$\Pr[h(u_1) = h(u_2)] \leq \frac{1}{n} ,$$

where the probability is w. r. t. the choice of a random hash-function from set \mathcal{H} .

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A class \mathcal{H} of hash-functions from the universe U into the set $\{0, \dots, n-1\}$ is called **2-independent** (pairwise independent) if the following two conditions hold

- ▶ For any key $u \in U$, and $t \in \{0, \dots, n-1\}$ $\Pr[h(u) = t] = \frac{1}{n}$, i.e., a key is distributed uniformly within the hash-table.
- ▶ For all $u_1, u_2 \in U$ with $u_1 \neq u_2$, and for any two hash-positions t_1, t_2 :

$$\Pr[h(u_1) = t_1 \wedge h(u_2) = t_2] \leq \frac{1}{n^2} .$$

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$$\Pr[h(u_1) = t_1 \wedge \dots \wedge h(u_\ell) = t_\ell] \leq \left(\frac{\mu}{n}\right)^\ell,$$

where the probability is w. r. t. the choice of a random hash-function from set \mathcal{H} .

7.7 Hashing

Let $U := \{0, \dots, p-1\}$ for a prime p . Let $\mathbb{Z}_p := \{0, \dots, p-1\}$, and let $\mathbb{Z}_p^* := \{1, \dots, p-1\}$ denote the set of invertible elements in \mathbb{Z}_p .

Define

$$h_{a,b}(x) := (ax + b \bmod p) \bmod n$$

Lemma 29

The class

$$\mathcal{H} = \{h_{a,b} \mid a \in \mathbb{Z}_p^*, b \in \mathbb{Z}_p\}$$

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Proof.

Let $x, y \in U$ be two distinct keys. We have to show that the probability of a collision is only $1/n$.

$$h(x) = ax + b \equiv ay + b \pmod{p}$$

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Let $x, y \in U$ be two distinct keys. We have to show that the probability of a collision is only $1/n$.

$$\triangleright ax + b \not\equiv ay + b \pmod{p}$$

$$\text{if } x \neq y \text{ then } (x - y) \not\equiv 0 \pmod{p}$$

$$\text{multiplying with } a \not\equiv 0 \pmod{p} \text{ gives}$$

$$a(x - y) \not\equiv 0 \pmod{p}$$

Therefore, this is the case if $x \neq y$, hence, the

$$\text{probability of a collision is } 1/n$$

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► $ax + b \not\equiv ay + b \pmod{p}$

If $x \neq y$ then $(x - y) \not\equiv 0 \pmod{p}$.

Multiplying with $a \not\equiv 0 \pmod{p}$ gives

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where we use that \mathbb{Z}_p is a field (Körper) and, hence, has no zero divisors (nullteilerfrei).

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$$a \equiv (t_x - t_y)(x - y)^{-1} \pmod{p}$$

$$b \equiv ay - t_y \pmod{p}$$

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There is a one-to-one correspondence between hash-functions (pairs (a, b) , $a \neq 0$) and pairs (t_x, t_y) , $t_x \neq t_y$.

Therefore, we can view the first step (before the $(\text{mod } n)$ -operation) as choosing a pair (t_x, t_y) , $t_x \neq t_y$ uniformly at random.

What happens when we do the $(\text{mod } n)$ operation?

Fix a value t_x . There are $p - 1$ possible values for choosing t_y .

From the range $0, \dots, p - 1$ the values $t_x, t_x + n, t_x + 2n, \dots$ map to t_x after the modulo-operation. These are at most $\lceil p/n \rceil$ values.

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As $t_y \neq t_x$ there are

$$\binom{n}{2} = \frac{n(n-1)}{2}$$

possibilities for choosing t_y such that the final hash-value creates a collision.

This happens with probability at most $\frac{1}{n}$.

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As $t_y \neq t_x$ there are

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$$\Pr_{t_x \neq t_y \in \mathbb{Z}_p^2} \left[\begin{array}{l} t_x \bmod n = h_1 \\ \wedge \\ t_y \bmod n = h_2 \end{array} \right]$$

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It is also possible to show that \mathcal{H} is an (almost) pairwise independent class of hash-functions.

$$\frac{\left\lfloor \frac{p}{n} \right\rfloor^2}{p(p-1)} \leq \Pr_{t_x \neq t_y \in \mathbb{Z}_p^2} \left[\begin{array}{c} t_x \bmod n = h_1 \\ \wedge \\ t_y \bmod n = h_2 \end{array} \right] \leq \frac{\left\lfloor \frac{p}{n} \right\rfloor^2}{p(p-1)}$$

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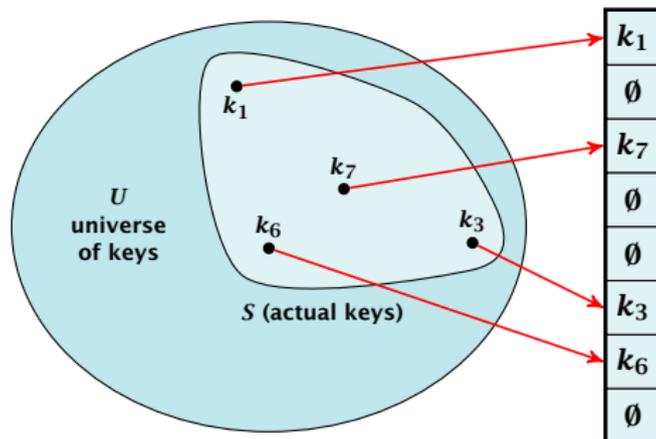
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Note that the middle is the probability that $h(x) = h_1$ and $h(y) = h_2$. The total number of choices for (t_x, t_y) is $p(p-1)$. The number of choices for t_x (t_y) such that $t_x \bmod n = h_1$ ($t_y \bmod n = h_2$) lies between $\lfloor \frac{p}{n} \rfloor$ and $\lceil \frac{p}{n} \rceil$.

Perfect Hashing

Suppose that we **know** the set S of actual keys (no insert/no delete). Then we may want to design a **simple** hash-function that maps all these keys to different memory locations.



Perfect Hashing

Let $m = |S|$. We could simply choose the hash-table size very large so that we don't get any collisions.

Using a universal hash-function the expected number of collisions is

$$E[\#\text{Collisions}] = \binom{m}{2} \cdot \frac{1}{n}.$$

If we choose $n = m^2$ the **expected number** of collisions is strictly less than $\frac{1}{2}$.

Can we get an upper bound on the **probability of having collisions?**

The probability of having 1 or more collisions can be at most $\frac{1}{2}$ as otherwise the expectation would be larger than $\frac{1}{2}$.

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We can find such a hash-function by a few trials.

However, a hash-table size of $n = m^2$ is very very high.

We construct a two-level scheme. We first use a hash-function that maps elements from S to m buckets.

Let m_j denote the number of items that are hashed to the j -th bucket. For each bucket we choose a second hash-function that maps the elements of the bucket into a table of size m_j^2 . The second function can be chosen such that all elements are mapped to different locations.

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$$= 2 \binom{m}{2} \frac{1}{m} + m = 2m - 1$$

Perfect Hashing

We need only $\mathcal{O}(m)$ time to construct a hash-function h with $\sum_j m_j^2 = \mathcal{O}(4m)$.

Then we construct a hash-table h_j for every bucket. This takes expected time $\mathcal{O}(m_j)$ for every bucket.

We only need that the hash-function is universal!!!

Cuckoo Hashing

Goal:

Try to generate a perfect hash-table (constant worst-case search time) in a dynamic scenario.

- Two hash-tables $T_1[0, \dots, m-1]$ and $T_2[0, \dots, m-1]$, with hash functions h_1 and h_2 .
- An object x is either stored at location $T_1[h_1(x)]$ or $T_2[h_2(x)]$.
- Insertion and deletion takes constant time if the algorithm doesn't fail.

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Goal:

Try to generate a perfect hash-table (constant worst-case search time) in a dynamic scenario.

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Insert:

\emptyset
\emptyset
x_7
\emptyset
\emptyset
x_4
x_1
\emptyset
\emptyset

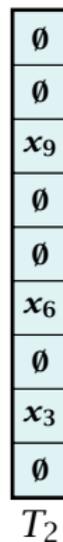
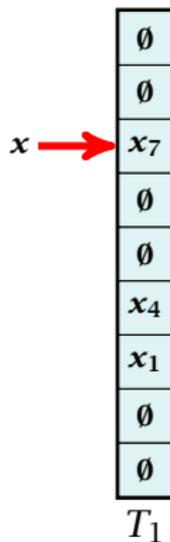
T_1

\emptyset
\emptyset
x_9
\emptyset
\emptyset
x_6
\emptyset
x_3
\emptyset

T_2

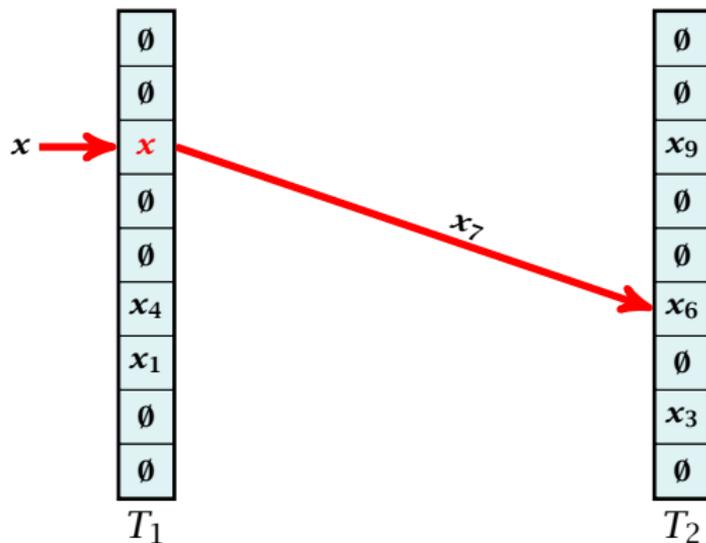
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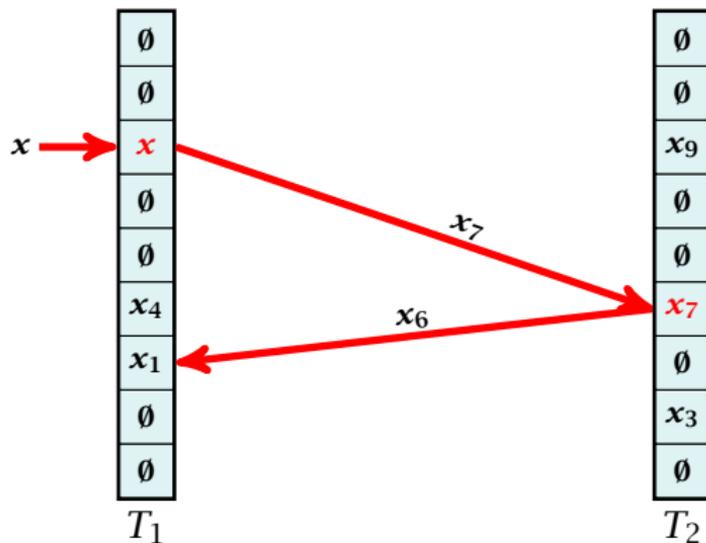
Cuckoo Hashing

Insert:



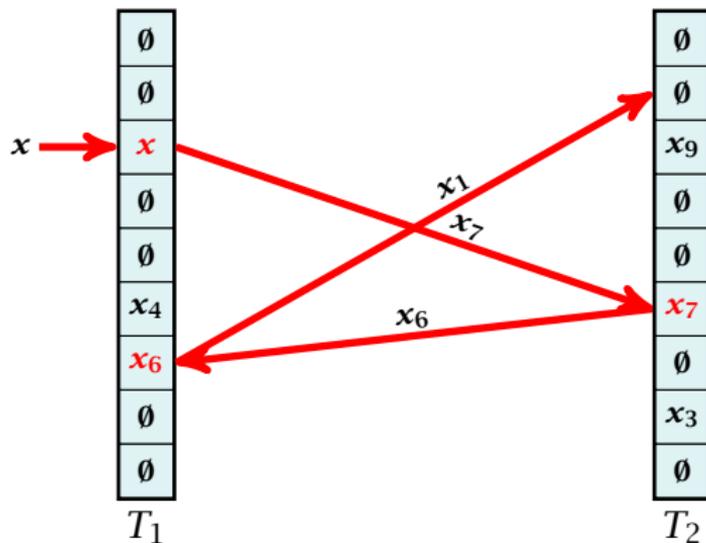
Cuckoo Hashing

Insert:



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Cuckoo Hashing

Algorithm 16 Cuckoo-Insert(x)

- 1: **if** $T_1[h_1(x)] = x \vee T_2[h_2(x)] = x$ **then return**
- 2: steps $\leftarrow 1$
- 3: **while** steps \leq maxsteps **do**
- 4: exchange x and $T_1[h_1(x)]$
- 5: **if** $x = \text{null}$ **then return**
- 6: exchange x and $T_2[h_2(x)]$
- 7: **if** $x = \text{null}$ **then return**
- 8: rehash() // change table-size and rehash everything
- 9: Cuckoo-Insert(x)

Cuckoo Hashing

What is the expected time for an insert-operation?

We first analyze the probability that we end-up in an infinite loop (that is then terminated after maxsteps steps).

Formally what is the probability to enter an infinite loop that touches ℓ different keys (apart from x)?

What is the expected time for an insert-operation?

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Cuckoo Hashing

What is the expected time for an insert-operation?

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Cuckoo Hashing

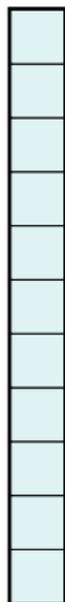
What is the expected time for an insert-operation?

We first analyze the probability that we end-up in an infinite loop (that is then terminated after `maxsteps` steps).

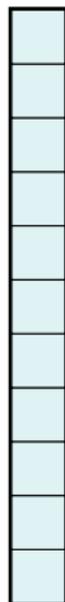
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Cuckoo Hashing

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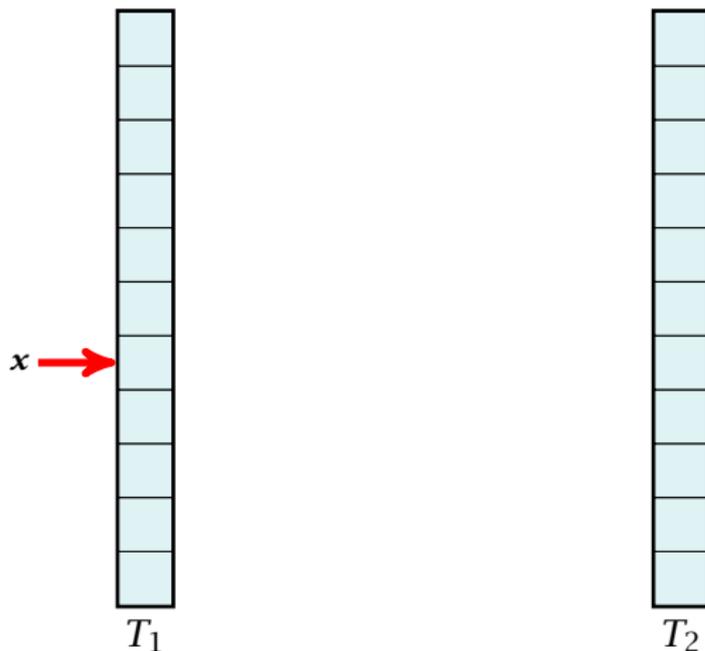
T_1



T_2

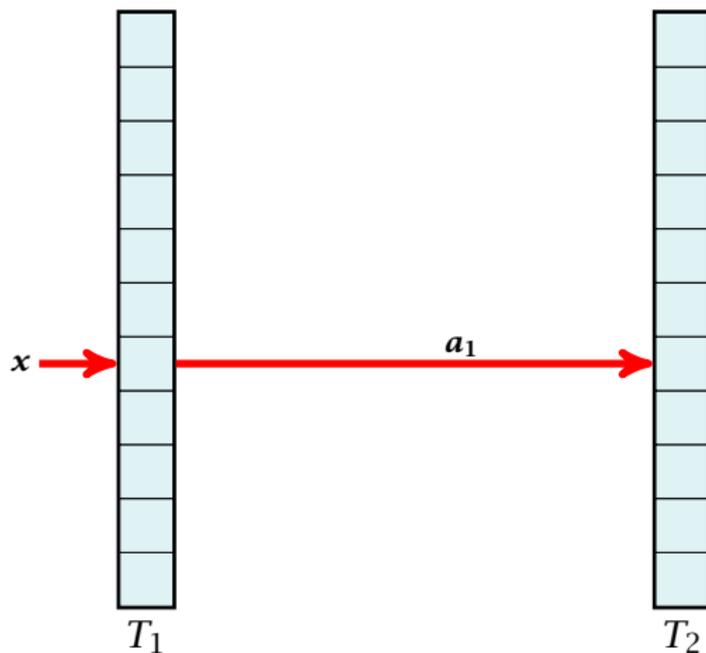
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Insert:



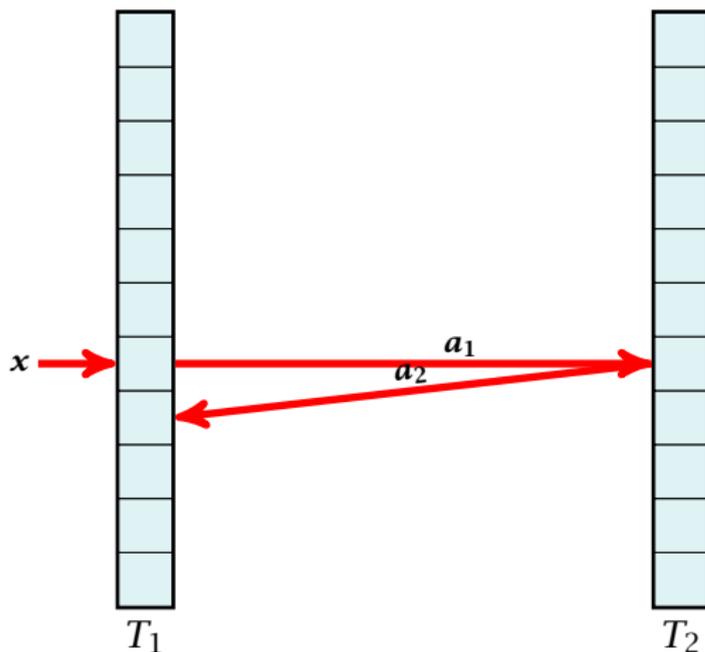
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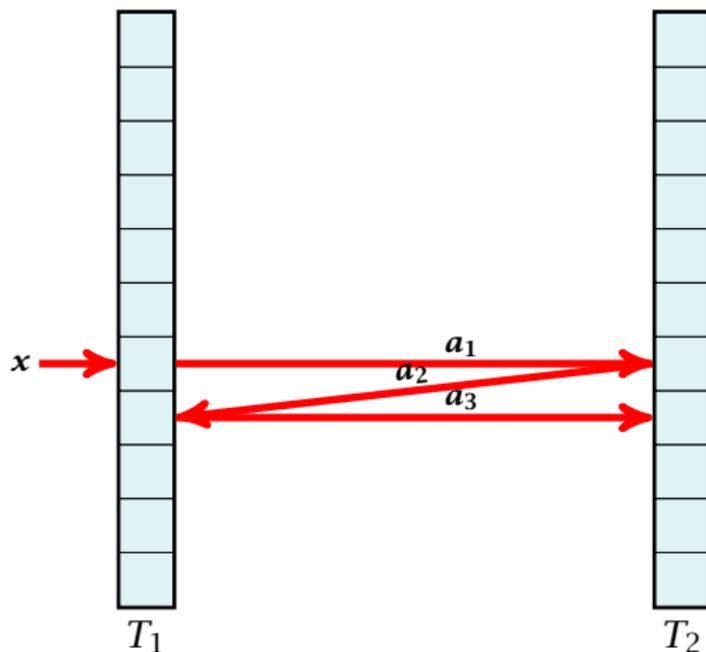
Cuckoo Hashing

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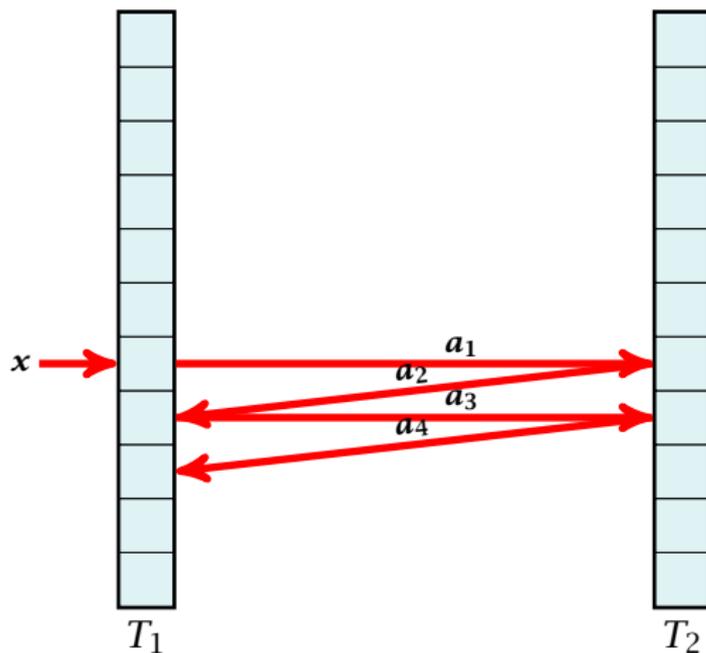
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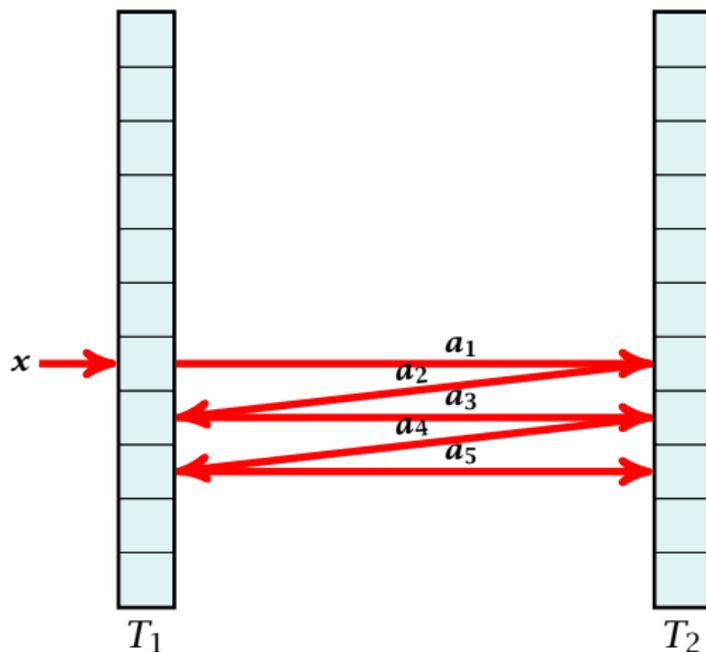
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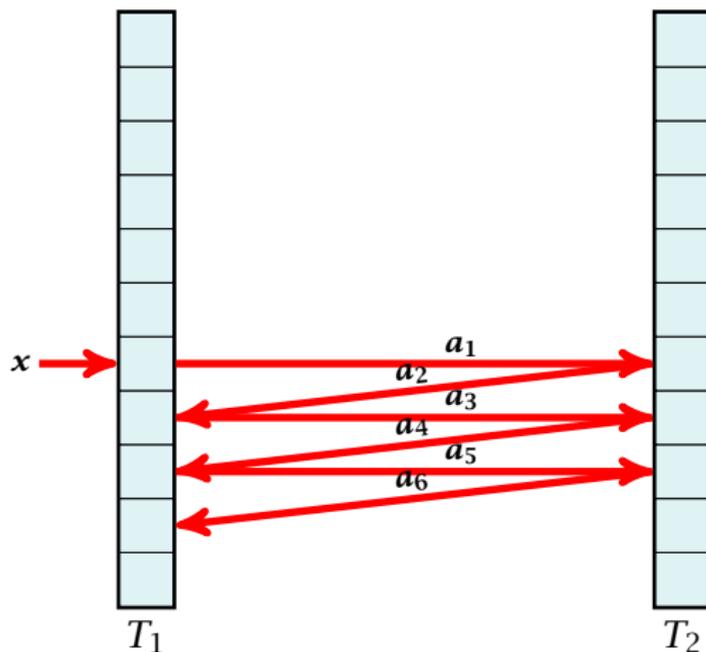
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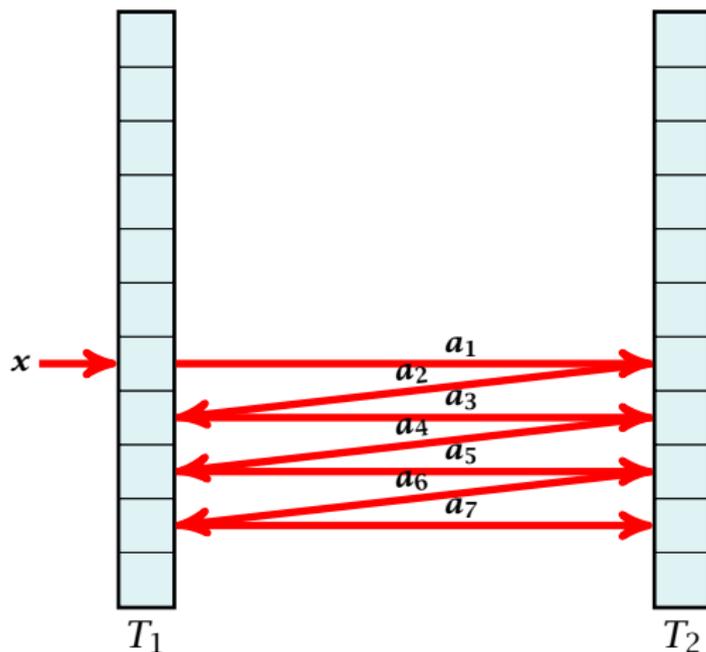
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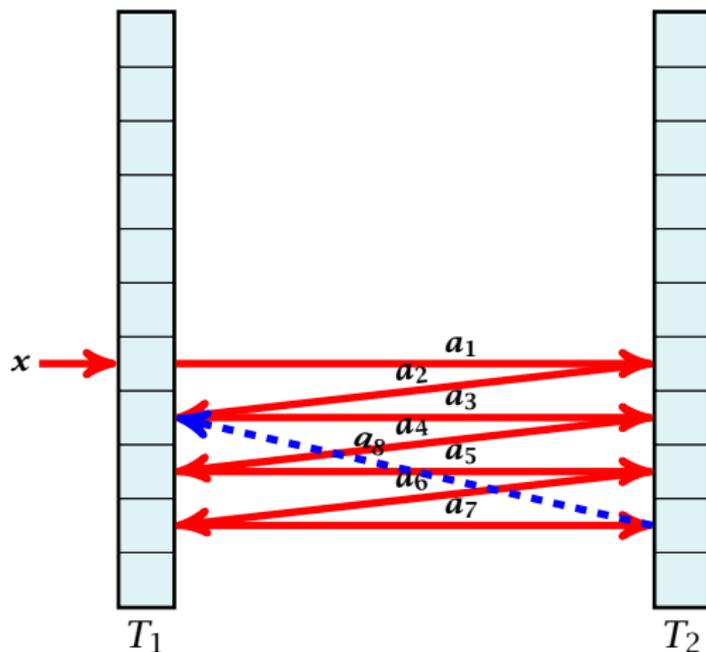
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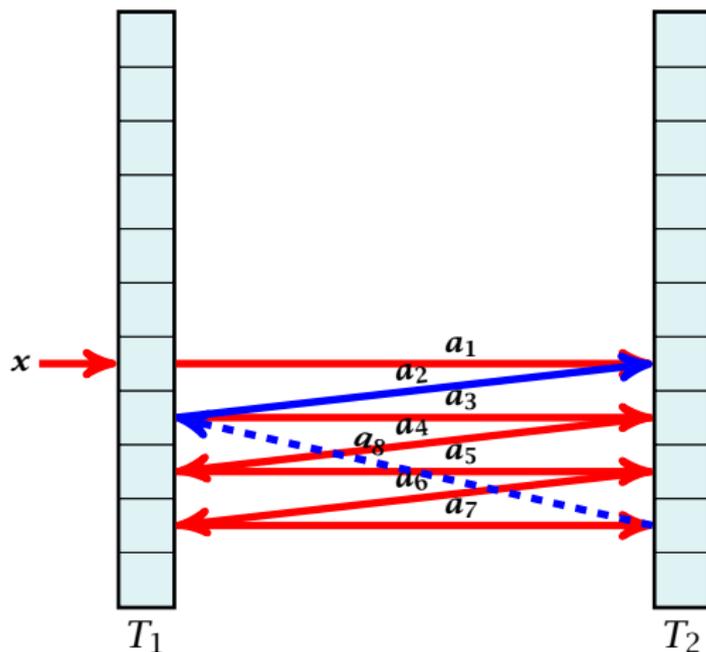
Cuckoo Hashing

Insert:



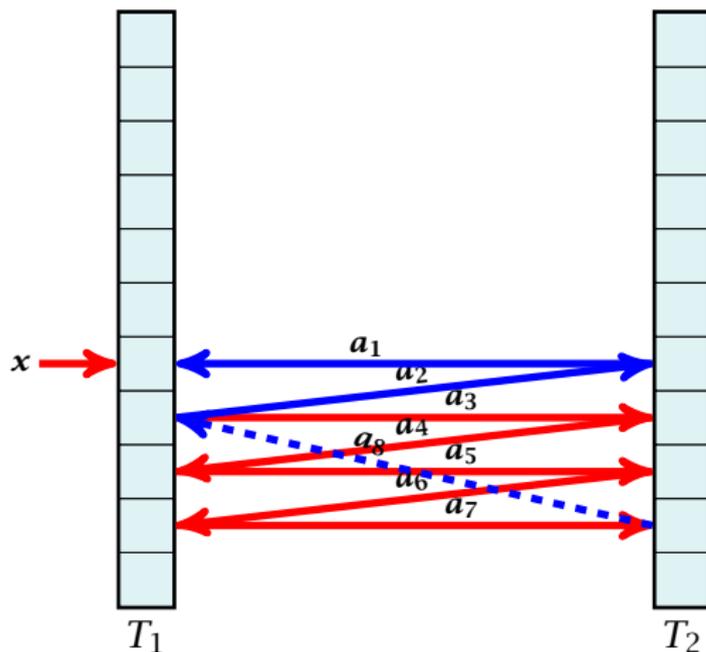
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Insert:



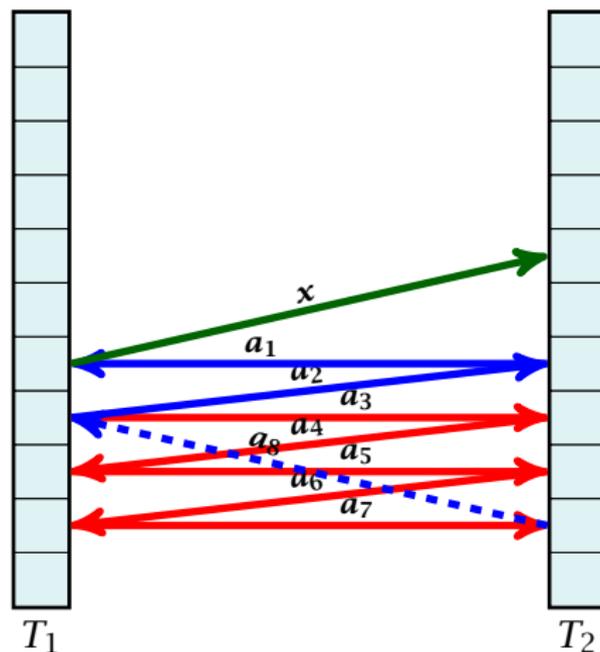
Cuckoo Hashing

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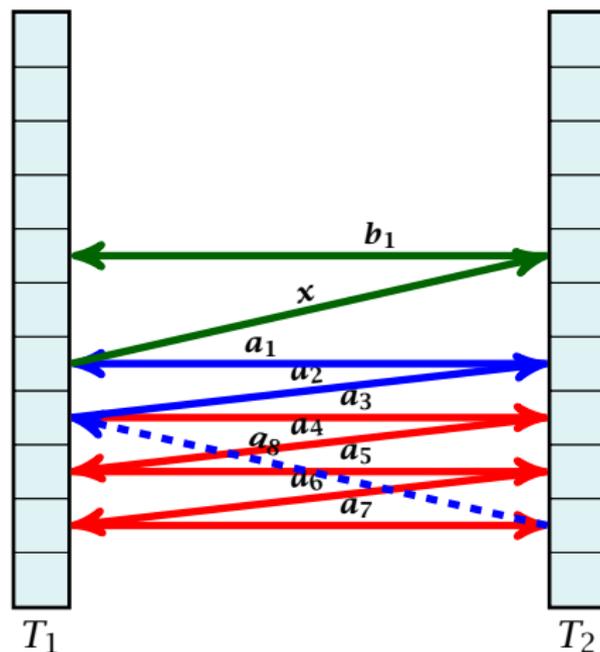
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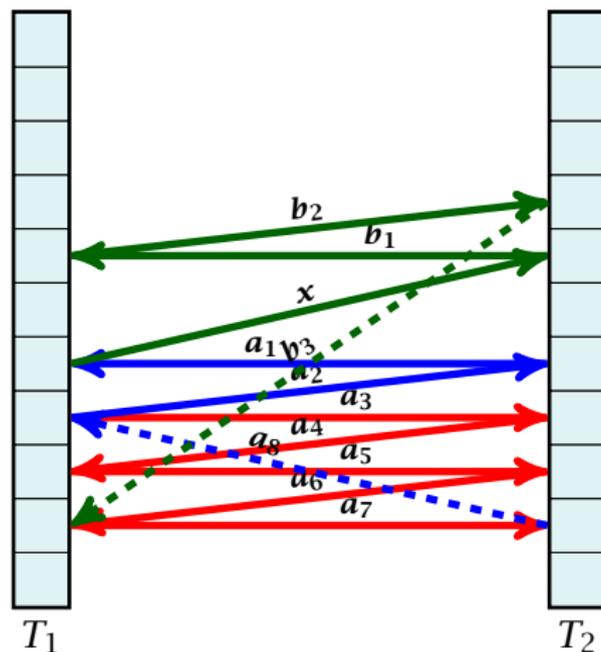
Cuckoo Hashing

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Cuckoo Hashing

A cycle-structure is defined by

$\mathcal{C}_1 = (x_1, x_2, \dots, x_n)$ that defines how much the last element x_n "jumps back" to the beginning.

$\mathcal{C}_2 = (y_1, y_2, \dots, y_m)$ that defines how much the last element y_m "jumps back" in the sequence.

• An assignment of positions for the keys in both tables.

• An ordering π_1, \dots, π_n and $\pi_{n+1}, \dots, \pi_{n+m}$.

• A cycle-structure \mathcal{C}_1 and \mathcal{C}_2 .

Cuckoo Hashing

A cycle-structure is defined by

- ▶ ℓ_a keys $a_1, a_2, \dots, a_{\ell_a}$, $\ell_a \geq 2$,
- ▶ An index $j_a \in \{1, \dots, \ell_a - 1\}$ that defines how much the last item a_{ℓ_a} “jumps back” in the sequence.
- ▶ ℓ_b keys $b_1, b_2, \dots, b_{\ell_b}$. $b \geq 0$.
- ▶ An index $j_b \in \{1, \dots, \ell_a + \ell_b\}$ that defines how much the last item b_{ℓ_b} “jumps back” in the sequence.
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Cuckoo Hashing

We say a cycle-structure is **active** for key x if the hash-functions are chosen in such a way that the hash-function results match the pre-defined key-positions.

$$h_1(x) = h_2(x_1) = p_1$$

$$h_2(x_1) = h_1(x_2) = p_2$$

$$\vdots$$

$$h_{i-1}(x_{i-1}) = h_i(x_i) = p_i$$

$$\text{if } i_1 \text{ is even then } h_{i_1}(x_{i_1}) = p_{i_1}, \text{ else } h_{i_1}(x_{i_1}) = p_{i_1}^c$$

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Cuckoo Hashing

Observation If we end up in an infinite loop there must exist a cycle-structure that is active for x .

Cuckoo Hashing

A cycle-structure is defined **without** knowing the hash-functions.

Whether a cycle-structure is active for key x depends on the hash-functions.

Lemma 30

A given cycle-structure of size s is active for key x with probability at most

$$\left(\frac{\mu}{n}\right)^{2(s+1)},$$

if we use $(\mu, s + 1)$ -independent hash-functions.

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Proof.

All positions are fixed by the cycle-structure. Therefore we ask for the probability of mapping $s + 1$ keys (the a -keys, the b -keys and x) to pre-specified positions in T_1 , **and** to pre-specified positions in T_2 .

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$$\begin{aligned} &\leq \sum_{s=2}^{\infty} \Pr[\text{there exists an act. cycle-structure of size } s] \\ &\leq \sum_{s=2}^{\infty} \frac{s^3}{mn} \left(\frac{\mu^2 m}{n}\right)^{s+1} \\ &\leq \frac{1}{mn} \sum_{s=0}^{\infty} s^3 \left(\frac{1}{1+\delta}\right)^s \end{aligned}$$

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Now assume that the insert operation takes t steps and does not create an infinite loop.

Consider the sequences $x, a_1, a_2, \dots, a_{\ell_a}$ and $x, b_1, b_2, \dots, b_{\ell_b}$ where the a_i 's and b_i 's are defined as before (but for the construction we only use keys examined during the while loop)

If the insert operation takes t steps then

$$t \leq 2\ell_a + 2\ell_b + 2$$

as no key is examined more than twice.

Hence, one of the sequences $x, a_1, a_2, \dots, a_{\ell_a}$ and $x, b_1, b_2, \dots, b_{\ell_b}$ must contain at least $t/4$ keys (either $\ell_a + 1$ or $\ell_b + 1$ must be larger than $t/4$).

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Define a sub-sequence of length ℓ starting with x , as a sequence x_1, \dots, x_ℓ of keys with $x_1 = x$, together with $\ell + 1$ positions p_0, p_1, \dots, p_ℓ from $\{0, \dots, n - 1\}$.

We say a sub-sequence is **right-active** for h_1 and h_2 if

$$h_1(x) = h_1(x_1) = p_0, h_2(x_1) = h_2(x_2) = p_1, \\ h_1(x_2) = h_1(x_3) = p_2, h_2(x_3) = h_2(x_4) = p_3, \dots$$

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For an active sequence starting with x the key x is supposed to have a collision with the second element in the sequence. This collision could either be in the table T_1 (left) or in the table T_2 (right). Therefore the above definitions differentiate between left-active and right-active.

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Cuckoo Hashing

Observation:

If the insert takes $t \geq 4\ell$ steps there must either be a left-active or a right-active sub-sequence of length ℓ starting with x .

Cuckoo Hashing

The probability that a given sub-sequence is left-active (right-active) is at most

$$\left(\frac{\mu}{n}\right)^{2\ell},$$

if we use (μ, ℓ) -independent hash-functions. This holds since there are ℓ keys whose hash-values (two values per key) have to map to pre-specified positions.

Cuckoo Hashing

The number of sequences is at most $m^{\ell-1} p^{\ell+1}$ as we can choose $\ell - 1$ keys (apart from x) and we can choose $\ell + 1$ positions p_0, \dots, p_ℓ .

The probability that there exists a left-active **or** right-active sequence of length ℓ is at most

$$\begin{aligned} & \Pr[\text{there exists active sequ. of length } \ell] \\ & \leq 2 \cdot m^{\ell-1} \cdot n^{\ell+1} \cdot \left(\frac{\mu}{n}\right)^{2\ell} \\ & \leq 2 \left(\frac{1}{1+\delta}\right)^\ell \end{aligned}$$

Cuckoo Hashing

If the search does not run into an infinite loop the probability that it takes more than 4ℓ steps is at most

$$2\left(\frac{1}{1+\delta}\right)^\ell$$

We choose $\text{maxsteps} = 4(1 + 2 \log m) / \log(1 + \delta)$. Then the probability of terminating the while-loop because of reaching maxsteps is only $\mathcal{O}(\frac{1}{m^2})$ ($\mathcal{O}(1/m^2)$) because of reaching an infinite loop and $1/m^2$ because the search takes maxsteps steps without running into a loop).

Cuckoo Hashing

The expected time for an insert under the condition that `maxsteps` is not reached is

$$\sum_{\ell \geq 0} \Pr[\text{search takes at least } \ell \text{ steps} \mid \text{iteration successful}] \\ \leq \sum_{\ell \geq 0} 8 \left(\frac{1}{1 + \delta} \right)^\ell = \mathcal{O}(1) .$$

More generally, the above expression gives a bound on the cost in the successful iteration of an insert-operation (there is exactly one successful iteration).

An iteration that is not successful induces cost $\mathcal{O}(m)$ for doing a complete rehash.

Cuckoo Hashing

The expected number of unsuccessful operations is $\mathcal{O}(\frac{1}{m^2})$.

Hence, the expected cost in unsuccessful iterations is only $\mathcal{O}(\frac{1}{m})$.

Hence, the total expected cost for an insert-operation is constant.

Cuckoo Hashing

What kind of hash-functions do we need?

Since maxsteps is $\Theta(\log m)$ it is sufficient to have $(\mu, \Theta(\log m))$ -independent hash-functions.

Cuckoo Hashing

How do we make sure that $n \geq \mu^2(1 + \delta)m$?

- ▶ Let $\alpha := 1/(\mu^2(1 + \delta))$.
- ▶ Keep track of the number of elements in the table. Whenever $m \geq \alpha n$ we double n and do a complete re-hash (table-expand).
- ▶ Whenever m drops below $\frac{\alpha}{4}n$ we divide n by 2 and do a rehash (table-shrink).
- ▶ Note that right after a change in table-size we have $m = \frac{\alpha}{2}n$. In order for a table-expand to occur at least $\frac{\alpha}{2}n$ insertions are required. Similar, for a table-shrink at least $\frac{\alpha}{4}$ deletions must occur.
- ▶ Therefore we can amortize the rehash cost after a change in table-size against the cost for insertions and deletions.

Definition 31

Let $d \in \mathbb{N}$; $q \geq n$ be a prime; and let $\vec{a} \in \{0, \dots, q-1\}^{d+1}$. Define for $x \in \{0, \dots, q\}$

$$h_{\vec{a}}(x) := \left(\sum_{i=0}^d a_i x^i \bmod q \right) \bmod n .$$

Let $\mathcal{H}_n^d := \{h_{\vec{a}} \mid \vec{a} \in \{0, \dots, q\}^{d+1}\}$. The class \mathcal{H}_n^d is $(2, d+1)$ -independent.

For the coefficients $\bar{a} \in \{0, \dots, q-1\}^{d+1}$ let $f_{\bar{a}}$ denote the polynomial

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Fix $\ell \leq d + 1$; let $x_1, \dots, x_\ell \in \{0, \dots, q - 1\}$ be keys, and let t_1, \dots, t_ℓ denote the corresponding hash-function values.

Let $A^\ell = \{h_{\bar{a}} \in \mathcal{H} \mid h_{\bar{a}}(x_i) = t_i \text{ for all } i \in \{1, \dots, \ell\}\}$

Then

$$h_{\bar{a}} \in A^\ell \Leftrightarrow h_{\bar{a}} = f_{\bar{a}} \bmod n \text{ and}$$

$$f_{\bar{a}}(x_i) \in \{t_i + \alpha \cdot n \mid \alpha \in \{0, \dots, \lceil \frac{q}{n} \rceil - 1\}\}$$

Therefore I have

$$|B_1| \cdot \dots \cdot |B_\ell| \cdot q^{d-\ell+1} \leq \lceil \frac{q}{n} \rceil^\ell \cdot q^{d-\ell+1}$$

possibilities to choose \bar{a} such that $h_{\bar{a}} \in A_\ell$.

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Therefore the probability of choosing $h_{\bar{a}}$ from A_ℓ is only

$$\frac{\lceil \frac{q}{n} \rceil^\ell \cdot q^{d-\ell+1}}{q^{d+1}} \leq \left(\frac{2}{n}\right)^\ell$$