

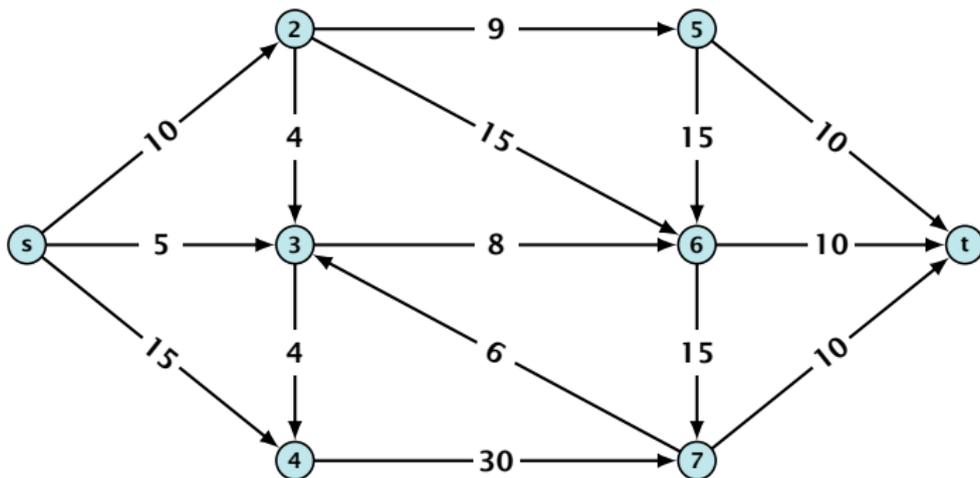
# Part IV

## Flows and Cuts

# 11 Introduction

## Flow Network

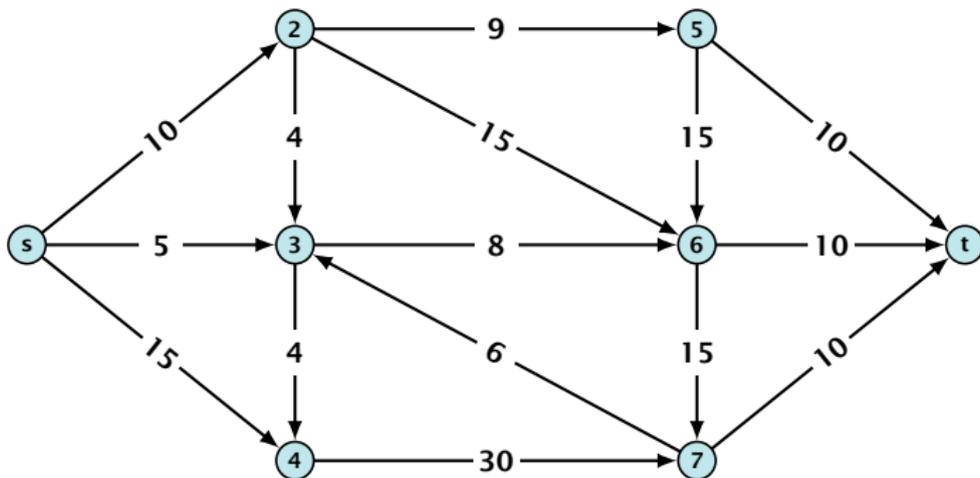
- ▶ directed graph  $G = (V, E)$ ; edge capacities  $c(e)$
- ▶ two special nodes: source  $s$ ; target  $t$ ;
- ▶ no edges entering  $s$  or leaving  $t$ ;
- ▶ at least for now: no parallel edges;



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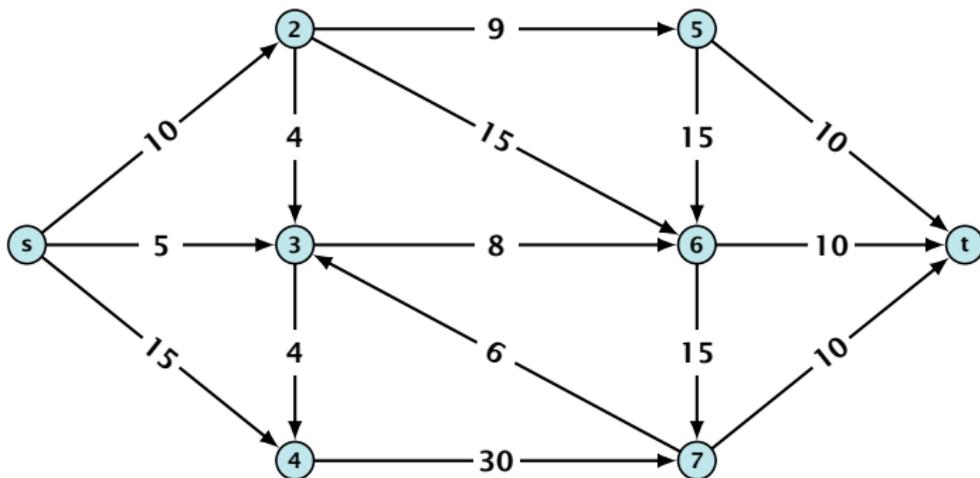
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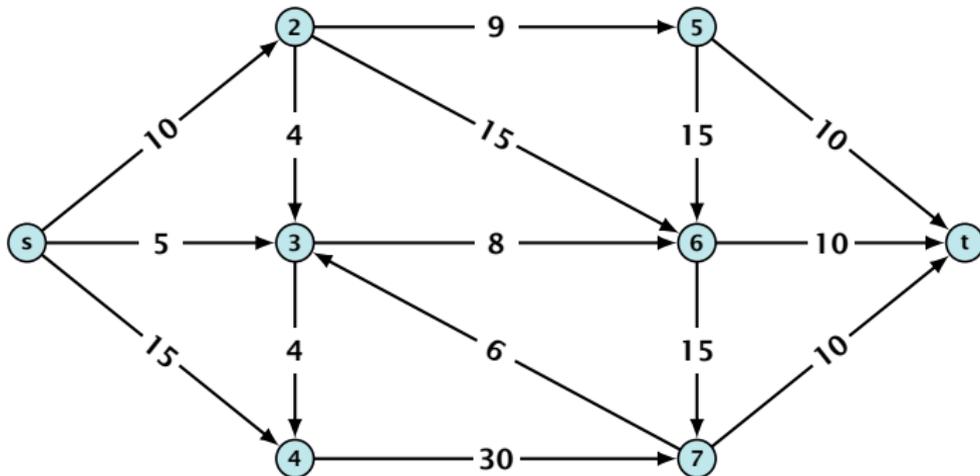
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The **capacity** of a cut  $A$  is defined as

$$\text{cap}(A, V \setminus A) := \sum_{e \in \text{out}(A)} c(e) ,$$

where  $\text{out}(A)$  denotes the set of edges of the form  $A \times V \setminus A$  (i.e. edges leaving  $A$ ).

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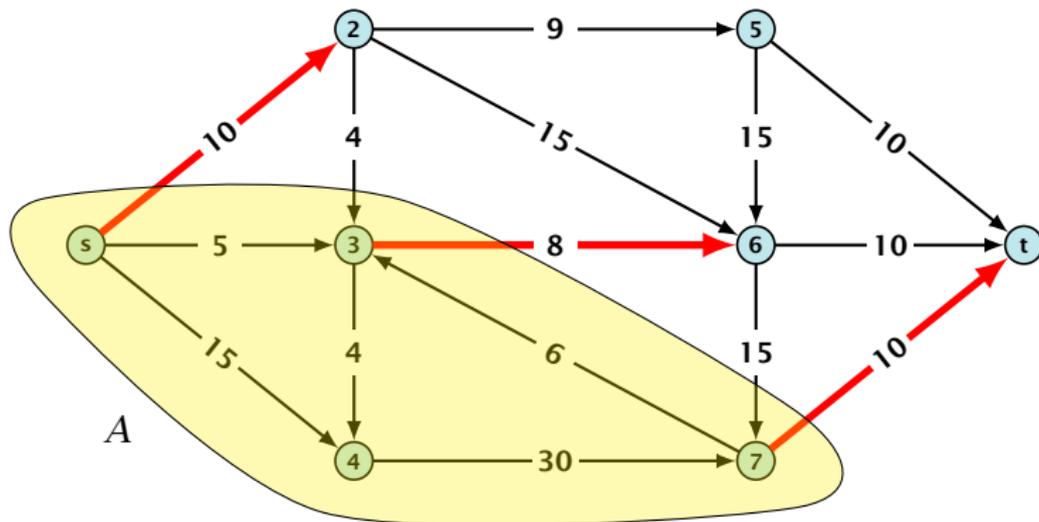
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**Minimum Cut Problem:** Find an  $(s, t)$ -cut with minimum capacity.

# Cuts

## Example 43



The capacity of the cut is  $\text{cap}(A, V \setminus A) = 28$ .

# Flows

## Definition 44

An  $(s, t)$ -flow is a function  $f : E \mapsto \mathbb{R}^+$  that satisfies

1. For each edge  $e$

$$0 \leq f(e) \leq c(e) .$$

(capacity constraints)

2. For each  $v \in V \setminus \{s, t\}$

$$\sum_{e \in \text{out}(v)} f(e) = \sum_{e \in \text{into}(v)} f(e) .$$

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## Definition 45

The value of an  $(s, t)$ -flow  $f$  is defined as

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**Maximum Flow Problem:** Find an  $(s, t)$ -flow with maximum value.

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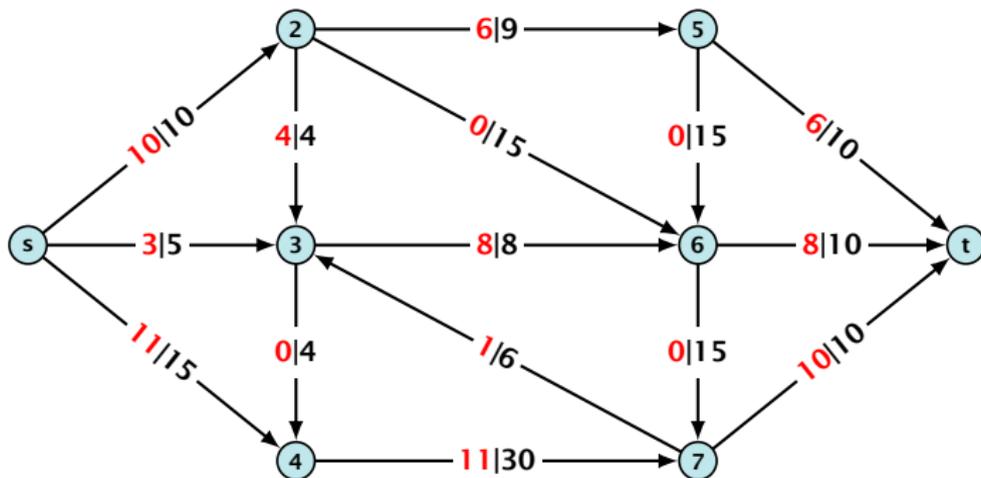
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# Flows

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The value of the flow is  $\text{val}(f) = 24$ .

## Lemma 47 (Flow value lemma)

Let  $f$  a flow, and let  $A \subseteq V$  be an  $(s, t)$ -cut. Then the *net-flow* across the cut is equal to the amount of flow leaving  $s$ , i.e.,

$$\text{val}(f) = \sum_{e \in \text{out}(A)} f(e) - \sum_{e \in \text{into}(A)} f(e) .$$

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$$\begin{aligned}\text{val}(f) &= \sum_{e \in \text{out}(s)} f(e) \\ &= \sum_{e \in \text{out}(s)} f(e) + \sum_{v \in A \setminus \{s\}} \left( \sum_{e \in \text{out}(v)} f(e) - \sum_{e \in \text{in}(v)} f(e) \right)\end{aligned}$$

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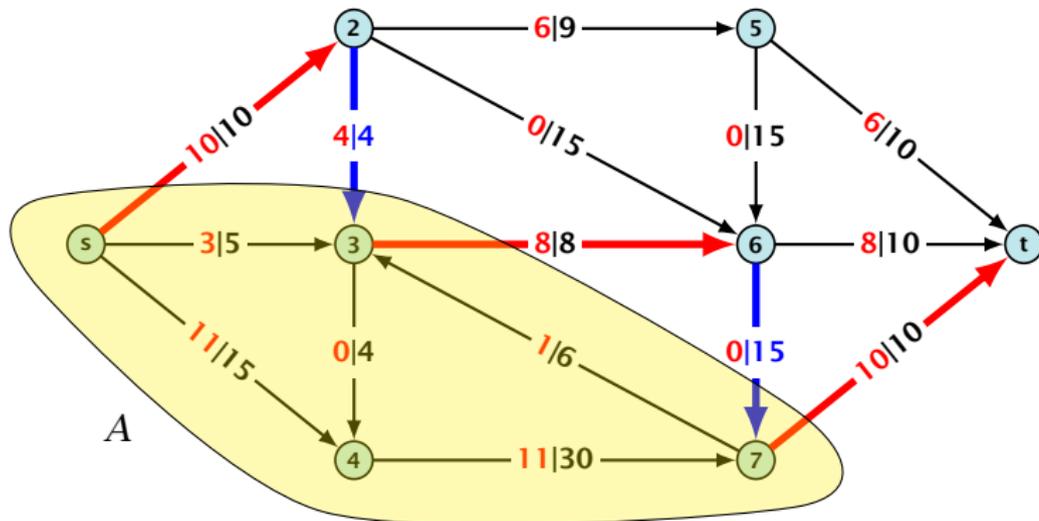
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The last equality holds since every edge with both end-points in  $A$  contributes negatively as well as positively to the sum in line 2. The only edges whose contribution doesn't cancel out are edges leaving or entering  $A$ .



## Example 48



## Corollary 49

*Let  $f$  be an  $(s, t)$ -flow and let  $A$  be an  $(s, t)$ -cut, such that*

$$\text{val}(f) = \text{cap}(A, V \setminus A).$$

*Then  $f$  is a maximum flow.*

## Corollary 49

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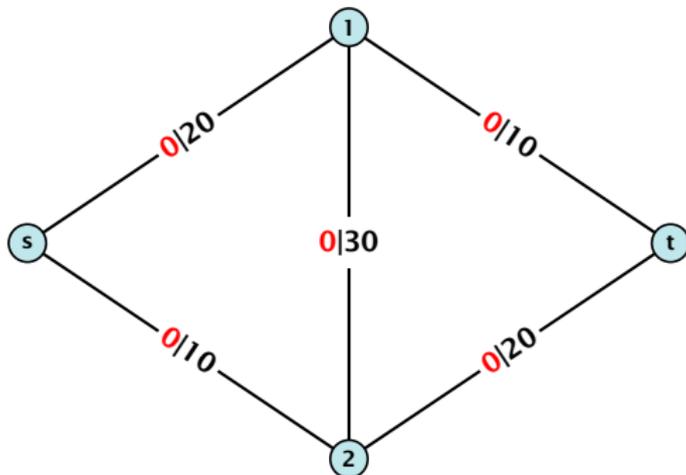
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# 12 Augmenting Path Algorithms

## Greedy-algorithm:

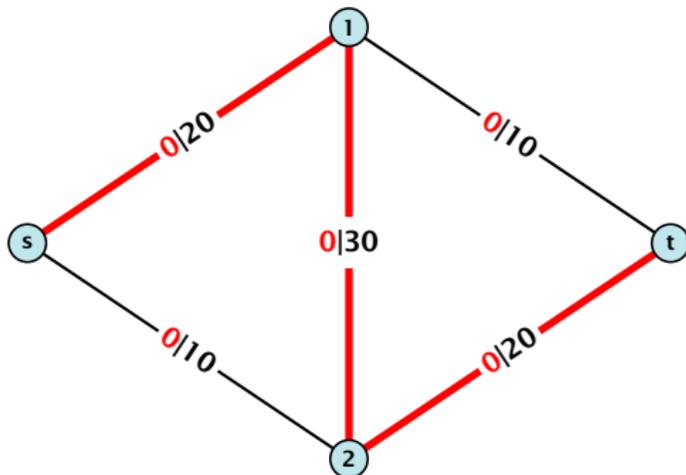
- ▶ start with  $f(e) = 0$  everywhere
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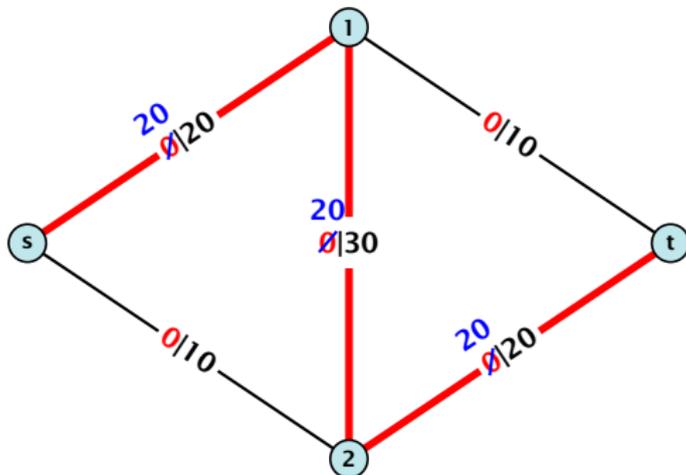
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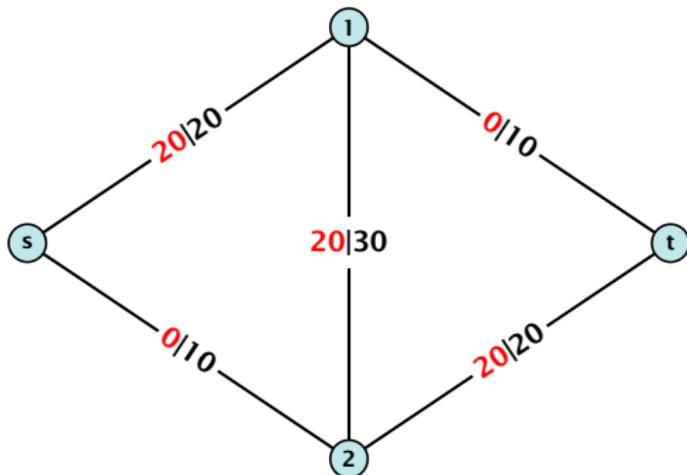
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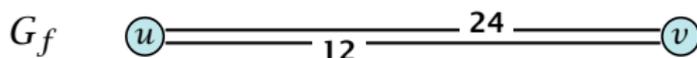
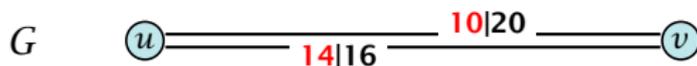
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# Augmenting Path Algorithm

## Definition 50

An **augmenting path** with respect to flow  $f$ , is a path in the auxiliary graph  $G_f$  that contains only edges with non-zero capacity.

Algorithm 45 FordFulkerson( $G = (V, E, c)$ )

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*A flow  $f$  is a maximum flow iff there are no augmenting paths.*

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*The value of a maximum flow is equal to the value of a minimum cut.*

## Proof.

Let  $f$  be a flow. The following are equivalent:

1. There exists a cut  $A, B$  such that  $\text{val}(f) = \text{cap}(A, B)$ .
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This we already showed.

2.  $\Rightarrow$  3.

If there were an augmenting path, we could improve the flow.  
Contradiction.

3.  $\Rightarrow$  1.

$f$  must be a flow with no augmenting paths.

Let  $A$  be the set of vertices reachable from  $s$  in the residual network, along non-saturated capacity edges.

$\Rightarrow$  Since there is no augmenting path we have  $t \notin A$  and  $t \in A$ .

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This finishes the proof.

Here the first equality uses the flow value lemma, and the second exploits the fact that the flow along incoming edges must be 0 as the residual graph does not have edges leaving  $A$ .

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Assumption:

All capacities are integers between 1 and  $C$ .

Invariant:

Every flow value  $f(e)$  and every residual capacity  $c_f(e)$  remains integral throughout the algorithm.

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*The algorithm terminates in at most  $\text{val}(f^*) \leq nC$  iterations, where  $f^*$  denotes the maximum flow. Each iteration can be implemented in time  $\mathcal{O}(m)$ . This gives a total running time of  $\mathcal{O}(nmC)$ .*

## Theorem 54

*If all capacities are integers, then there exists a maximum flow for which every flow value  $f(e)$  is integral.*

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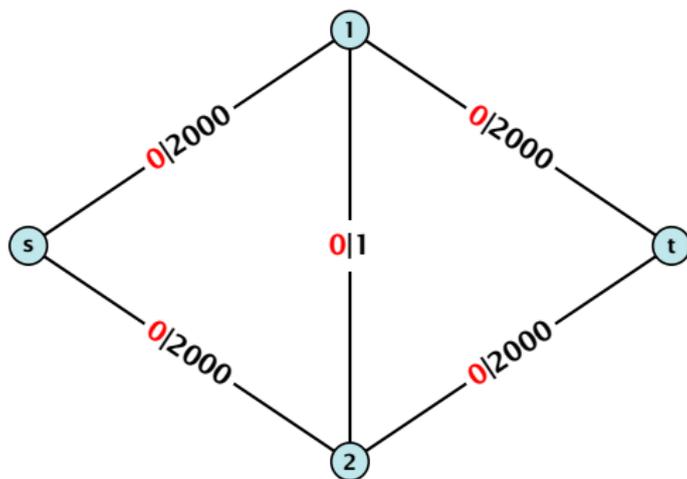
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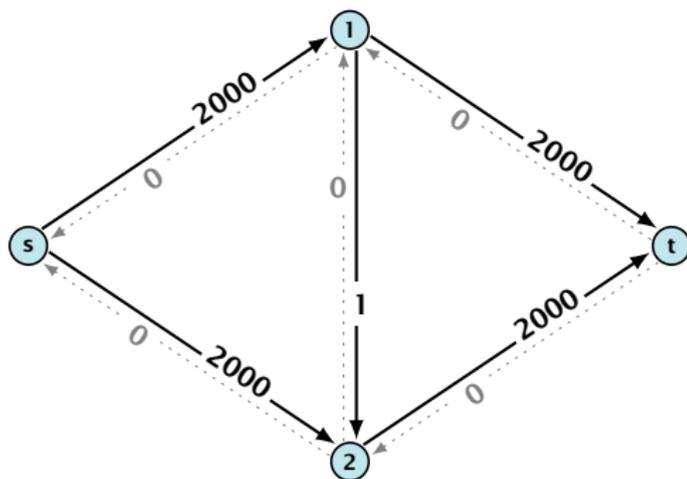
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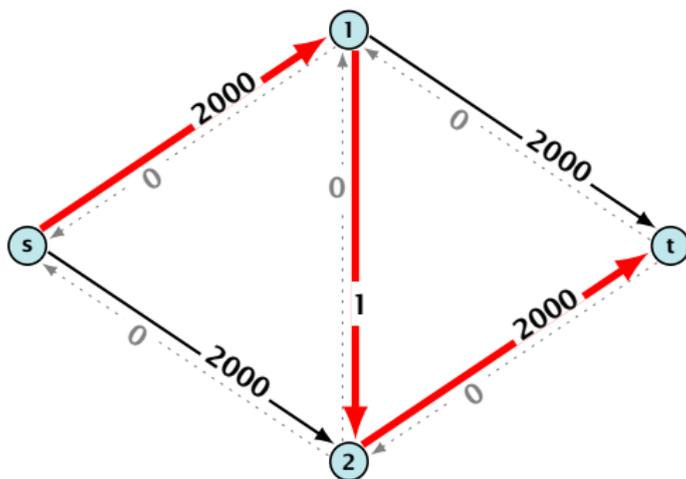


Question:

Can we tweak the algorithm so that the running time is polynomial in the input length?

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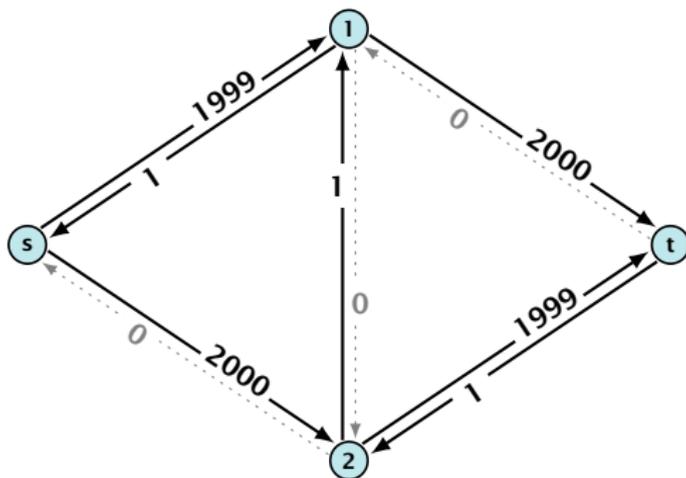


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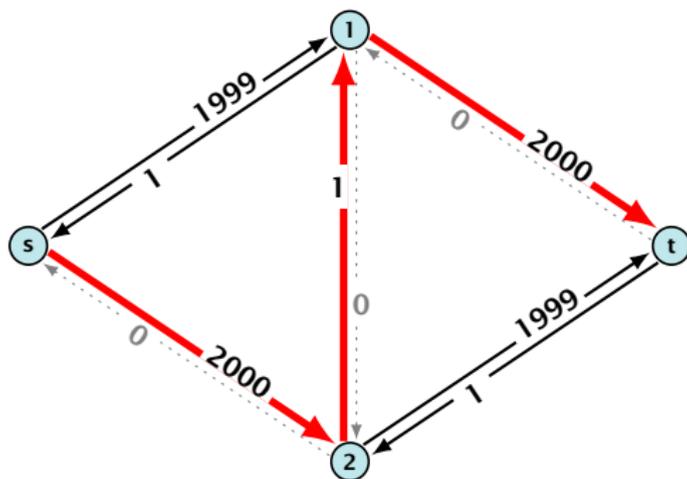


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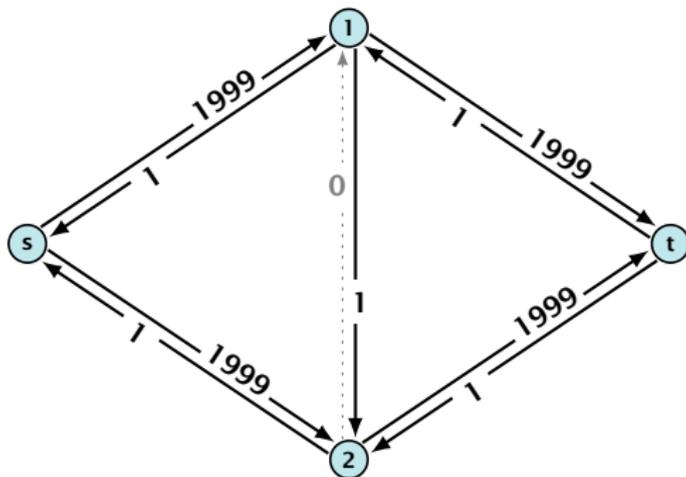


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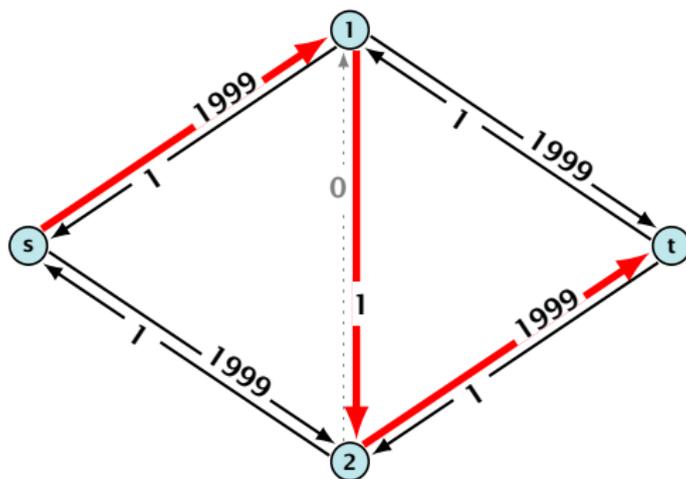


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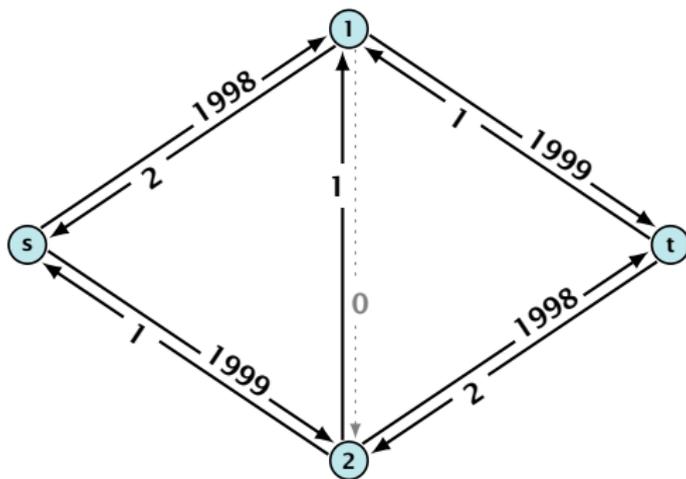


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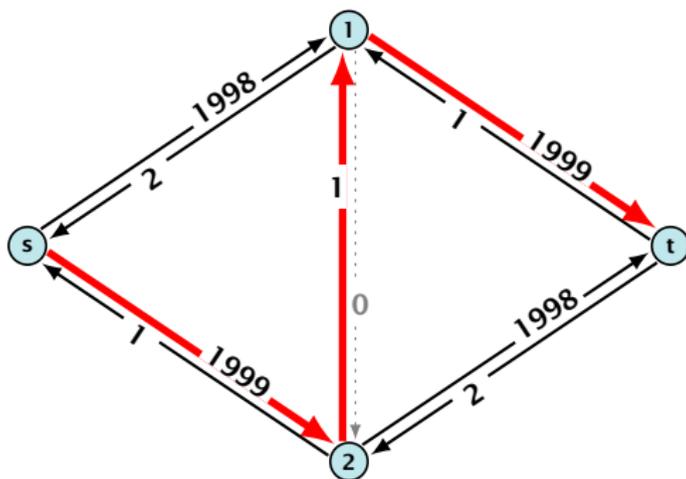


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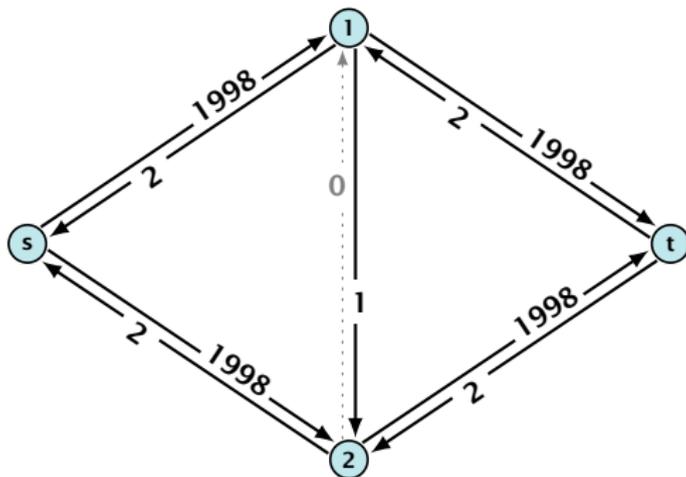


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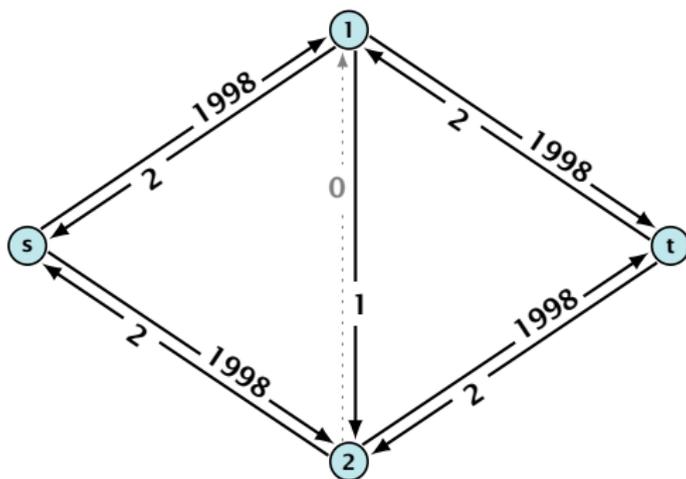


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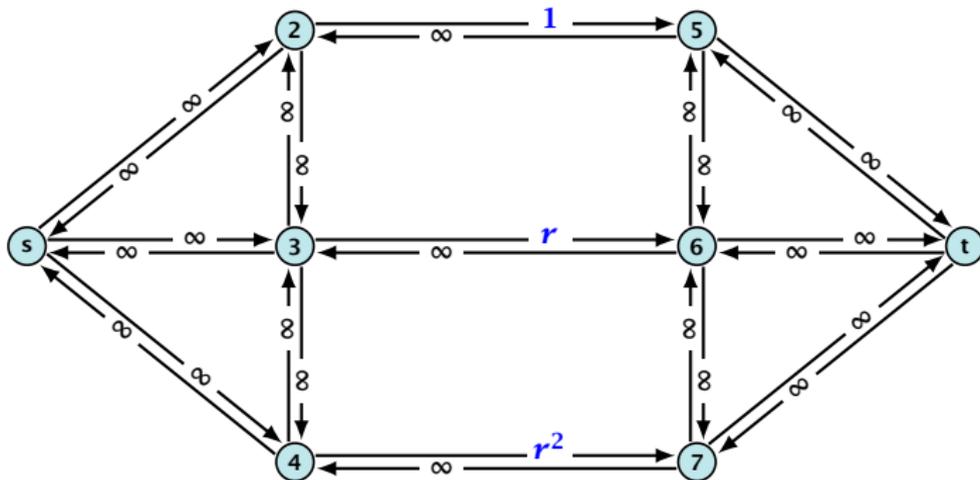


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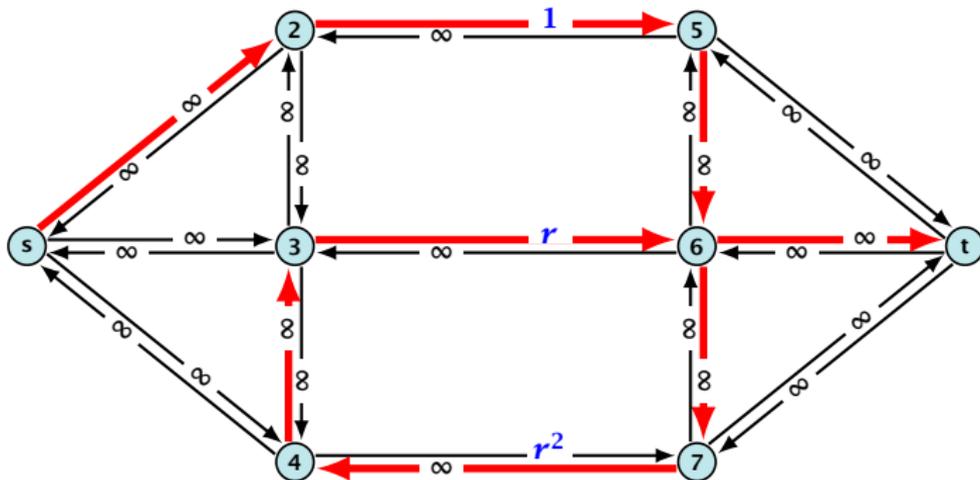
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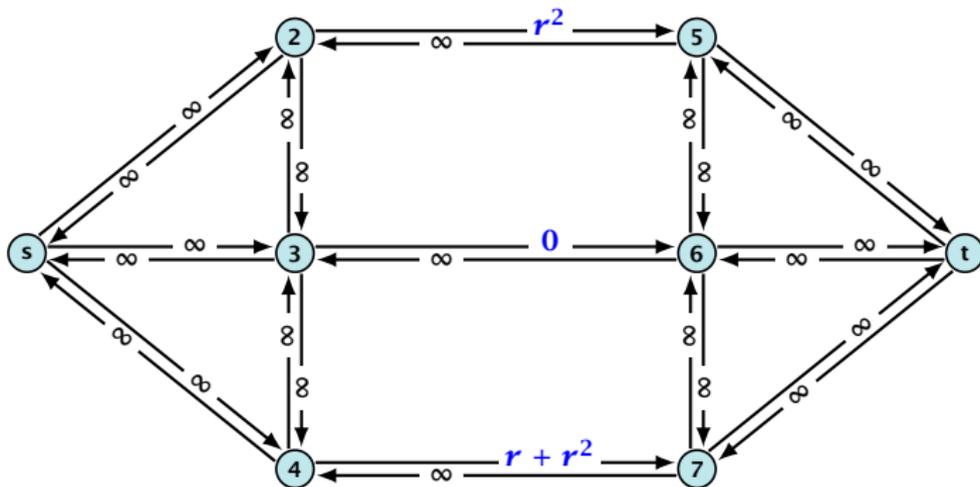
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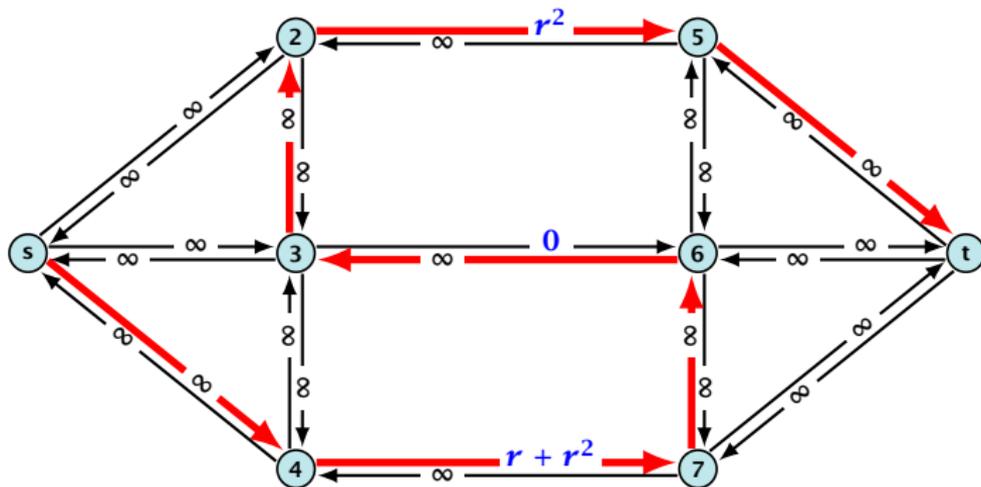
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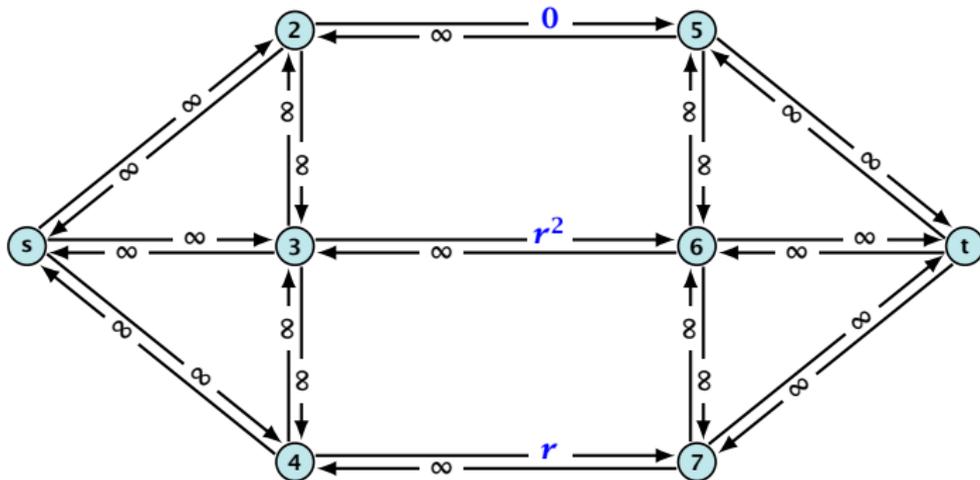
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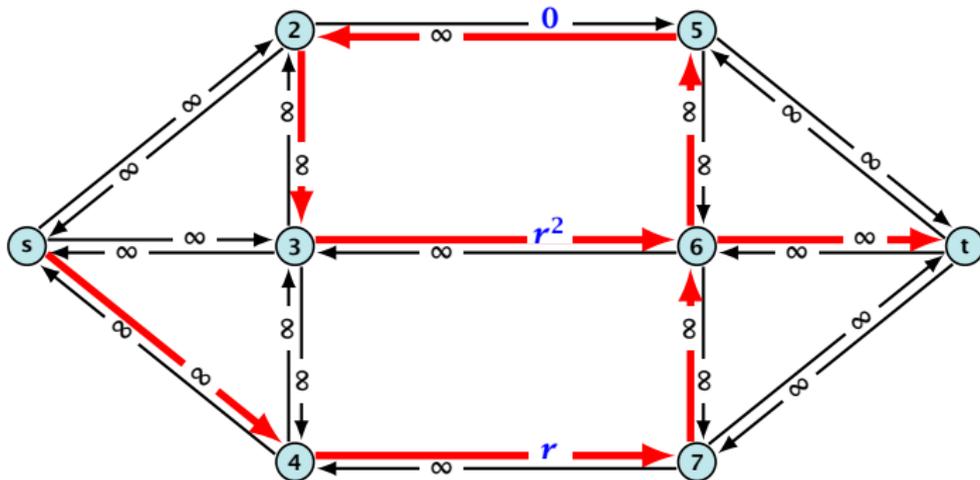
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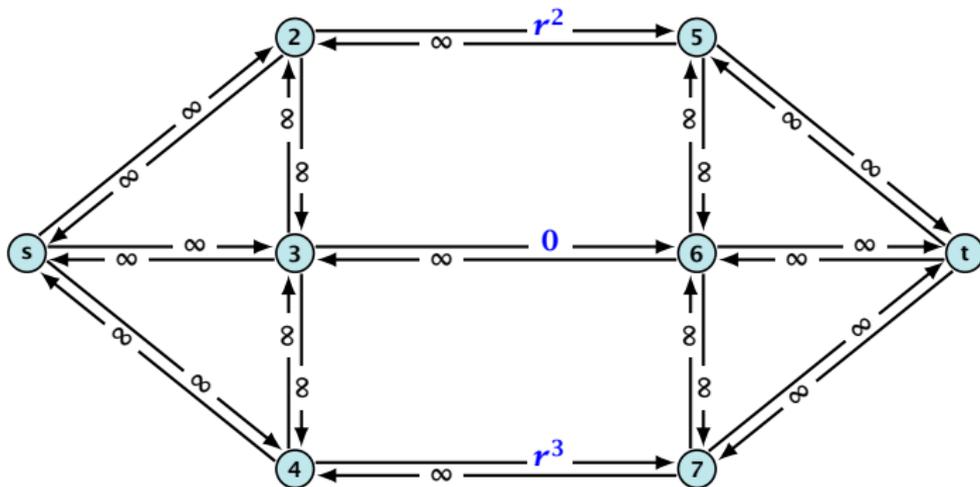
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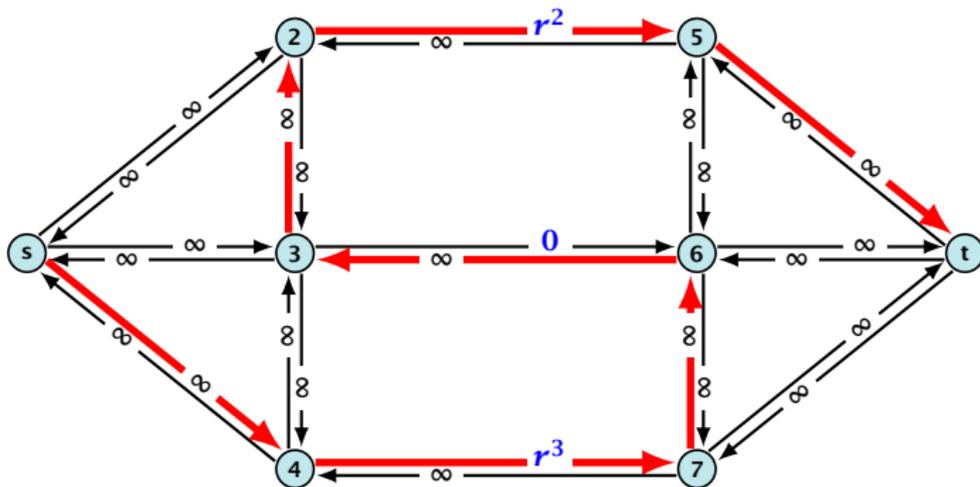
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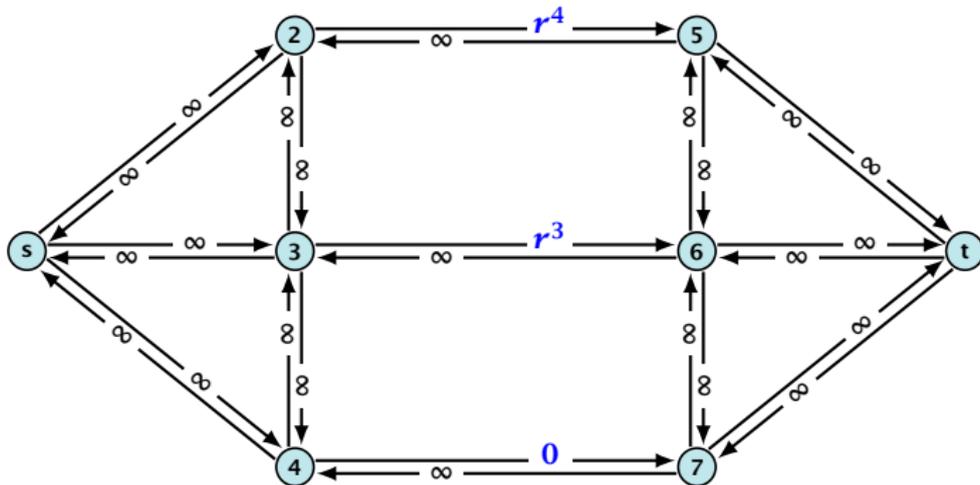
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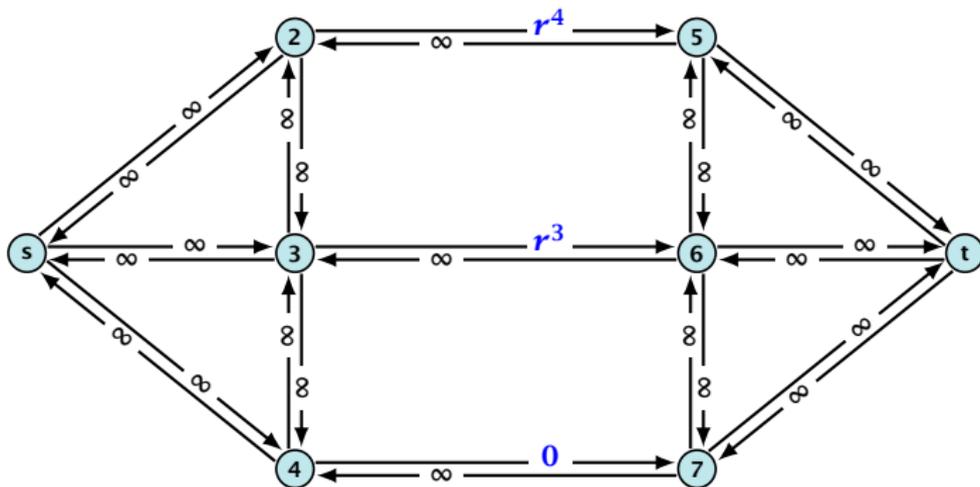
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### Lemma 55

*The length of the shortest augmenting path never decreases.*

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Proof.

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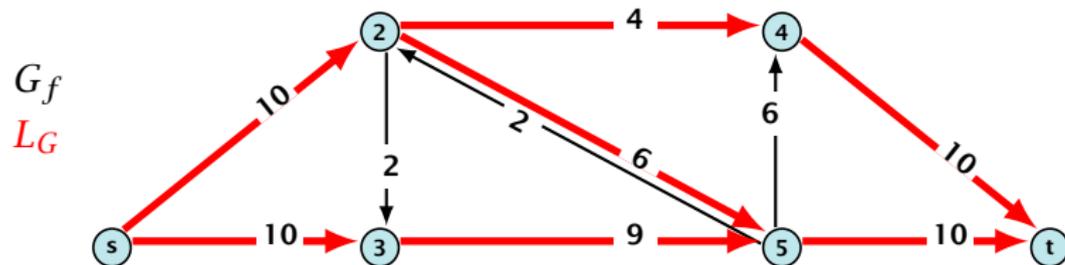
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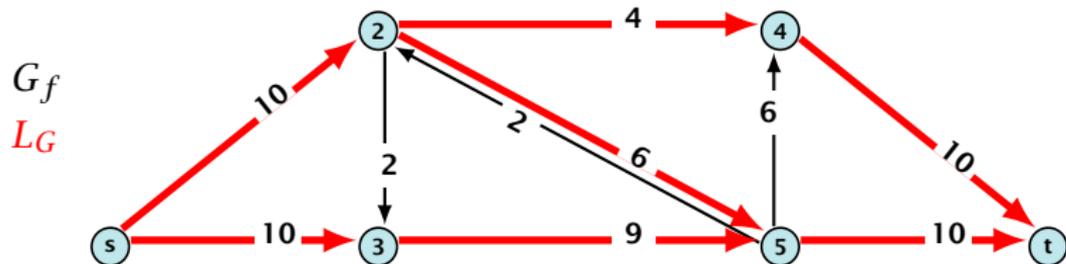
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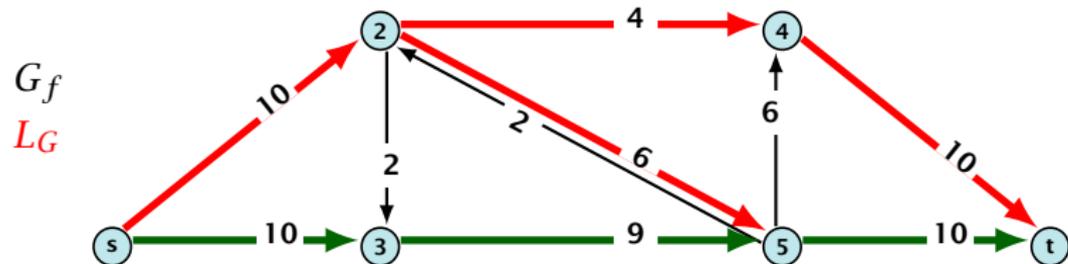


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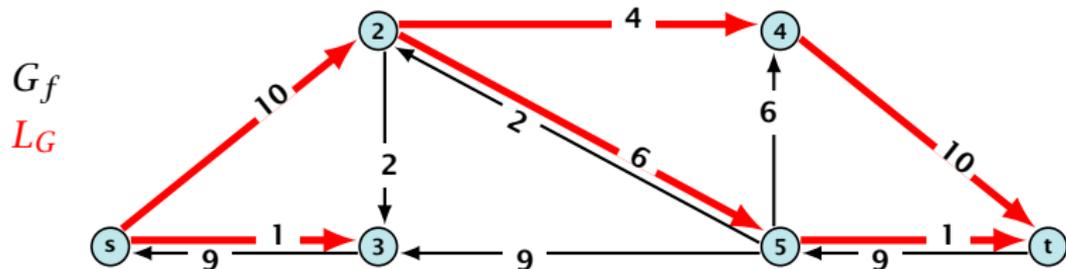


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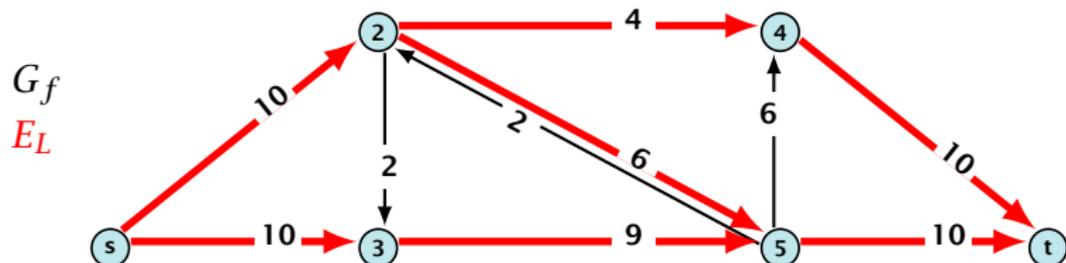
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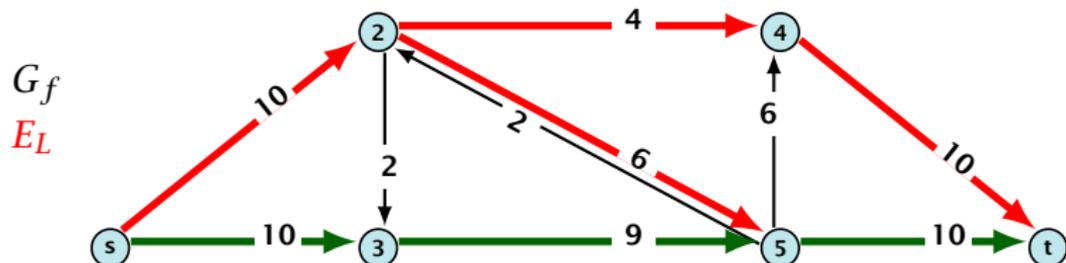
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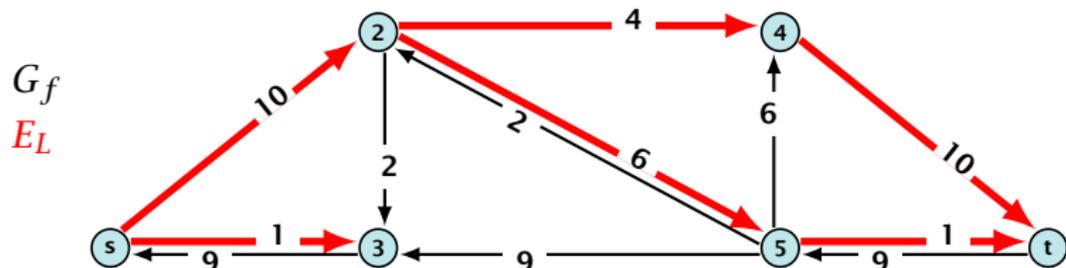
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Initializing  $E_L$  for the phase takes time  $\mathcal{O}(m)$ .

The total cost for searching for augmenting paths during a phase is at most  $\mathcal{O}(mn)$ , since every search (successful (i.e., reaching  $t$ ) or unsuccessful) decreases the number of edges in  $E_L$  and takes time  $\mathcal{O}(n)$ .

The total cost for performing an augmentation **during** a phase is only  $\mathcal{O}(n)$ . For every edge in the augmenting path one has to update the residual graph  $G_f$  and has to check whether the edge is still in  $E_L$  for the next search.

There are at most  $n$  phases. Hence, total cost is  $\mathcal{O}(mn^2)$ .

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The total cost for searching for augmenting paths during a phase is at most  $\mathcal{O}(mn)$ , since every search (successful (i.e., reaching  $t$ ) or unsuccessful) decreases the number of edges in  $E_L$  and takes time  $\mathcal{O}(n)$ .

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- ▶ Choose path with maximum bottleneck capacity.
- ▶ Choose path with sufficiently large bottleneck capacity.
- ▶ Choose the shortest augmenting path.

# Capacity Scaling

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## Intuition:

- ▶ Choosing a path with the highest bottleneck increases the flow as much as possible in a single step.

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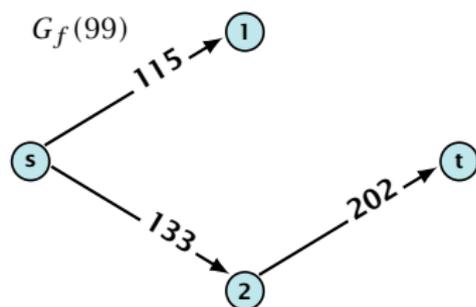
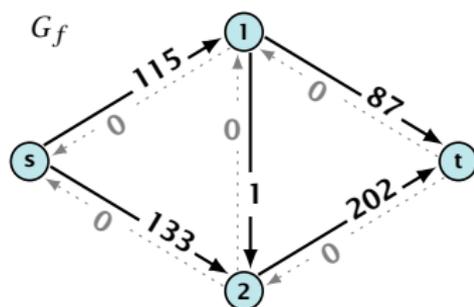
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# Capacity Scaling

## Algorithm 46 maxflow( $G, s, t, c$ )

```
1: foreach  $e \in E$  do  $f_e \leftarrow 0$ ;  
2:  $\Delta \leftarrow 2^{\lceil \log_2 C \rceil}$   
3: while  $\Delta \geq 1$  do  
4:    $G_f(\Delta) \leftarrow \Delta$ -residual graph  
5:   while there is augmenting path  $P$  in  $G_f(\Delta)$  do  
6:      $f \leftarrow \text{augment}(f, c, P)$   
7:      $\text{update}(G_f(\Delta))$   
8:    $\Delta \leftarrow \Delta/2$   
9: return  $f$ 
```

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- ▶ therefore after the last phase there are no augmenting paths anymore
- ▶ this means we have a maximum flow.

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## Lemma 60

*There are  $\lceil \log C \rceil$  iterations over  $\Delta$ .*

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**Proof:** less obvious, but simple:

- ▶ An  $s$ - $t$  cut in  $G_f(\Delta)$  gives me an upper bound on the amount of flow that my algorithm can still add to  $f$ .

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**Proof:** less obvious, but simple:

- ▶ An  $s$ - $t$  cut in  $G_f(\Delta)$  gives me an upper bound on the amount of flow that my algorithm can still add to  $f$ .
- ▶ The edges that currently have capacity at most  $\Delta$  in  $G_f$  form an  $s$ - $t$  cut with capacity at most  $2m\Delta$ .

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### **Proof:**

- ▶ Let  $f$  be the flow at the end of the previous phase.
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## Theorem 63

*We need  $\mathcal{O}(m \log C)$  augmentations. The algorithm can be implemented in time  $\mathcal{O}(m^2 \log C)$ .*

# Preflows

## Definition 64

An  $(s, t)$ -preflow is a function  $f : E \mapsto \mathbb{R}^+$  that satisfies

1. For each edge  $e$

$$0 \leq f(e) \leq c(e)$$

(capacity constraint)

2. For each  $v \in V \setminus \{s, t\}$

$$\sum_{e \in \text{in}(v)} f(e) = \sum_{e \in \text{out}(v)} f(e)$$

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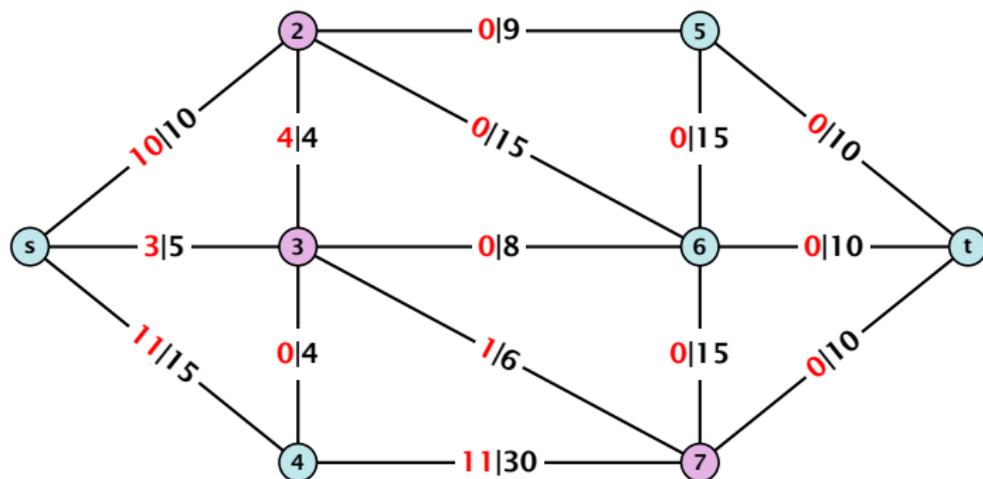
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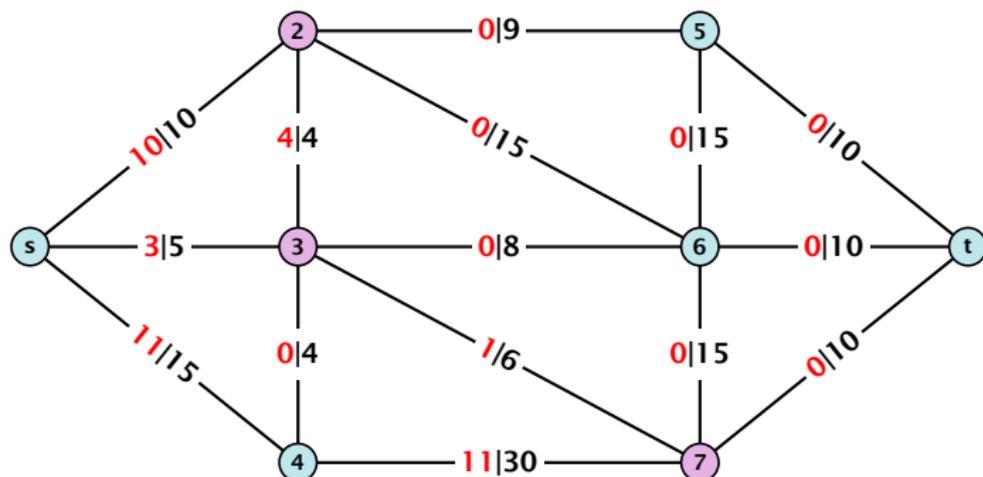
# Preflows

## Example 65



# Preflows

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A node that has  $\sum_{e \in \text{out}(v)} f(e) < \sum_{e \in \text{into}(v)} f(e)$  is called an **active node**.

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## Definition:

A **labelling** is a function  $\ell : V \rightarrow \mathbb{N}$ . It is **valid** for preflow  $f$  if

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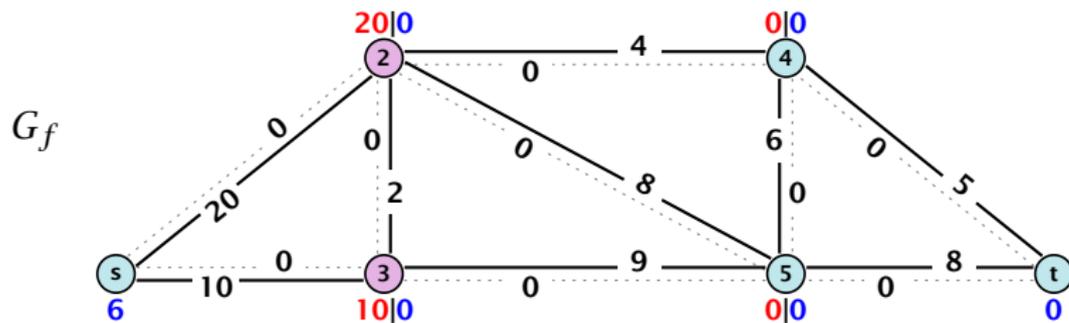
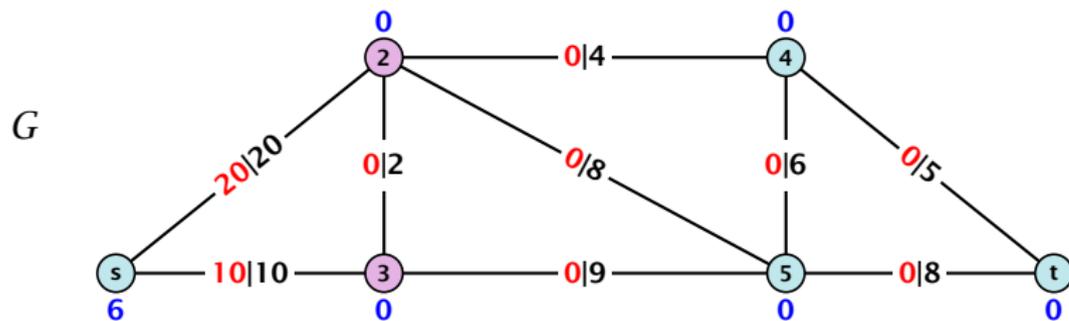
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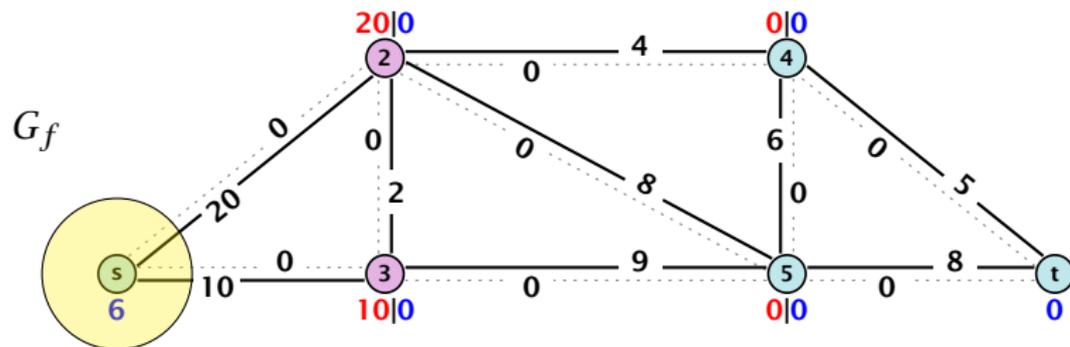
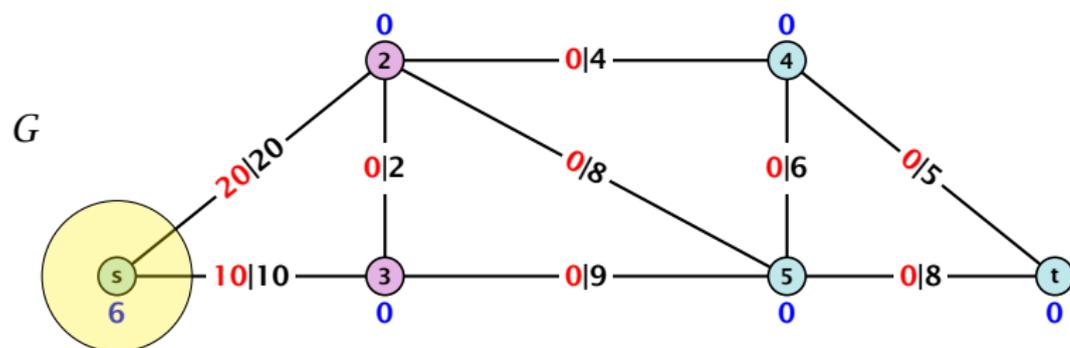
## Intuition:

The labelling can be viewed as a height function. Whenever the height from node  $u$  to node  $v$  decreases by more than 1 (i.e., it goes very steep downhill from  $u$  to  $v$ ), the corresponding edge must be saturated.

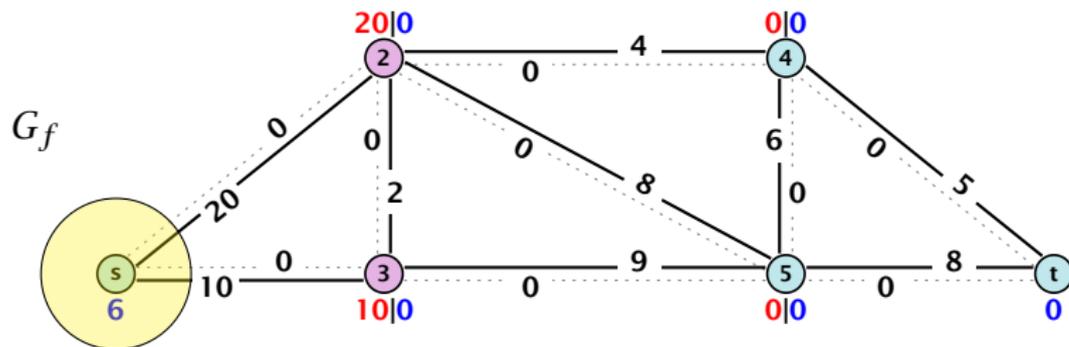
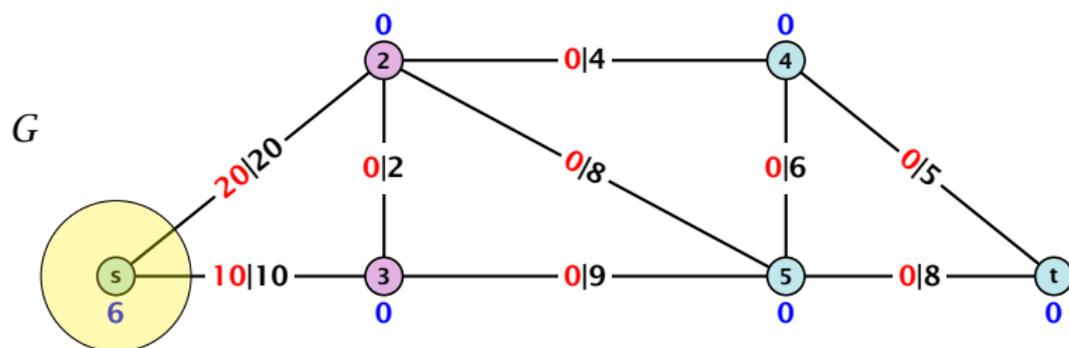
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- ▶ There are  $n$  nodes but  $n + 1$  different labels from  $0, \dots, n$ .
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A *flow* that has a valid labelling is a maximum flow.

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- ▶ successively change the preflow while maintaining a valid labelling
- ▶ stop when you have a flow (i.e., no more active nodes)

# Changing a Preflow

An arc  $(u, v)$  with  $c_f(u, v) > 0$  in the residual graph is **admissible** if  $\ell(u) = \ell(v) + 1$  (i.e., it goes downwards w.r.t. labelling  $\ell$ ).

## The push operation

Consider an active node  $u$  with excess flow

$f(u) = \sum_{e \in \text{into}(u)} f(e) - \sum_{e \in \text{out}(u)} f(e)$  and suppose  $e = (u, v)$  is an admissible arc with residual capacity  $c_f(e)$ .

We can send flow  $\min\{c_f(e), f(u)\}$  along  $e$  and obtain a new preflow. The old labelling is still valid (!!!).

→  $c_f(u, v) = c_f(u, v) - \min\{c_f(u, v), f(u)\}$

→ the arc  $e$  is deleted from the residual graph

→  $c_f(v, u) = c_f(v, u) + \min\{c_f(u, v), f(u)\}$

→ the node  $u$  is no longer active

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new flow  $f'(u) = \min\{c_f(e), f(u)\} - c_f(e) = f(u) - c_f(e)$

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new flow  $f'(v) = c_f(e) + f(v)$

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Increasing the label of  $u$  by 1 results in a valid labelling.

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- ▶ An outgoing edge  $(u, w)$  had  $\ell(u) < \ell(w) + 1$  before since it was not admissible. Now:  $\ell(u) \leq \ell(w) + 1$ .

# Push Relabel Algorithms

## Intuition:

We want to send flow downwards, since the source has a height/label of  $n$  and the target a height/label of  $0$ . If we see an active node  $u$  with an admissible arc we push the flow at  $u$  towards the other end-point that has a lower height/label. If we do not have an admissible arc but excess flow into  $u$  it should roughly mean that the level/height/label of  $u$  should rise. (If we consider the flow to be water than this would be natural).

Note that the above intuition is very incorrect as the labels are integral, i.e., they cannot really be seen as the height of a node.

# Push Relabel Algorithms

## Algorithm 47 $\text{maxflow}(G, s, t, c)$

```
1: find initial preflow  $f$ 
2: while there is active node  $u$  do
3:     if there is admiss. arc  $e$  out of  $u$  then
4:         push( $G, e, f, c$ )
5:     else
6:         relabel( $u$ )
7: return  $f$ 
```

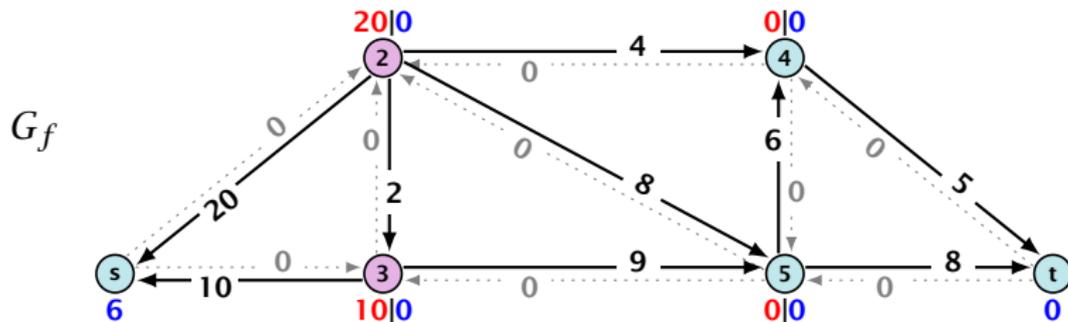
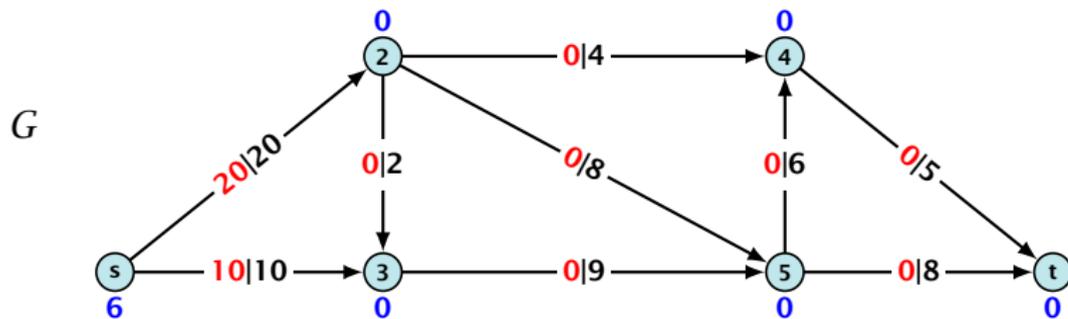
# Push Relabel Algorithms

**Algorithm 47**  $\text{maxflow}(G, s, t, c)$

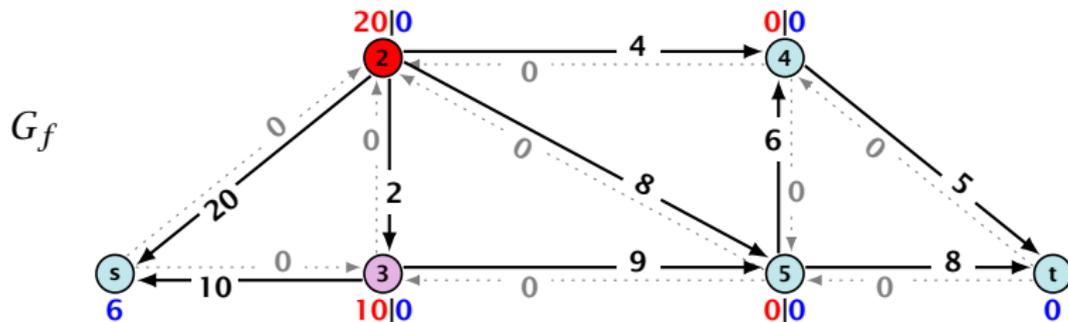
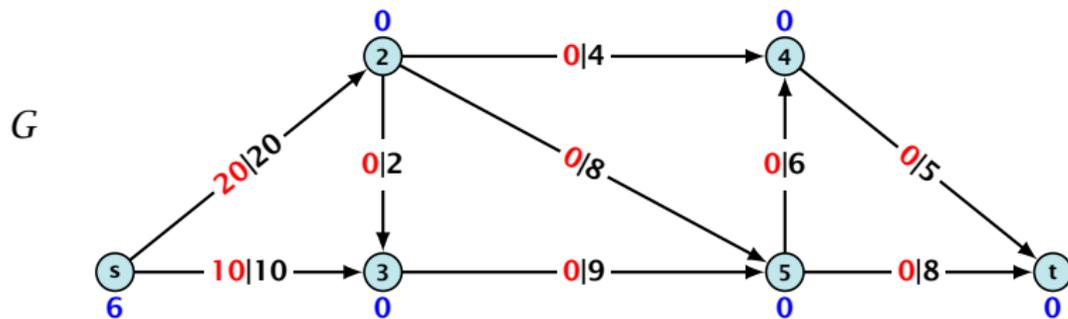
```
1: find initial preflow  $f$ 
2: while there is active node  $u$  do
3:   if there is admiss. arc  $e$  out of  $u$  then
4:      $\text{push}(G, e, f, c)$ 
5:   else
6:      $\text{relabel}(u)$ 
7: return  $f$ 
```

In the following example we always stick to the same active node  $u$  until it becomes inactive but this is not required.

# Preflow Push Algorithm

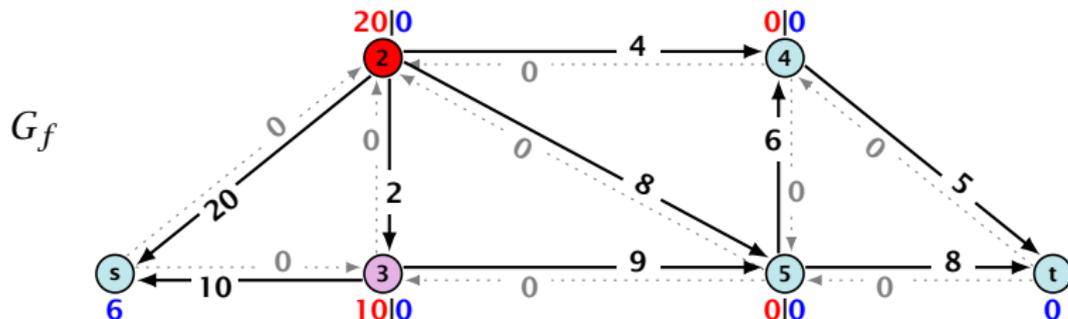
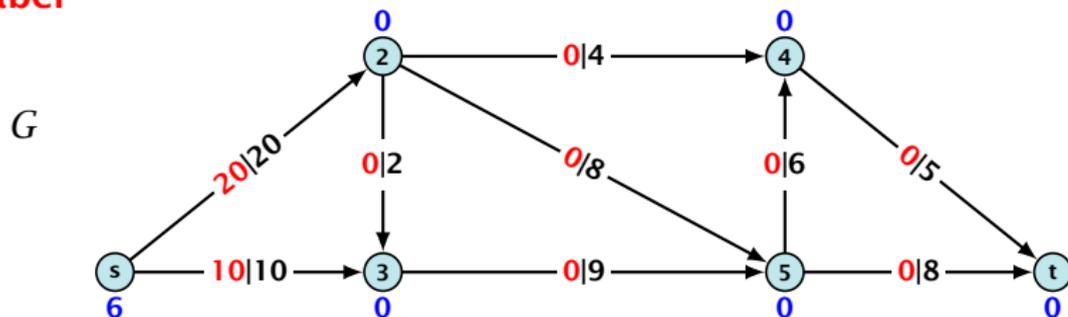


# Preflow Push Algorithm

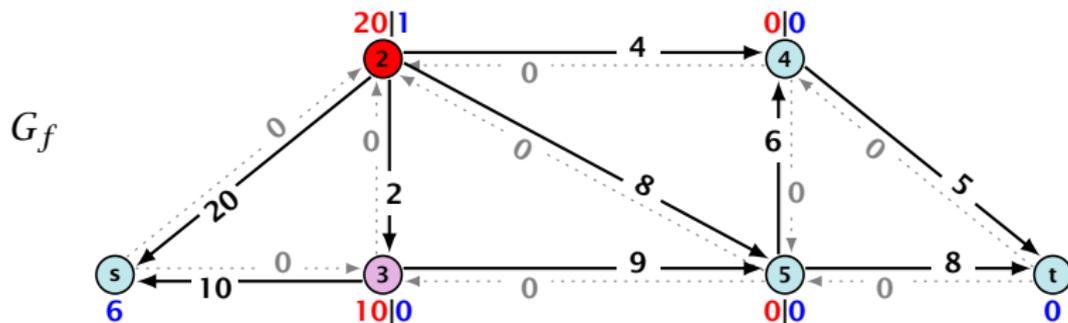
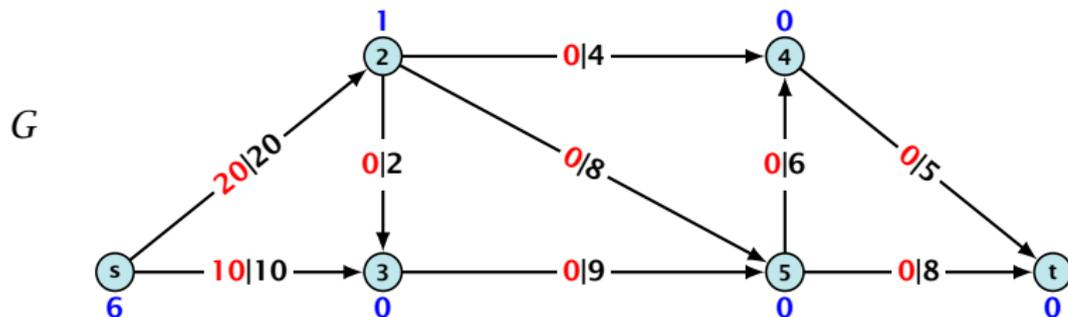


# Preflow Push Algorithm

relabel

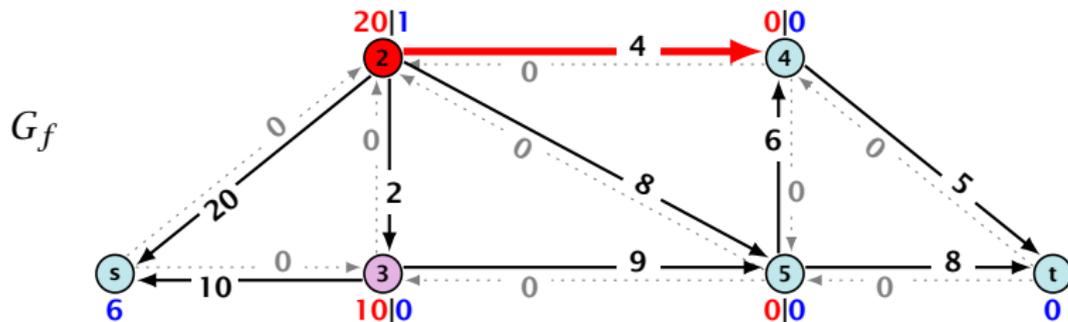
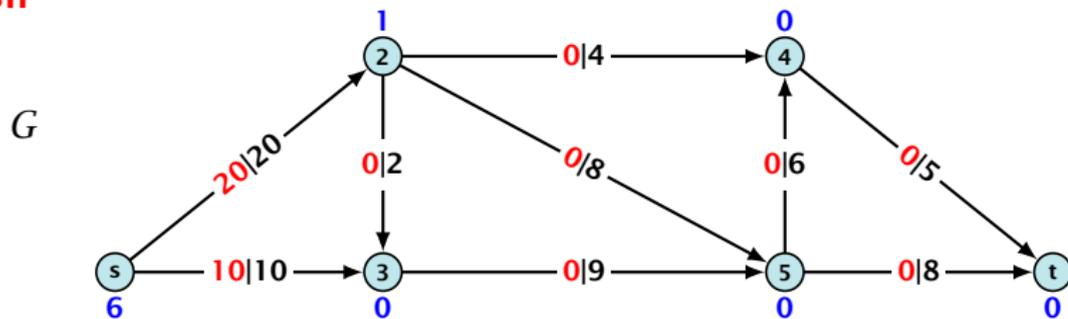


# Preflow Push Algorithm

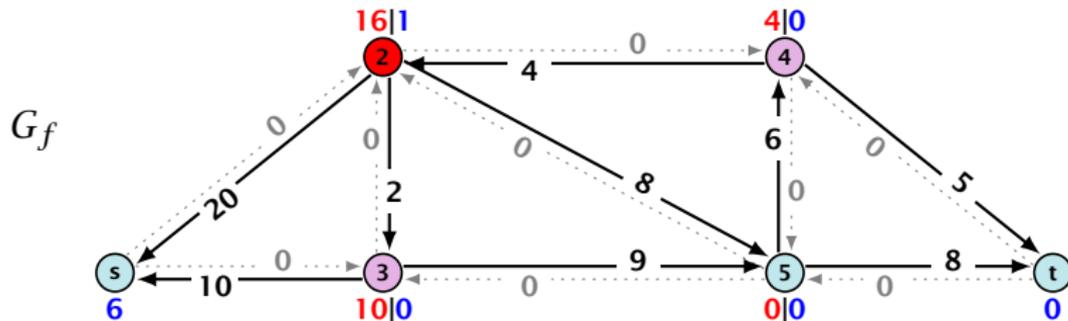
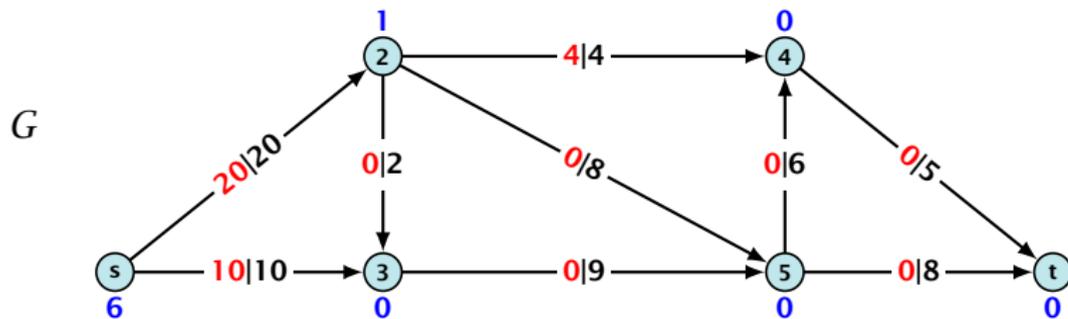


# Preflow Push Algorithm

push

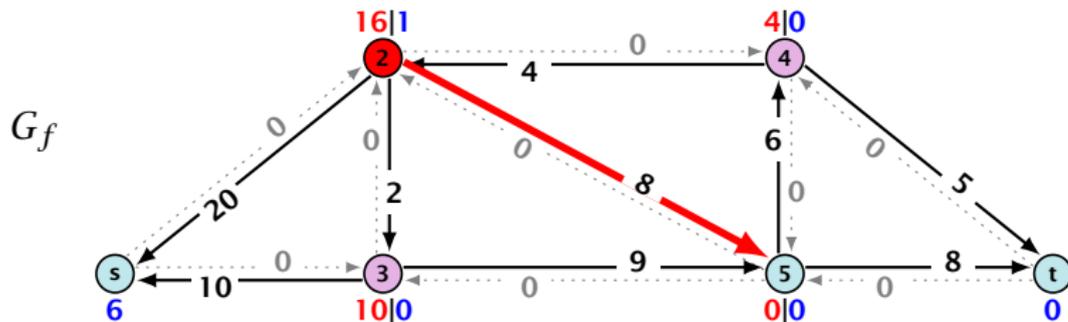
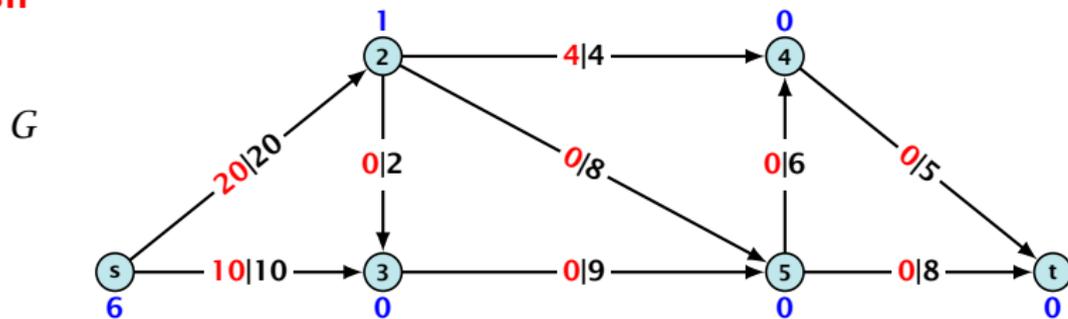


# Preflow Push Algorithm

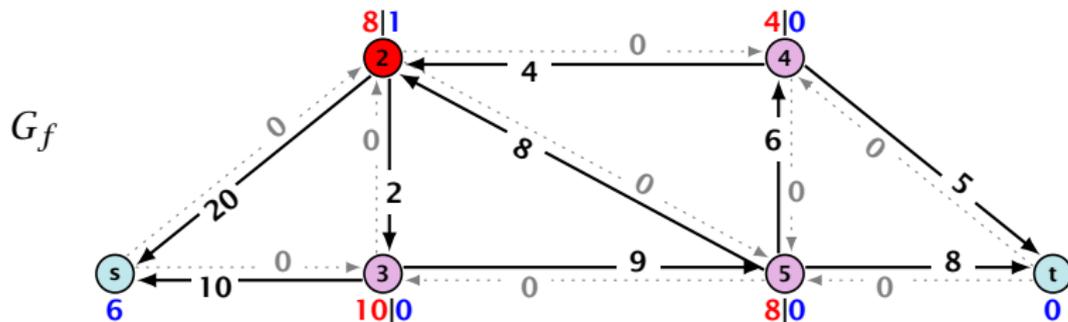
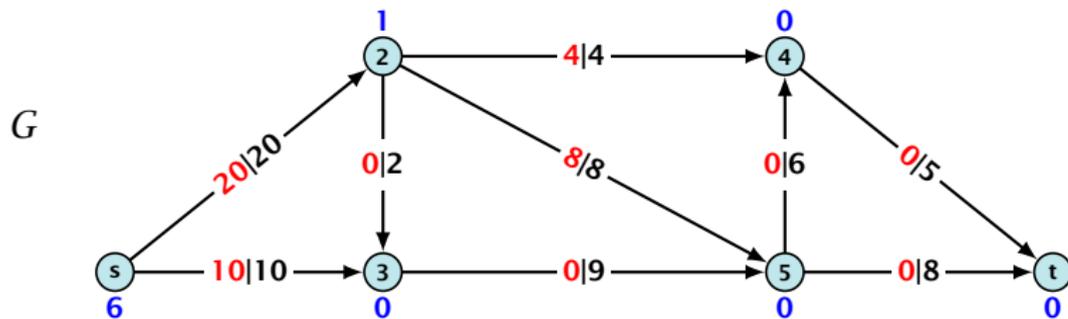


# Preflow Push Algorithm

push



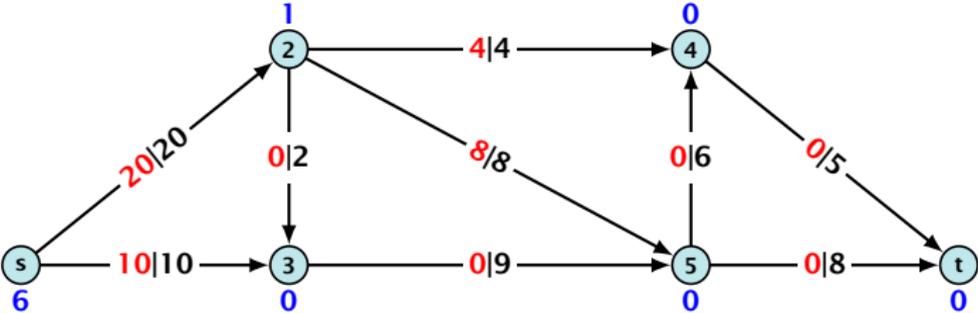
# Preflow Push Algorithm



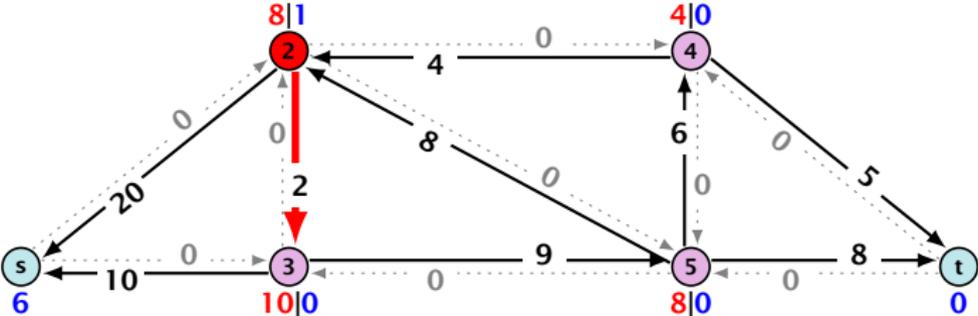
# Preflow Push Algorithm

push

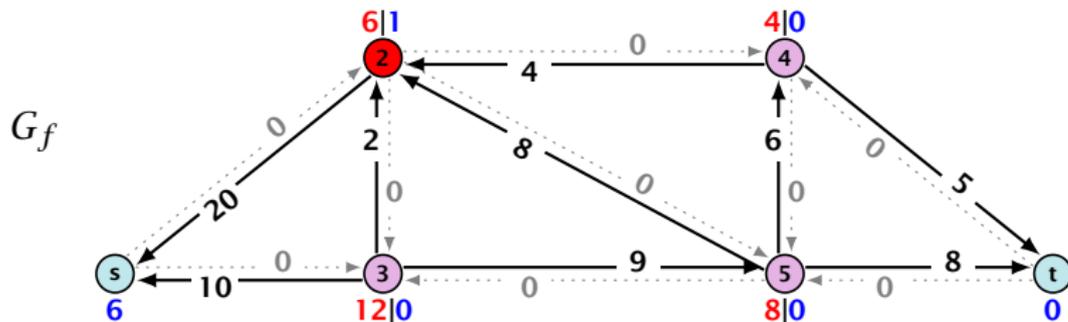
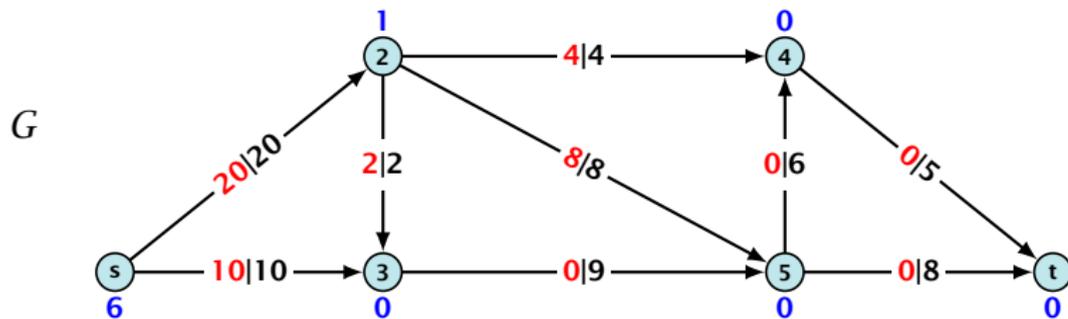
$G$



$G_f$

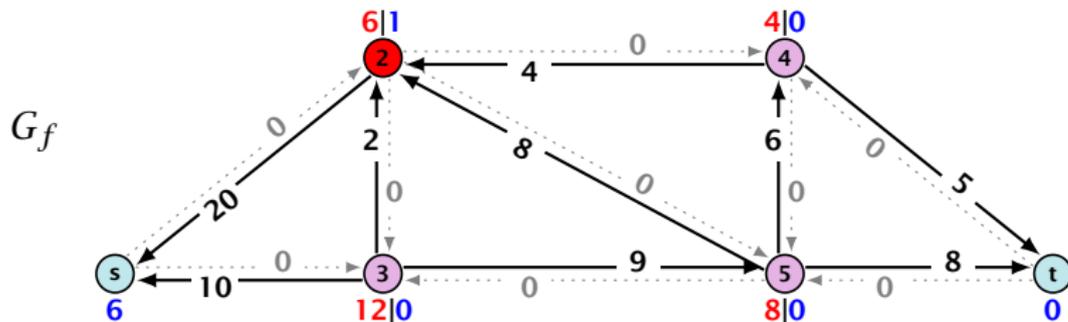
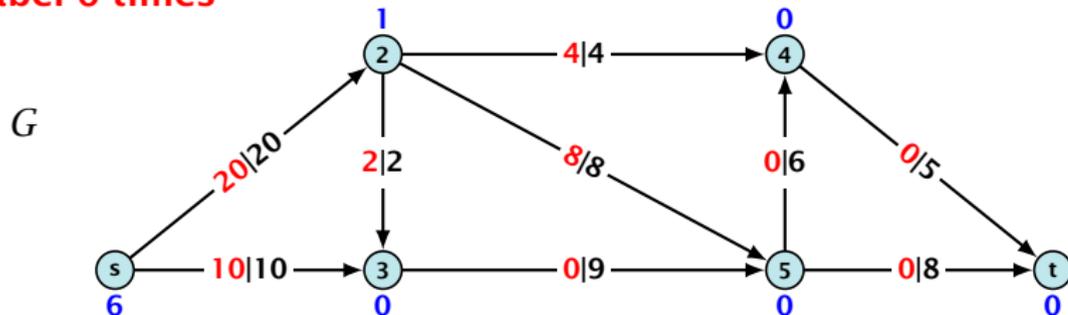


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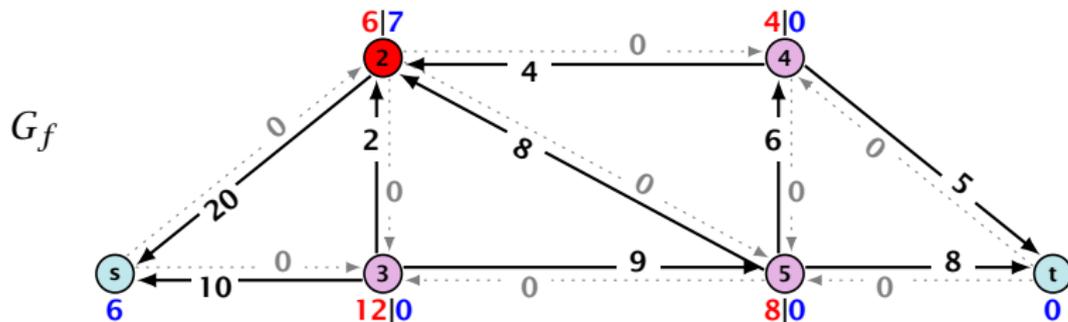
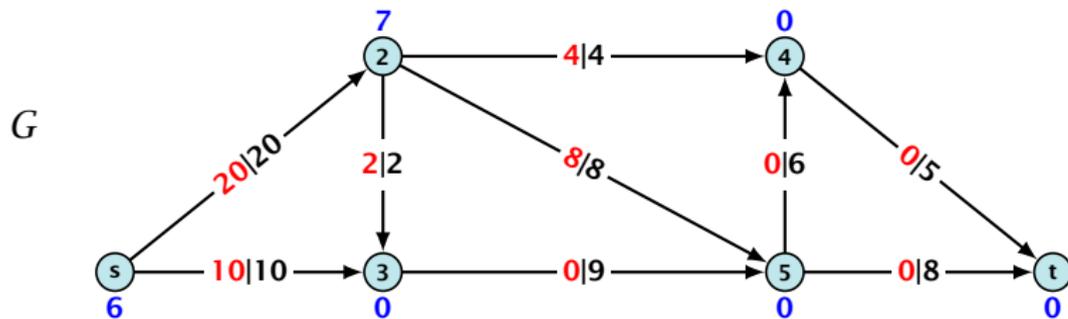


# Preflow Push Algorithm

relabel 6 times

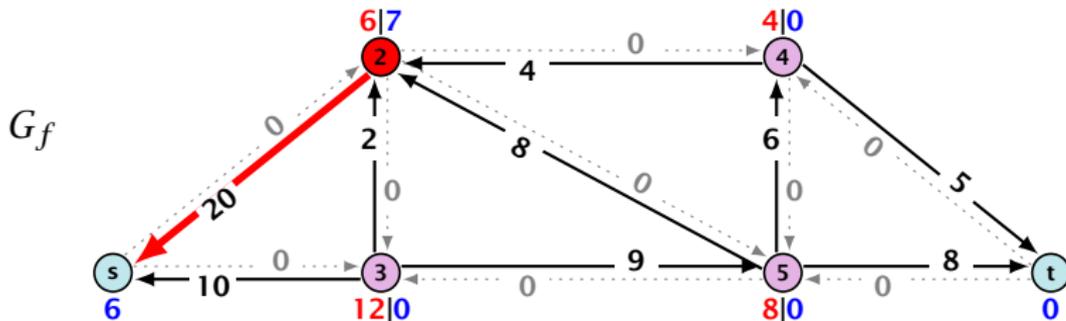
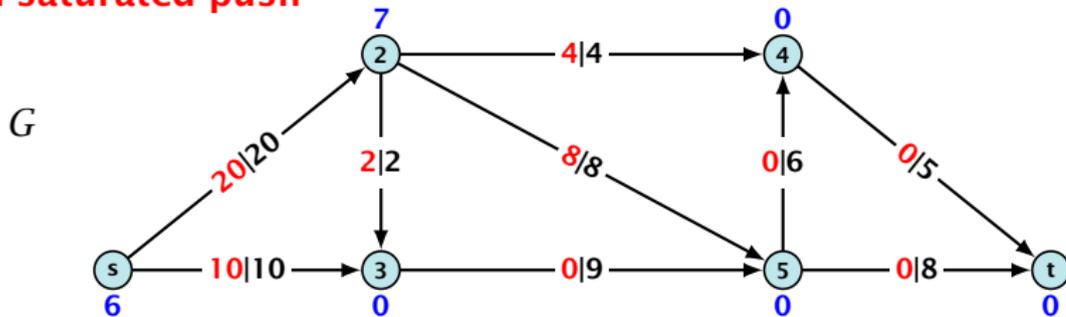


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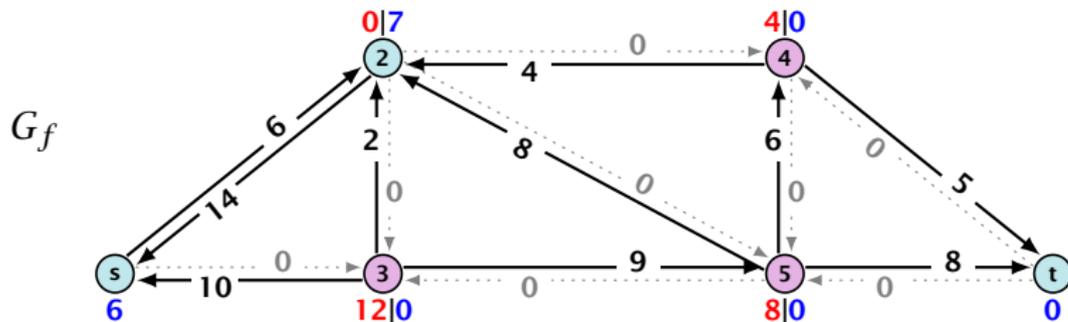
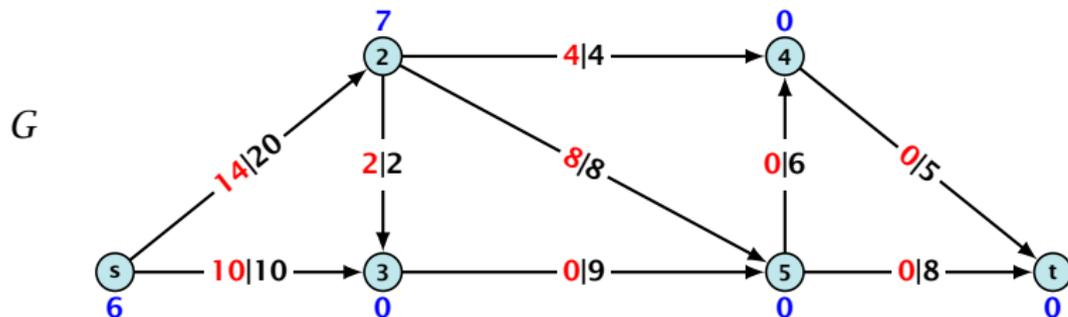


# Preflow Push Algorithm

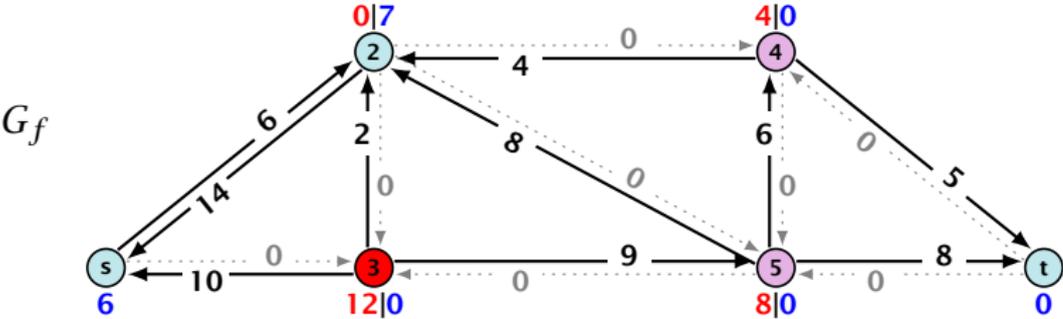
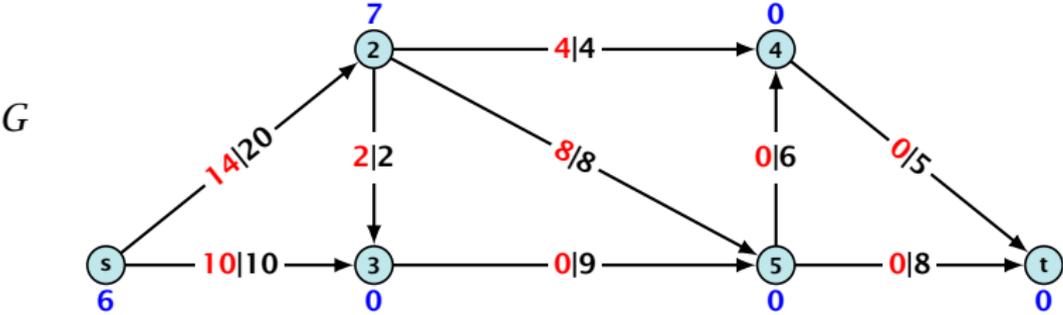
## non-saturated push



# Preflow Push Algorithm

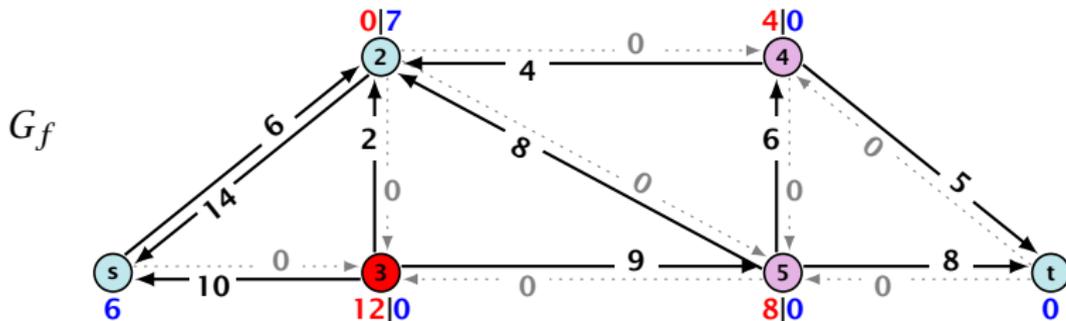
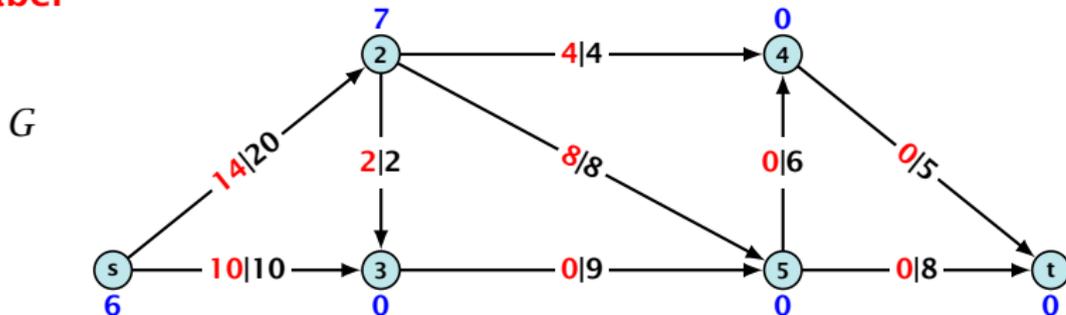


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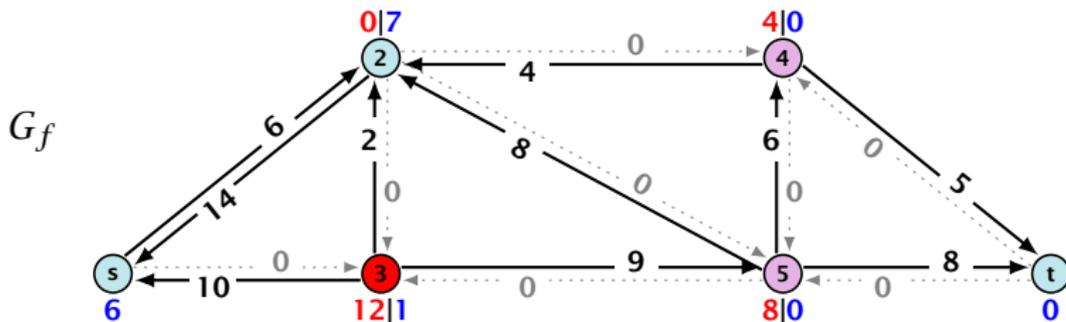
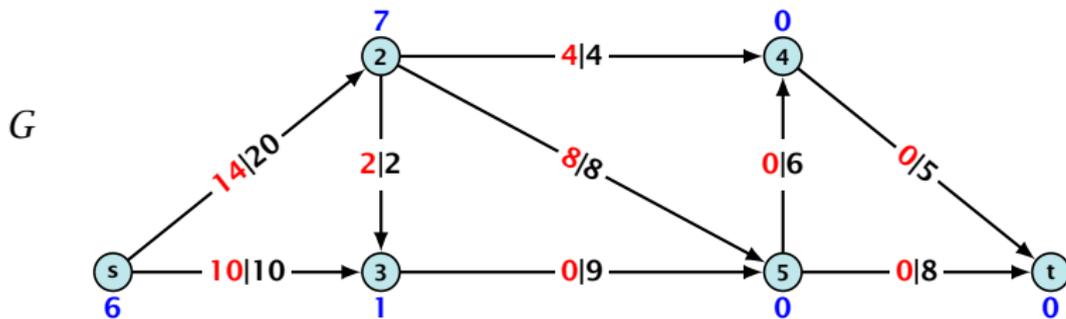


# Preflow Push Algorithm

relabel

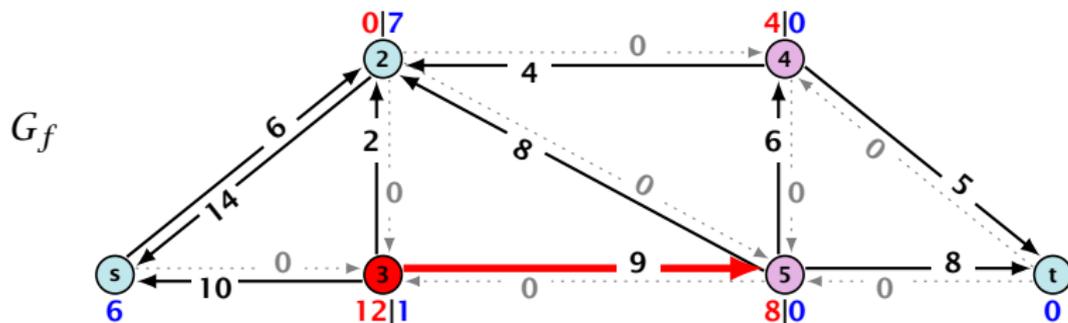
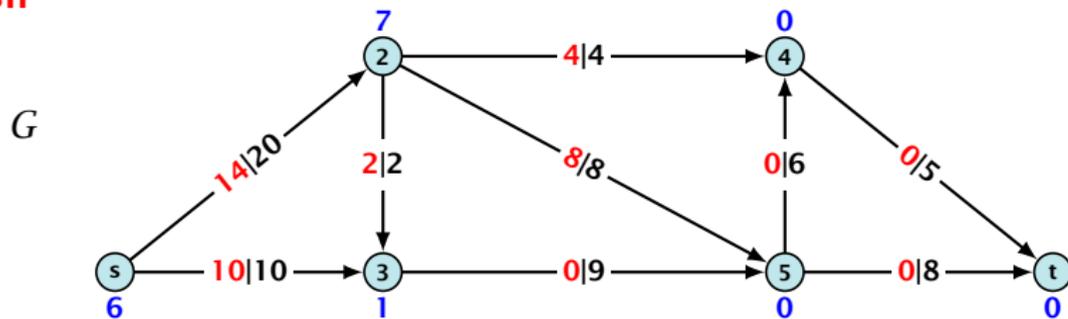


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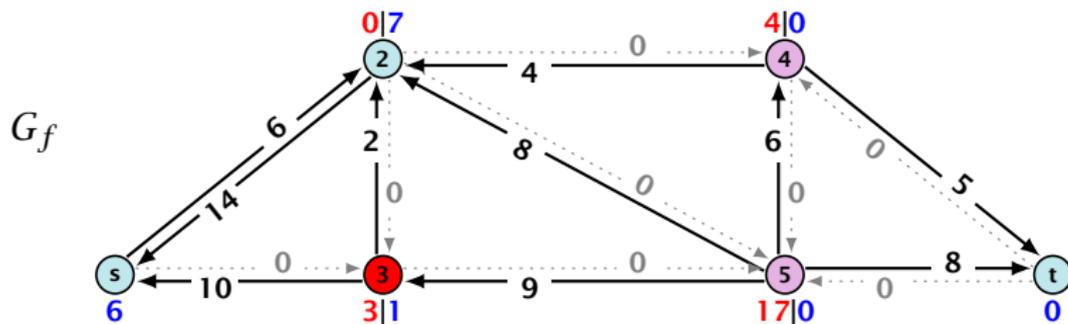
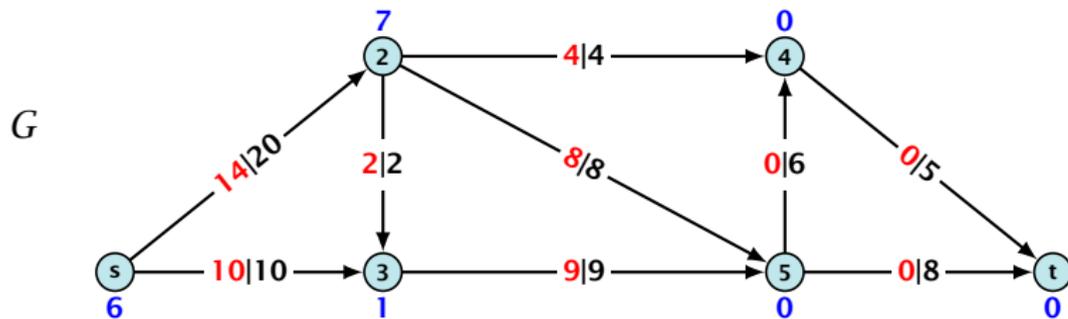


# Preflow Push Algorithm

push

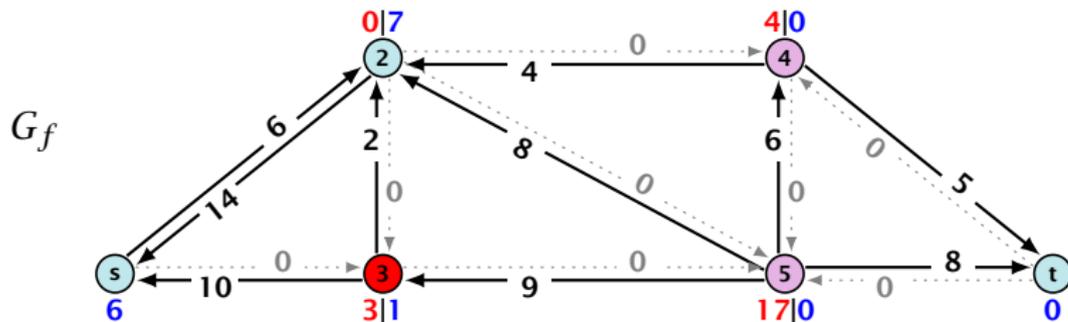
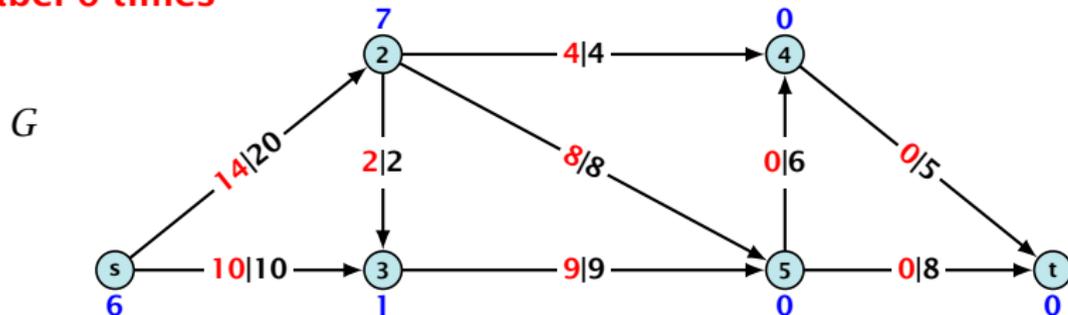


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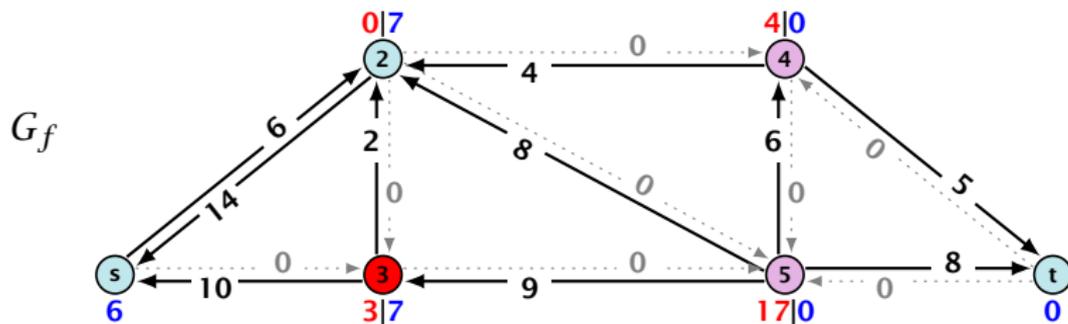
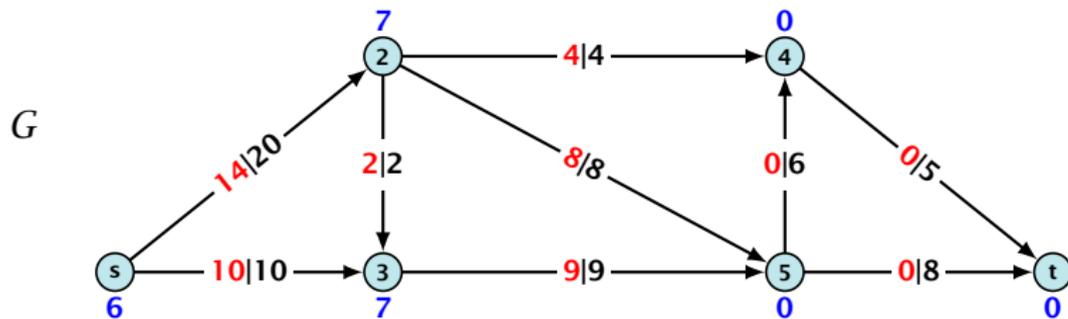


# Preflow Push Algorithm

relabel 6 times

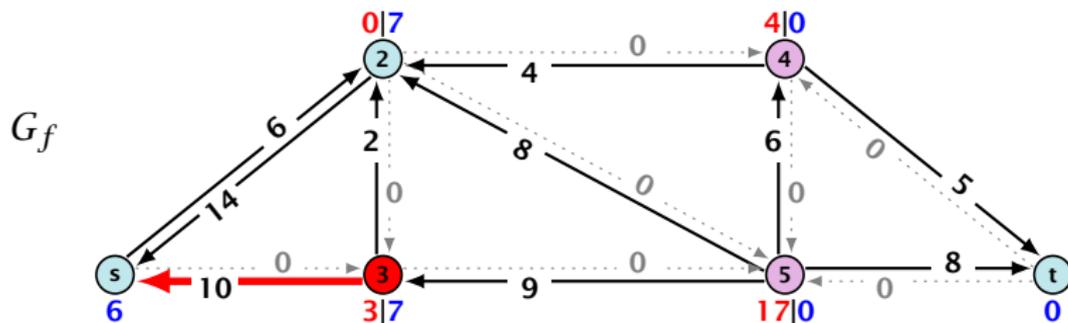
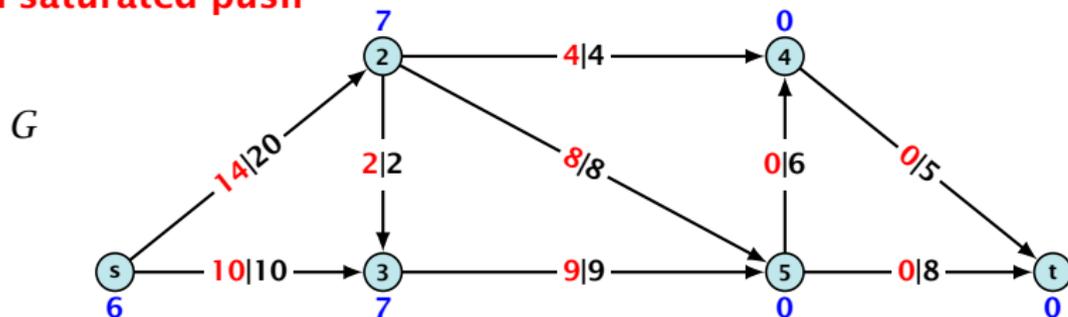


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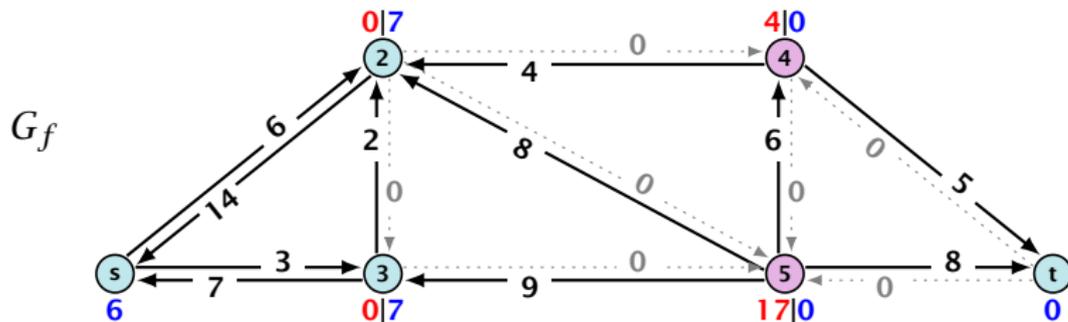
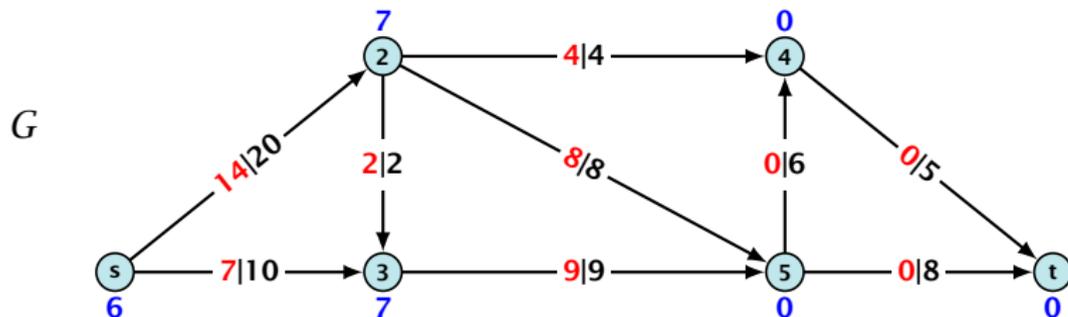


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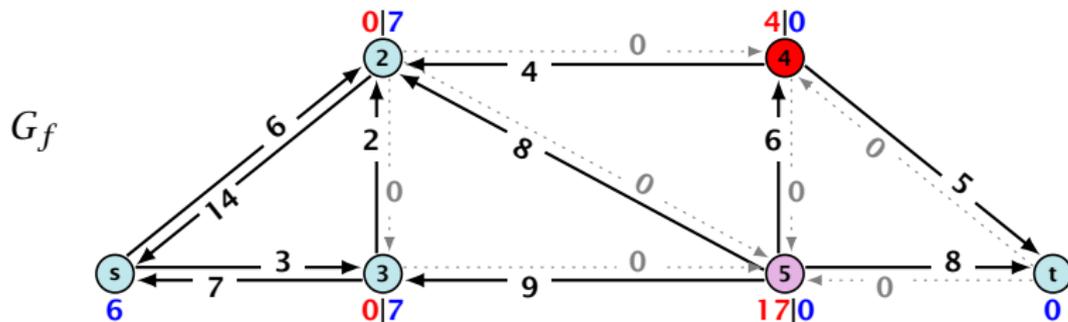
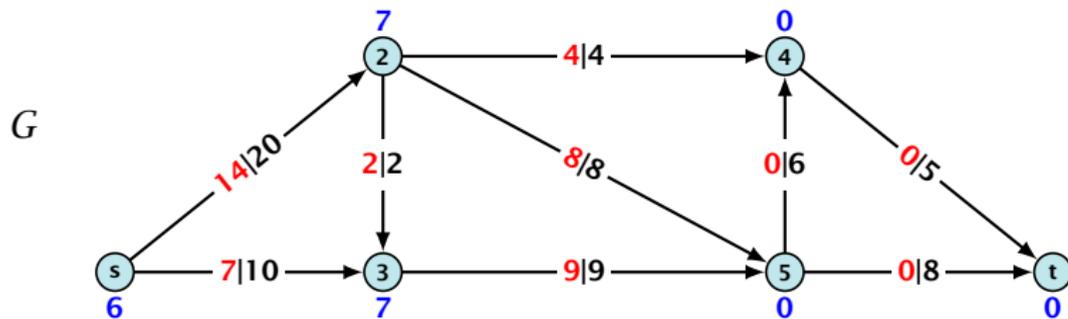
## non-saturated push



# Preflow Push Algorithm

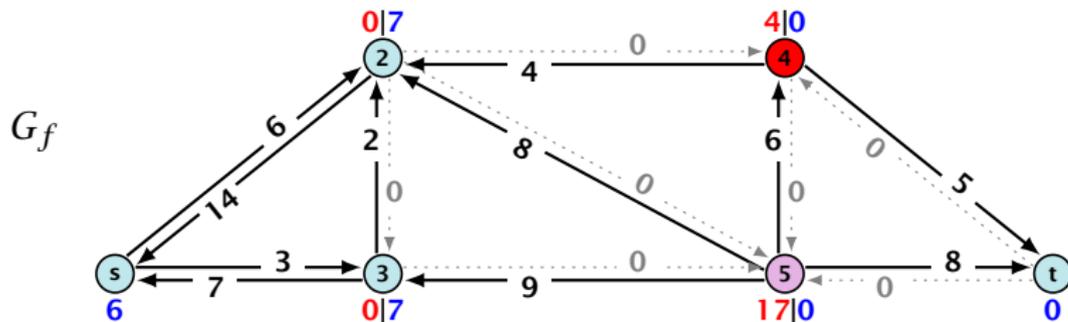
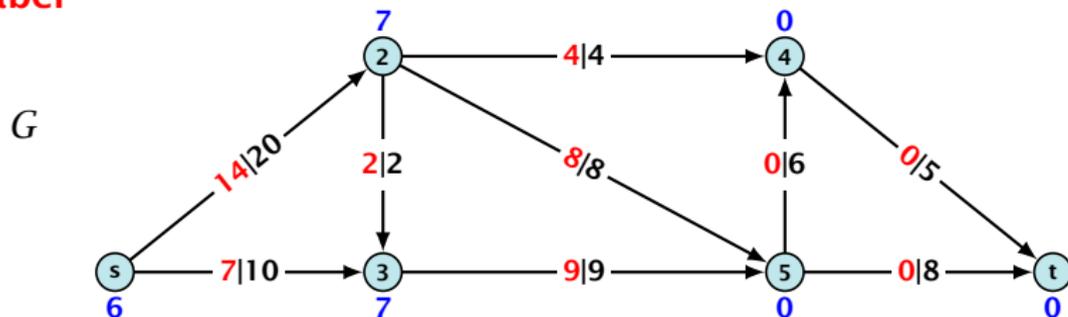


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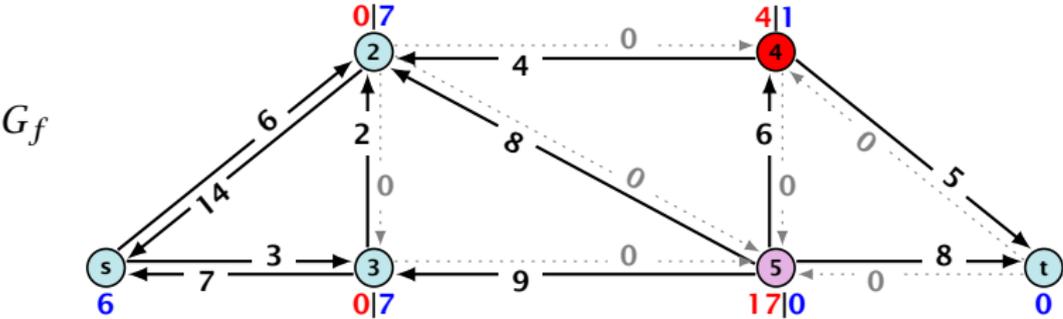
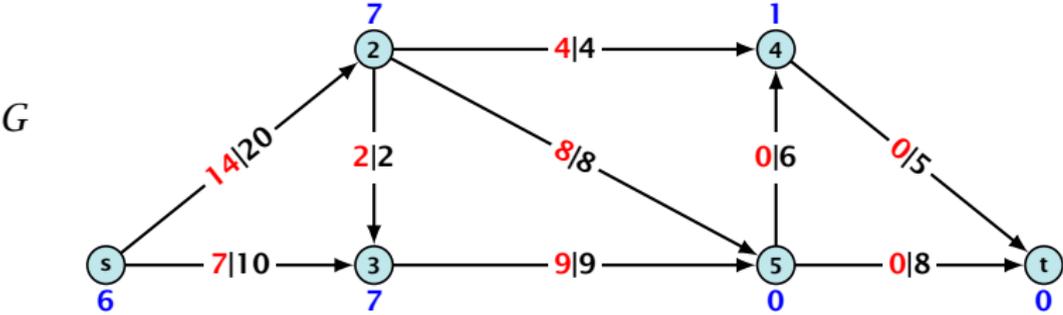


# Preflow Push Algorithm

relabel

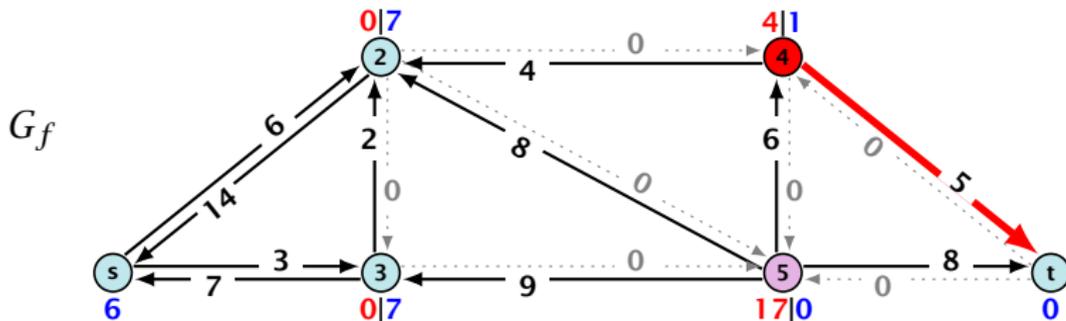
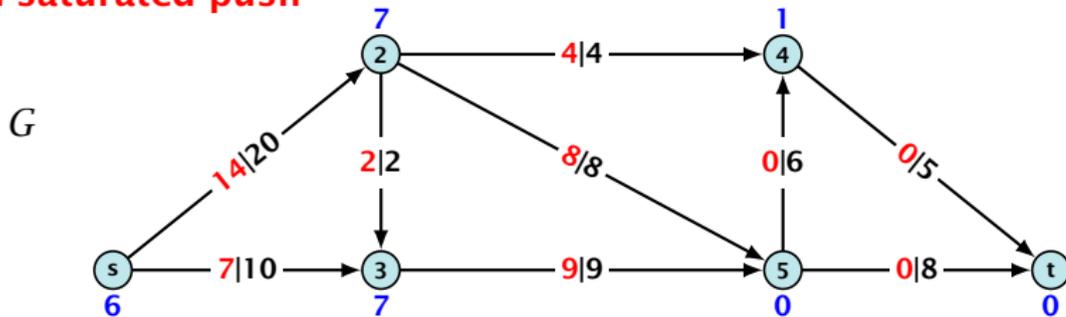


# Preflow Push Algorithm

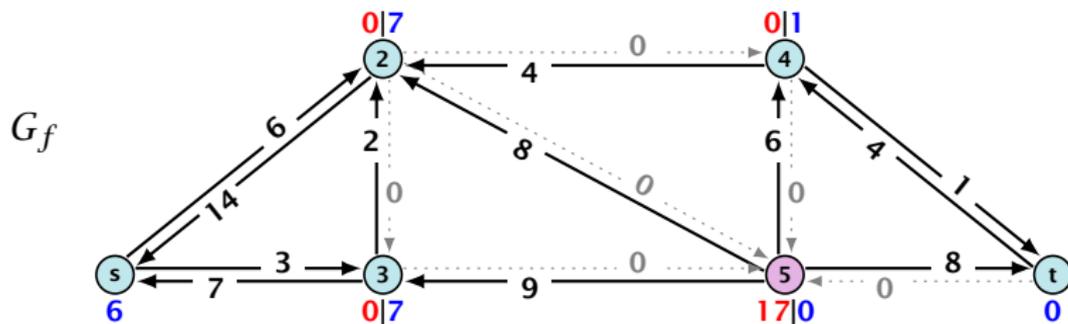
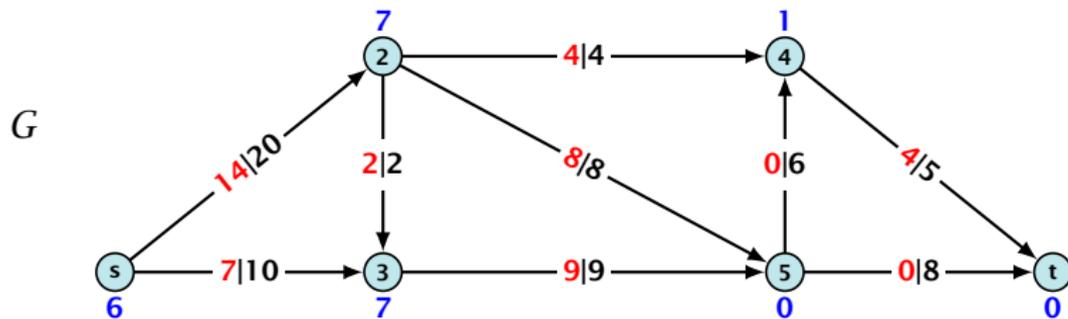


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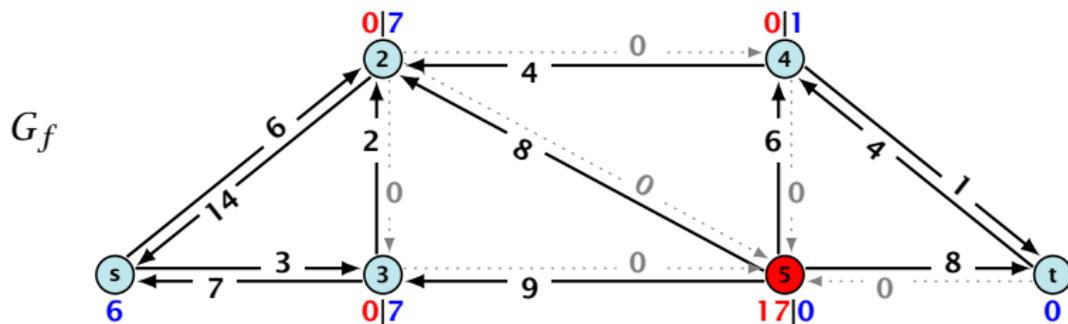
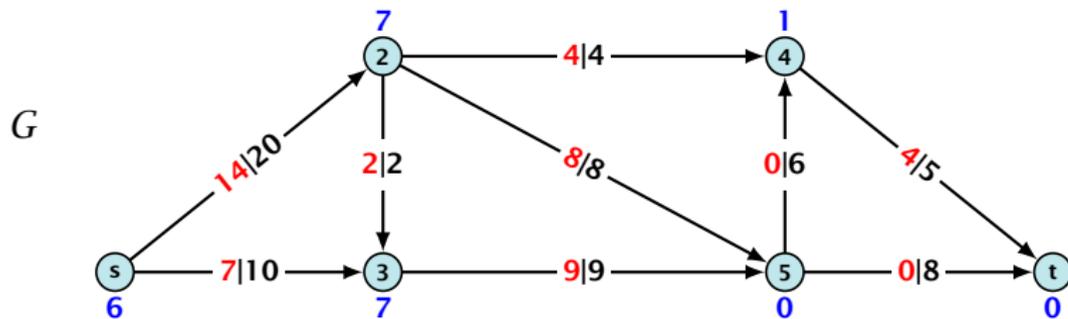
## non-saturated push



# Preflow Push Algorithm

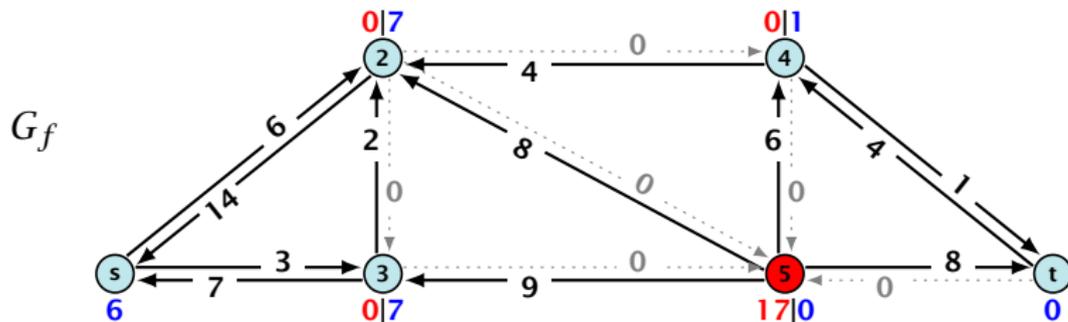
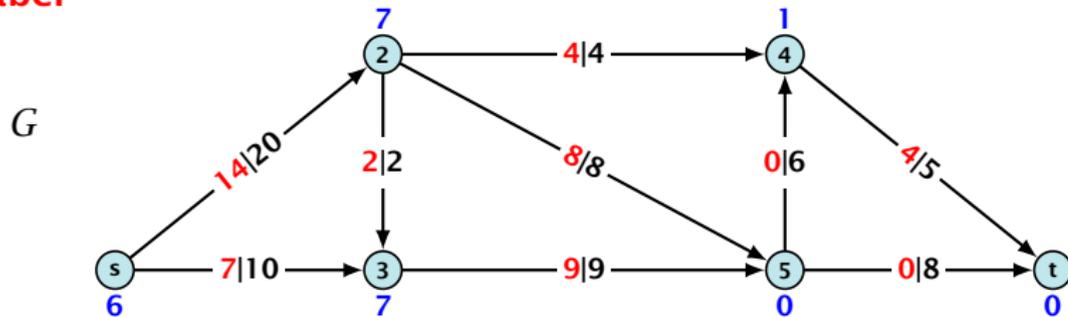


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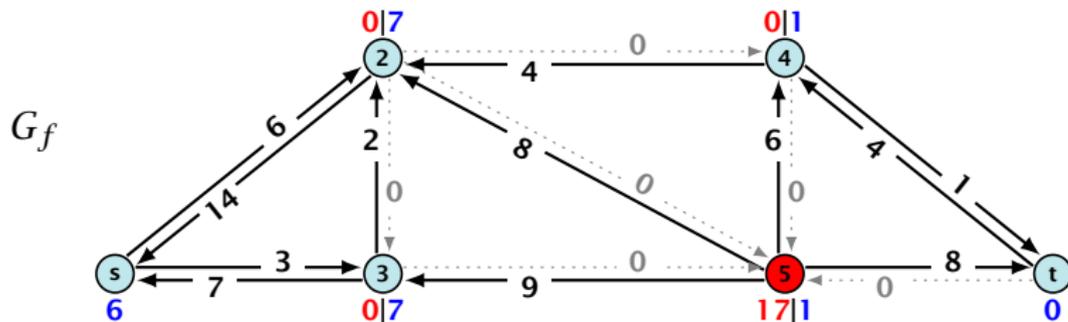
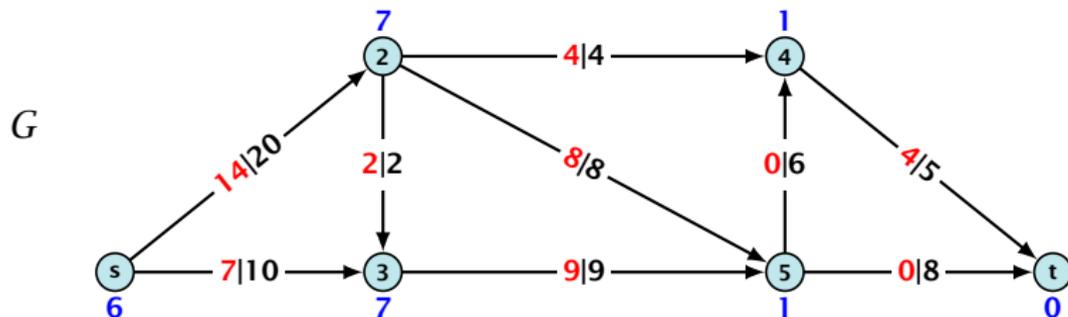


# Preflow Push Algorithm

relabel

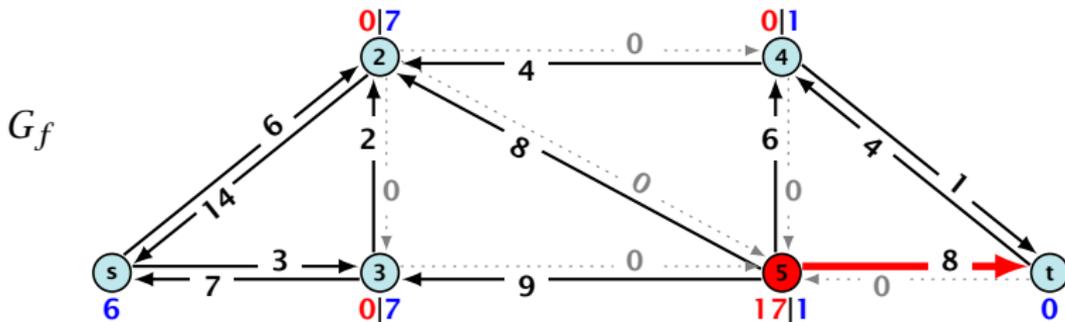
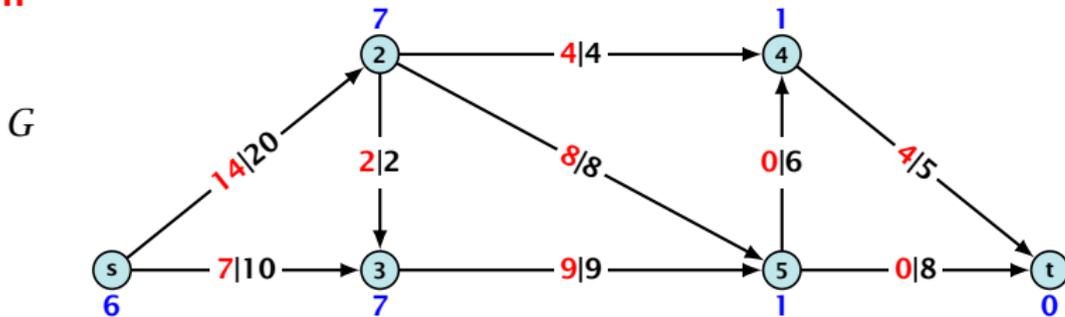


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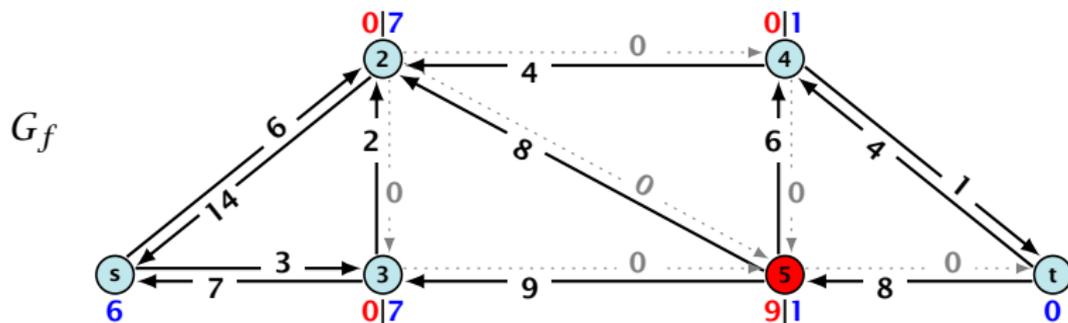
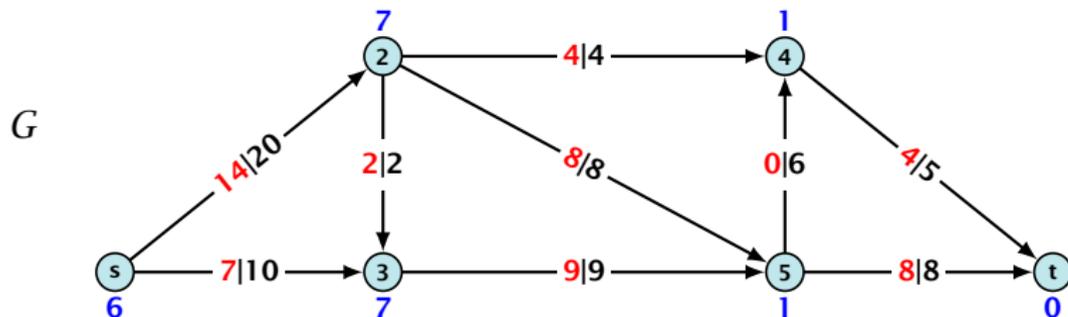


# Preflow Push Algorithm

push

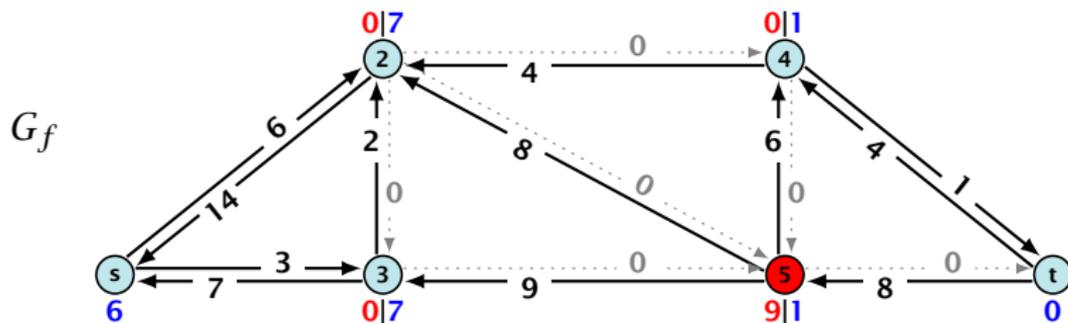
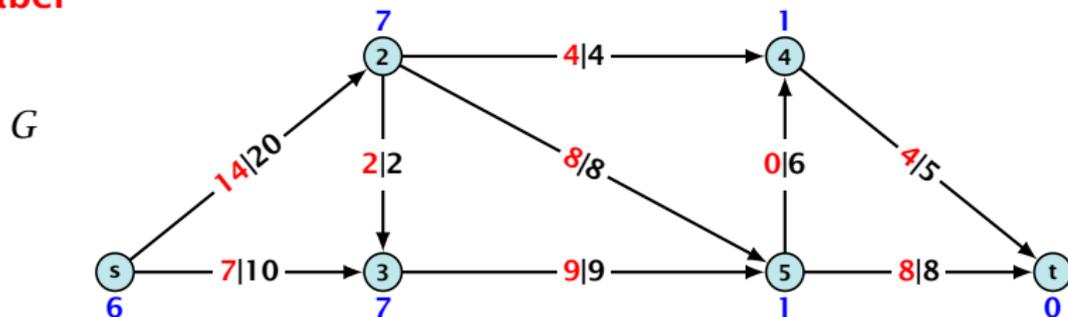


# Preflow Push Algorithm

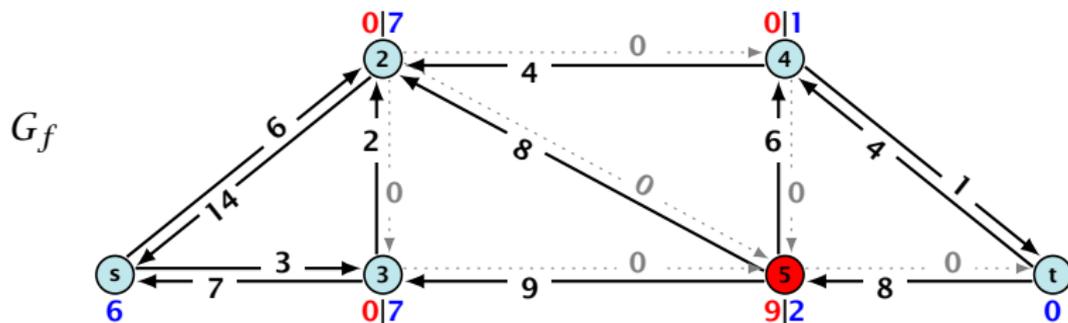
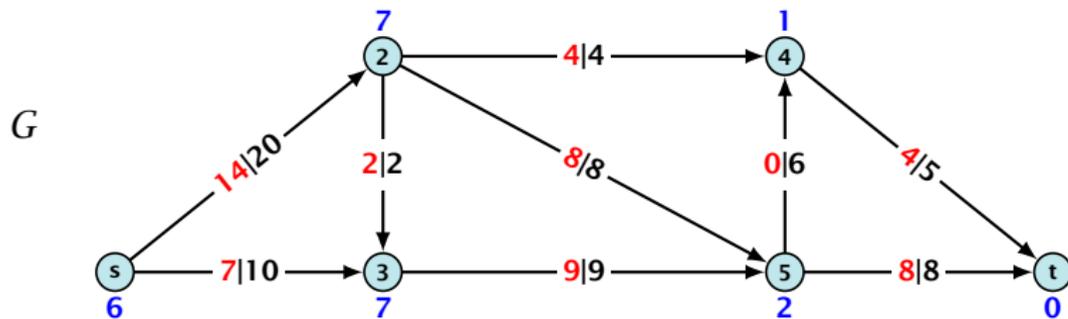


# Preflow Push Algorithm

relabel

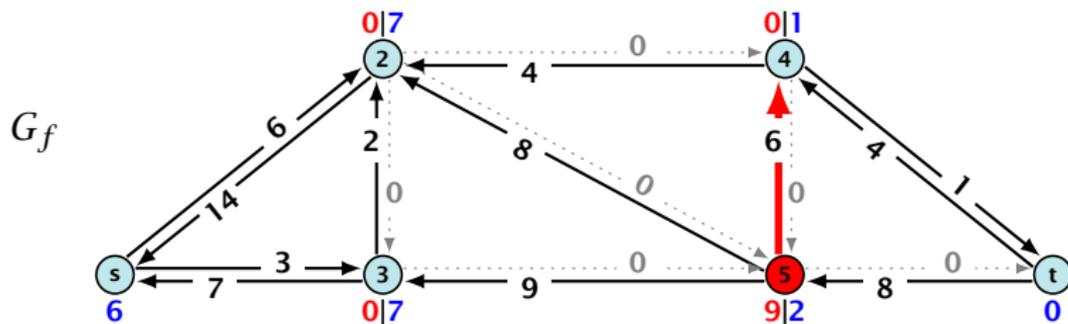
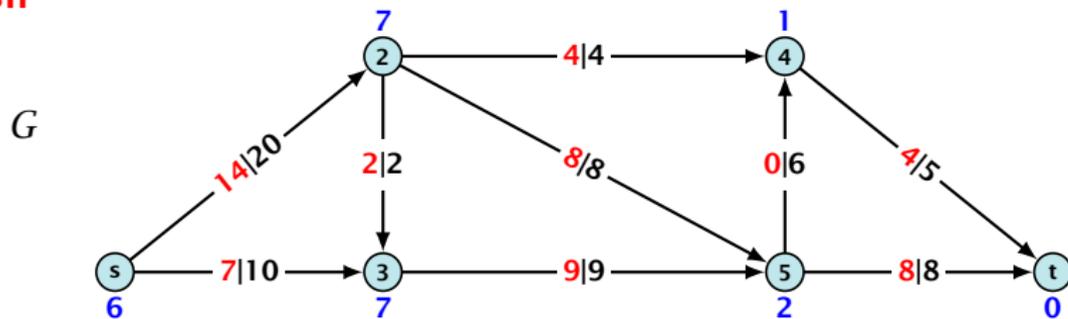


# Preflow Push Algorithm

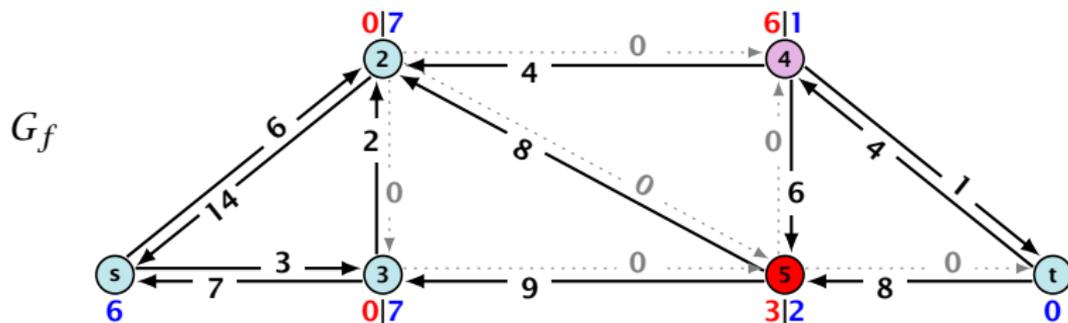
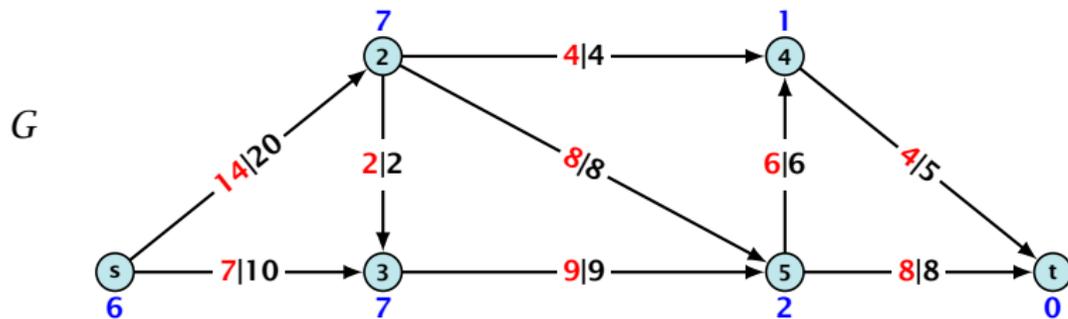


# Preflow Push Algorithm

push

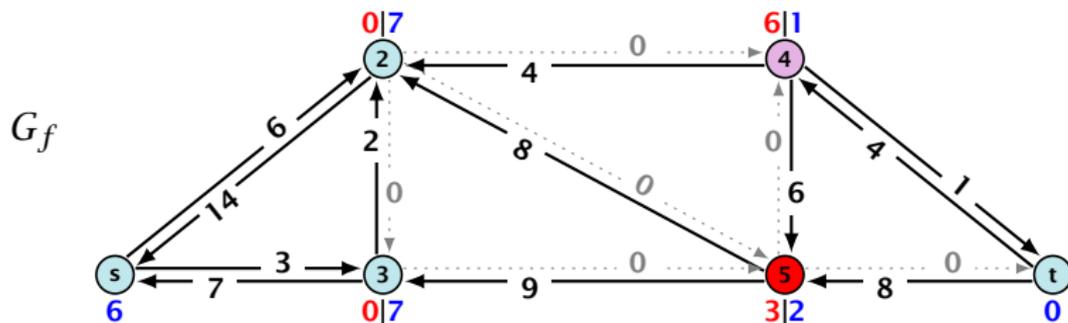
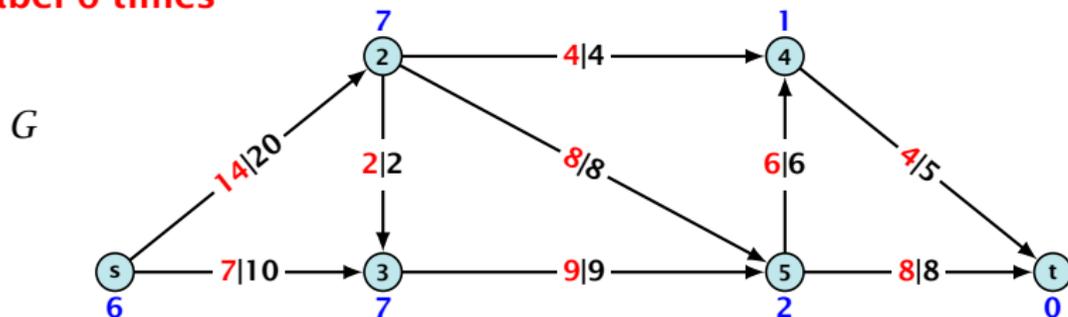


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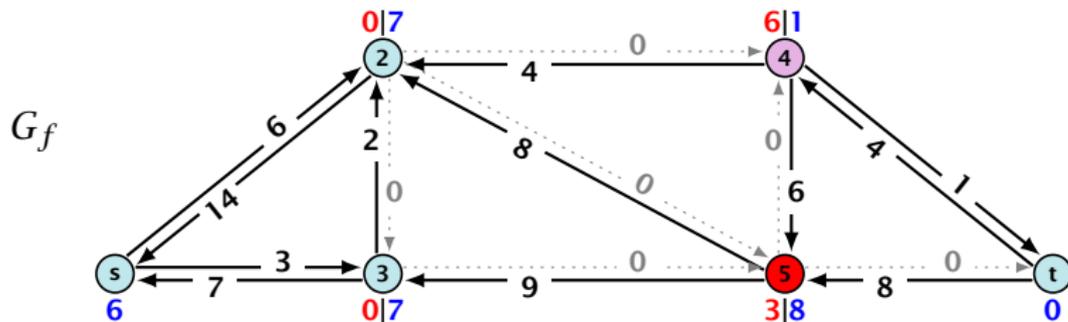
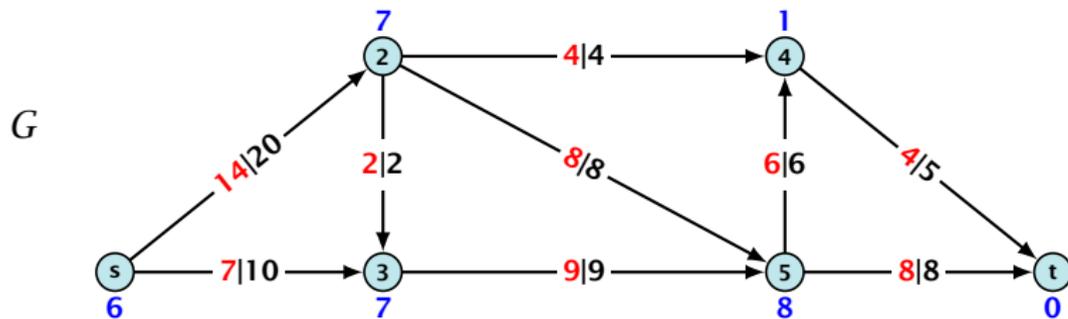


# Preflow Push Algorithm

relabel 6 times

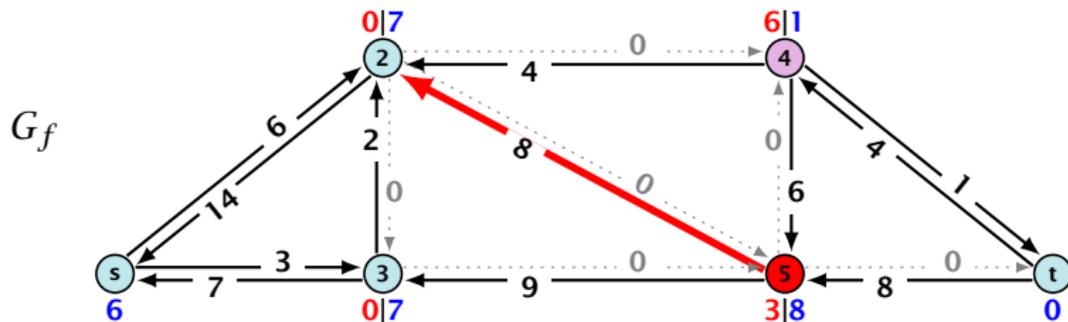
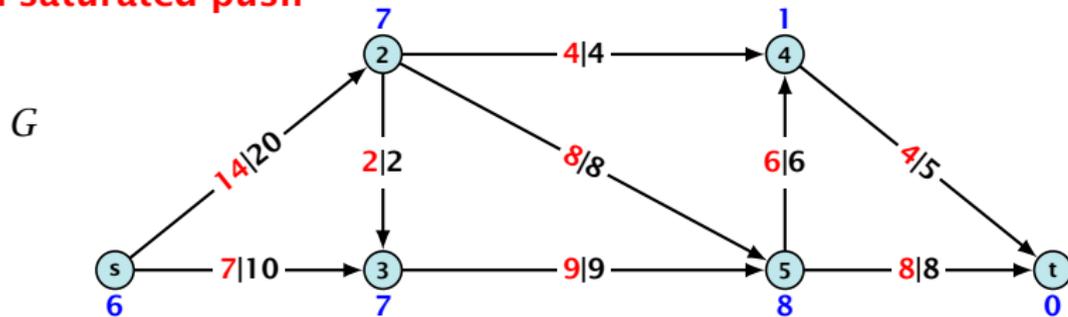


# Preflow Push Algorithm



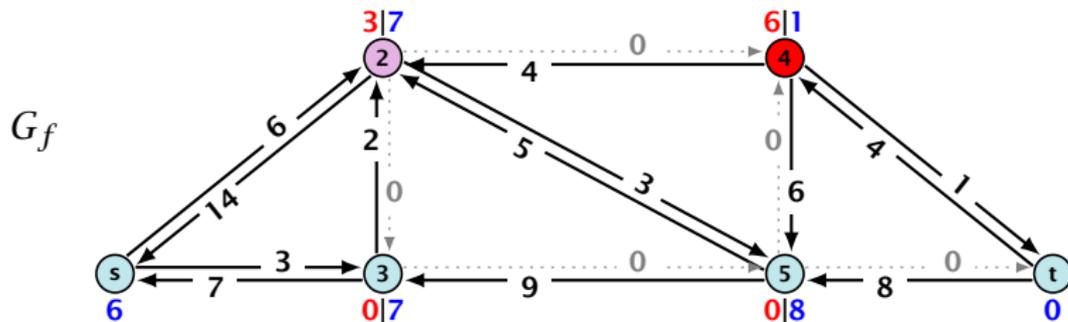
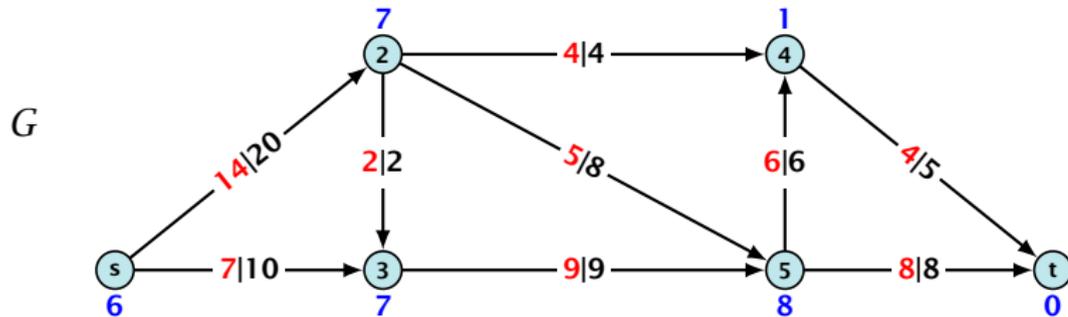
# Preflow Push Algorithm

## non-saturated push



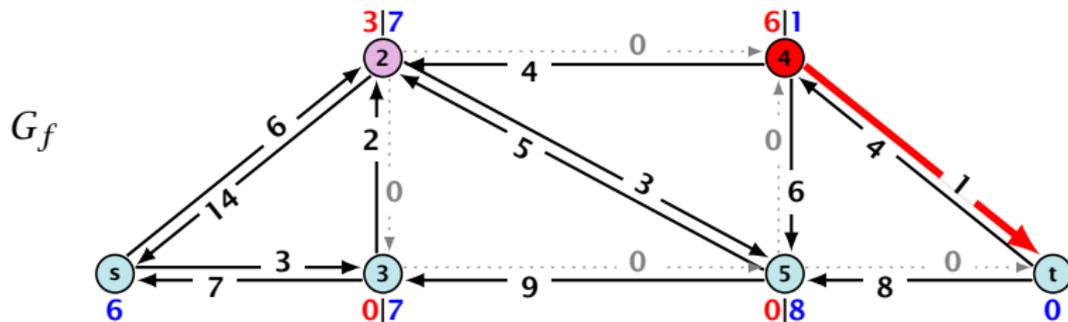
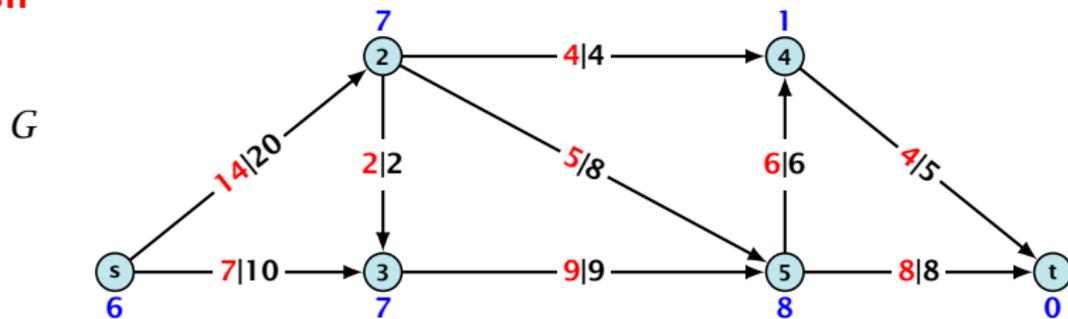


# Preflow Push Algorithm

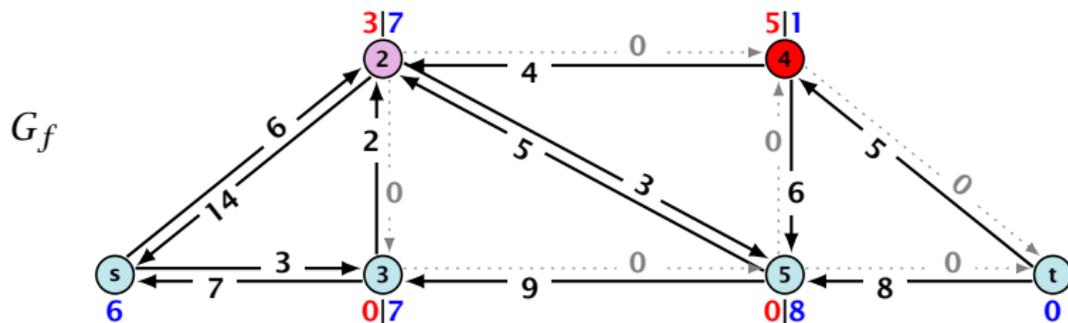
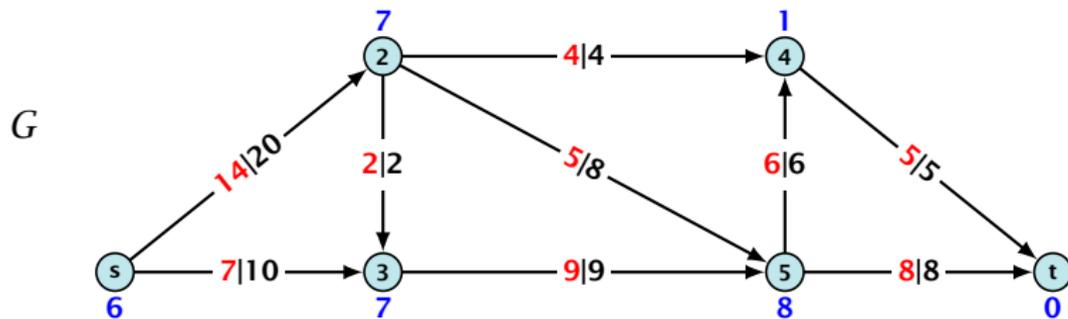


# Preflow Push Algorithm

push

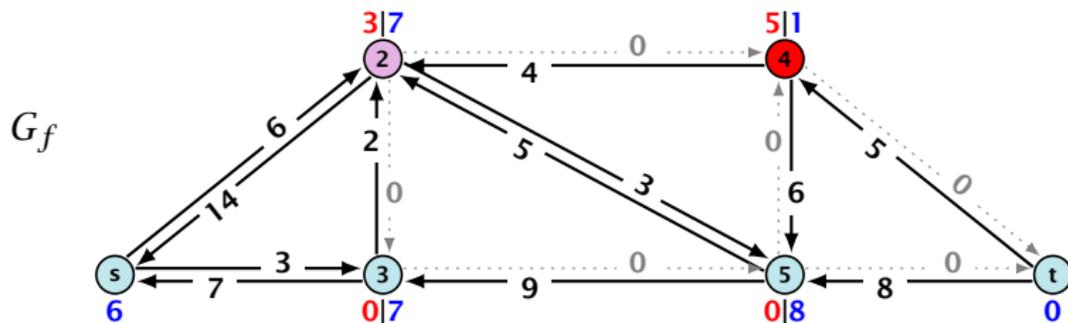
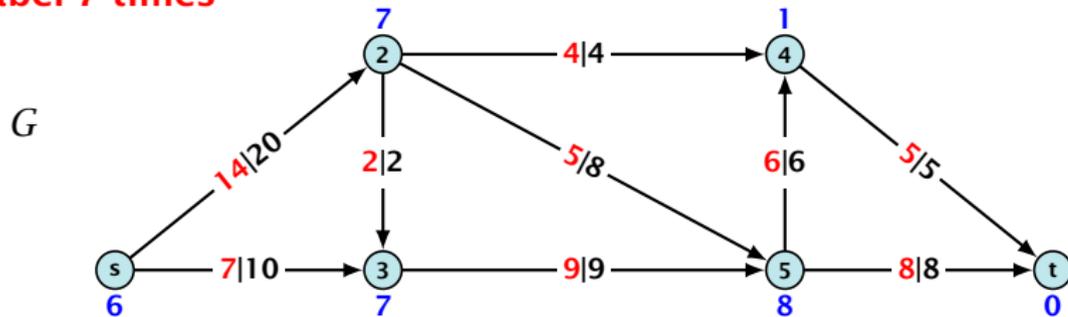


# Preflow Push Algorithm

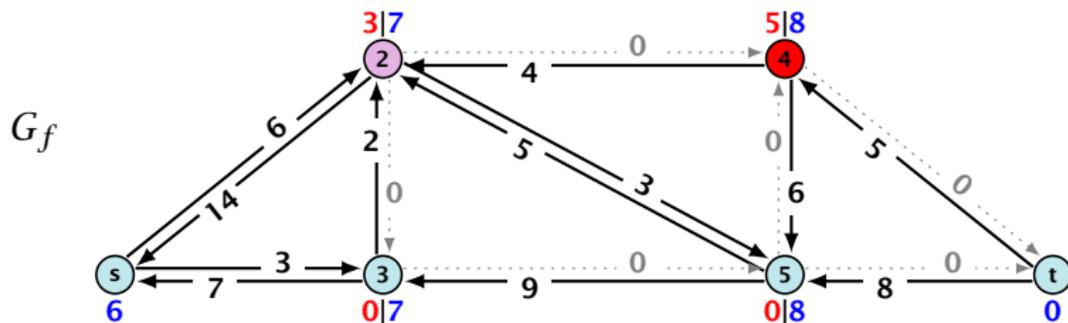
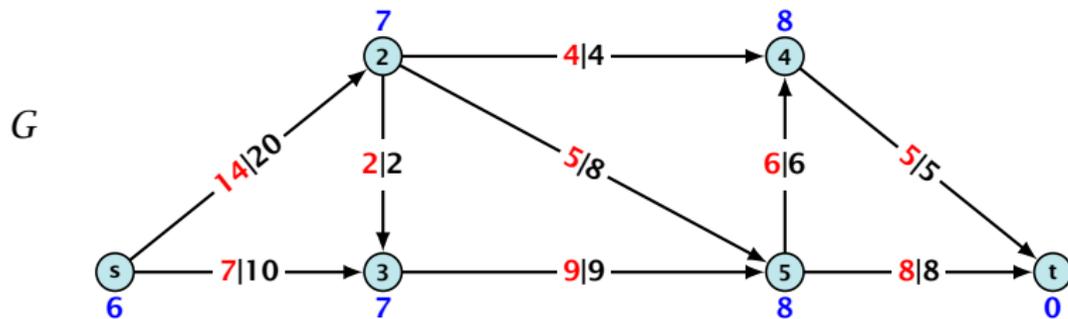


# Preflow Push Algorithm

relabel 7 times

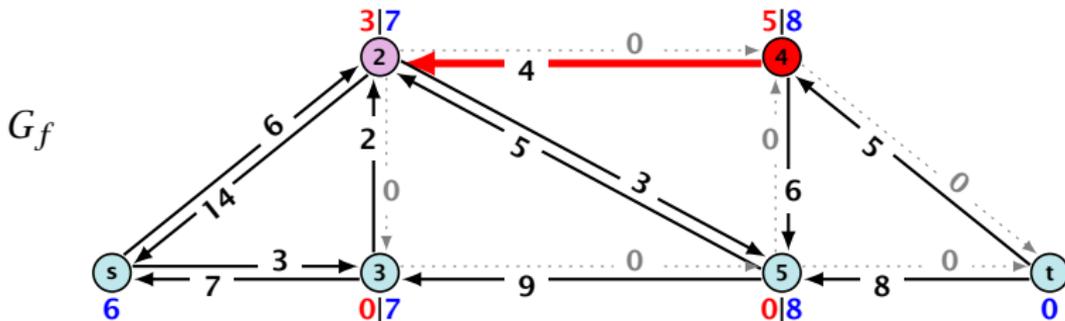
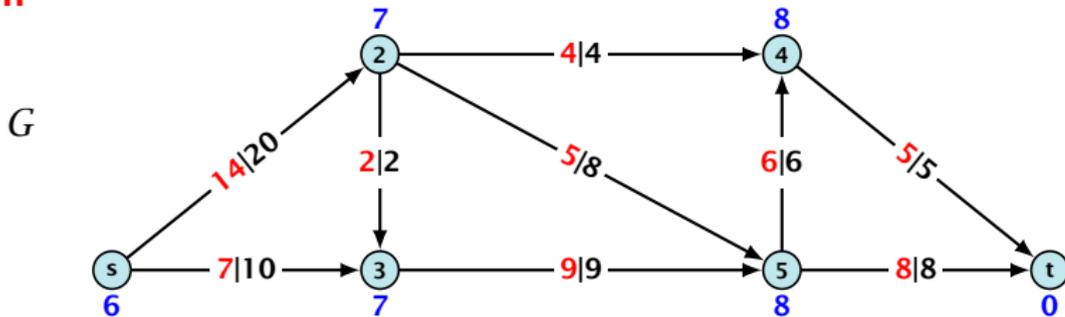


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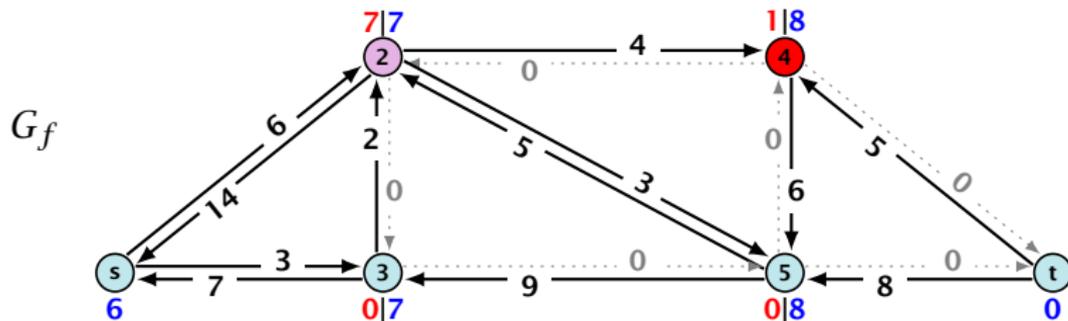
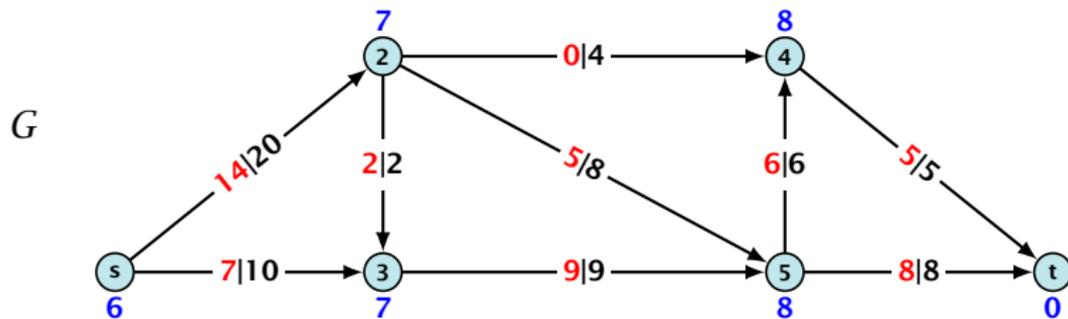


# Preflow Push Algorithm

push

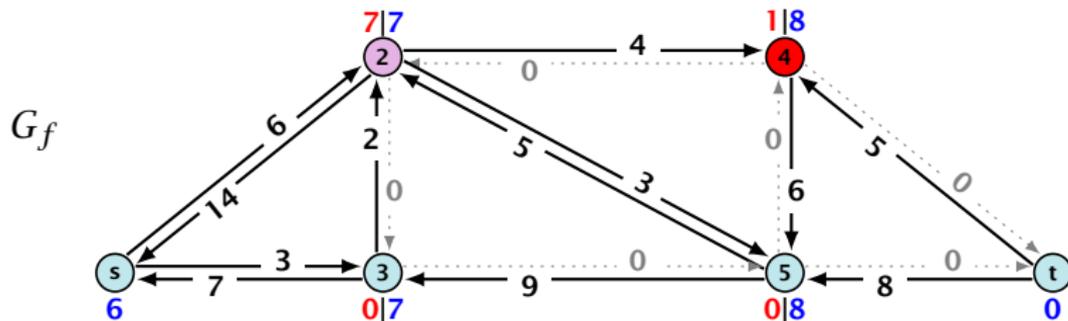
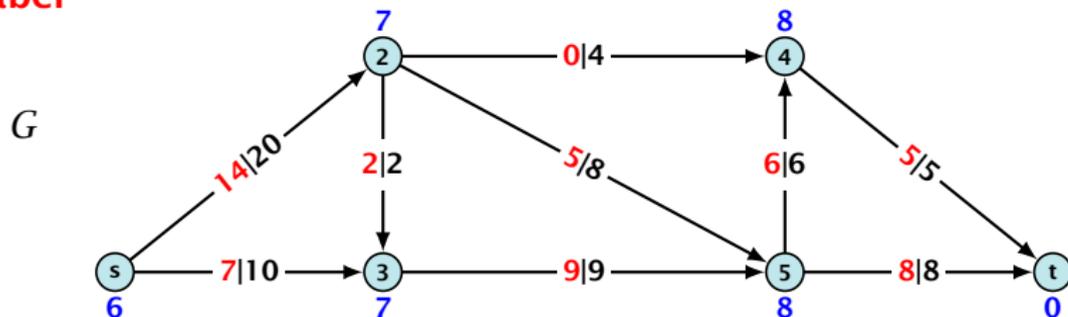


# Preflow Push Algorithm

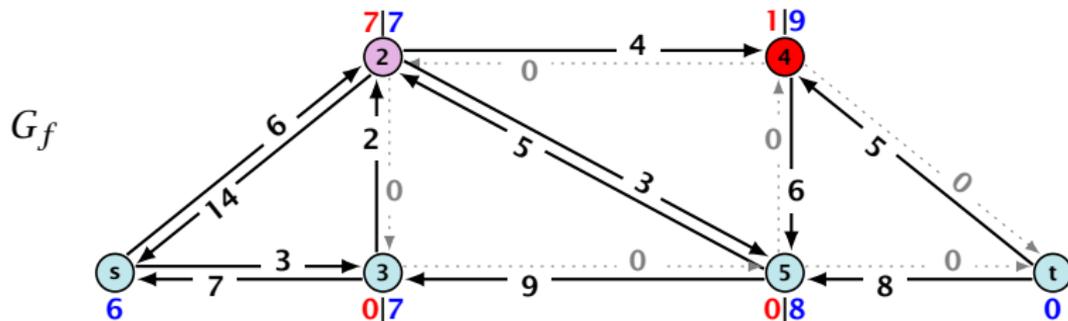
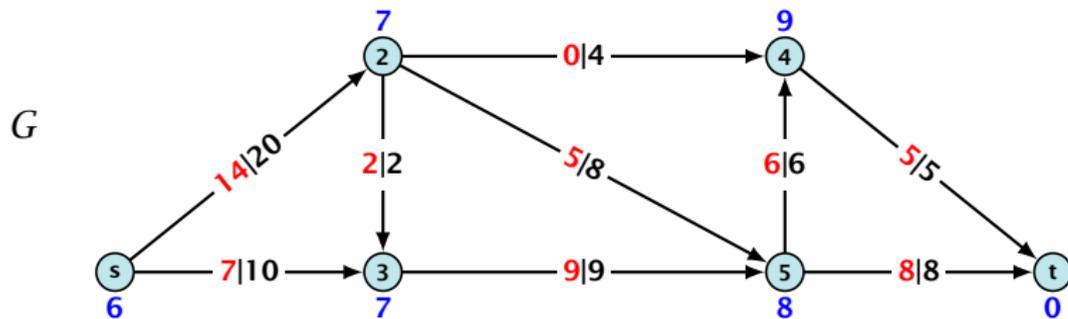


# Preflow Push Algorithm

relabel

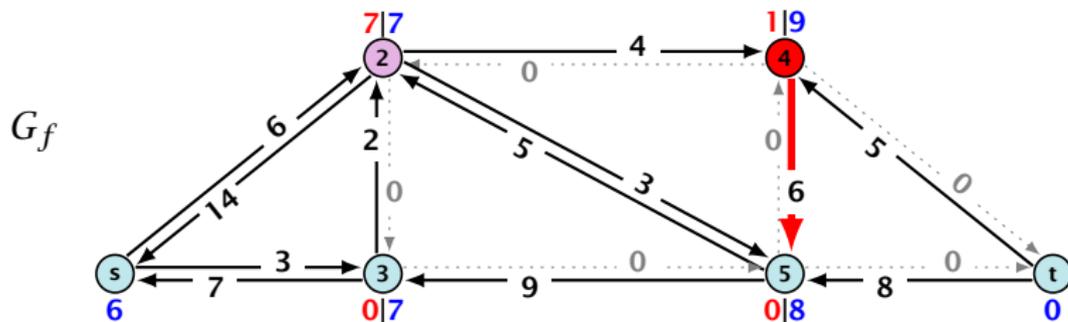
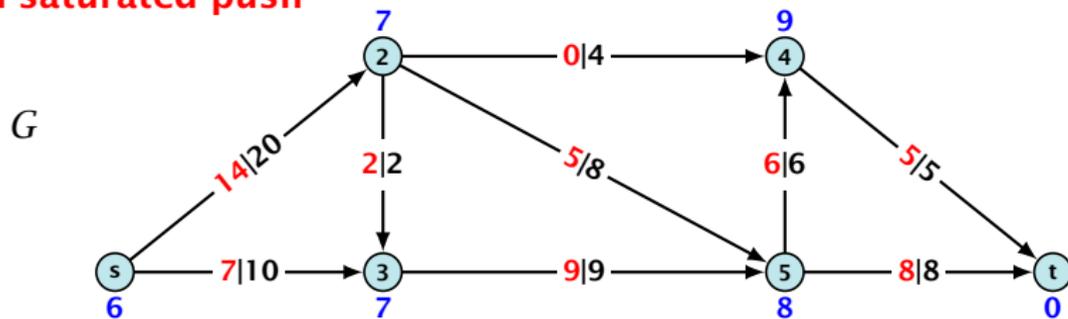


# Preflow Push Algorithm

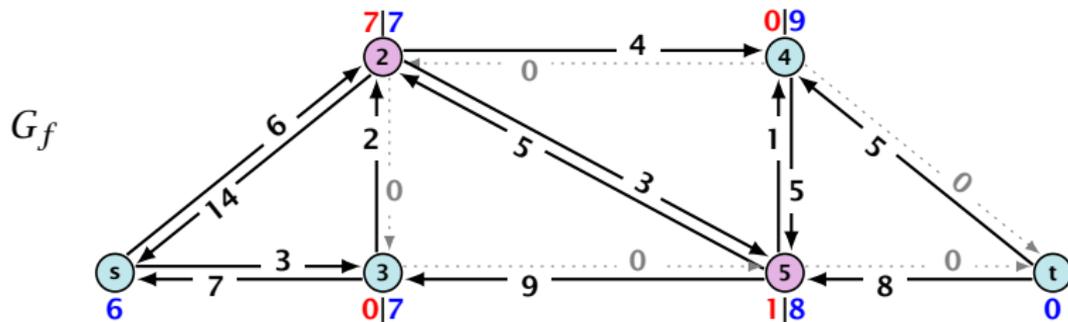
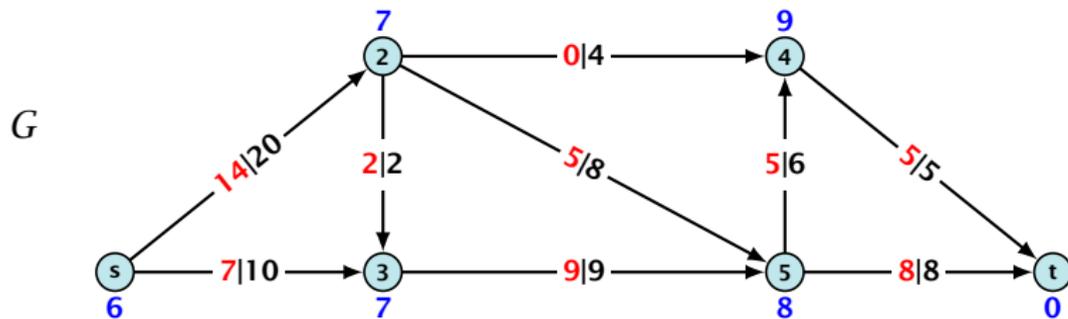


# Preflow Push Algorithm

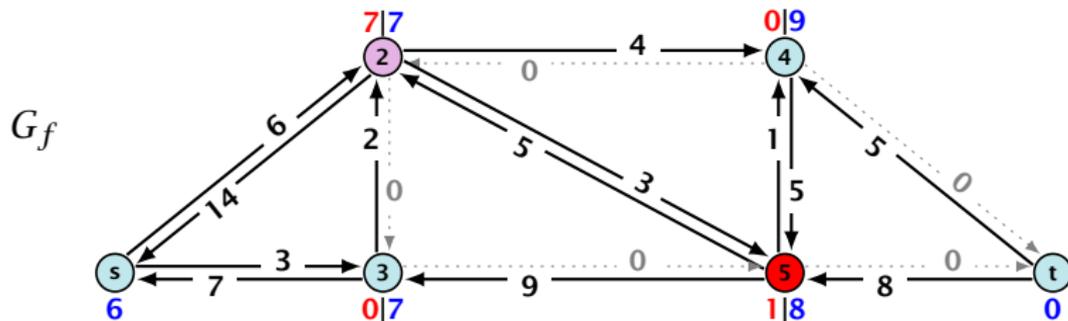
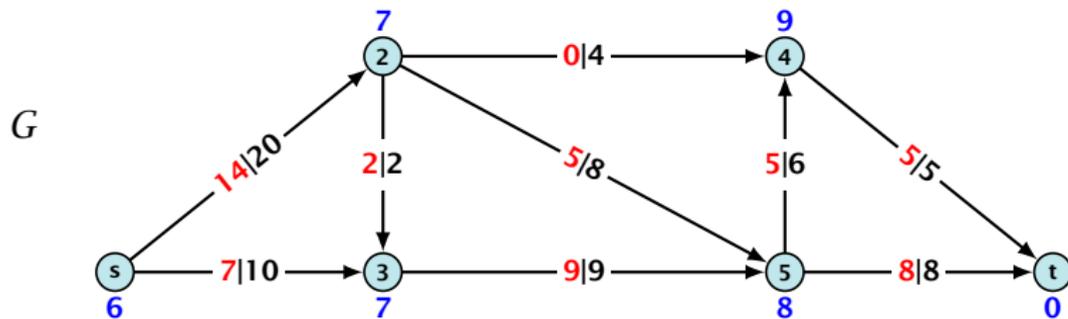
## non-saturated push



# Preflow Push Algorithm

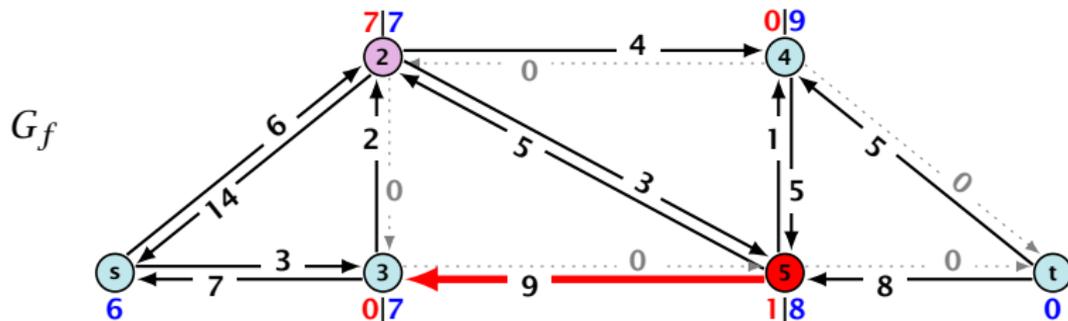
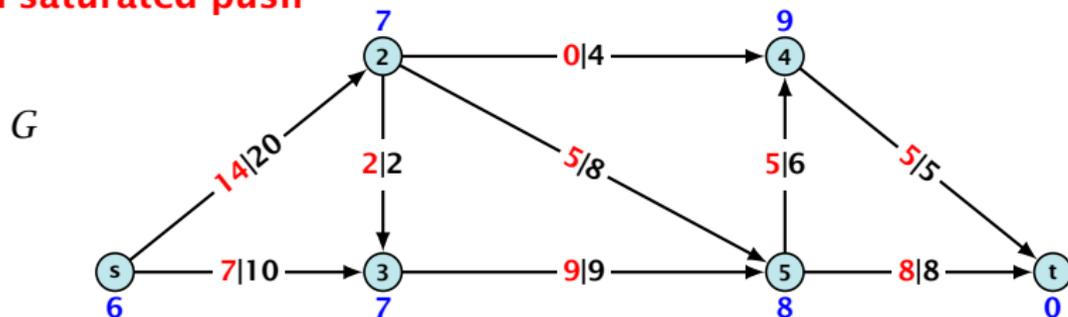


# Preflow Push Algorithm

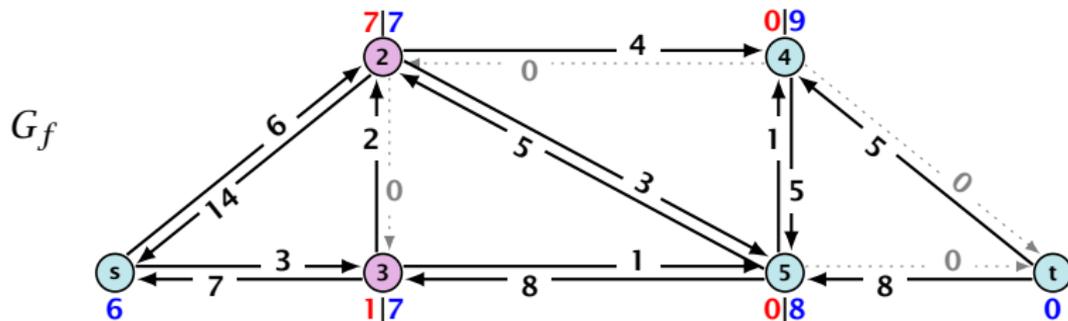
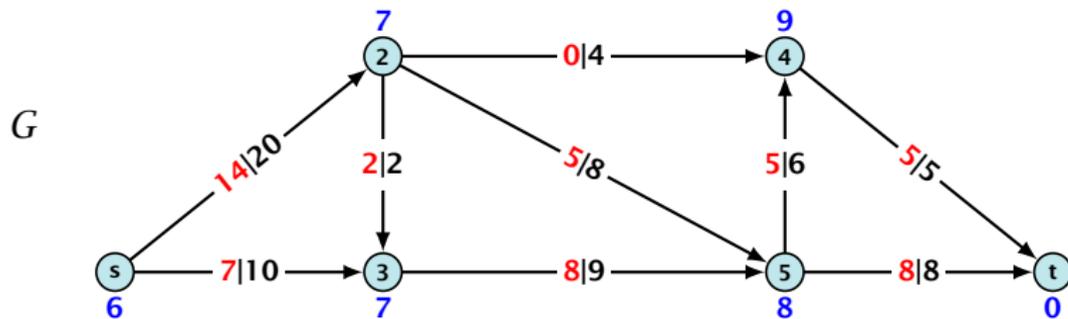


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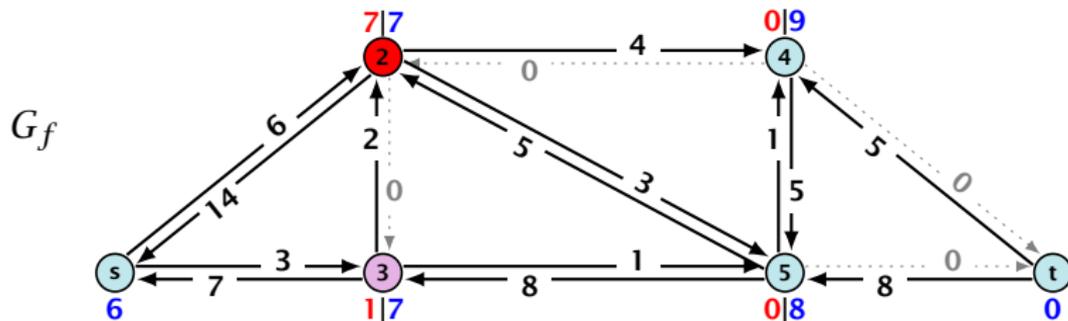
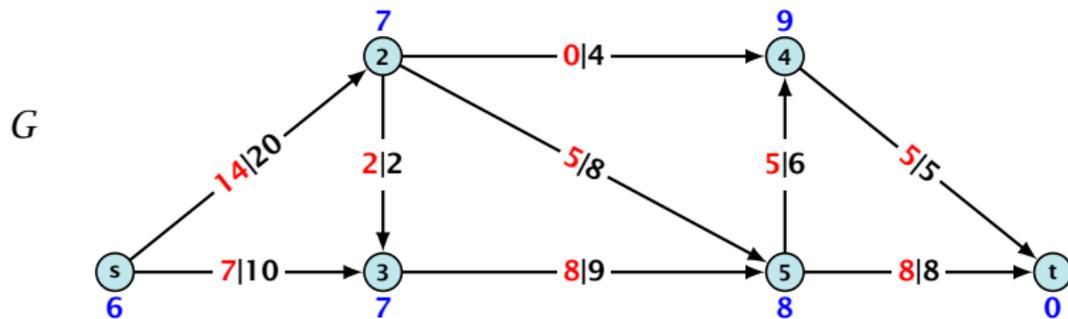
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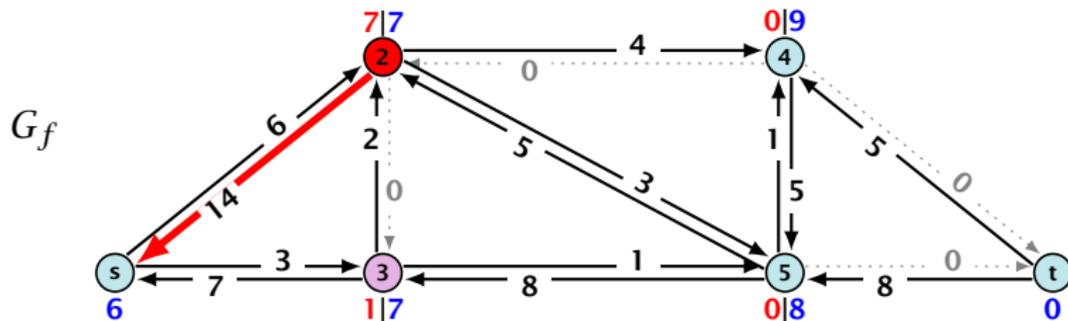
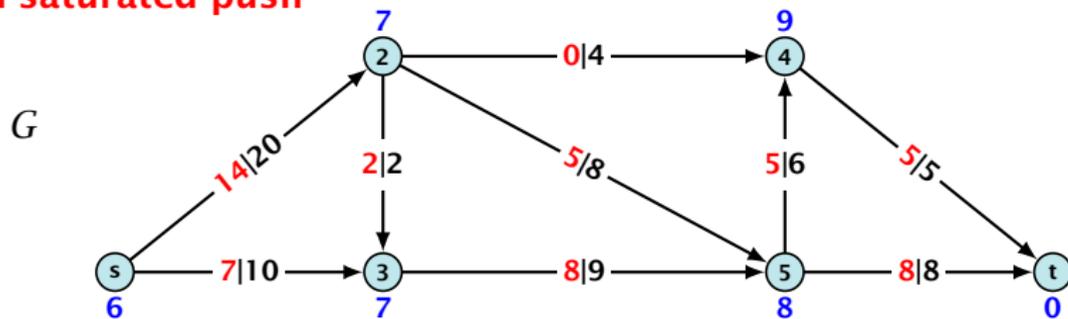


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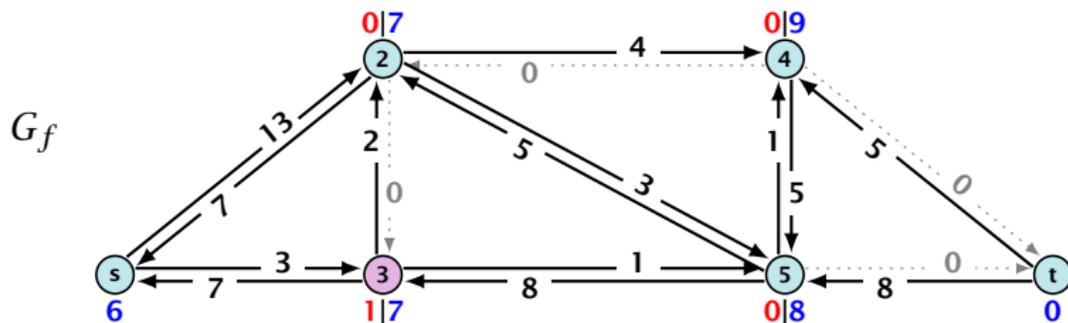
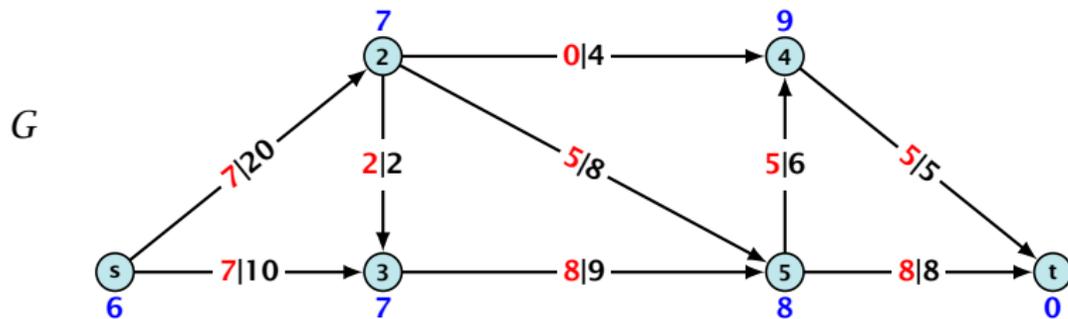


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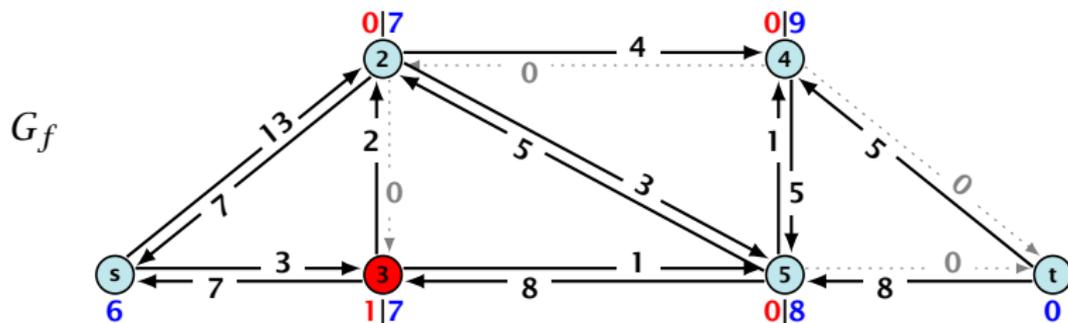
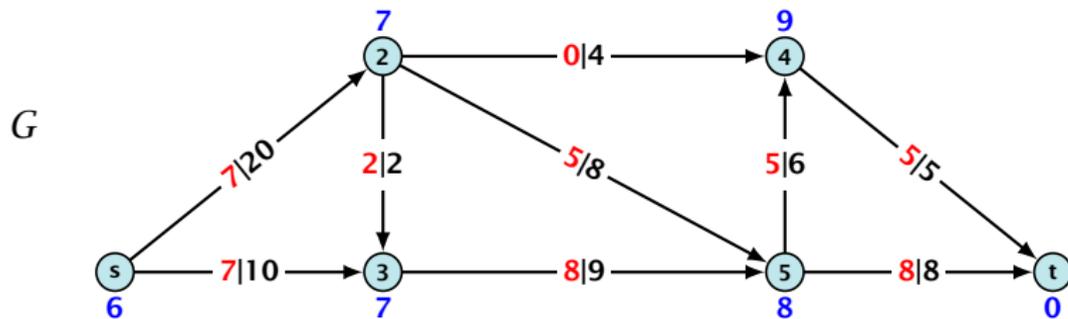
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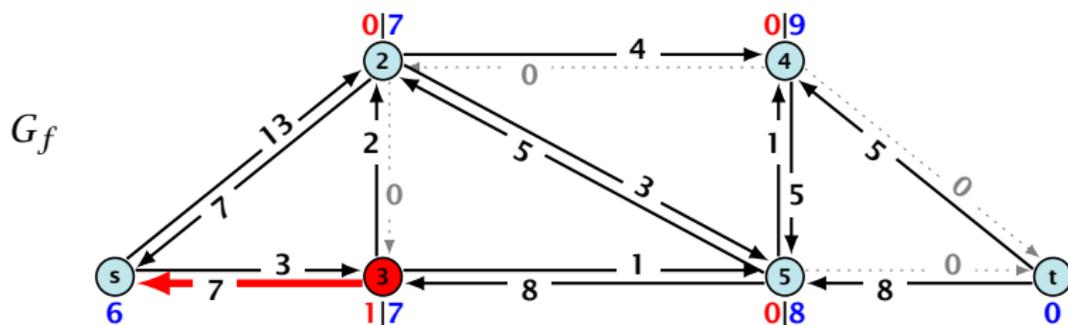
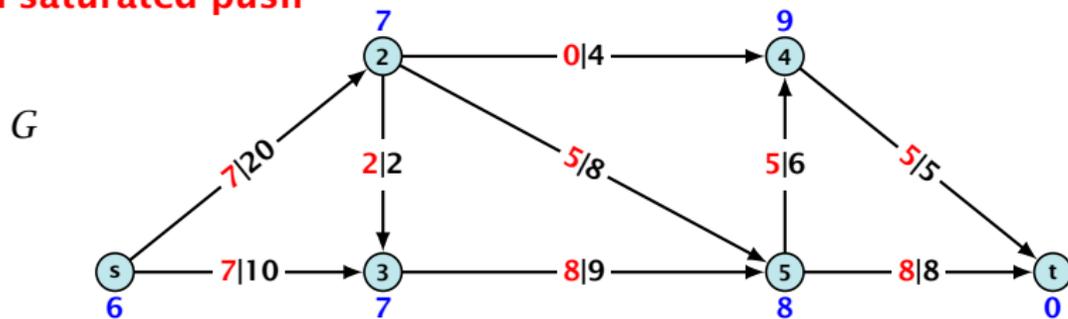


# Preflow Push Algorithm

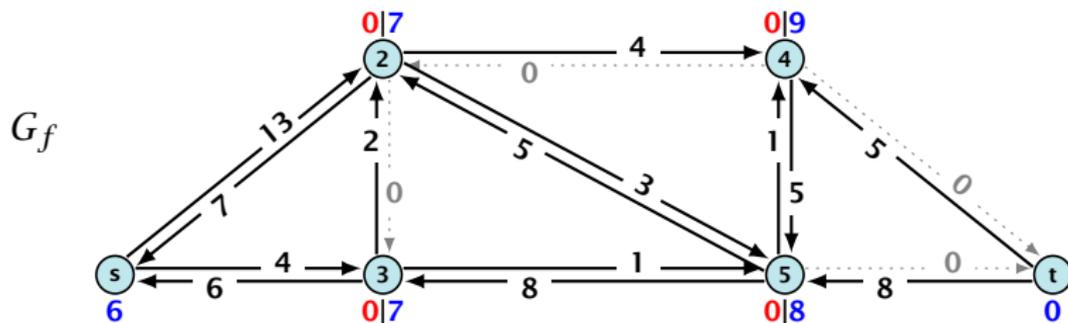
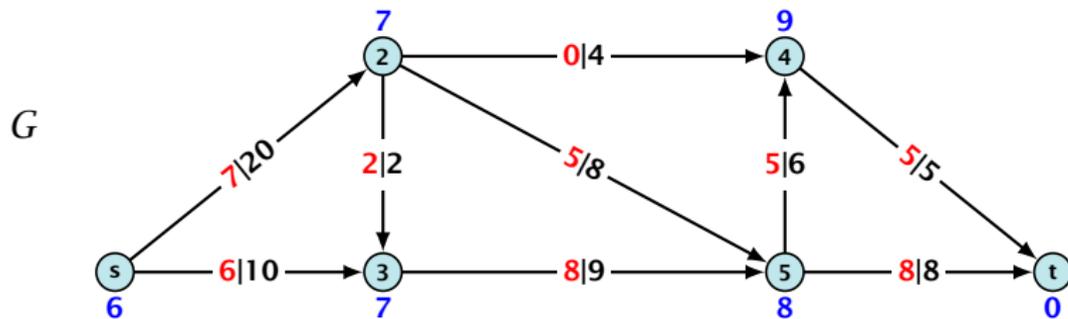


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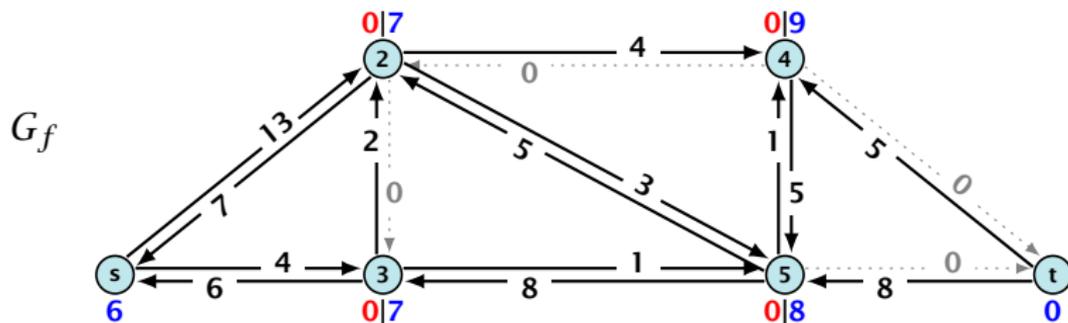
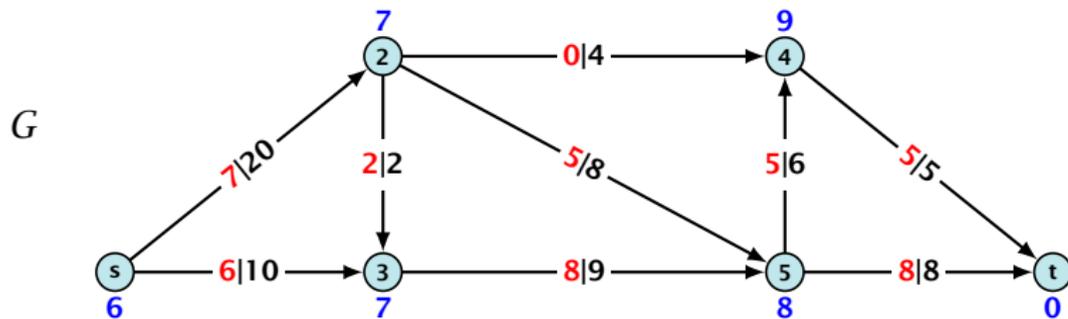
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# Preflow Push Algorithm



# Preflow Push Algorithm



# Analysis

## Lemma 68

*An active node has a path to  $s$  in the residual graph.*

# Analysis

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### Proof.

- ▶ Let  $A$  denote the set of nodes that can reach  $s$ , and let  $B$  denote the remaining nodes. Note that  $s \in A$ .

# Analysis

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- ▶ In the residual graph there are no edges into  $A$ , and, hence, no edges leaving  $A$ /entering  $B$  can carry any flow.
- ▶ Let  $f(B) = \sum_{v \in B} f(v)$  be the excess flow of all nodes in  $B$ .

Let  $f : E \rightarrow \mathbb{R}_0^+$  be a preflow. We introduce the notation

$$f(x, y) = \begin{cases} 0 & (x, y) \notin E \\ f((x, y)) & (x, y) \in E \end{cases}$$

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$$f(B)$$

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Hence, the excess flow  $f(b)$  must be 0 for every node  $b \in B$ .

# Analysis

## Lemma 69

*The label of a node cannot become larger than  $2n - 1$ .*

### Proof.

- ▶ When increasing the label at a node  $u$  there exists a path from  $u$  to  $s$  of length at most  $n - 1$ . Along each edge of the path the height/label can at most drop by 1, and the label of the source is  $n$ .

# Analysis

## Lemma 70

*There are only  $\mathcal{O}(n^3)$  calls to discharge when using the relabel-to-front heuristic.*

### Proof.

- ▶ When increasing the label at a node  $u$  there exists a path from  $u$  to  $s$  of length at most  $n - 1$ . Along each edge of the path the height/label can at most drop by 1, and the label of the source is  $n$ .

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- ▶ Currently,  $\ell(u) = \ell(v) + 1$ , as we only make pushes along admissible edges.

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- ▶ For a push from  $v$  to  $u$  the edge  $(v, u)$  must become admissible. The label of  $v$  must increase by at least 2.
- ▶ Since the label of  $v$  is at most  $2n - 1$ , there are at most  $n$  pushes along  $(u, v)$ .

## Lemma 72

The number of *non-saturating pushes* performed is at most  $\mathcal{O}(n^2m)$ .

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### Proof.

- ▶ Define a potential function  $\Phi(f) = \sum_{\text{active nodes } v} \ell(v)$

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- ▶ Hence,

$$\begin{aligned} \# \text{non-saturating\_pushes} &\leq \# \text{relabels} + 2n \cdot \# \text{saturating\_pushes} \\ &\leq \mathcal{O}(n^2m) . \end{aligned}$$

# Analysis

There is an implementation of the generic push relabel algorithm with running time  $\mathcal{O}(n^2m)$ .

For every node maintain a list of admissible edges starting at that node. Further maintain a list of active nodes.

A push along an edge  $(u, v)$  can be performed in constant time

- check whether edge  $(v, u)$  needs to be added to  $E$
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- check whether  $u$  becomes inactive and has to be deleted from the set of active nodes

A relabel at a node  $u$  can be performed in time  $\mathcal{O}(n)$

- check for all outgoing edges if they became admissible
- check for all incoming edges if they became non-saturating

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- check whether  $(u, v)$  needs to be deleted (active nodes)
- push  $\min(c(u, v), f(u) - f(v))$  units of flow from  $u$  to  $v$
- update  $f(u)$  and  $f(v)$

A relabel at a node  $u$  can be performed in time  $\mathcal{O}(n)$

- check for all outgoing edges if they become admissible
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## 13.2 Relabel to front

For special variants of push relabel algorithms we organize the neighbours of a node into a linked list (possible neighbours in the residual graph  $G_f$ ). Then we use the discharge-operation:

### Algorithm 48 discharge( $u$ )

```
1: while  $u$  is active do
2:    $v \leftarrow u.current\text{-neighbour}$ 
3:   if  $v = \text{null}$  then
4:     relabel( $u$ )
5:      $u.current\text{-neighbour} \leftarrow u.neighbour\text{-list-head}$ 
6:   else
7:     if  $(u, v)$  admissable then push( $u, v$ )
8:     else  $u.current\text{-neighbour} \leftarrow v.next\text{-in-list}$ 
```

## 13.2 Relabel to front

### Lemma 73

*If  $v = \text{null}$  in line 3, then there is no outgoing admissible edge from  $u$ .*

The lemma holds because push- and relabel-operations on nodes different from  $u$  cannot make edges outgoing from  $u$  admissible.

This shows that  $\text{discharge}(u)$  is correct, and that we can perform a relabel in line 4.

## 13.2 Relabel to front

### Algorithm 49 relabel-to-front( $G, s, t$ )

```
1: initialize preflow
2: initialize node list  $L$  containing  $V \setminus \{s, t\}$  in any order
3: foreach  $u \in V \setminus \{s, t\}$  do
4:    $u.current\text{-neighbour} \leftarrow u.neighbour\text{-list}\text{-head}$ 
5:  $u \leftarrow L.head$ 
6: while  $u \neq \text{null}$  do
7:    $old\text{-height} \leftarrow \ell(u)$ 
8:   discharge( $u$ )
9:   if  $\ell(u) > old\text{-height}$  then
10:     move  $u$  to the front of  $L$ 
11:    $u \leftarrow u.next$ 
```

## 13.2 Relabel to front

### Lemma 74 (Invariant)

*In Line 6 of the relabel-to-front algorithm the following invariant holds.*

- 1. The sequence  $L$  is topologically sorted w.r.t. the set of admissible edges; this means for an admissible edge  $(x, y)$  the node  $x$  appears before  $y$  in sequence  $L$ .*
- 2. No node before  $u$  in the list  $L$  is active.*

## Proof:

### ► Initialization:

1. In the beginning  $s$  has label  $n \geq 2$ , and all other nodes have label 0. Hence, no edge is admissible, which means that any ordering  $L$  is permitted.
2. We start with  $u$  being the head of the list; hence no node before  $u$  can be active

### ► Maintenance:

1.
  - Pushes do not create any new admissible edges. Therefore, not relabeling  $u$  leaves  $L$  topologically sorted.
  - After relabeling,  $u$  cannot have admissible incoming edges as such an edge  $(x, u)$  would have had a difference  $\ell(x) - \ell(u) \geq 2$  before the re-labeling (such edges do not exist in the residual graph).  
Hence, moving  $u$  to the front does not violate the sorting property for any edge; however it fixes this property for all admissible edges leaving  $u$  that were generated by the relabeling.

## 13.2 Relabel to front

### Proof:

► Maintenance:

2. If we do a relabel there is nothing to prove because the only node before  $u'$  ( $u$  in the next iteration) will be the current  $u$ ; the  $\text{discharge}(u)$  operation only terminates when  $u$  is not active anymore.

For the case that we do a relabel, observe that the only way a predecessor could be active is that we push flow to it via an admissible arc. However, all admissible arcs point to successors of  $u$ .

Note that the invariant for  $u = \text{null}$  means that we have a preflow with a valid labelling that does not have active nodes. This means we have a maximum flow.

## 13.2 Relabel to front

### Lemma 75

*There are at most  $\mathcal{O}(n^3)$  calls to  $\text{discharge}(u)$ .*

Every discharge operation without a relabel advances  $u$  (the current node within list  $L$ ). Hence, if we have  $n$  discharge operations without a relabel we have  $u = \text{null}$  and the algorithm terminates.

Therefore, the number of calls to discharge is at most  $n(\#\text{relabels} + 1) = \mathcal{O}(n^3)$ .

## 13.2 Relabel to front

### Lemma 76

*The cost for all relabel-operations is only  $\mathcal{O}(n^2)$ .*

A relabel-operation at a node is constant time (increasing the label and resetting *u.current-neighbour*). In total we have  $\mathcal{O}(n^2)$  relabel-operations.

## 13.2 Relabel to front

Note that by definition a saturating push operation ( $\min\{c_f(e), f(u)\} = c_f(e)$ ) can at the same time be a non-saturating push operation ( $\min\{c_f(e), f(u)\} = f(u)$ ).

### Lemma 77

*The cost for all saturating push-operations that are **not** also non-saturating push-operations is only  $\mathcal{O}(mn)$ .*

Note that such a push-operation leaves the node  $u$  active but makes the edge  $e$  disappear from the residual graph. Therefore the push-operation is immediately followed by an increase of the pointer  $u.current-neighbour$ .

This pointer can traverse the neighbour-list at most  $\mathcal{O}(n)$  times (upper bound on number of relabels) and the neighbour-list has only  $degree(u) + 1$  many entries (+1 for null-entry).

## 13.2 Relabel to front

### Lemma 78

*The cost for all non-saturating push-operations is only  $\mathcal{O}(n^3)$ .*

A non-saturating push-operation takes constant time and ends the current call to `discharge()`. Hence, there are only  $\mathcal{O}(n^3)$  such operations.

### Theorem 79

*The push-relabel algorithm with the rule relabel-to-front takes time  $\mathcal{O}(n^3)$ .*

## 13.3 Highest label

### Algorithm 50 highest-label( $G, s, t$ )

- 1: initialize preflow
- 2: **foreach**  $u \in V \setminus \{s, t\}$  **do**
- 3:      $u.current-neighbour \leftarrow u.neighbour-list-head$
- 4: **while**  $\exists$  active node  $u$  **do**
- 5:     select active node  $u$  with highest label
- 6:     discharge( $u$ )

## 13.3 Highest label

### Lemma 80

*When using highest label the number of non-saturating pushes is only  $\mathcal{O}(n^3)$ .*

After a non-saturating push from  $u$  a relabel is required to make a currently non-active node  $x$ , with  $\ell(x) \geq \ell(u)$  active again (note that this includes  $u$ ).

Hence, after  $n$  non-saturating pushes without an intermediate relabel there are no active nodes left.

Therefore, the number of non-saturating pushes is at most  $n(\#relabels + 1) = \mathcal{O}(n^3)$ .

## 13.3 Highest label

Since a discharge-operation is terminated by a non-saturating push this gives an upper bound of  $\mathcal{O}(n^3)$  on the number of discharge-operations.

The cost for relabels and saturating pushes can be estimated in exactly the same way as in the case of relabel-to-front.

### Question:

How do we find the next node for a discharge operation?

## 13.3 Highest label

Maintain lists  $L_i$ ,  $i \in \{0, \dots, 2n\}$ , where list  $L_i$  contains active nodes with label  $i$  (maintaining these lists induces only constant additional cost for every push-operation and for every relabel-operation).

After a discharge operation terminated for a node  $u$  with label  $k$ , traverse the lists  $k - 1, \dots, 0$ , (in that order) until you find a non-empty list.

Unless the last (non-saturating) push was to  $s$  or  $t$  the list  $k - 1$  must be non-empty (i.e., the search takes constant time).

## 13.3 Highest label

Hence, the total time required for searching for active nodes is at most

$$\mathcal{O}(n^3) + n(\#non-saturating-pushes-to-s-or-t)$$

### Lemma 81

*The number of non-saturating pushes to  $s$  or  $t$  is at most  $\mathcal{O}(n^2)$ .*

With this lemma we get

### Theorem 82

*The push-relabel algorithm with the rule highest-label takes time  $\mathcal{O}(n^3)$ .*

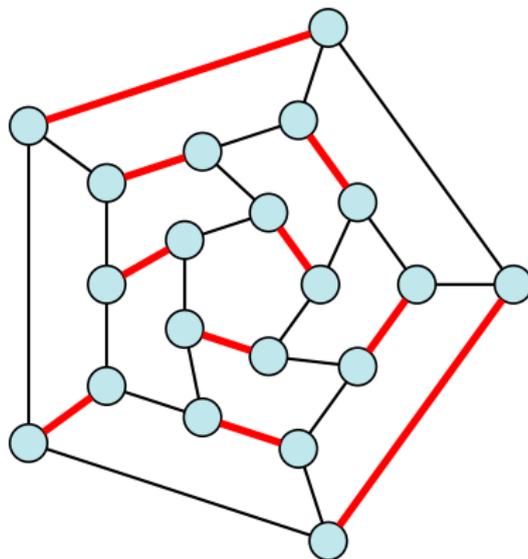
## 13.3 Highest label

### Proof of the Lemma.

- ▶ We only show that the number of pushes to the source is at most  $\mathcal{O}(n^2)$ . A similar argument holds for the target.
- ▶ After a node  $v$  (which must have  $\ell(v) = n + 1$ ) made a non-saturating push to the source there needs to be another node whose label is increased from  $\leq n + 1$  to  $n + 2$  before  $v$  can become active again.
- ▶ This happens for every push that  $v$  makes to the source. Since, every node can pass the threshold  $n + 2$  at most once,  $v$  can make at most  $n$  pushes to the source.
- ▶ As this holds for every node the total number of pushes to the source is at most  $\mathcal{O}(n^2)$ .

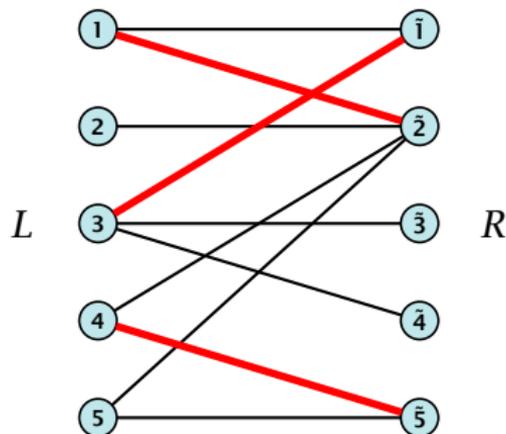
# Matching

- ▶ Input: undirected graph  $G = (V, E)$ .
- ▶  $M \subseteq E$  is a **matching** if each node appears in at most one edge in  $M$ .
- ▶ Maximum Matching: find a matching of maximum cardinality



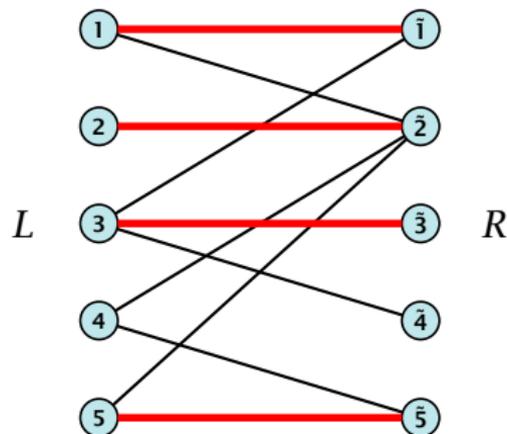
# Bipartite Matching

- ▶ Input: undirected, **bipartite** graph  $G = (L \uplus R, E)$ .
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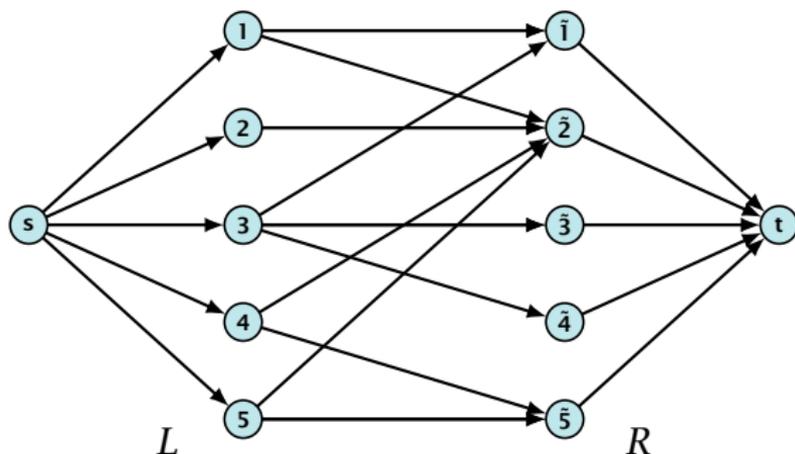
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# Maxflow Formulation

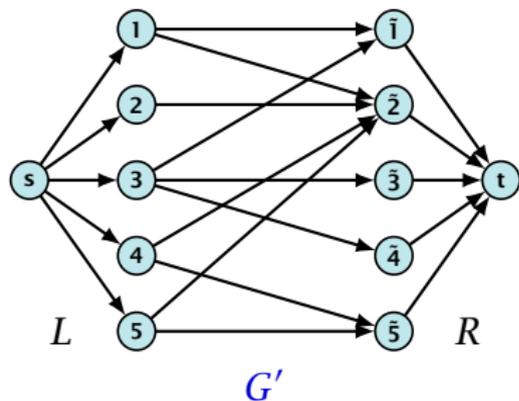
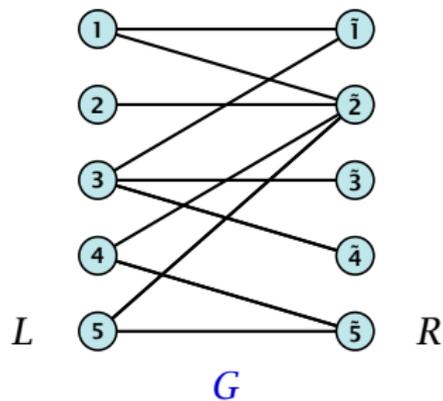
- ▶ Input: undirected, **bipartite** graph  $G = (L \uplus R \uplus \{s, t\}, E')$ .
- ▶ Direct all edges from  $L$  to  $R$ .
- ▶ Add source  $s$  and connect it to all nodes on the left.
- ▶ Add  $t$  and connect all nodes on the right to  $t$ .
- ▶ All edges have unit capacity.



# Proof

## Max cardinality matching in $G \leq$ value of maxflow in $G'$

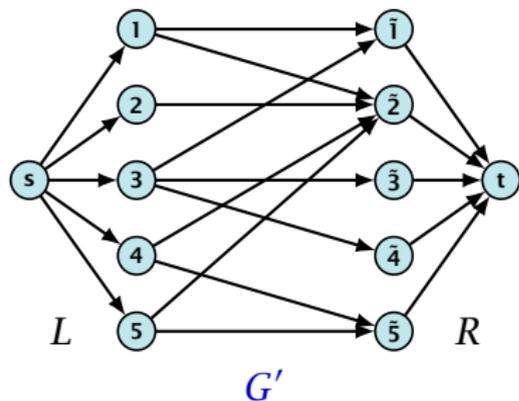
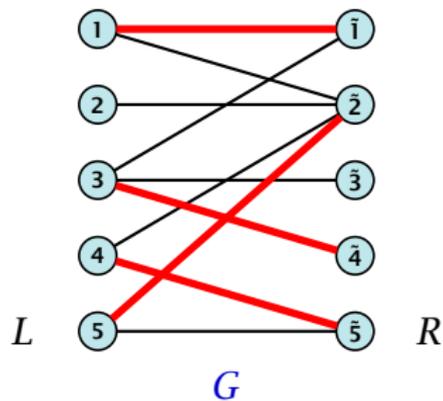
- ▶ Given a maximum matching  $M$  of cardinality  $k$ .
- ▶ Consider flow  $f$  that sends one unit along each of  $k$  paths.
- ▶  $f$  is a flow and has cardinality  $k$ .



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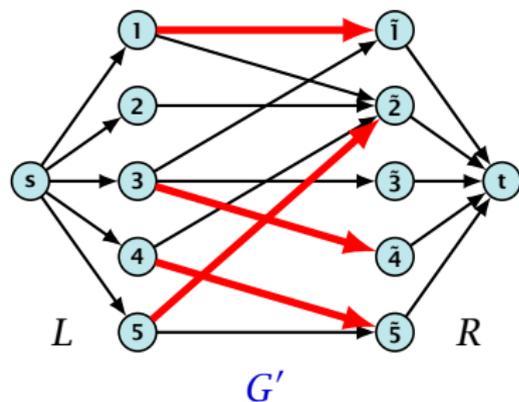
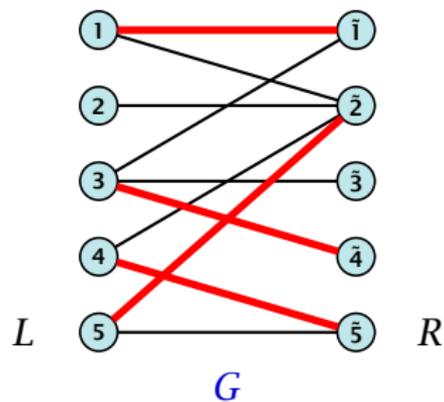
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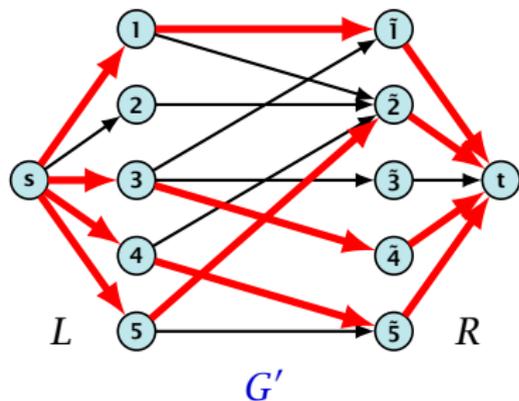
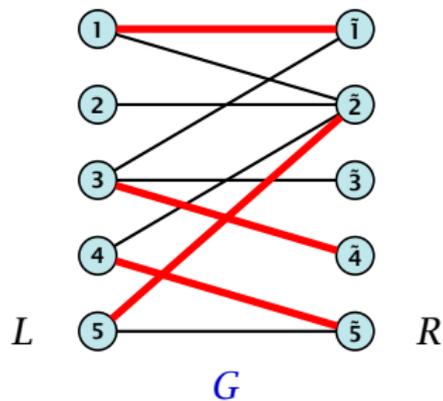
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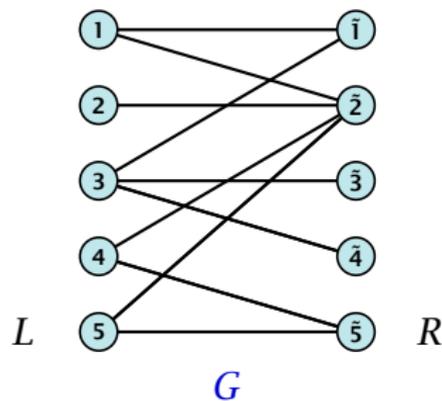
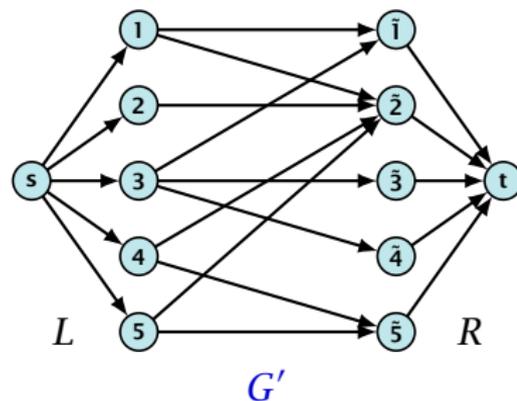
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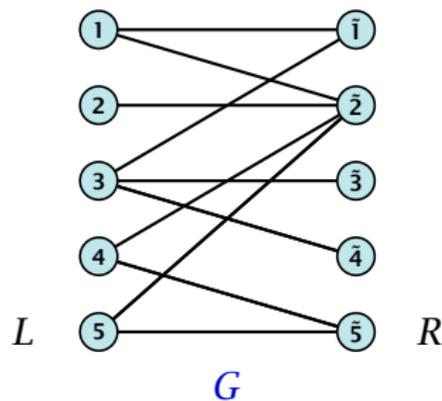
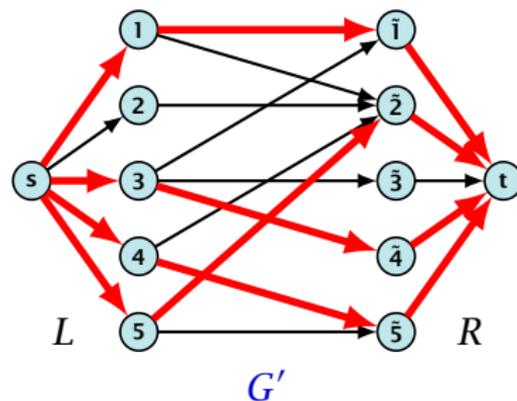
- ▶ Let  $f$  be a maxflow in  $G'$  of value  $k$
- ▶ Integrality theorem  $\Rightarrow k$  integral; we can assume  $f$  is 0/1.
- ▶ Consider  $M =$  set of edges from  $L$  to  $R$  with  $f(e) = 1$ .
- ▶ Each node in  $L$  and  $R$  participates in at most one edge in  $M$ .
- ▶  $|M| = k$ , as the flow must use at least  $k$  middle edges.



# Proof

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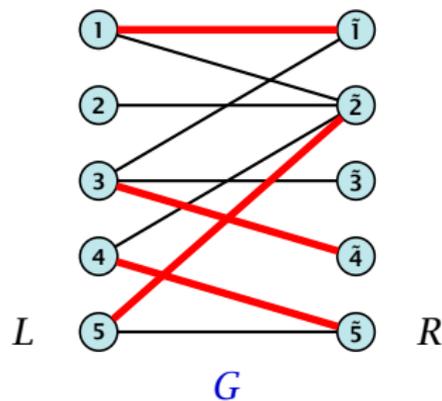
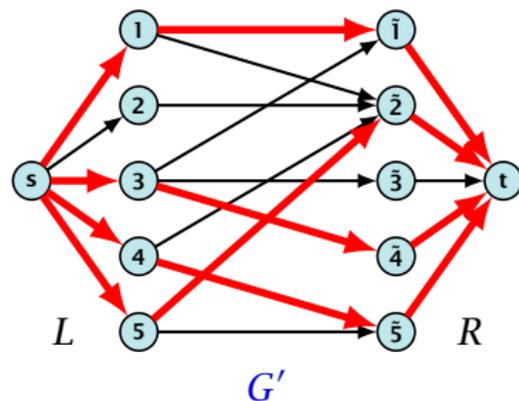
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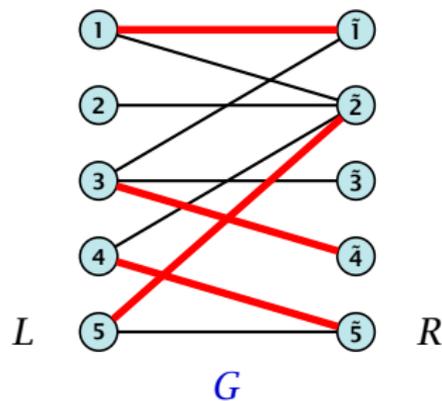
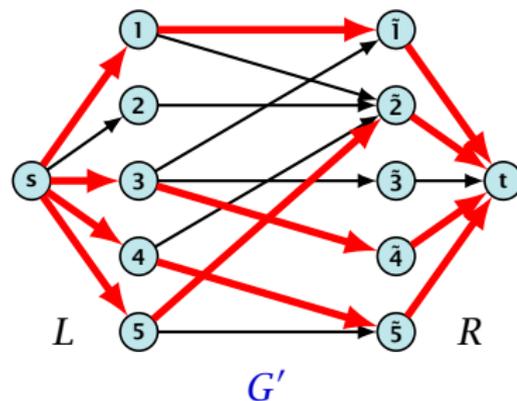
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- ▶  $|M| = k$ , as the flow must use at least  $k$  middle edges.



# 14.1 Matching

## Which flow algorithm to use?

- ▶ Generic augmenting path:  $\mathcal{O}(m \text{val}(f^*)) = \mathcal{O}(mn)$ .
- ▶ Capacity scaling:  $\mathcal{O}(m^2 \log C) = \mathcal{O}(m^2)$ .

# Baseball Elimination

team $i$	wins $w_i$	losses $\ell_i$	remaining games			
			Atl	Phi	NY	Mon
Atlanta	83	71	-	1	6	1
Philadelphia	80	79	1	-	0	2
New York	78	78	6	0	-	0
Montreal	77	82	1	2	0	-

**Which team can end the season with most wins?**

- ▶ Montreal is eliminated, since even after winning all remaining games there are only 80 wins.
- ▶ But also Philadelphia is eliminated. Why?

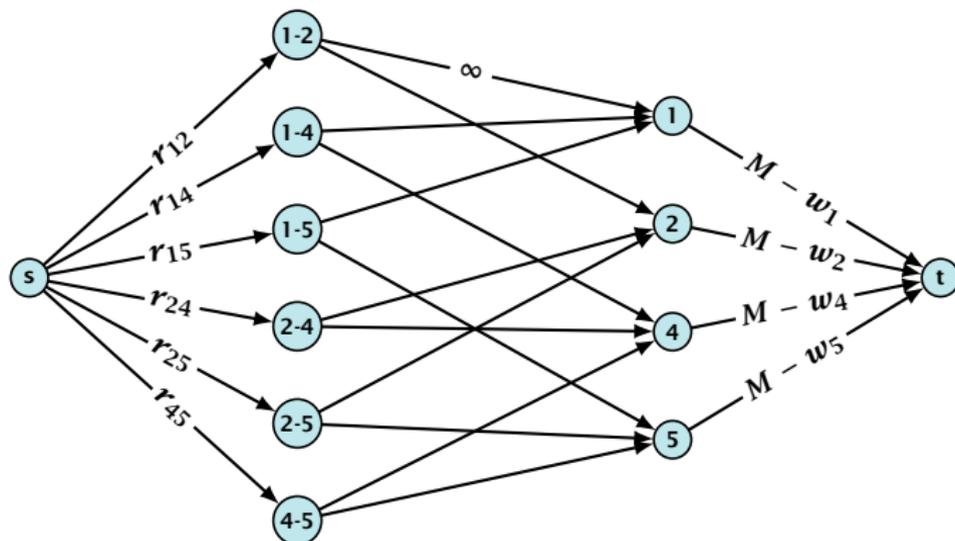
# Baseball Elimination

## Formal definition of the problem:

- ▶ Given a set  $S$  of teams, and one specific team  $z \in S$ .
- ▶ Team  $x$  has already won  $w_x$  games.
- ▶ Team  $x$  still has to play team  $y$ ,  $r_{xy}$  times.
- ▶ Does team  $z$  still have a chance to finish with the most number of wins.

# Baseball Elimination

Flow networks for  $z = 3$ .  $M$  is number of wins Team 3 can still obtain.



**Idea.** Distribute the results of remaining games in such a way that no team gets too many wins.

# Certificate of Elimination

Let  $T \subseteq S$  be a subset of teams. Define

$$w(T) := \sum_{i \in T} w_i, \quad r(T) := \sum_{i, j \in T, i < j} r_{ij}$$



If  $\frac{w(T)+r(T)}{|T|} > M$  then one of the teams in  $T$  will have more than  $M$  wins in the end. A team that can win at most  $M$  games is therefore eliminated.

## Theorem 83

A team  $z$  is eliminated if and only if the flow network for  $z$  does not allow a flow of value  $\sum_{i,j \in S \setminus \{z\}, i < j} r_{ij}$ .

### Proof ( $\Leftarrow$ )

- ▶ Consider the mincut  $A$  in the flow network. Let  $T$  be the set of **team-nodes** in  $A$ .
- ▶ If for a node  $x$ - $y$  not both team nodes  $x$  and  $y$  are in  $T$ , then  $x$ - $y \notin A$  as otherwise the cut would cut an infinite capacity edge.
- ▶ We don't find a flow that saturates all source edges:

$$\begin{aligned}r(S \setminus \{z\}) &> \text{cap}(S, V \setminus S) \\ &\geq \sum_{i < j: i \notin T \vee j \notin T} r_{ij} + \sum_{i \in T} (M - w_i) \\ &\geq r(S \setminus \{z\}) - r(T) + |T|M - w(T)\end{aligned}$$

- ▶ This gives  $M < (w(T) + r(T))/|T|$ , i.e.,  $z$  is eliminated.

# Baseball Elimination

## Proof ( $\Rightarrow$ )

- ▶ Suppose we have a flow that saturates all source edges.
- ▶ We can assume that this flow is **integral**.
- ▶ For every pairing  $x$ - $y$  it defines how many games team  $x$  and team  $y$  should win.
- ▶ The flow leaving the team-node  $x$  can be interpreted as the additional number of wins that team  $x$  will obtain.
- ▶ This is less than  $M - w_x$  because of capacity constraints.
- ▶ Hence, we found a set of results for the remaining games, such that no team obtains more than  $M$  wins in total.
- ▶ Hence, team  $z$  is not eliminated.

# Project Selection

## Project selection problem:

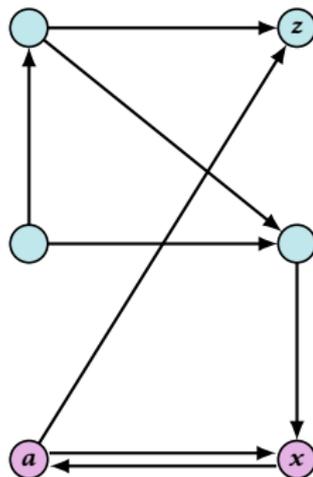
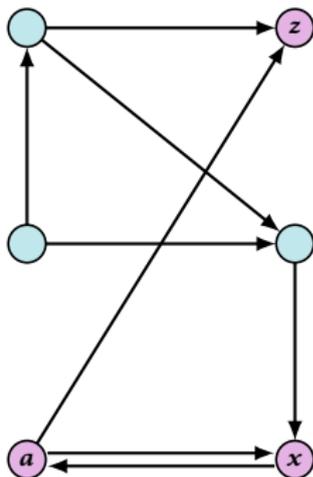
- ▶ Set  $P$  of possible projects. Project  $v$  has an associated profit  $p_v$  (can be positive or negative).
- ▶ Some projects have requirements (taking course EA2 requires course EA1).
- ▶ Dependencies are modelled in a graph. Edge  $(u, v)$  means “can’t do project  $u$  without also doing project  $v$ .”
- ▶ A subset  $A$  of projects is **feasible** if the prerequisites of every project in  $A$  also belong to  $A$ .

**Goal:** Find a feasible set of projects that maximizes the profit.

# Project Selection

The prerequisite graph:

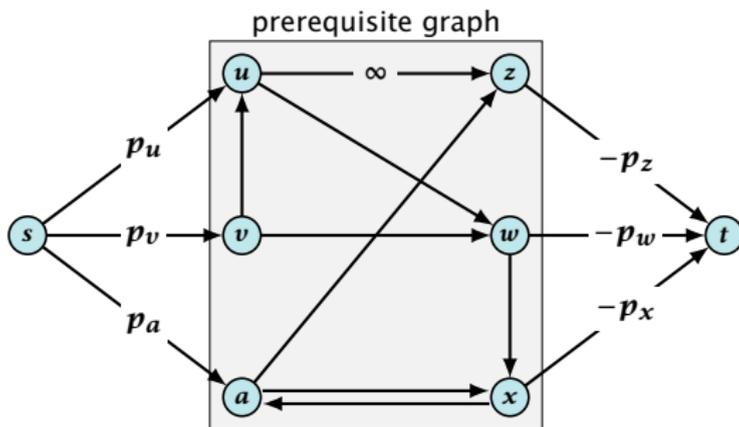
- ▶  $\{x, a, z\}$  is a feasible subset.
- ▶  $\{x, a\}$  is infeasible.



# Project Selection

## Mincut formulation:

- ▶ Edges in the prerequisite graph get infinite capacity.
- ▶ Add edge  $(s, v)$  with capacity  $p_v$  for nodes  $v$  with positive profit.
- ▶ Create edge  $(v, t)$  with capacity  $-p_v$  for nodes  $v$  with negative profit.



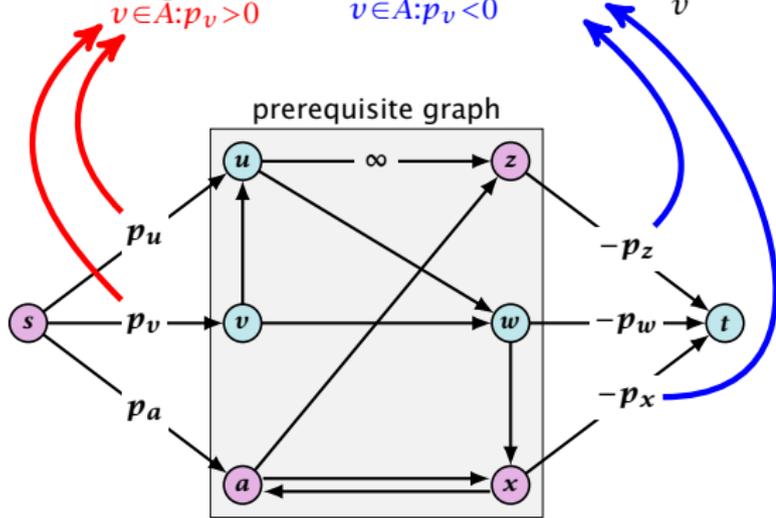
## Theorem 84

$A$  is a mincut if  $A \setminus \{s\}$  is the optimal set of projects.

### Proof.

▶  $A$  is feasible because of capacity infinity edges.

▶  $\text{cap}(A, V \setminus A) = \sum_{v \in \bar{A}: p_v > 0} p_v + \sum_{v \in A: p_v < 0} (-p_v) = \sum_v p_v - \sum_{v \in A} p_v$



# Mincost Flow

Consider the following problem:

$$\begin{aligned} \min \quad & \sum_e c(e) f(e) \\ \text{s.t.} \quad & \forall e \in E: 0 \leq f(e) \leq u(e) \\ & \forall v \in V: f(v) = b(v) \end{aligned}$$

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- ▶  $G = (V, E)$  is an **oriented graph**.
- ▶  $u : E \rightarrow \mathbb{R}_0^+ \cup \{\infty\}$  is the capacity function.
- ▶  $c : E \rightarrow \mathbb{R}$  is the cost function (note that  $c(e)$  may be negative).
- ▶  $b : V \rightarrow \mathbb{R}, \sum_{v \in V} b(v) = 0$  is a demand function.

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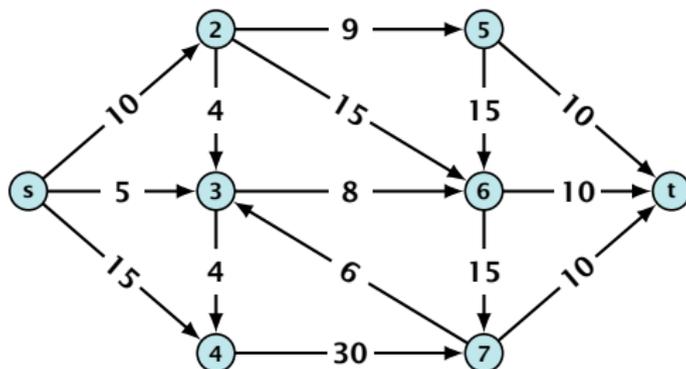
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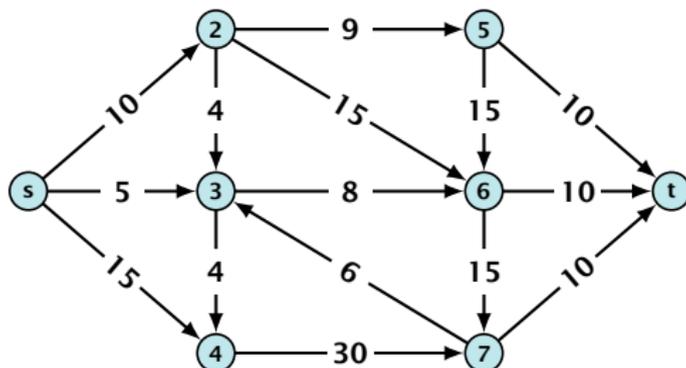
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# Solve Maxflow Using Mincost Flow

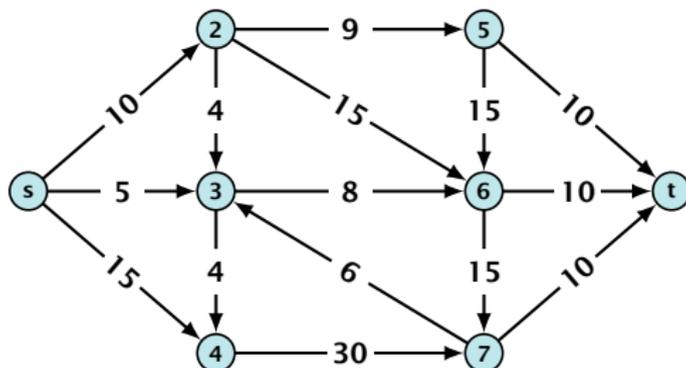


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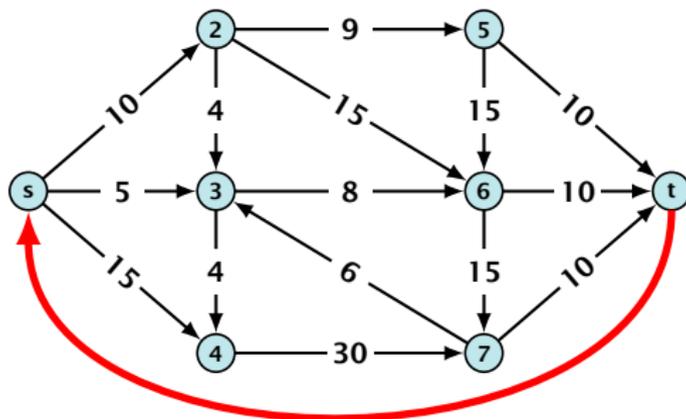
- ▶ Given a flow network for a standard maxflow problem.

# Solve Maxflow Using Mincost Flow



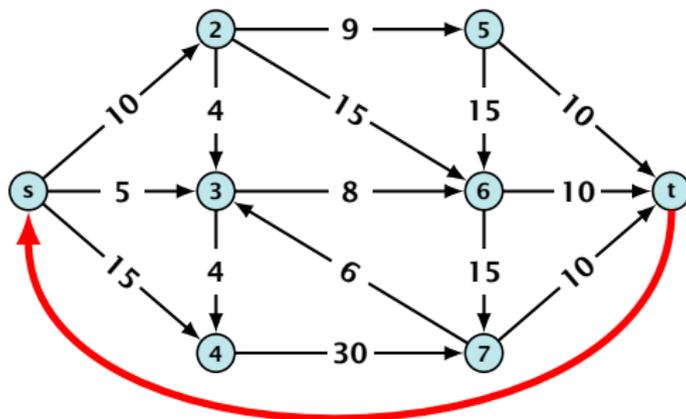
- ▶ Given a flow network for a standard maxflow problem.
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- ▶ Add an edge from  $t$  to  $s$  with infinite capacity and cost  $-1$ .

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- ▶ Add an edge from  $t$  to  $s$  with infinite capacity and cost  $-1$ .
- ▶ Then,  $\text{val}(f^*) = -\text{cost}(f_{\min})$ , where  $f^*$  is a maxflow, and  $f_{\min}$  is a mincost-flow.

# Solve Maxflow Using Mincost Flow

## Solve decision version of maxflow:

- ▶ Given a flow network for a standard maxflow problem, and a value  $k$ .
- ▶ Set  $b(v) = 0$  for every node apart from  $s$  or  $t$ . Set  $b(s) = -k$  and  $b(t) = k$ .
- ▶ Set edge-costs to zero, and keep the capacities.
- ▶ There exists a maxflow of value  $k$  if and only if the mincost-flow problem is feasible.

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# Generalization

Our model:

$$\begin{aligned} \min \quad & \sum_e c(e)f(e) \\ \text{s.t.} \quad & \forall e \in E: 0 \leq f(e) \leq u(e) \\ & \forall v \in V: f(v) = b(v) \end{aligned}$$

where  $b: V \rightarrow \mathbb{R}$ ,  $\sum_v b(v) = 0$ ;  $u: E \rightarrow \mathbb{R}_0^+ \cup \{\infty\}$ ;  $c: E \rightarrow \mathbb{R}$ ;

A more general model?

$$\begin{aligned} \min \quad & \sum_e c(e)f(e) \\ \text{s.t.} \quad & \forall e \in E: \ell(e) \leq f(e) \leq u(e) \\ & \forall v \in V: a(v) \leq f(v) \leq b(v) \end{aligned}$$

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# Reduction I

$$\min \sum_e c(e) f(e)$$

$$\text{s.t. } \forall e \in E: \ell(e) \leq f(e) \leq u(e)$$

$$\forall v \in V: a(v) \leq f(v) \leq b(v)$$

We can assume that  $a(v) = b(v)$ :

add new node  $r$

add edge  $(r, v)$  for all  $v \in V$

set  $\ell(e) = u(e) = 0$  for these

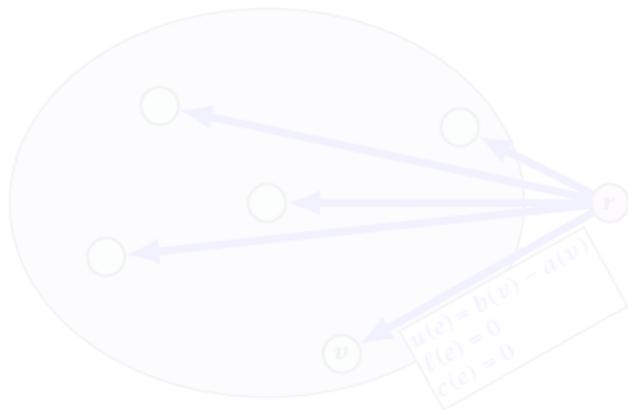
edges

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$\forall v \in V: f(v) = \sum_{e \in E} c(e) f(e)$



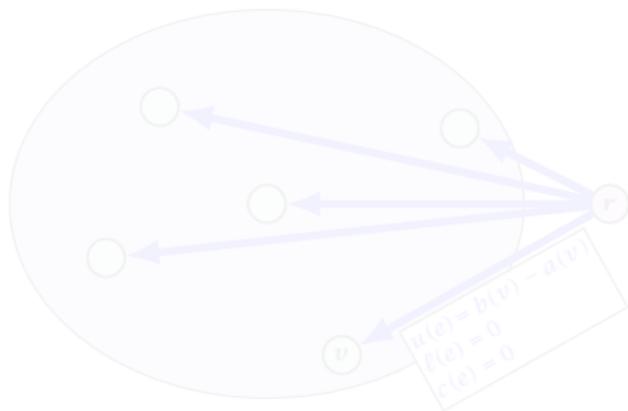
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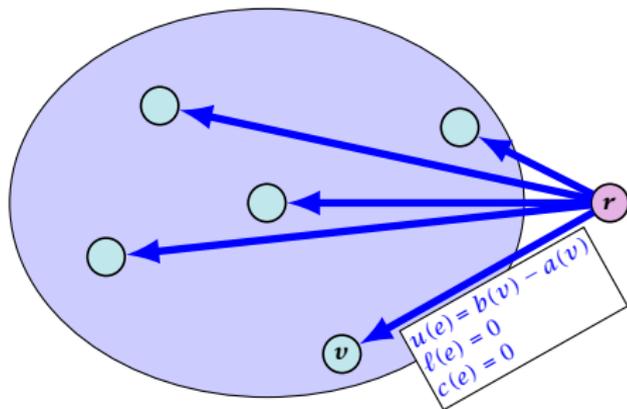
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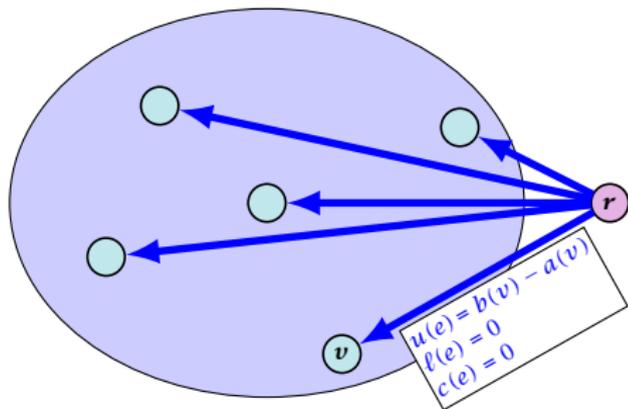
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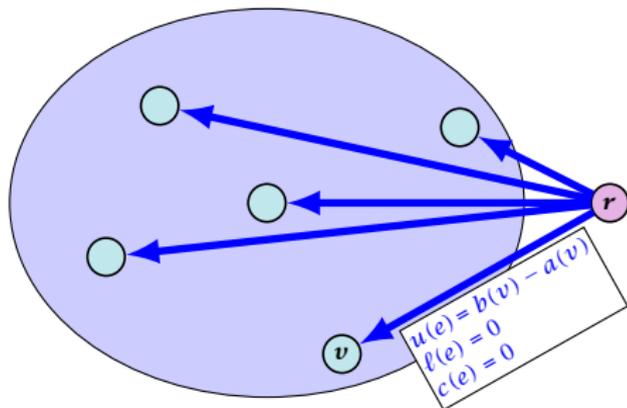
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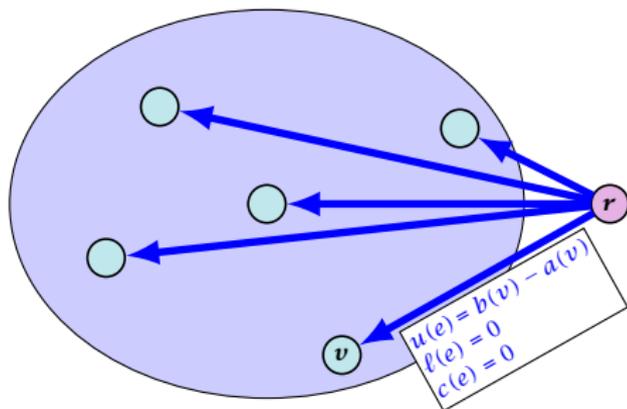
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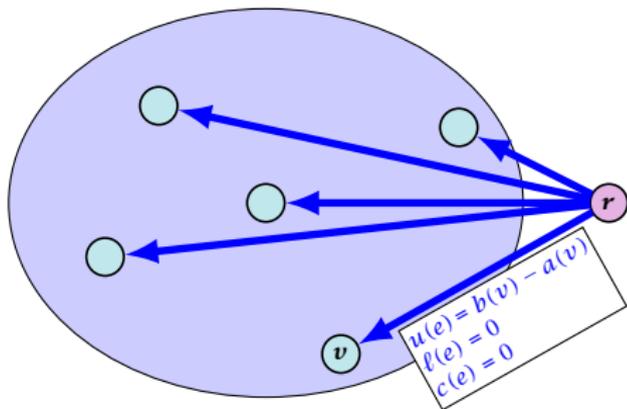
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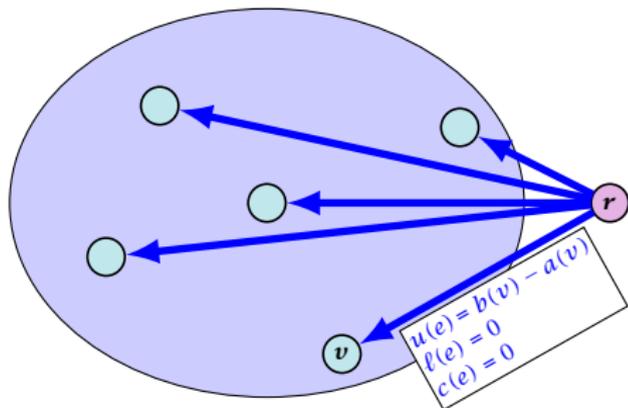
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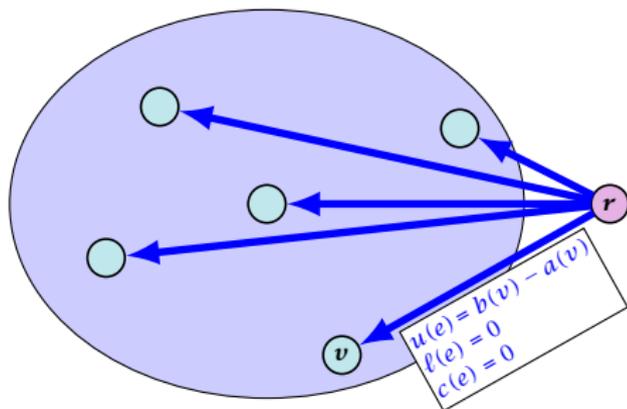
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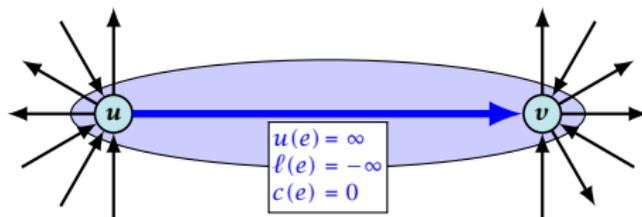
Set  $b(r) = \sum_{v \in V} b(v)$ .



## Reduction II

$$\begin{aligned} \min \quad & \sum_e c(e)f(e) \\ \text{s.t.} \quad & \forall e \in E: \ell(e) \leq f(e) \leq u(e) \\ & \forall v \in V: f(v) = b(v) \end{aligned}$$

We can assume that either  $\ell(e) \neq -\infty$  or  $u(e) \neq \infty$ :

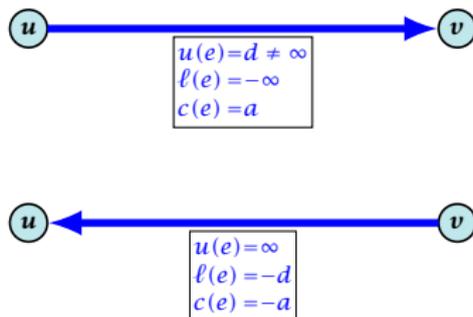


If  $c(e) = 0$  we can simply contract the edge/identify nodes  $u$  and  $v$

## Reduction III

$$\begin{aligned} \min \quad & \sum_e c(e)f(e) \\ \text{s.t.} \quad & \forall e \in E: \ell(e) \leq f(e) \leq u(e) \\ & \forall v \in V: f(v) = b(v) \end{aligned}$$

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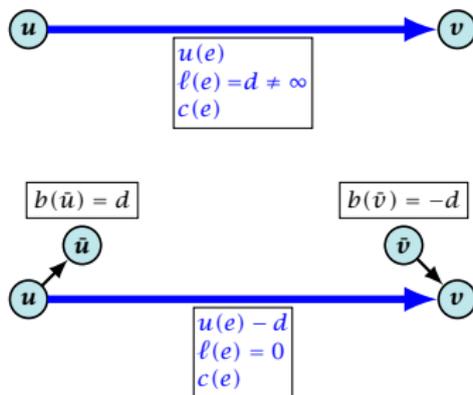


Replace the edge by an edge in opposite direction.

## Reduction IV

$$\begin{aligned} \min \quad & \sum_e c(e)f(e) \\ \text{s.t.} \quad & \forall e \in E: \ell(e) \leq f(e) \leq u(e) \\ & \forall v \in V: f(v) = b(v) \end{aligned}$$

We can assume that  $\ell(e) = 0$ :



The added edges have infinite capacity and cost  $c(e)/2$ .

# Applications

## Caterer Problem

- ▶ She needs to supply  $r_i$  napkins on  $N$  successive days.
- ▶ She can buy new napkins at  $p$  cents each.
- ▶ She can launder them at a fast laundry that takes  $m$  days and cost  $f$  cents a napkin.
- ▶ She can use a slow laundry that takes  $k > m$  days and costs  $s$  cents each.
- ▶ At the end of each day she should determine how many to send to each laundry and how many to buy in order to fulfill demand.
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Then  $f + g$  is a feasible flow with cost  $\text{cost}(f) + \text{cost}(g) < \text{cost}(f)$ . Hence,  $f$  is not minimum cost.

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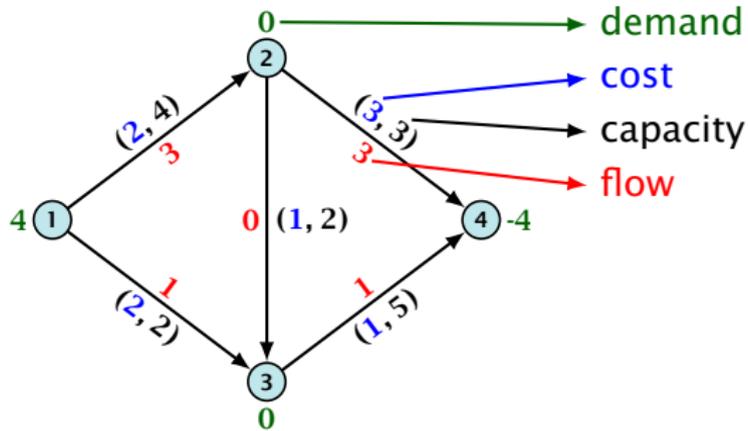
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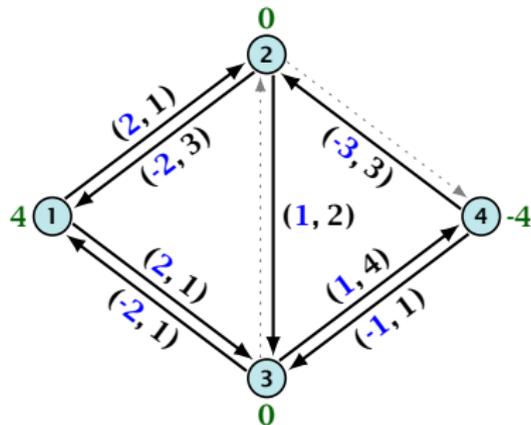
## Algorithm 51 CycleCanceling( $G = (V, E), c, u, b$ )

- 1: establish a feasible flow  $f$  in  $G$
- 2: **while**  $G_f$  contains negative cycle **do**
- 3:     use Bellman-Ford to find a negative circuit  $Z$
- 4:      $\delta \leftarrow \min\{u_f(e) \mid e \in Z\}$
- 5:     augment  $\delta$  units along  $Z$  and update  $G_f$

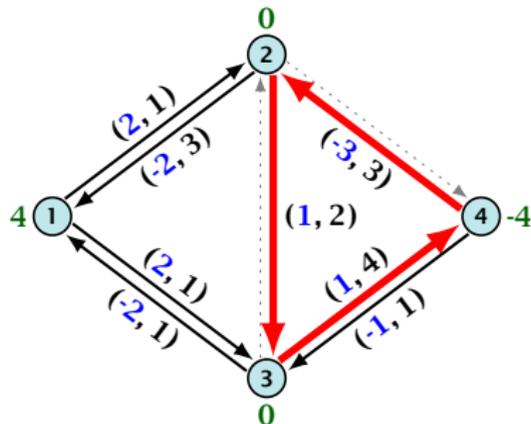
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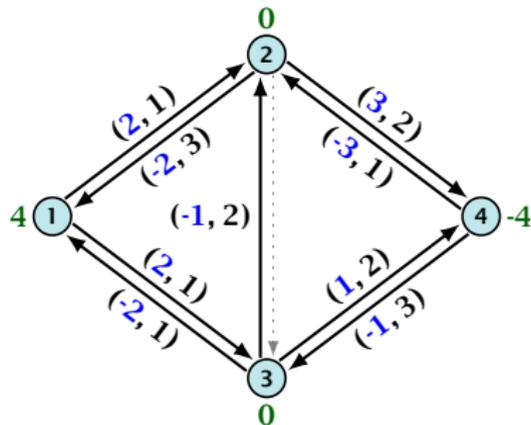
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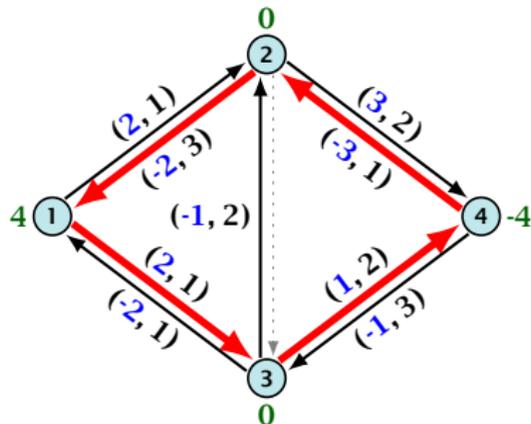
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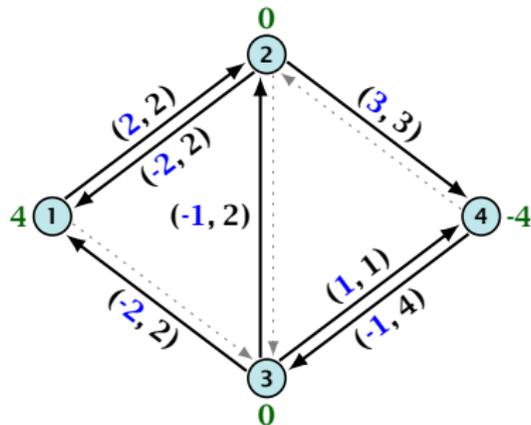
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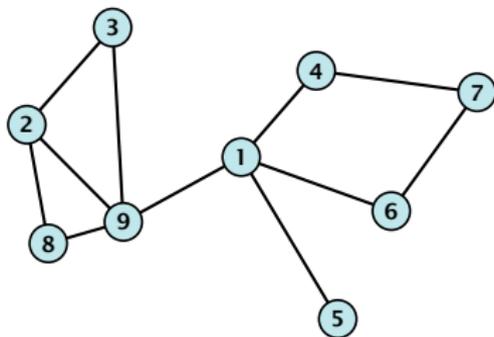
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*The improving cycle algorithm runs in time  $\mathcal{O}(nm^2CU)$ , for integer capacities and costs, when for all edges  $e$ ,  $|c(e)| \leq C$  and  $|u(e)| \leq U$ .*

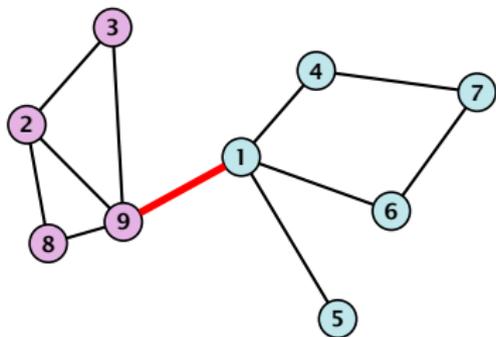
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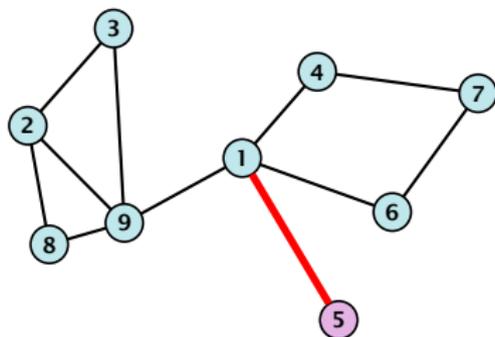
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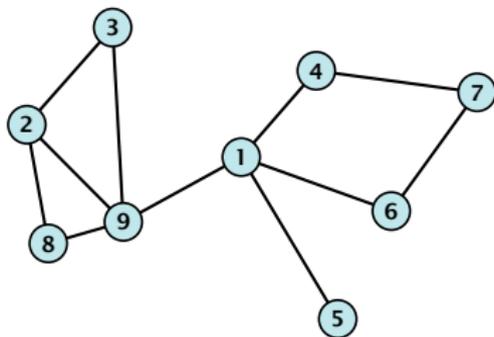
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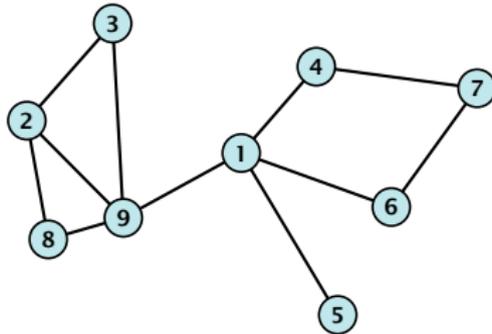
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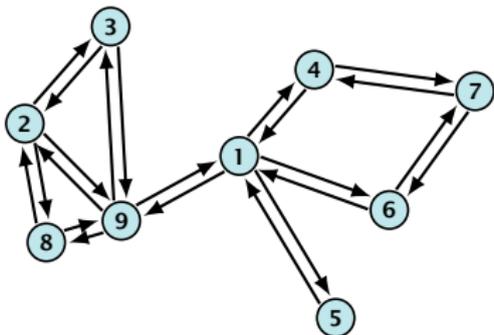
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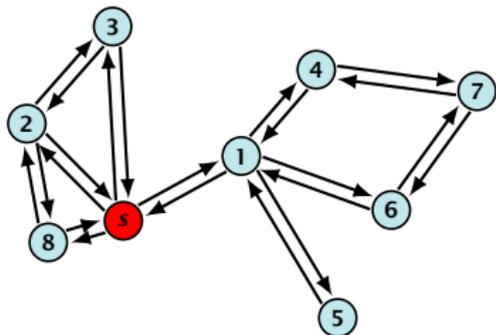
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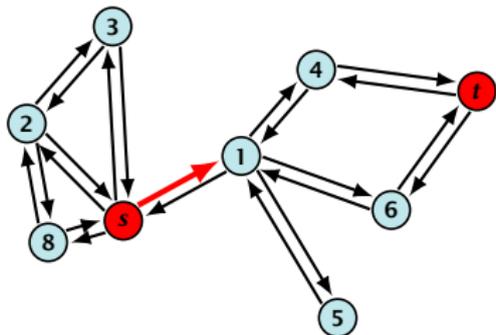
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- ▶ Let  $(S, V \setminus S)$  be a minimum global mincut. The above algorithm will output a cut of capacity  $\text{cap}(S, V \setminus S)$  whenever  $|\{s, t\} \cap S| = 1$ .



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- ▶ Given a graph  $G = (V, E)$  and an edge  $e = \{u, v\}$ .
- ▶ The graph  $G/e$  is obtained by “identifying”  $u$  and  $v$  to form a new node.
- ▶ Resulting parallel edges are replaced by a single edge, whose capacity equals the sum of capacities of the parallel edges.

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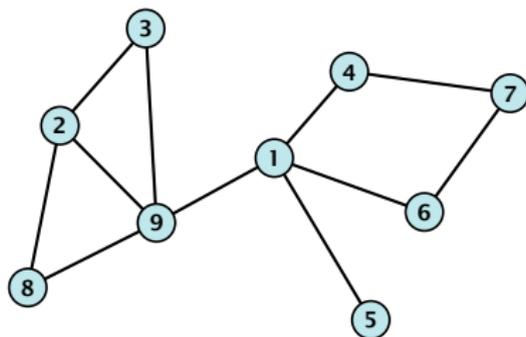


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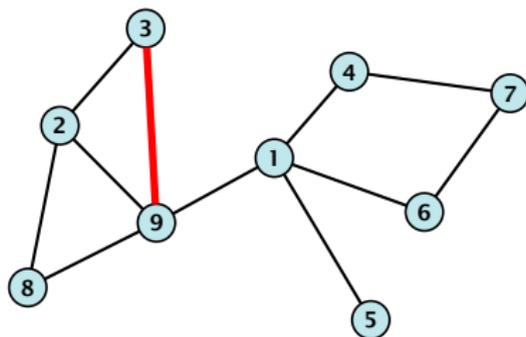


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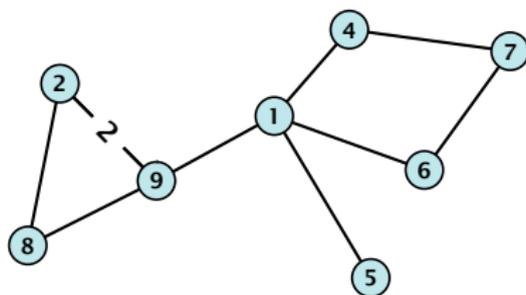


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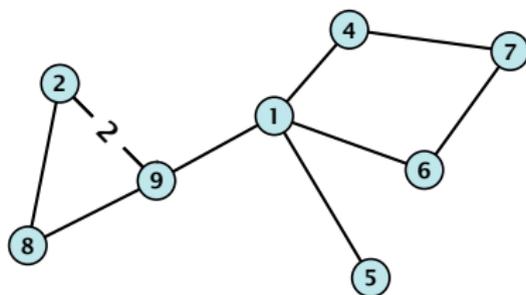


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# Edge Contractions

We can perform an edge-contraction in time  $\mathcal{O}(n)$ .

# Randomized Mincut Algorithm

**Algorithm 52** KargerMincut( $G = (V, E, c)$ )

- 1: **for**  $i = 1 \rightarrow n - 2$  **do**
- 2:     choose  $e \in E$  randomly with probability  $c(e)/C(E)$
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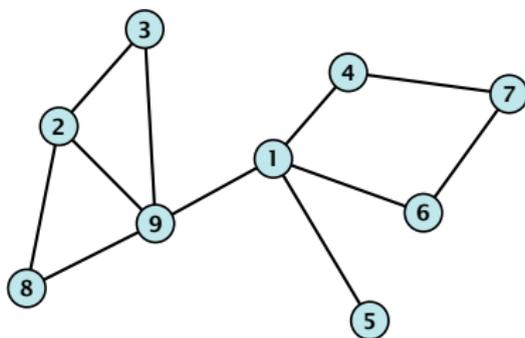
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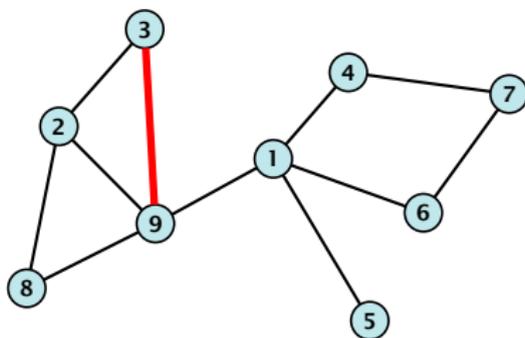
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- ▶ What is the probability that this algorithm returns a mincut?

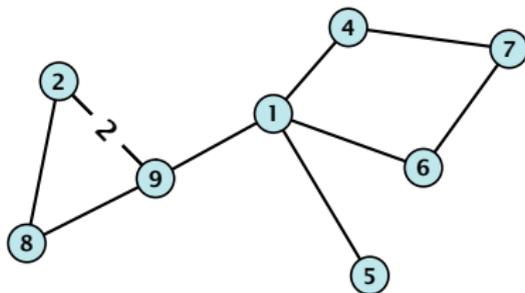
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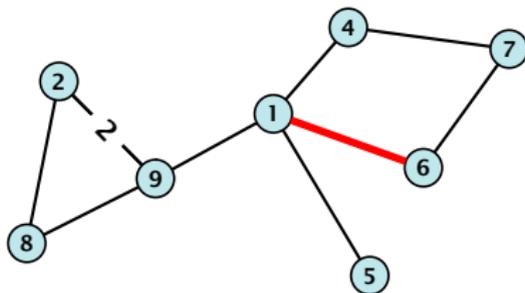
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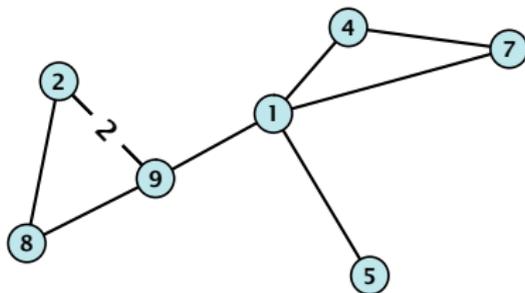
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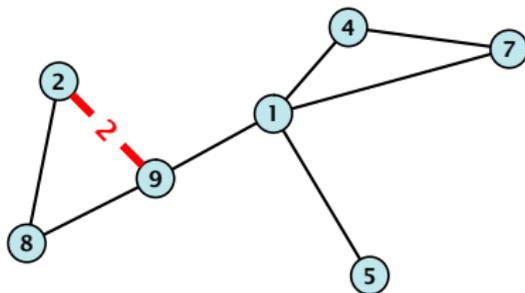
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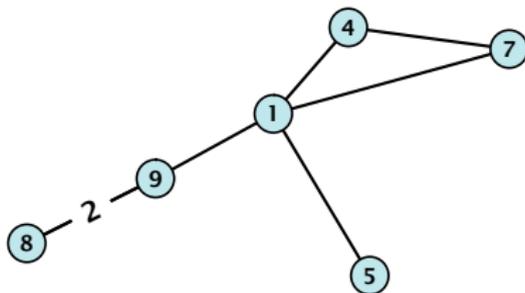
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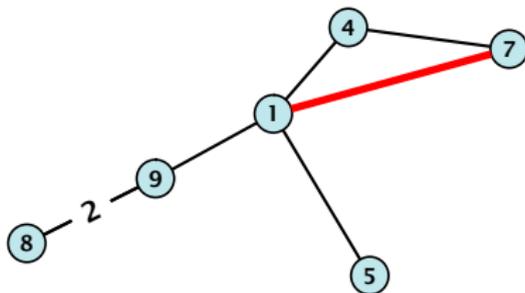
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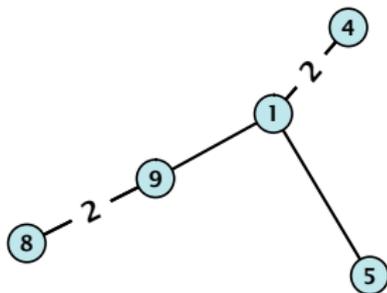
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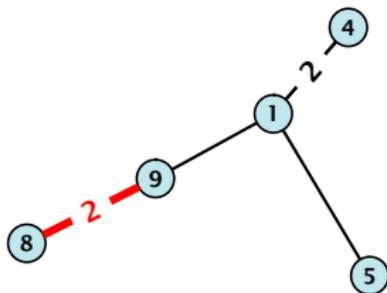
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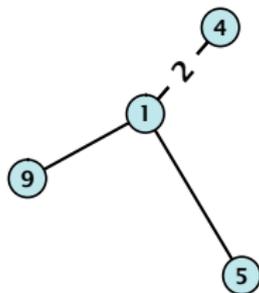
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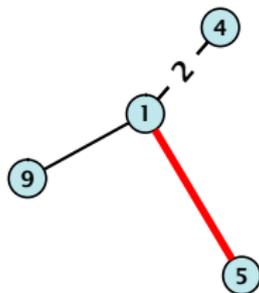
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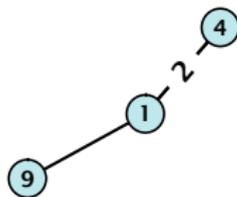
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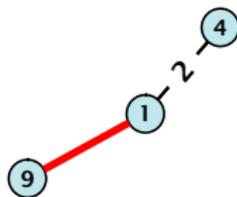
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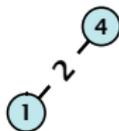
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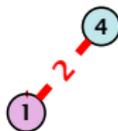
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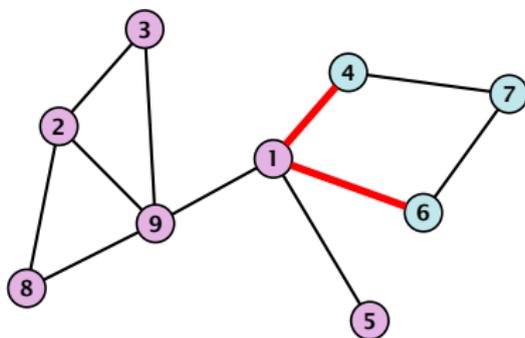
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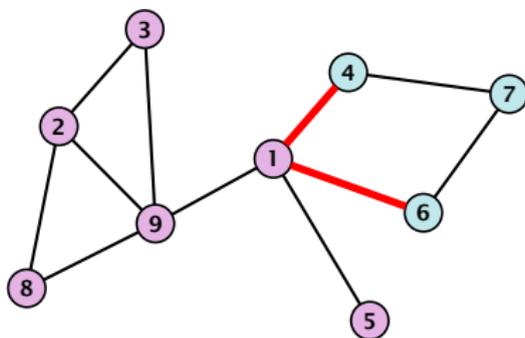
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# Example: Randomized Mincut Algorithm



**What is the probability that this algorithm returns a mincut?**

**What is the probability that a given mincut  $A$  is still possible after round  $i$ ?**

- ▶ It is still possible to obtain cut  $A$  in the end if so far **no** edge in  $(A, V \setminus A)$  has been contracted.

# Analysis

**What is the probability that we select an edge from  $A$  in iteration  $i$ ?**

- ▶ Let  $\min = \text{cap}(A, V \setminus A)$  denote the capacity of a mincut.
- ▶ Let  $\text{cap}(v)$  be capacity of edges incident to vertex  $v \in V_{n-i+1}$ .
- ▶ Clearly,  $\text{cap}(v) \geq \min$ .
- ▶ Summing  $\text{cap}(v)$  over all edges gives

$$2c(E) = 2 \sum_{e \in E} c(e) = \sum_{v \in V} \text{cap}(v) \geq (n - i + 1) \cdot \min$$

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Repeating the algorithm  $c \ln n \binom{n}{2}$  times gives that the probability that we are never successful is

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# Improved Algorithm

**Algorithm 53** RecursiveMincut( $G = (V, E, c)$ )

- 1: **for**  $i = 1 \rightarrow n - n/\sqrt{2}$  **do**
- 2:     choose  $e \in E$  randomly with probability  $c(e)/C(E)$
- 3:      $G \leftarrow G/e$
- 4: **if**  $|V| = 2$  **return** cut-value;
- 5:      $cuta \leftarrow$  RecursiveMincut( $G$ );
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- ▶  $T(n) = 2T\left(\frac{n}{\sqrt{2}}\right) + \mathcal{O}(n^2)$
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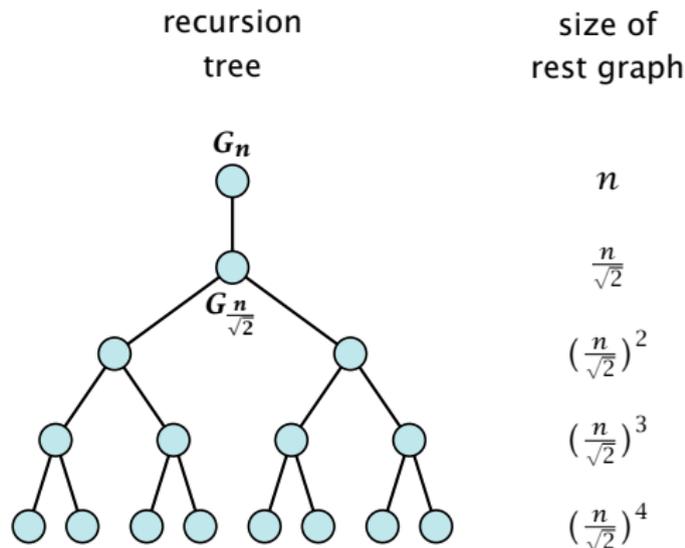
The probability of contracting an edge from the mincut during one iteration through the for-loop is only

$$\frac{t(t-1)}{n(n-1)} \approx \frac{t^2}{n^2} = \frac{1}{2},$$

as  $t = \frac{n}{\sqrt{2}}$ .

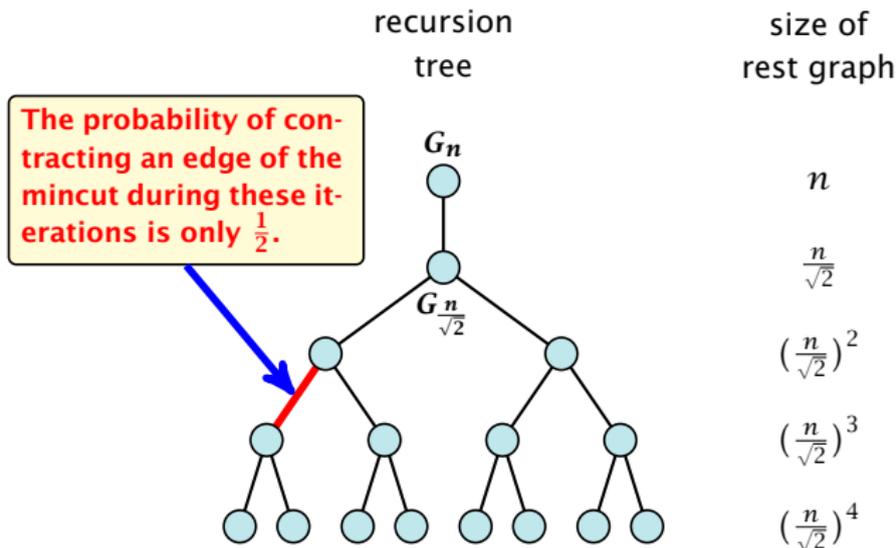
For the following analysis we ignore the slight error and assume that this probability is at most  $\frac{1}{2}$ .

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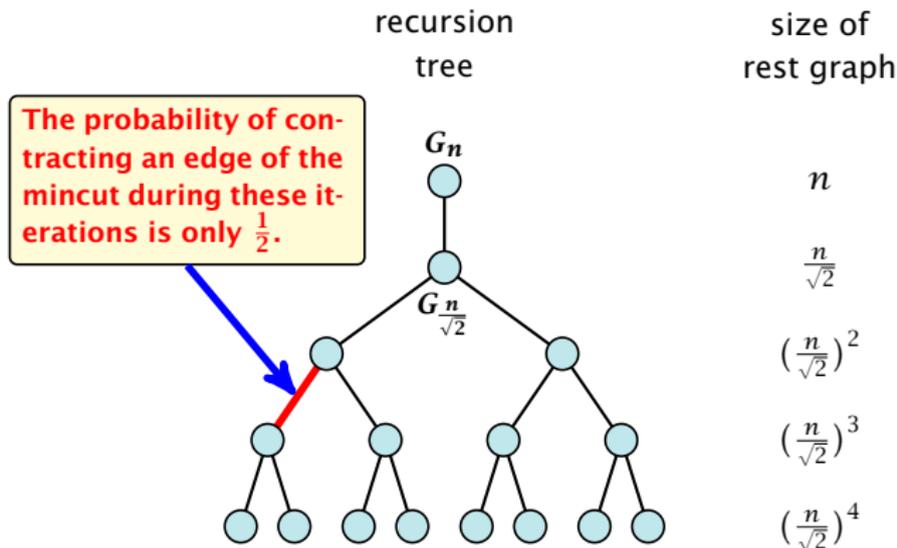
We can estimate the success probability by using the following game on the recursion tree. Delete every edge with probability  $\frac{1}{2}$ . If in the end you have a path from the root to **at least one** leaf node you are successful.

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Call an edge  $e$  **alive** if there exists a path from the parent-node of  $e$  to a descendant leaf, after we randomly deleted edges. Note that an edge can only be alive if it hasn't been deleted.

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## Proof.

- ▶ An edge  $e$  with  $h(e) = 1$  is alive if and only if it is not deleted. Hence, it is alive with probability at least  $\frac{1}{2}$ .
- ▶ Let  $p_d$  be the probability that an edge  $e$  with  $h(e) = d$  is alive. For  $d > 1$  this happens for edge  $e = \{c, p\}$  if it is not deleted **and** if one of the child-edges connecting to  $c$  is alive.
- ▶ This happens with probability

$$p_d = \frac{1}{2} (2p_{d-1} - p_{d-1}^2) \quad \boxed{\Pr[A \vee B] = \Pr[A] + \Pr[B] - \Pr[A \wedge B]}$$

$$= p_{d-1} - \frac{p_{d-1}^2}{2}$$

$$\geq \frac{1}{d} - \frac{1}{2d^2} \geq \frac{1}{d} - \frac{1}{d(d+1)} = \frac{1}{d+1} .$$

$x - x^2/2$  is monotonically increasing for  $x \in [0, 1]$

# 16 Global Mincut

## Lemma 91

*One run of the algorithm can be performed in time  $\mathcal{O}(n^2 \log n)$  and has a success probability of  $\Omega(\frac{1}{\log n})$ .*

*Doing  $\Theta(\log^2 n)$  runs gives that the algorithm succeeds with high probability. The total running time is  $\mathcal{O}(n^2 \log^3 n)$ .*

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# 17 Gomory Hu Trees

Given an undirected, weighted graph  $G = (V, E, c)$  a **cut-tree**  $T = (V, F, w)$  is a tree with edge-set  $F$  and capacities  $w$  that fulfills the following properties.

1. **Equivalent Flow Tree:** For any pair of vertices  $s, t \in V$ ,  $f(s, t)$  in  $G$  is equal to  $f_T(s, t)$ .
2. **Cut Property:** A minimum  $s$ - $t$  cut in  $T$  is also a minimum cut in  $G$ .

Here,  $f(s, t)$  is the value of a maximum  $s$ - $t$  flow in  $G$ , and  $f_T(s, t)$  is the corresponding value in  $T$ .

# Overview of the Algorithm

The algorithm maintains a partition of  $V$ , (sets  $S_1, \dots, S_t$ ), and a spanning tree  $T$  on the vertex set  $\{S_1, \dots, S_t\}$ .

Initially, there exists only the set  $S_1 = V$ .

Then the algorithm performs  $n - 1$  split-operations:

- In each such split-operation it chooses a set  $S_i$  with  $|S_i| \geq 2$  and splits this set into two non-empty parts  $X$  and  $Y$ .
- $S_i$  is then removed from  $T$  and replaced by  $X$  and  $Y$ .
- The edges of  $T$  incident to  $S_i$  are kept, and the split-edges are added to  $T$ .
- The split-edges are added to  $S_i$  and removed from  $X$  and  $Y$ .

In the end this gives a tree on the vertex set  $V$ .

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In each such split-operation it chooses a set  $S_i$  with  $|S_i| \geq 2$  and splits it into two non-empty parts  $X$  and  $Y$ . The edges that are removed from  $T$  and replaced by  $X$  and  $Y$  are those that connect vertices in  $S_i$  to vertices in  $S_j$  for  $j \neq i$ . The new spanning tree  $T'$  is obtained by  $T - E + X + Y$ .

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# Details of the Split-operation

- ▶ Select  $S_i$  that contains at least two nodes  $a$  and  $b$ .
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- ▶ Consider the graph  $H$  obtained from  $G$  by contracting these connected components into single nodes.
- ▶ Compute a minimum  $a$ - $b$  cut in  $H$ . Let  $A$ , and  $B$  denote the two sides of this cut.
- ▶ Split  $S_i$  in  $T$  into two sets/nodes  $S_i^a := S_i \cap A$  and  $S_i^b := S_i \cap B$  and add edge  $\{S_i^a, S_i^b\}$  with capacity  $f_H(a, b)$ .
- ▶ Replace an edge  $\{S_i, S_x\}$  by  $\{S_i^a, S_x\}$  if  $S_x \subset A$  and by  $\{S_i^b, S_x\}$  if  $S_x \subset B$ .

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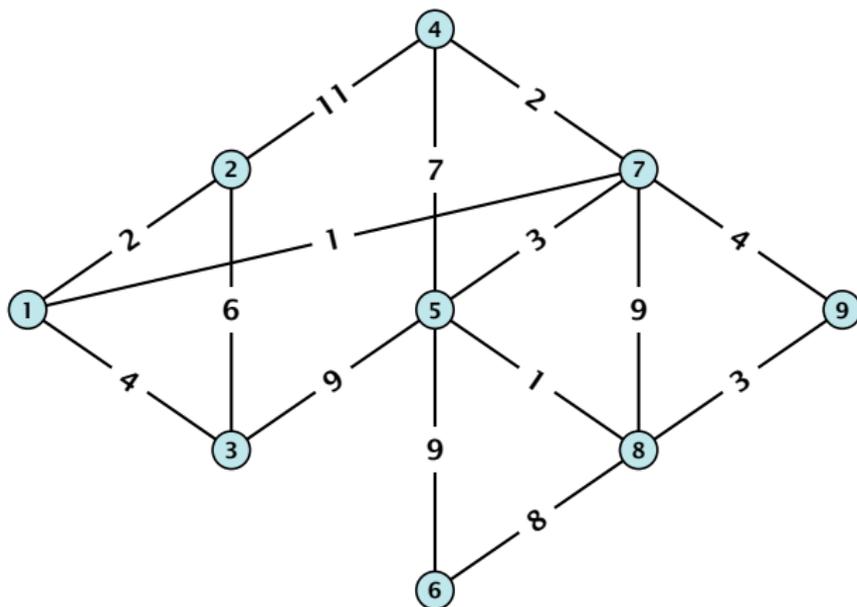
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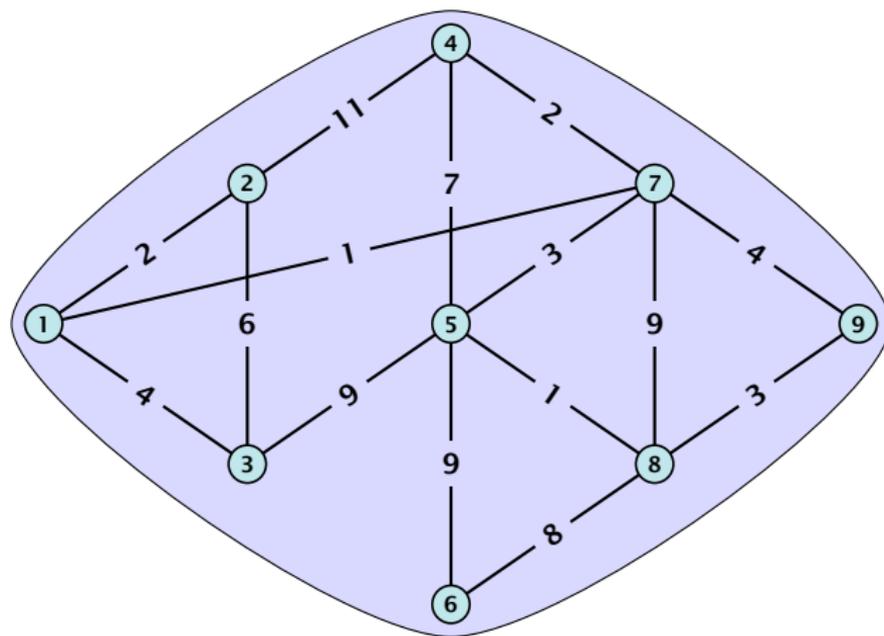
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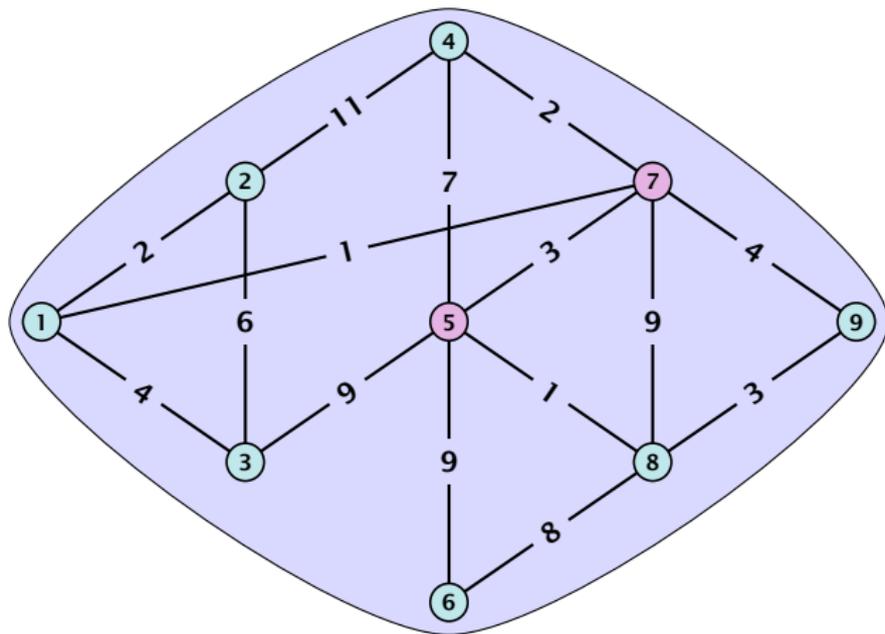
# Example: Gomory-Hu Construction



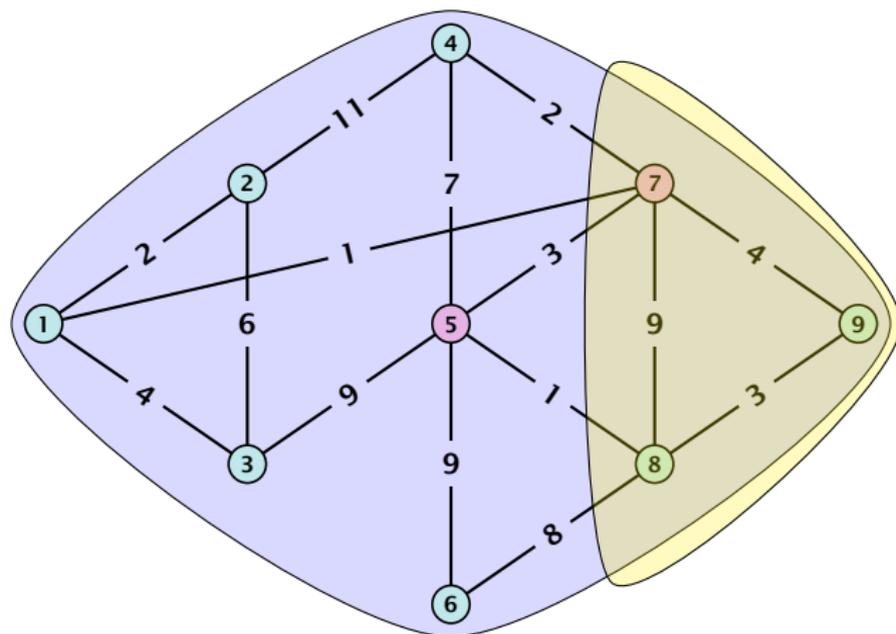
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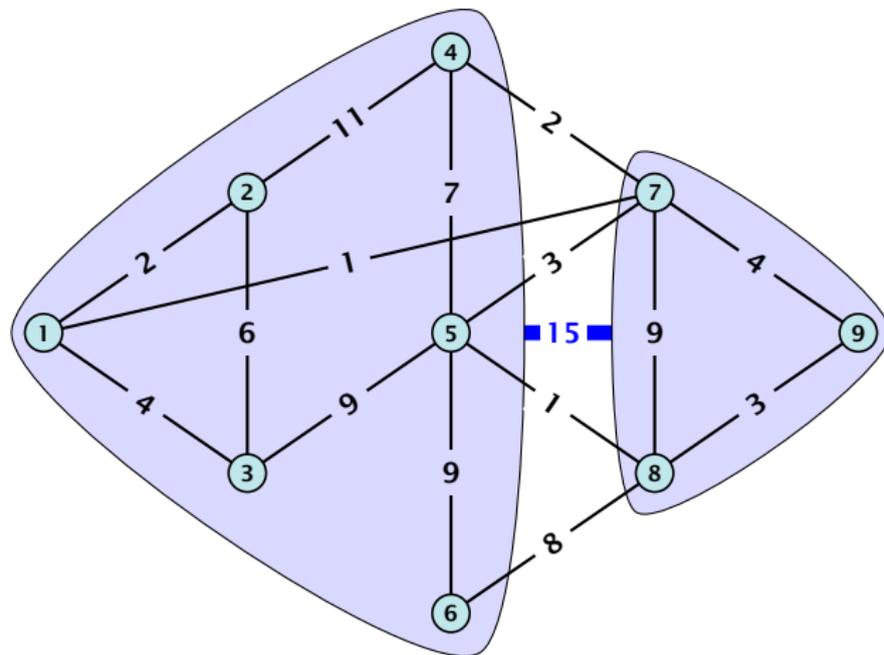
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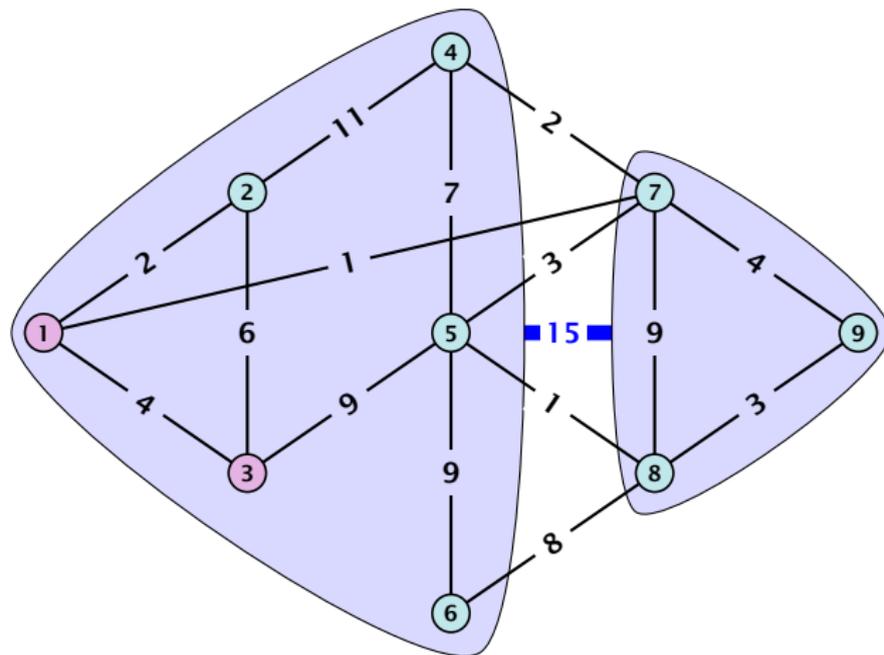
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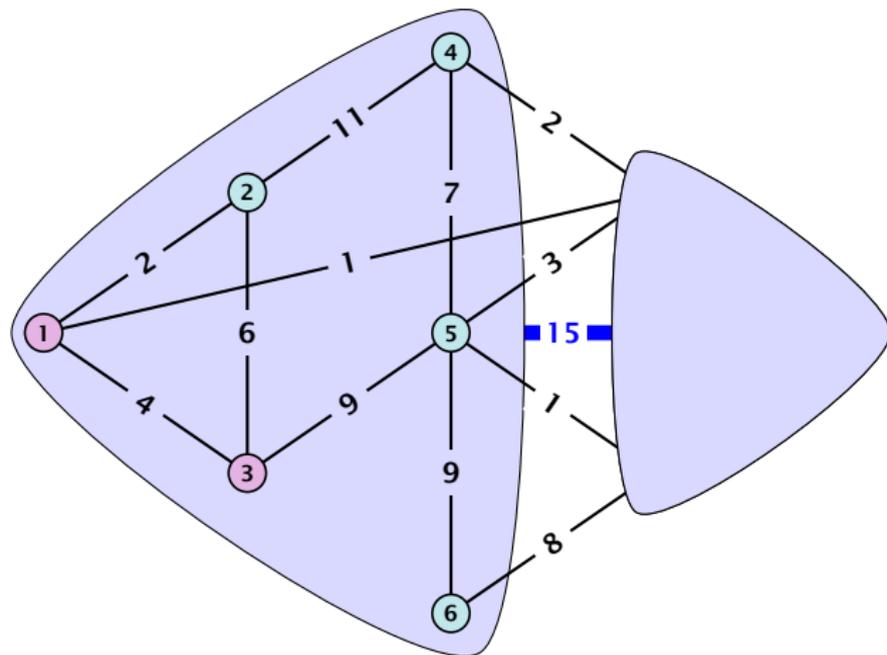
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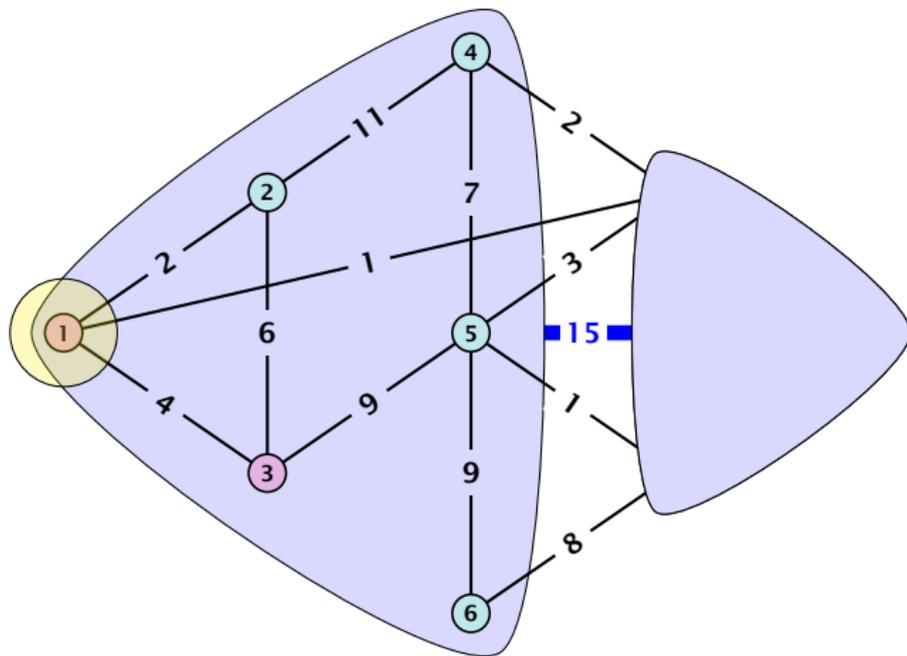
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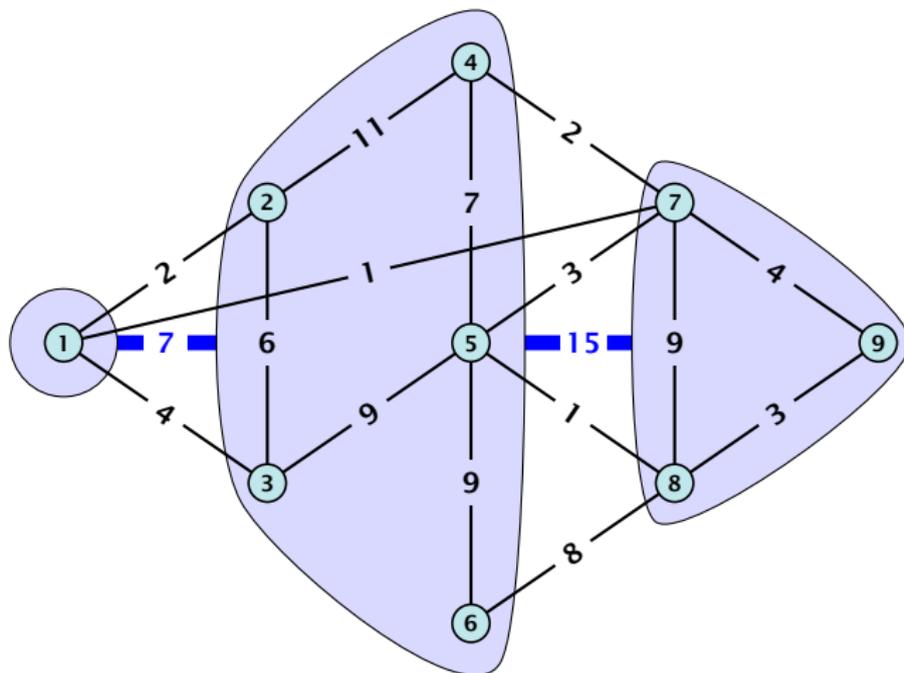
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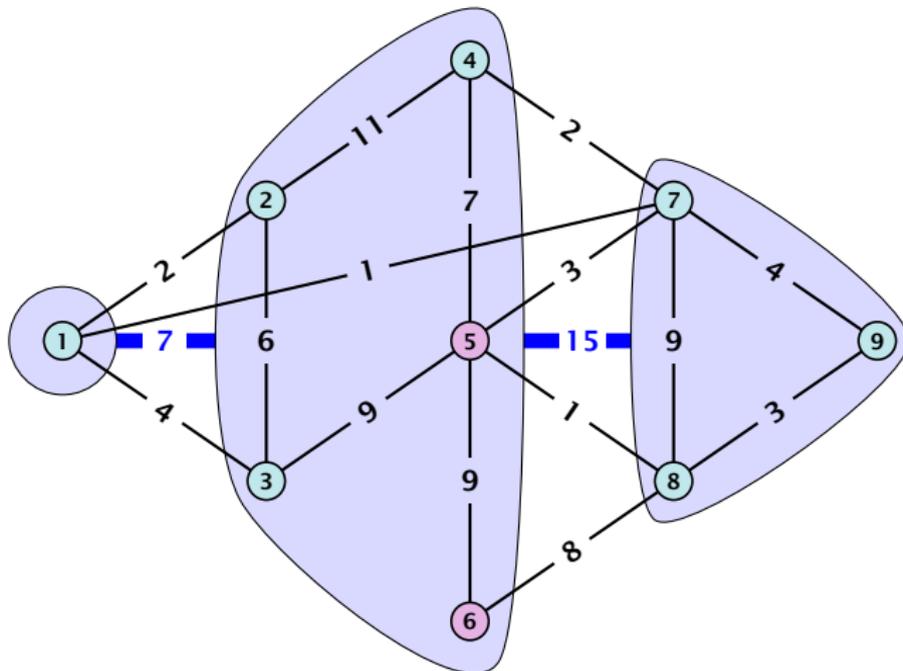
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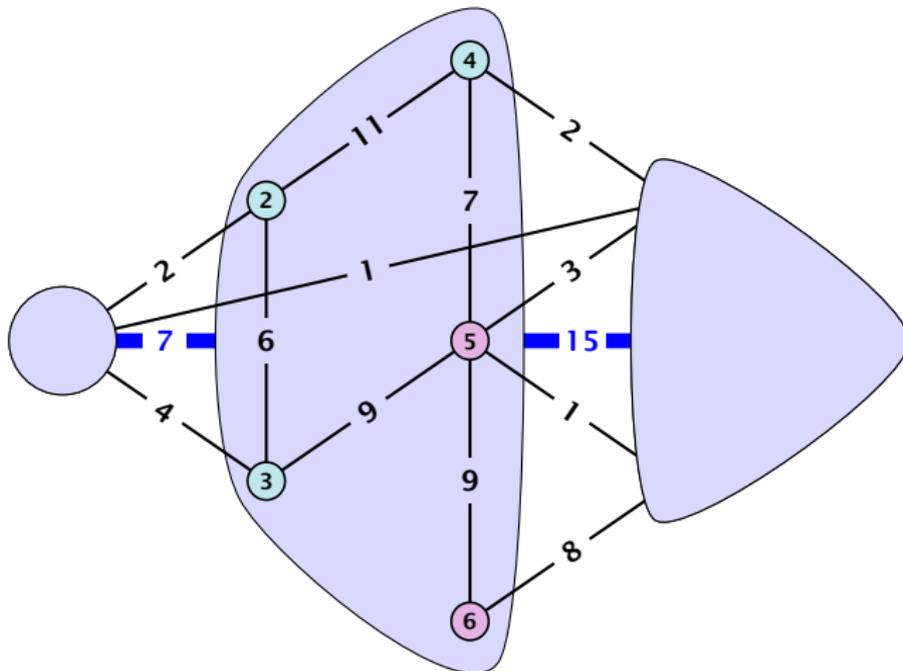
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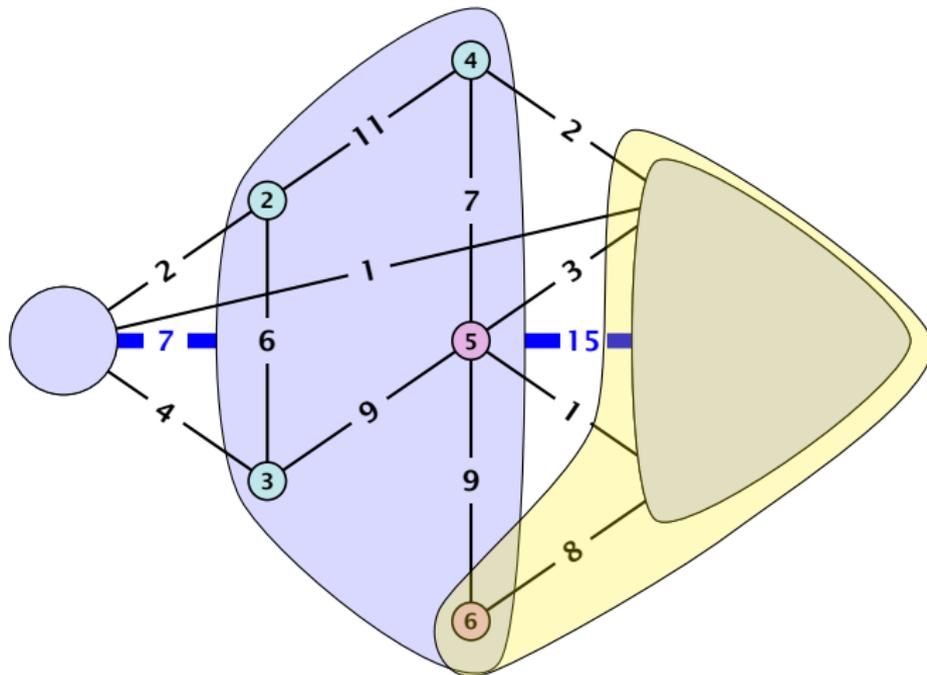
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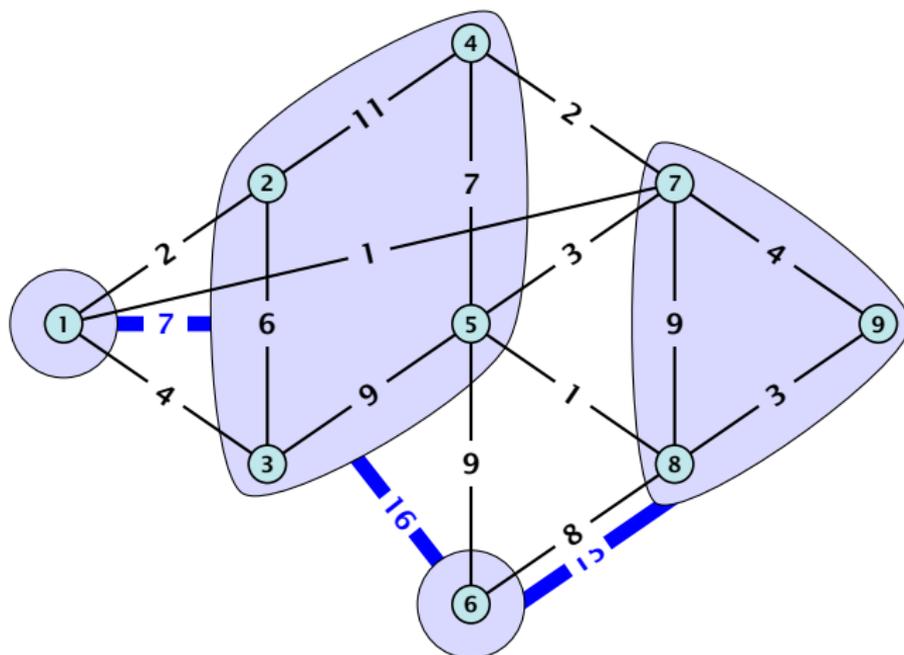
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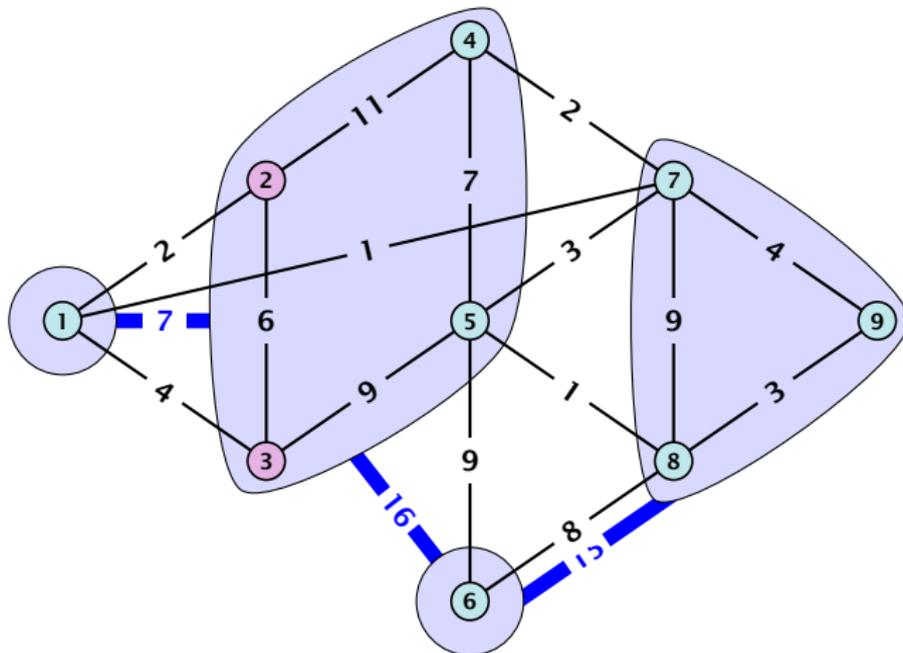
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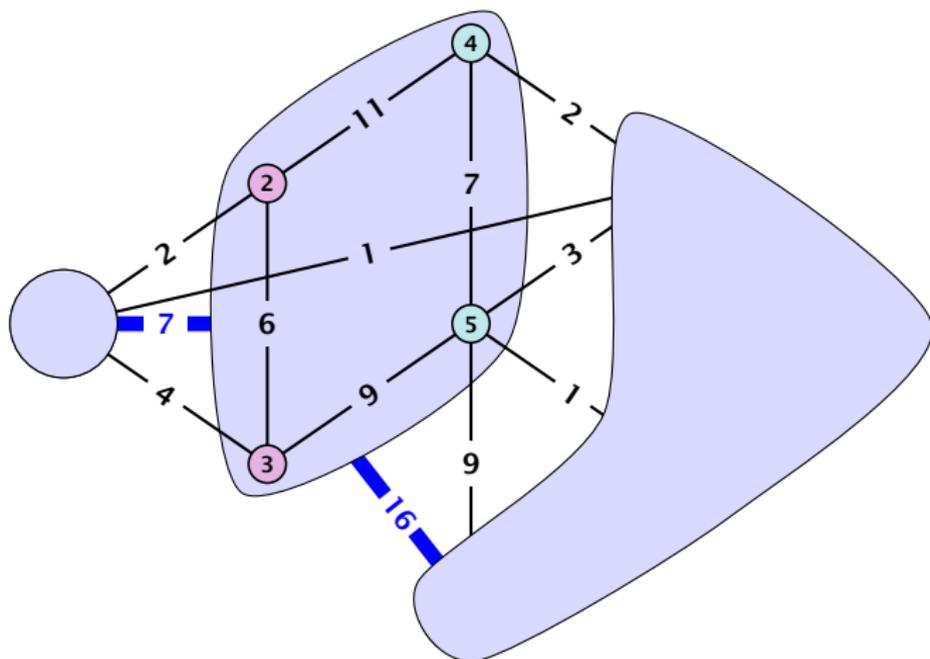
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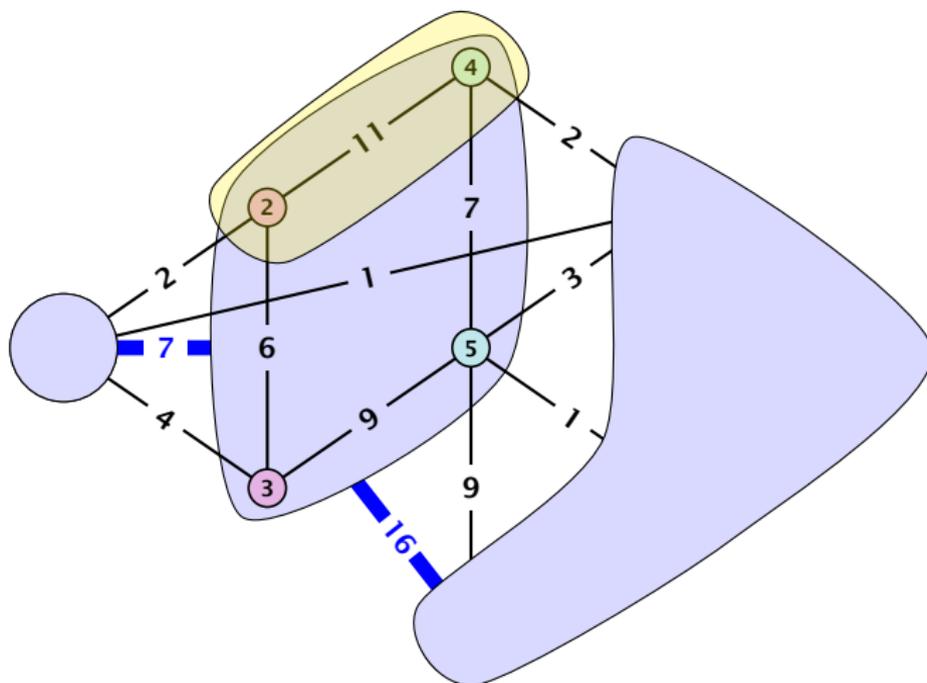
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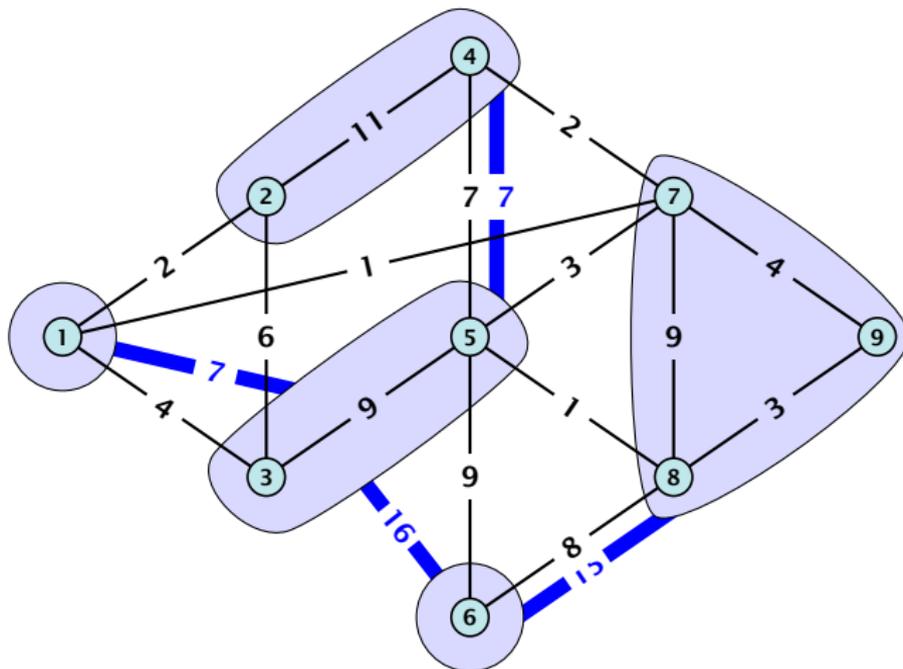
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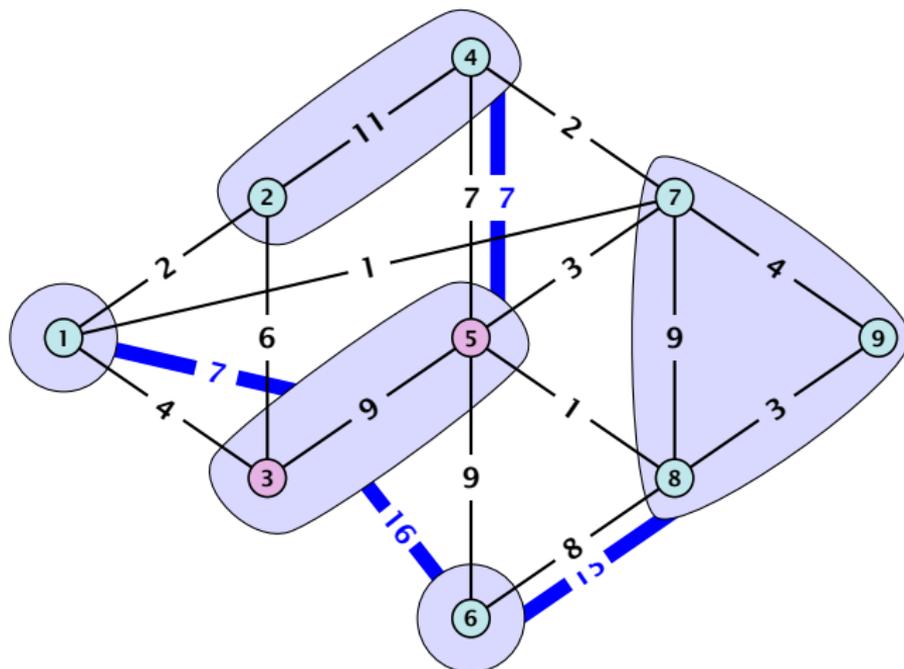
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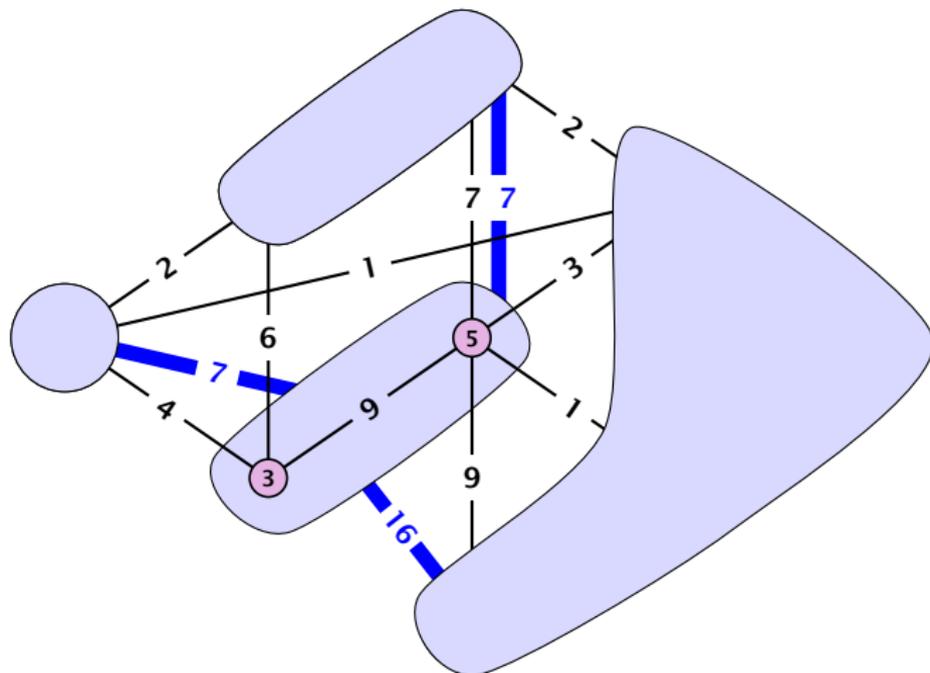
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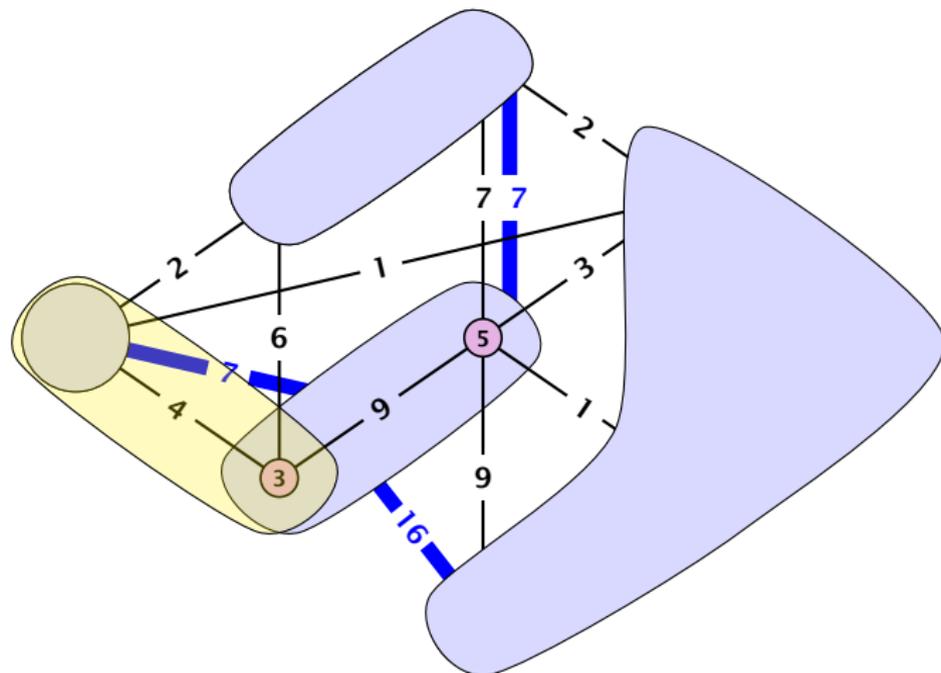
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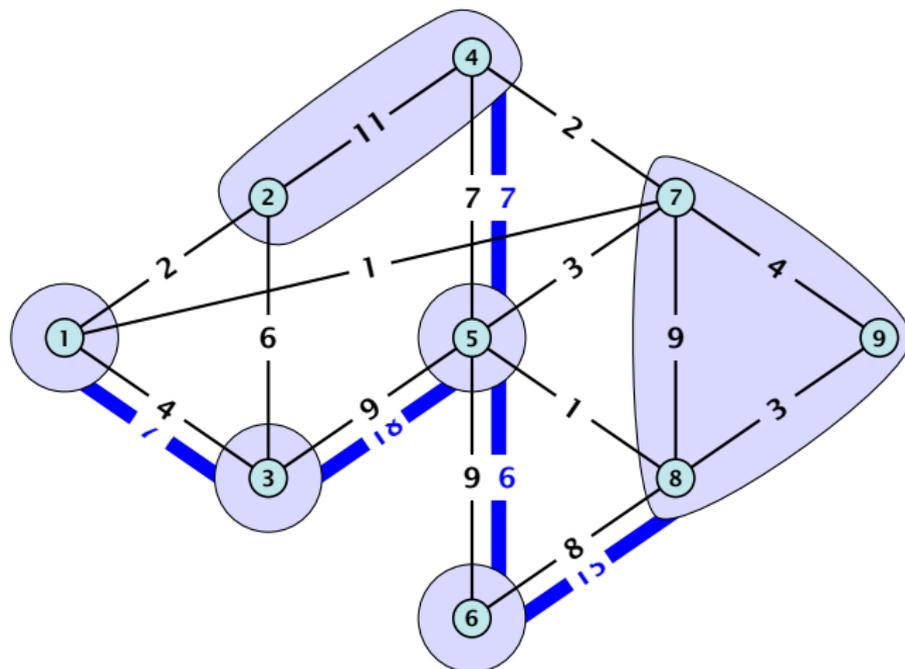
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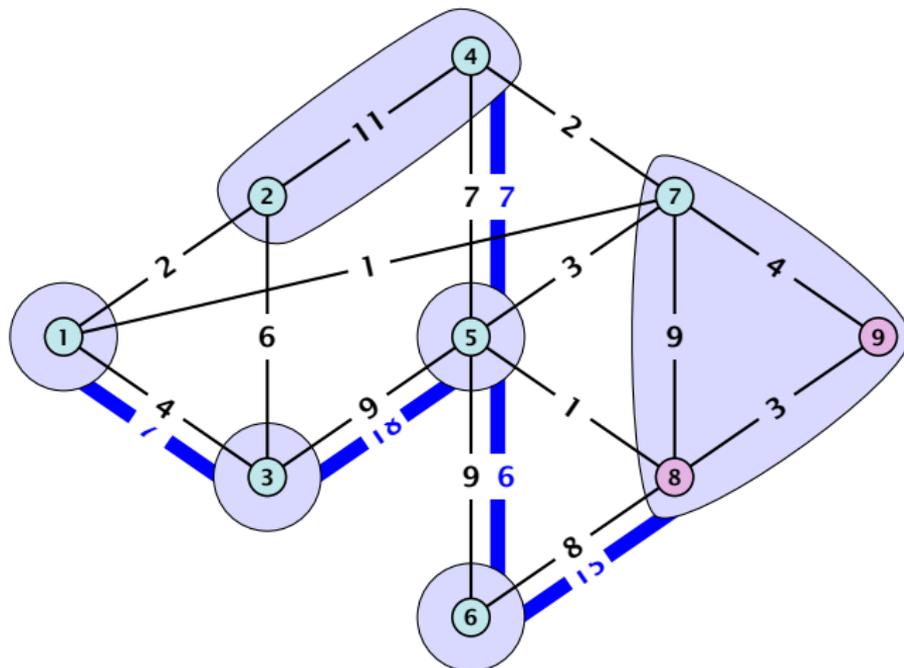
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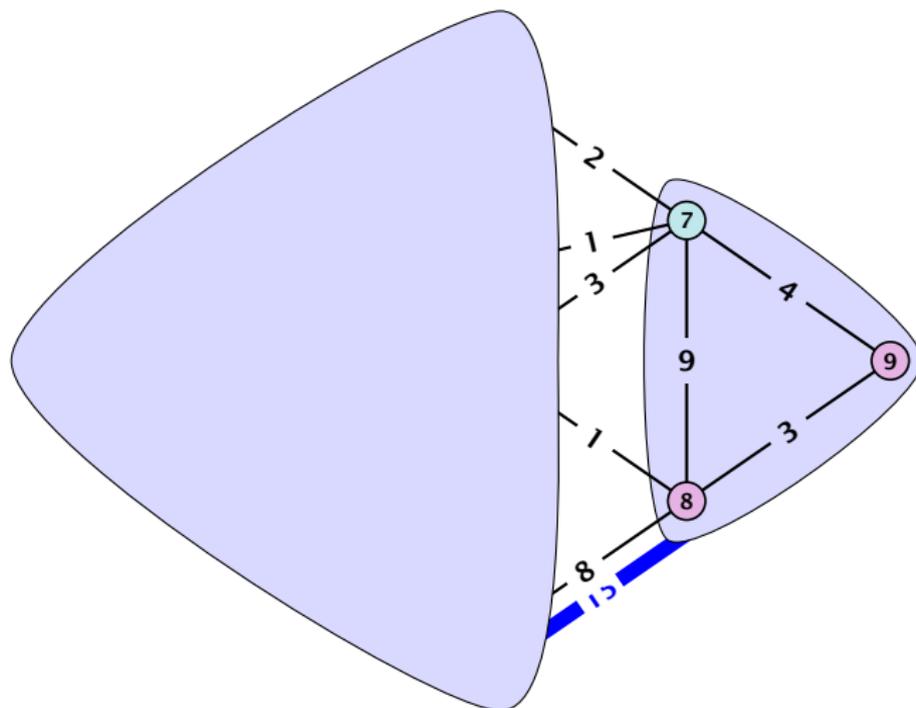
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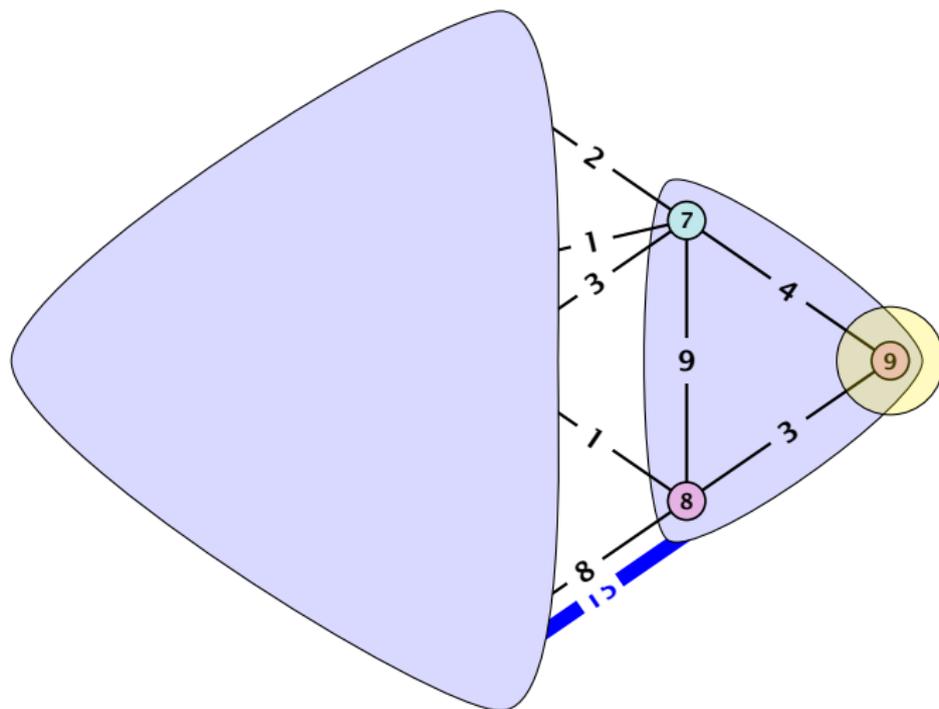
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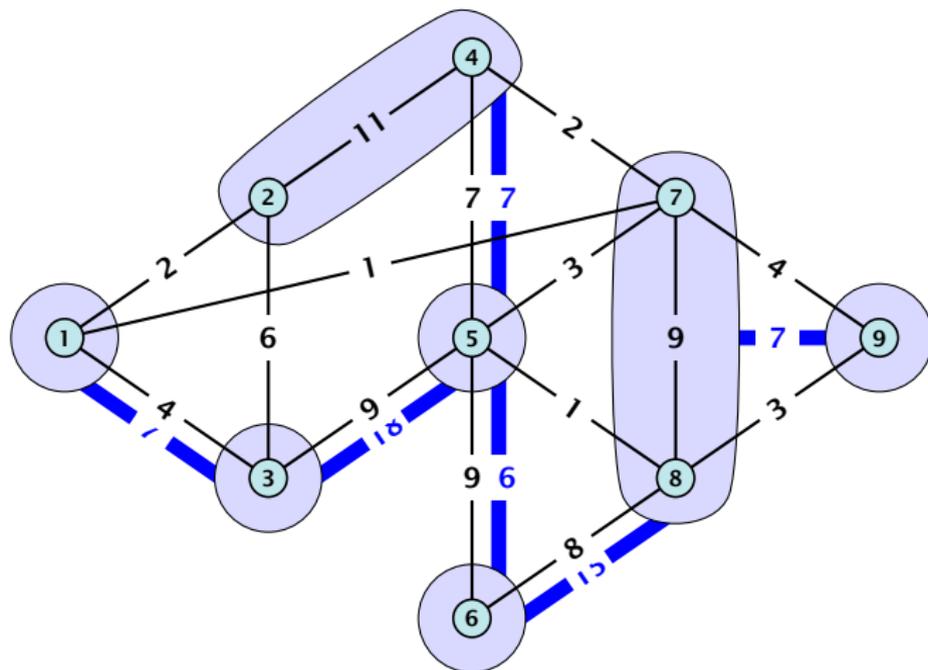
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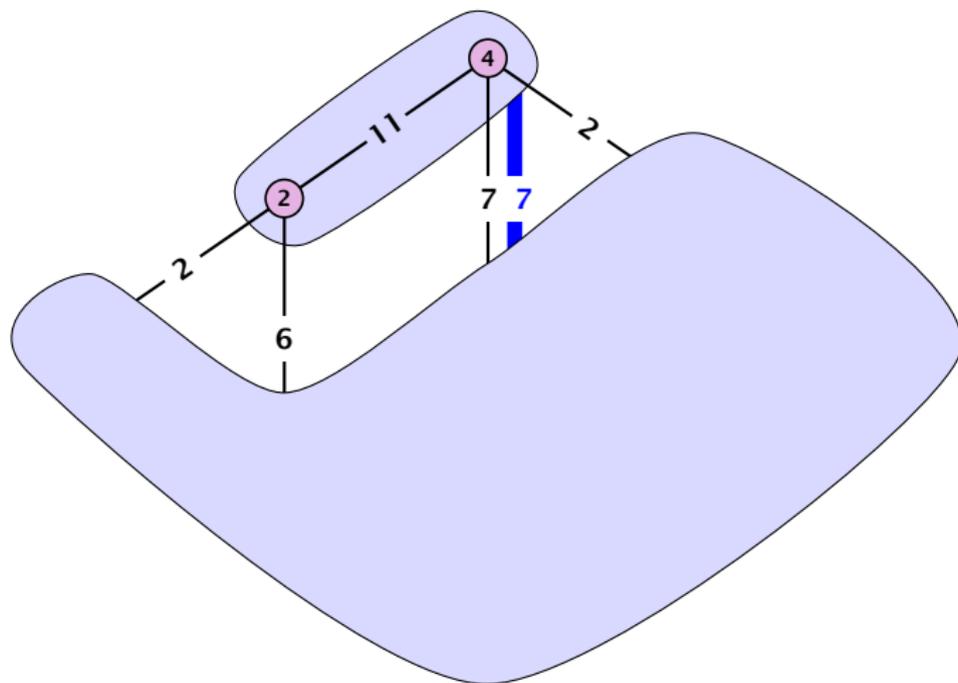


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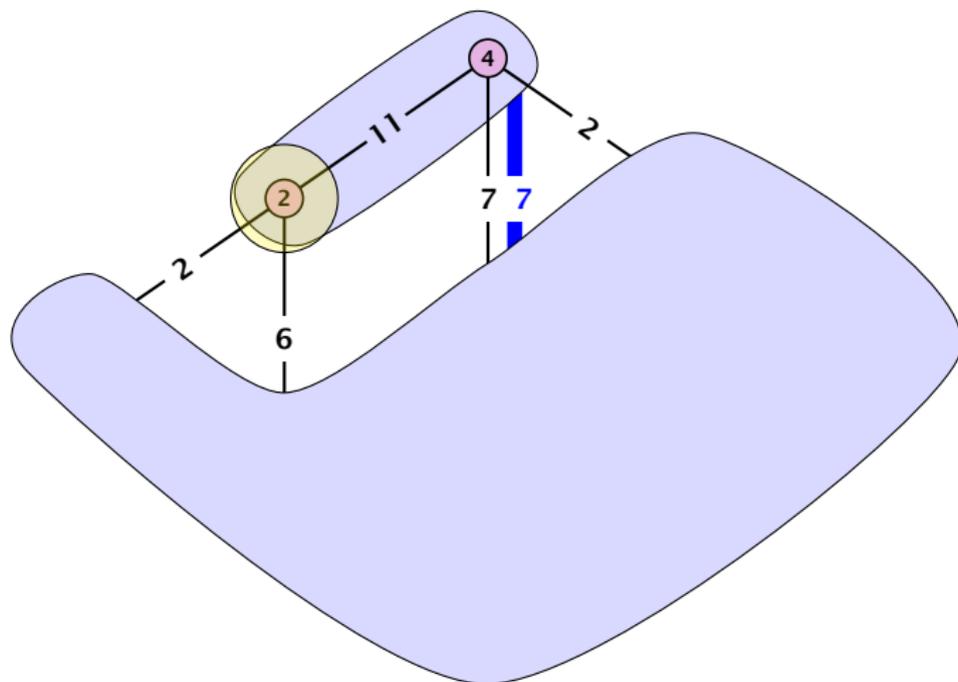




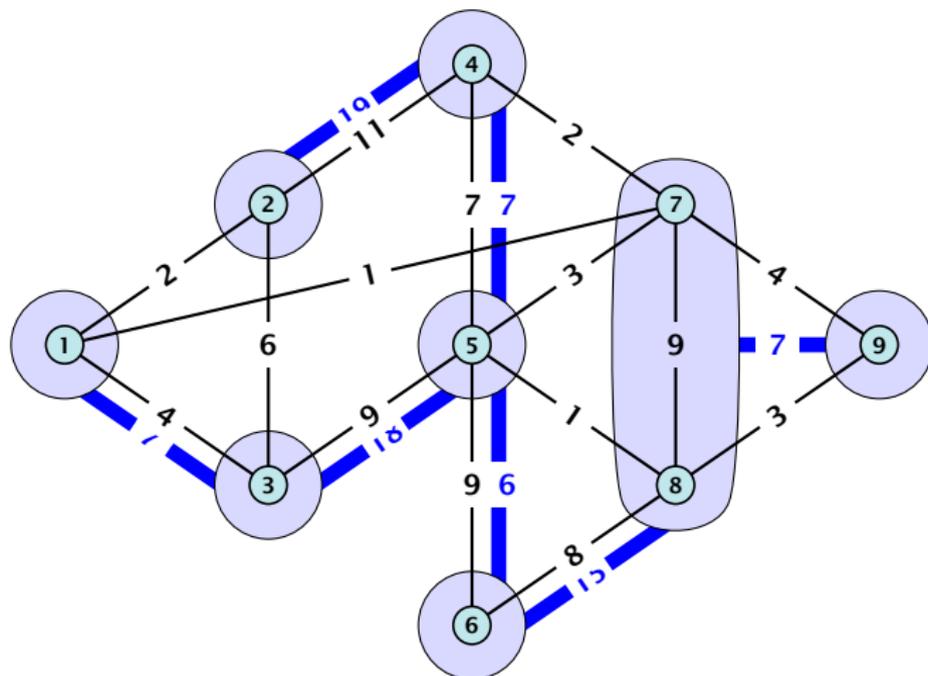
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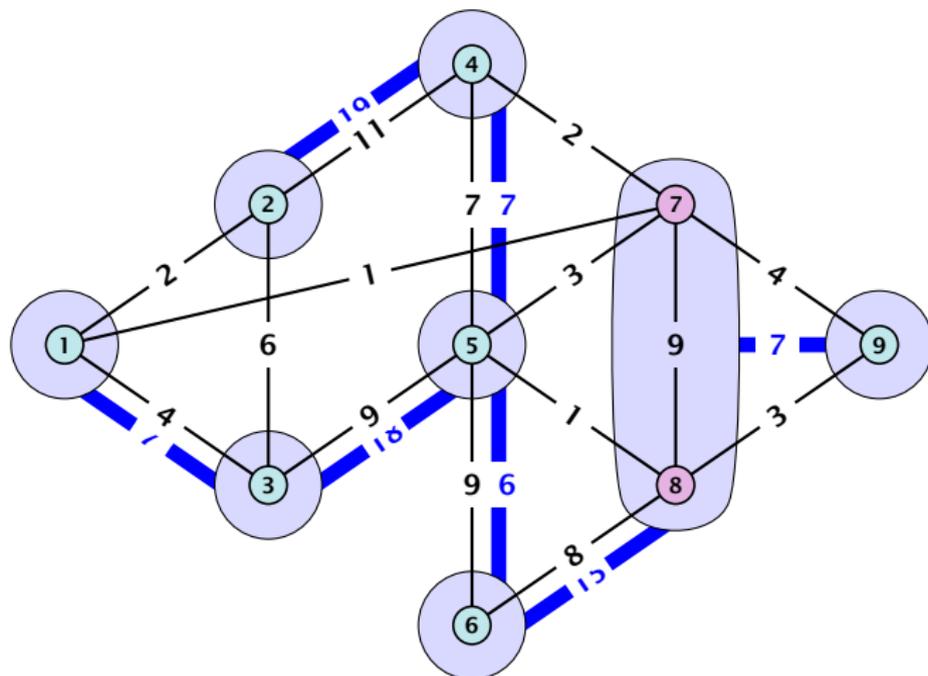
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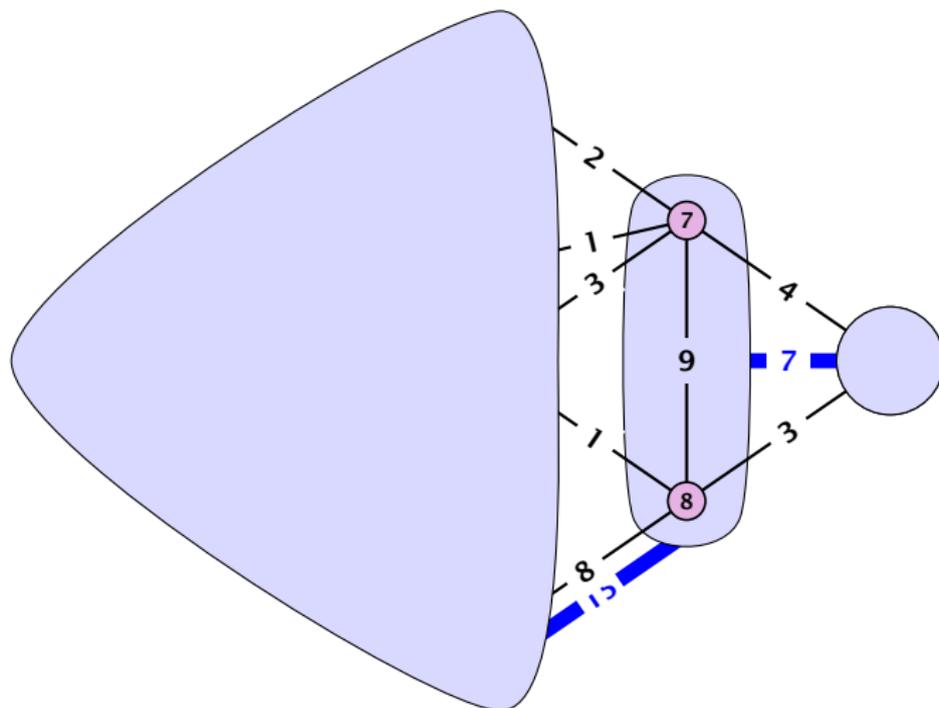
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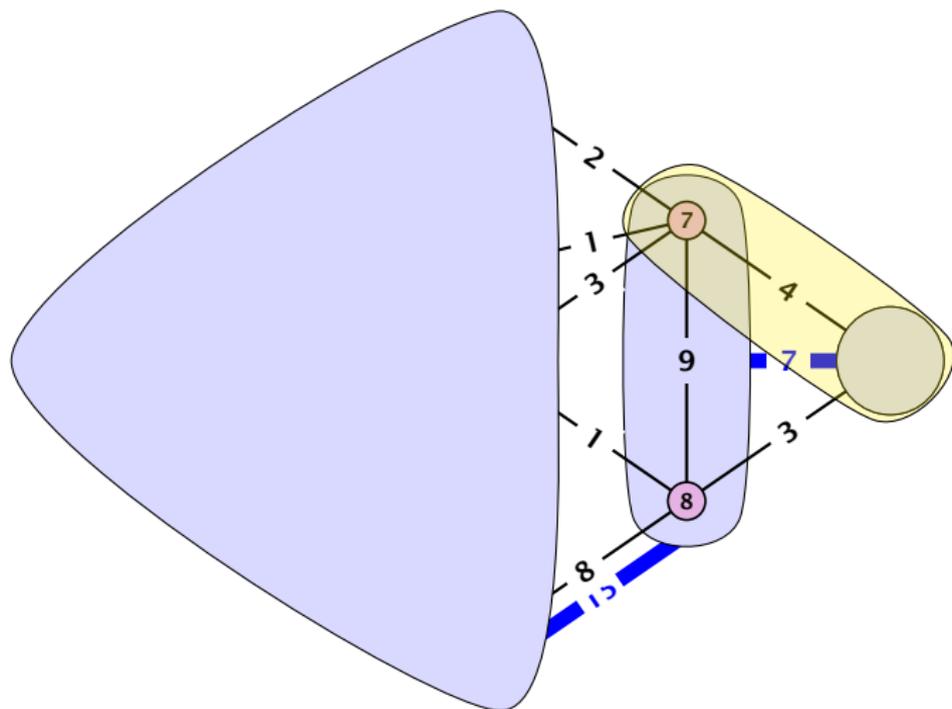
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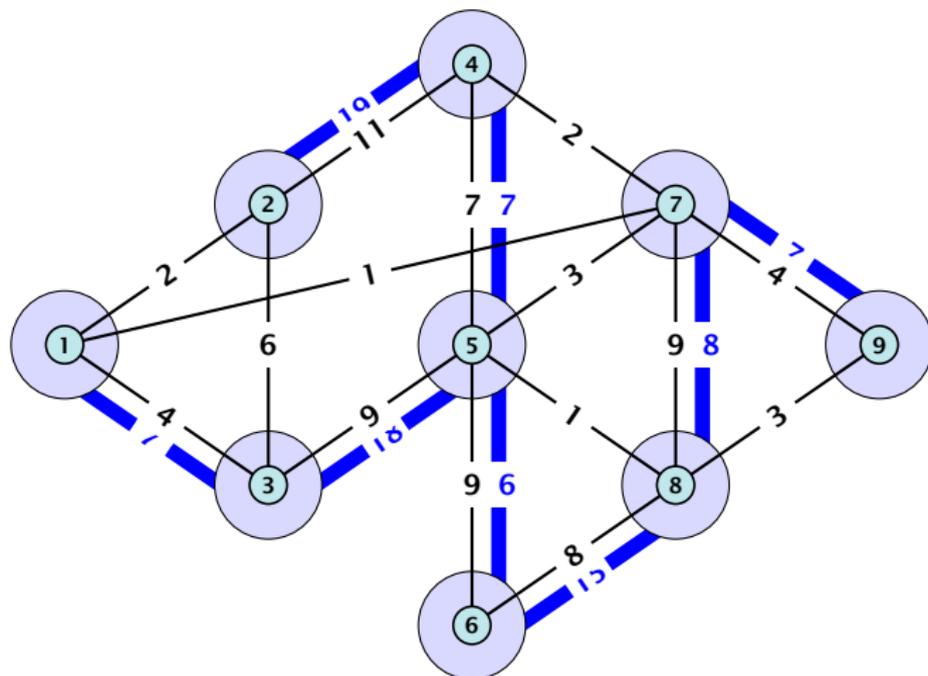
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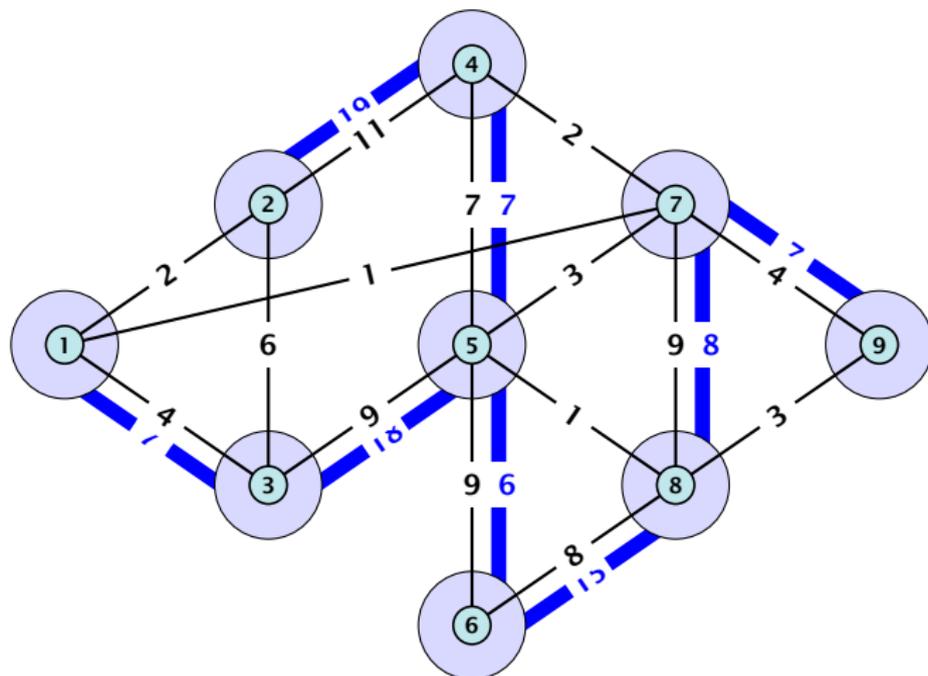
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## Lemma 92

*For nodes  $s, t, x \in V$  we have  $f(s, t) \geq \min\{f(s, x), f(x, t)\}$*

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## Lemma 94

Let  $S$  be some minimum  $r$ - $s$  cut for some nodes  $r, s \in V$  ( $s \in S$ ), and let  $v, w \in S$ . Then there is a minimum  $v$ - $w$ -cut  $T$  with  $T \subset S$ .

**Proof:** Let  $X$  be a minimum  $v$ - $w$  cut with  $v \in X$  and  $w \notin X$ . If  $X \subset S$ , then we are done. Note that  $S \setminus X$  and  $X \cap S$  are proper cuts.

We may assume w.l.o.g.  $s \in X$ .

**First case  $r \in X$ .**

$\text{cap}(S \setminus S) + \text{cap}(S \setminus X) \leq \text{cap}(S) + \text{cap}(X)$   
 $\text{cap}(S \setminus S) \leq \text{cap}(S)$  because  $S \setminus S$  is a proper cut.  
Therefore  $\text{cap}(S \setminus X) \leq \text{cap}(X)$ .  
Therefore  $X \subset S$ .

**Second case  $r \notin X$ .**

$\text{cap}(S \setminus X) + \text{cap}(S \cap X) \leq \text{cap}(S) + \text{cap}(X)$   
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We may assume w.l.o.g.  $s \in X$ .

First case  $r \in X$ .

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Second case  $r \notin X$ .

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First case  $r \in X$ .

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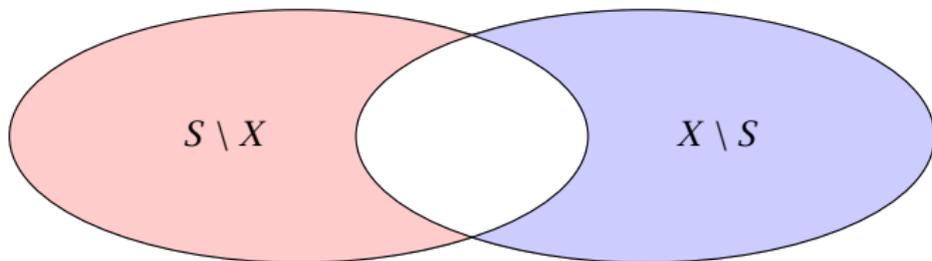
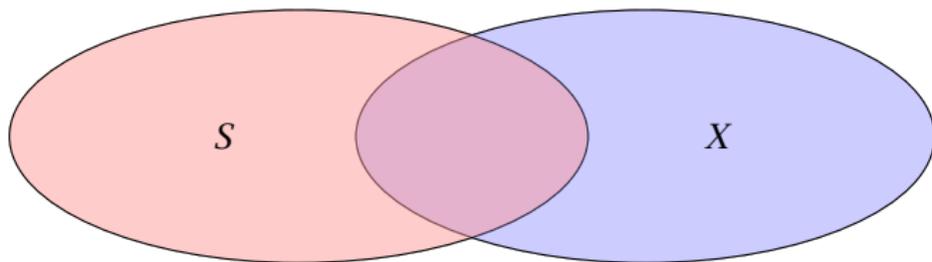
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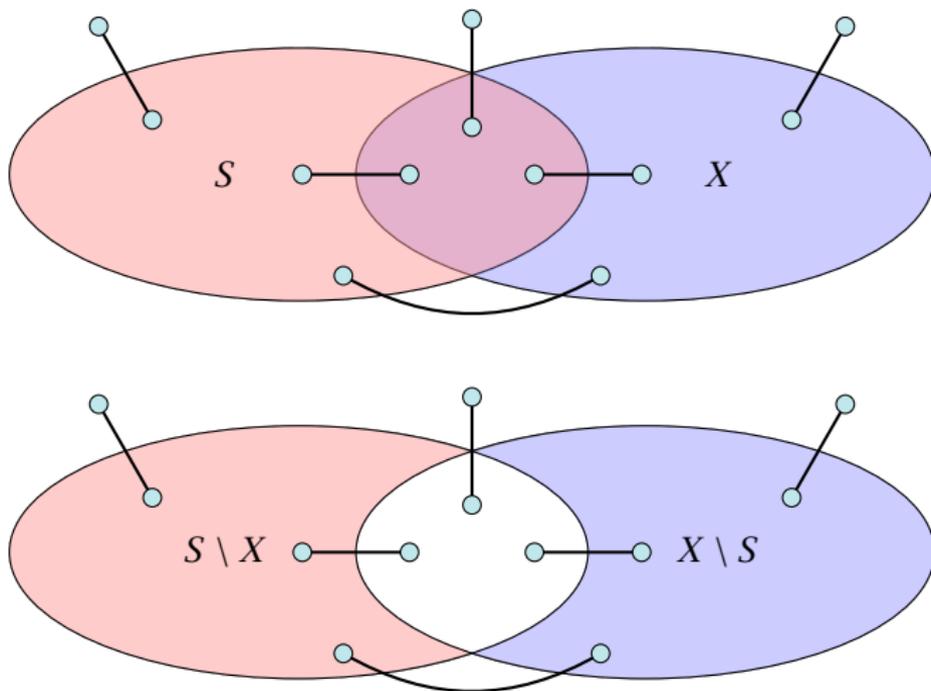
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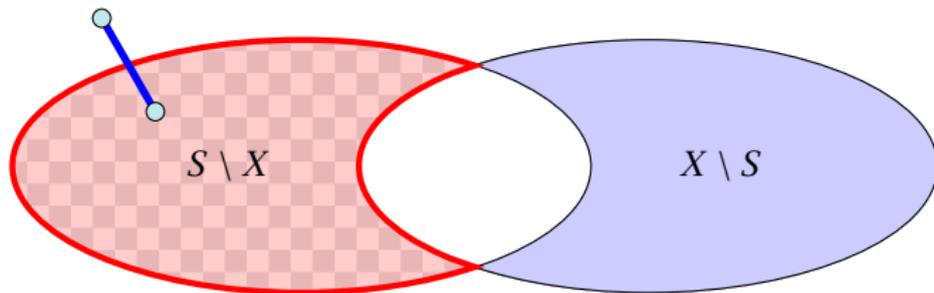
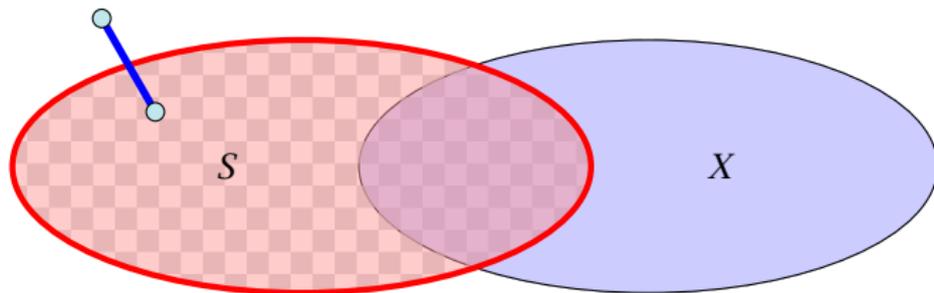
$$\text{cap}(S \setminus X) + \text{cap}(X \setminus S) \leq \text{cap}(S) + \text{cap}(X)$$



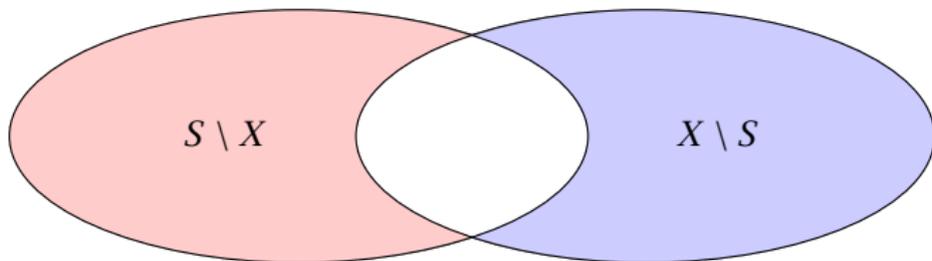
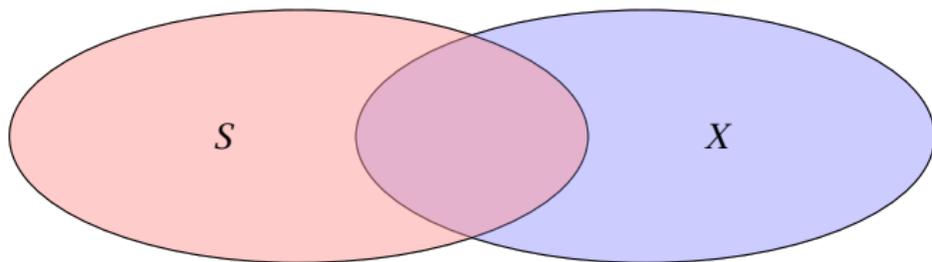
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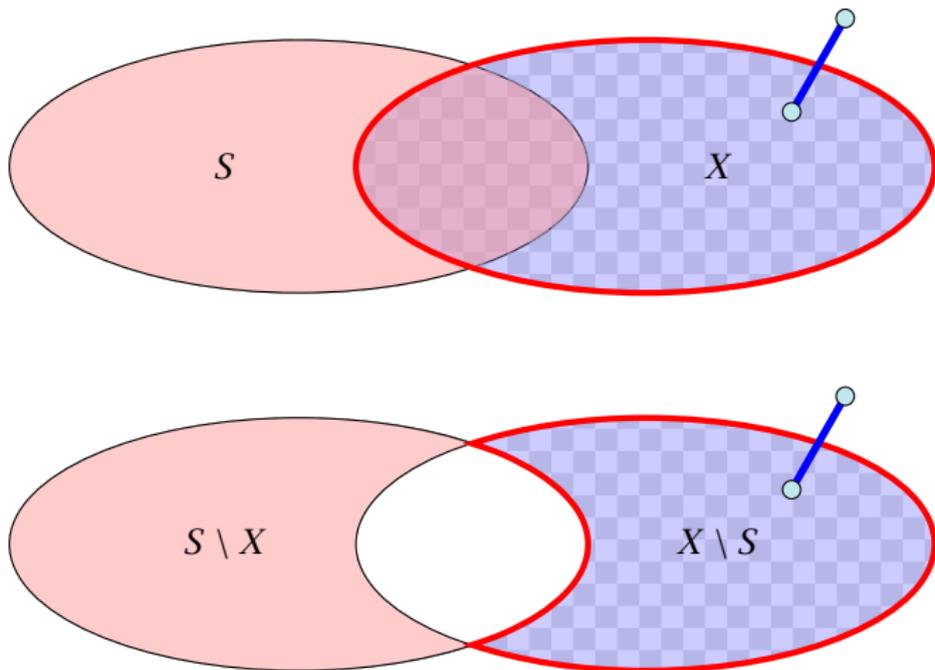
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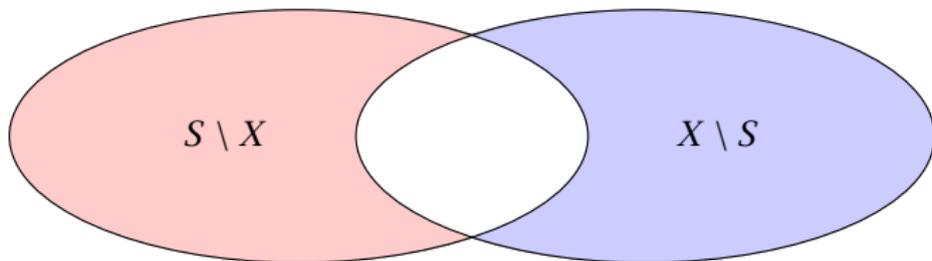
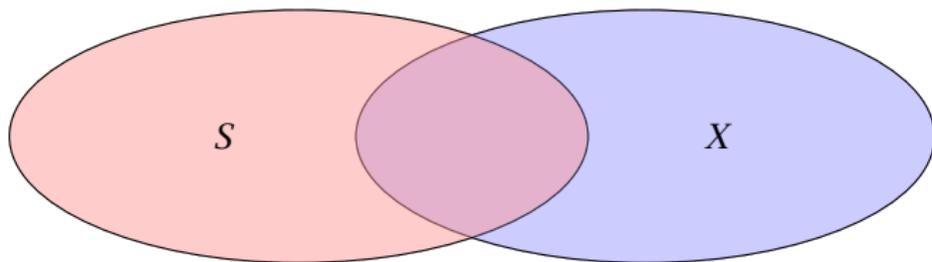
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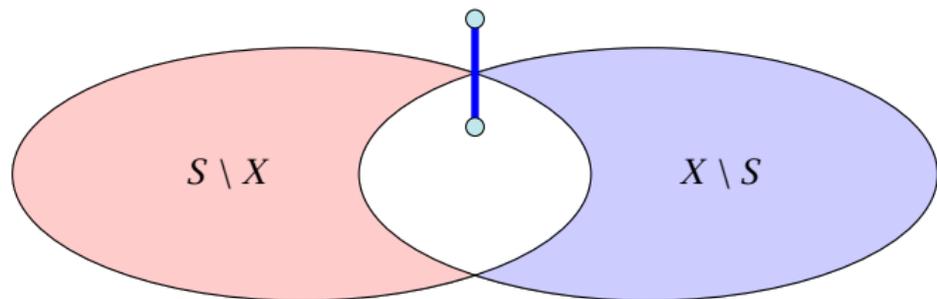
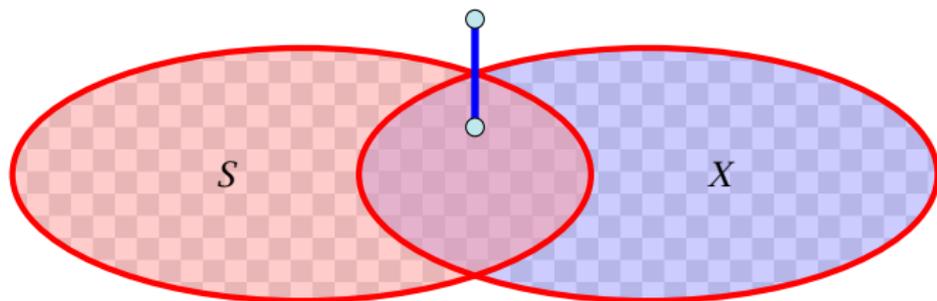
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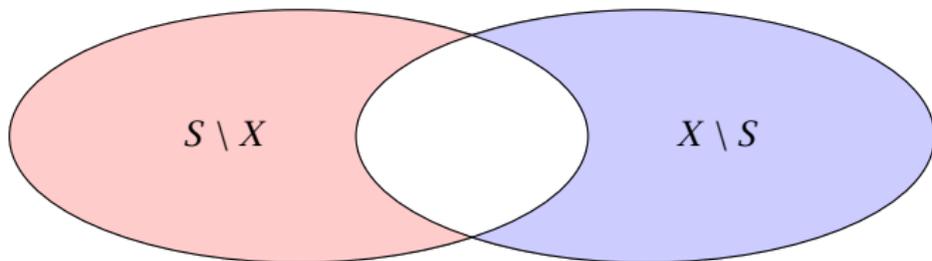
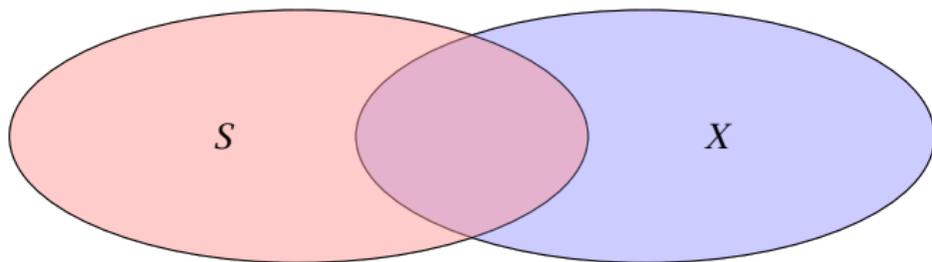
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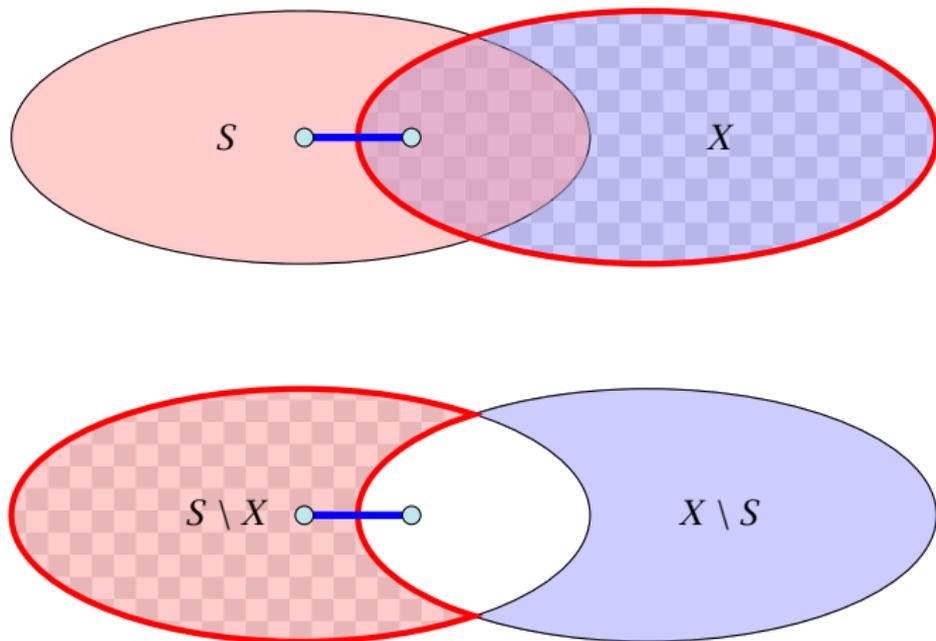
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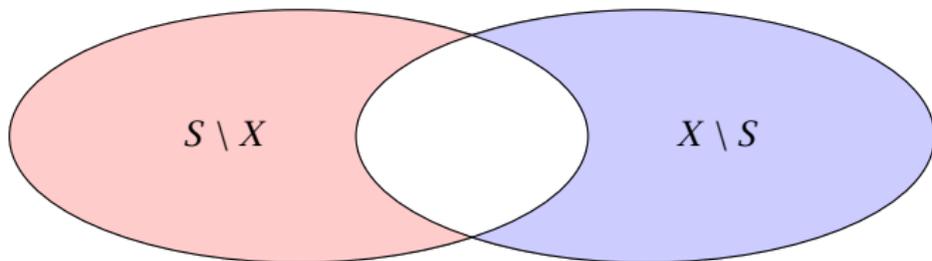
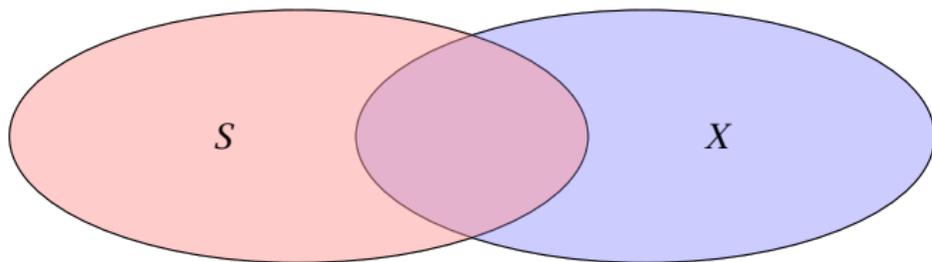
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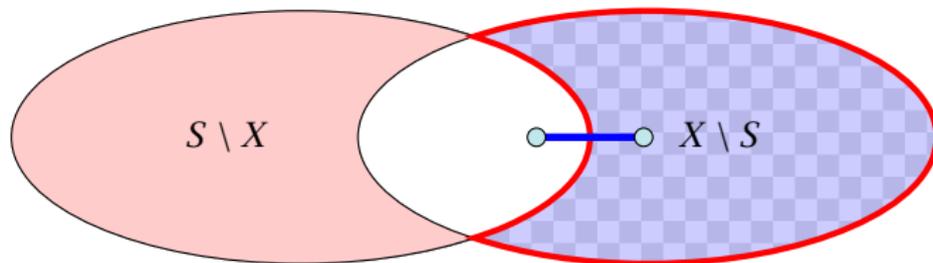
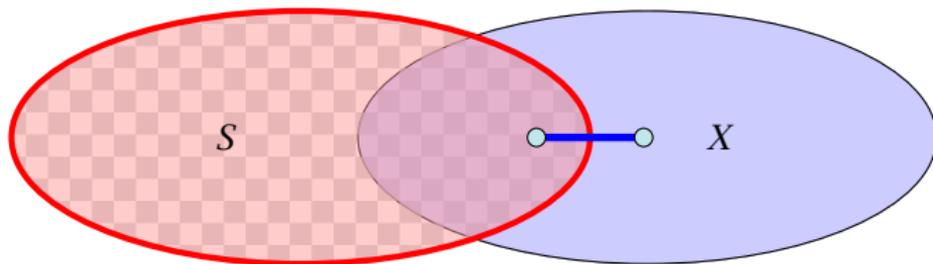
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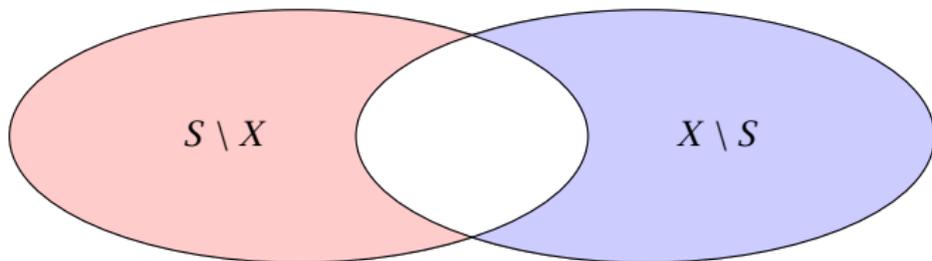
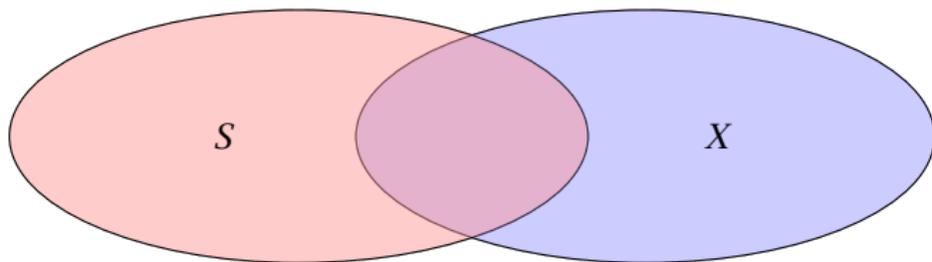
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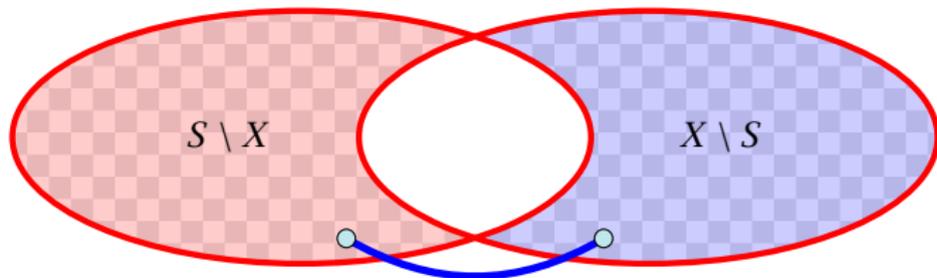
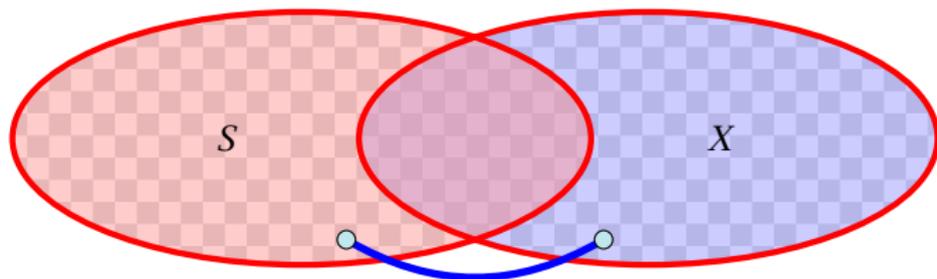
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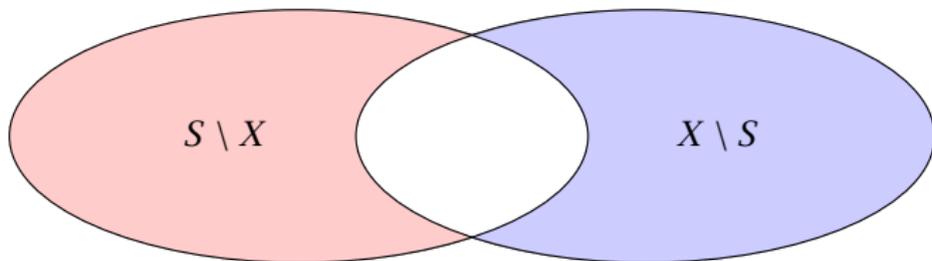
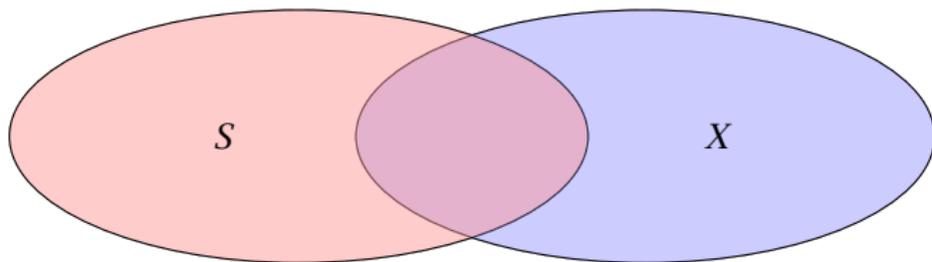
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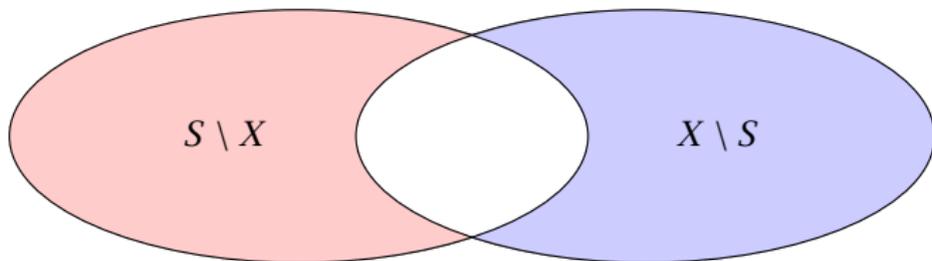
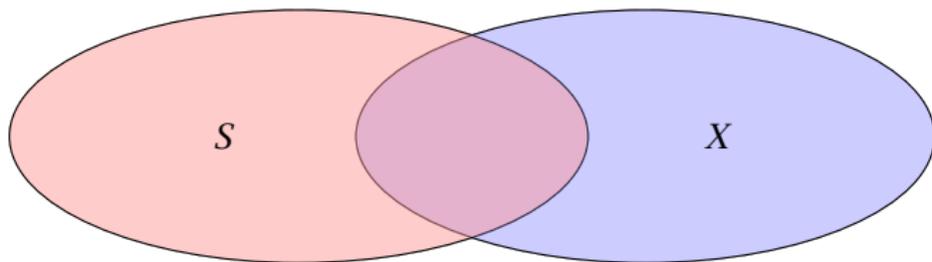
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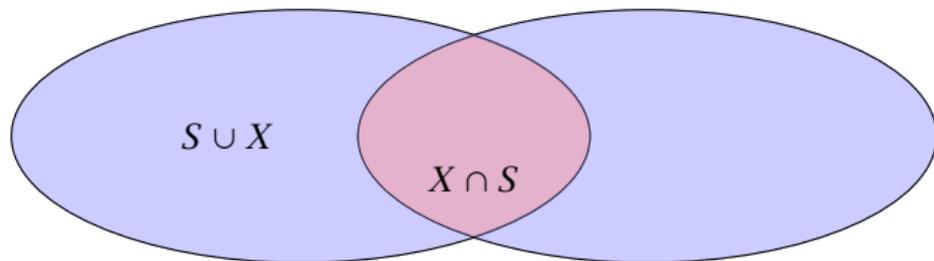
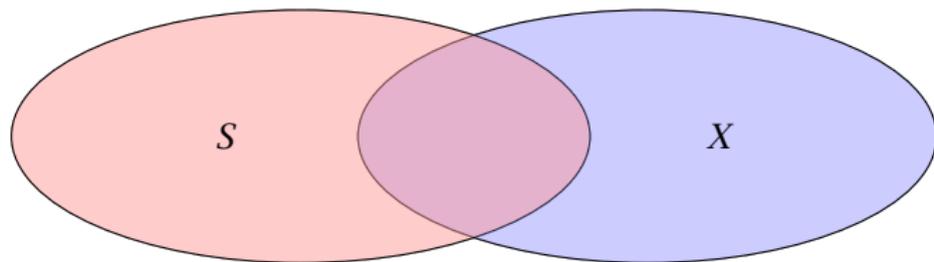
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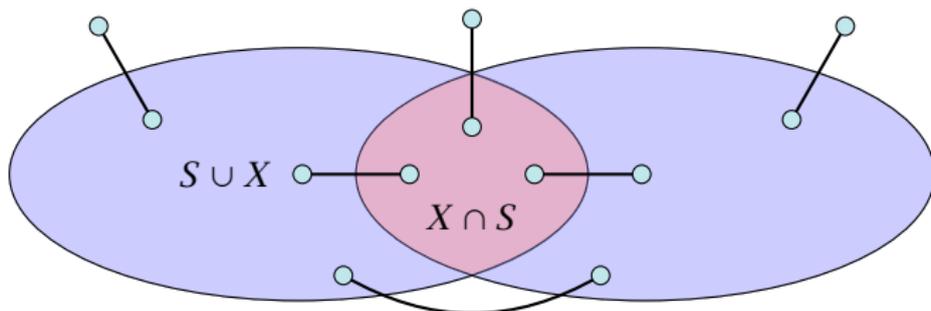
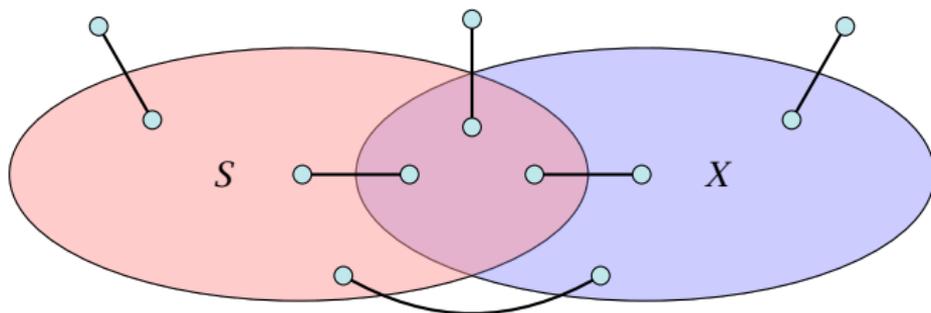
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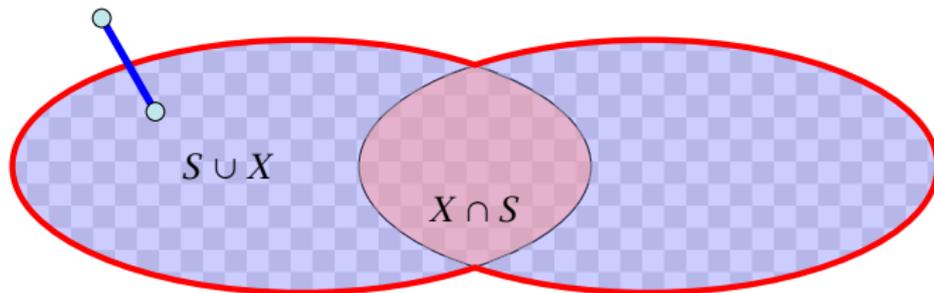
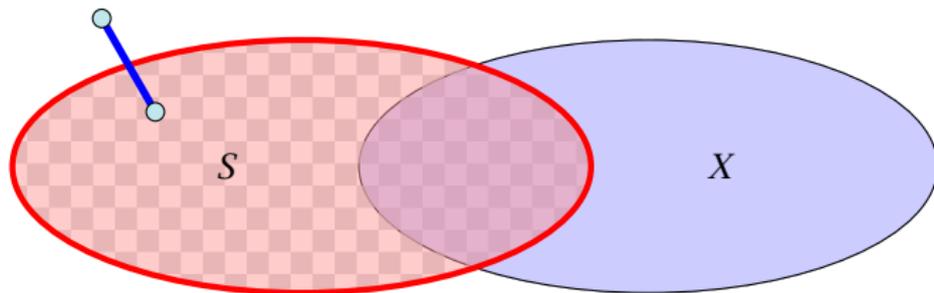
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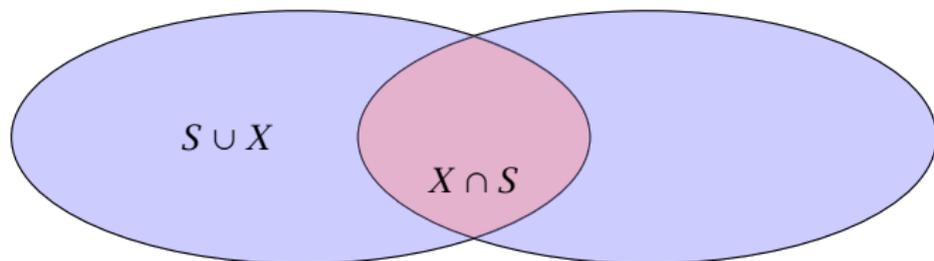
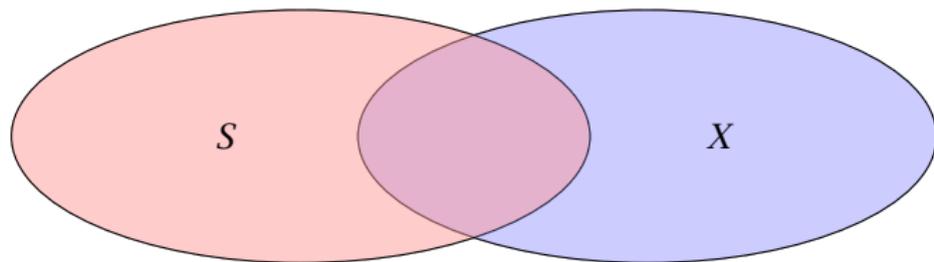
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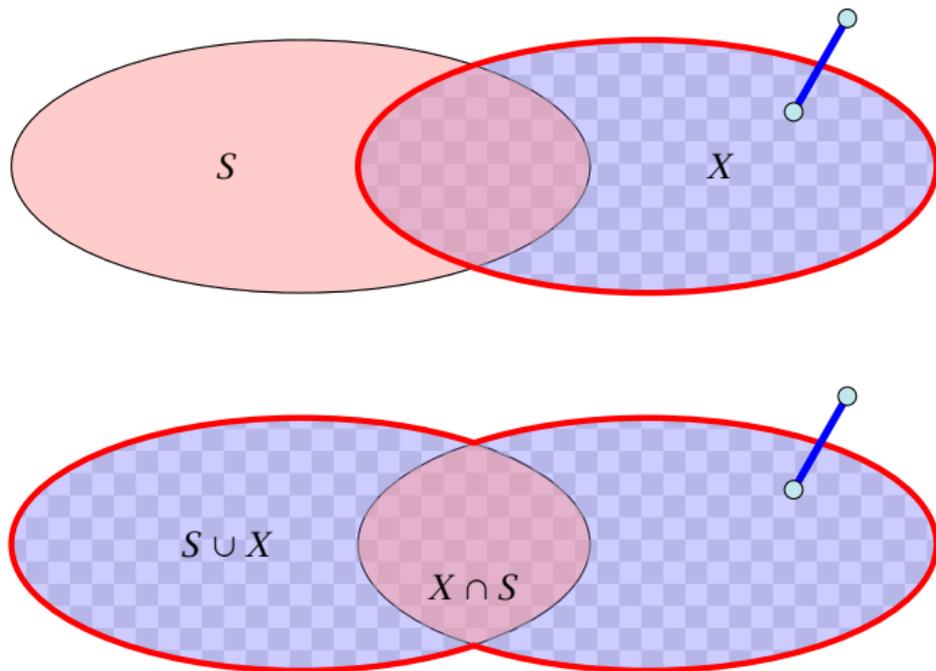
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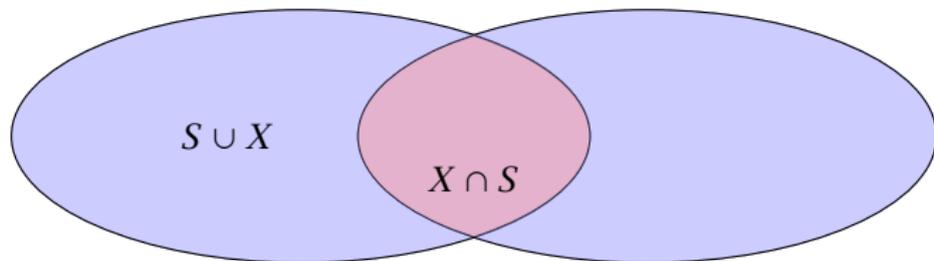
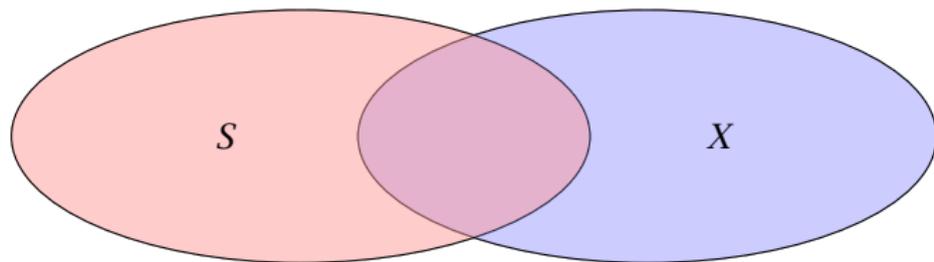
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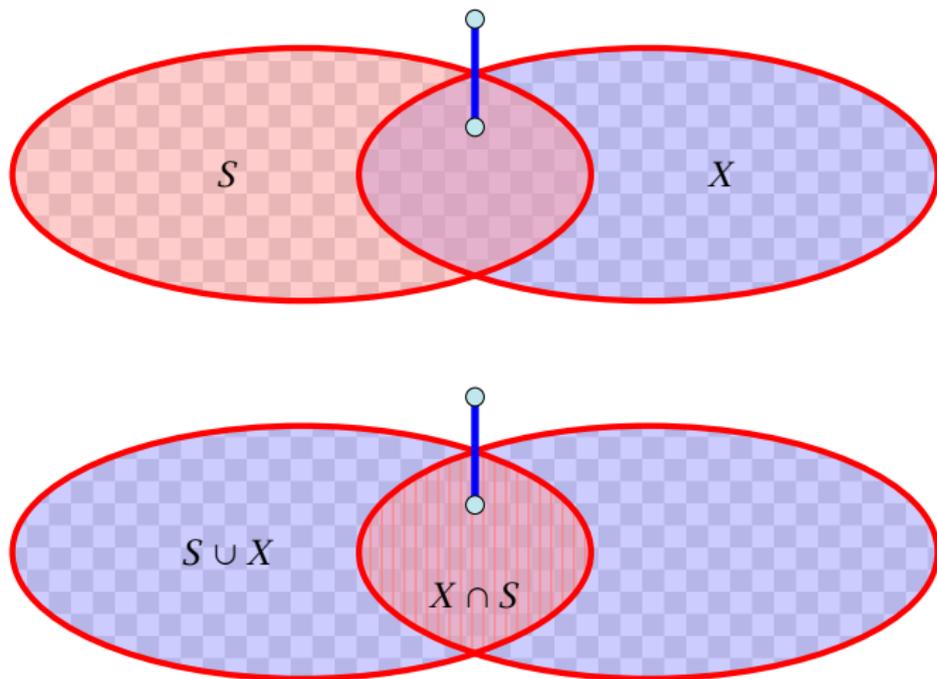
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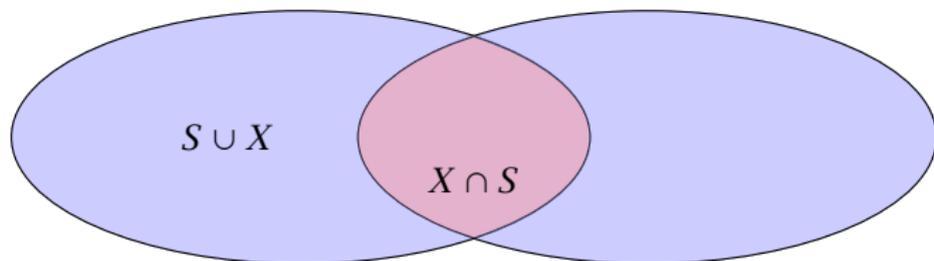
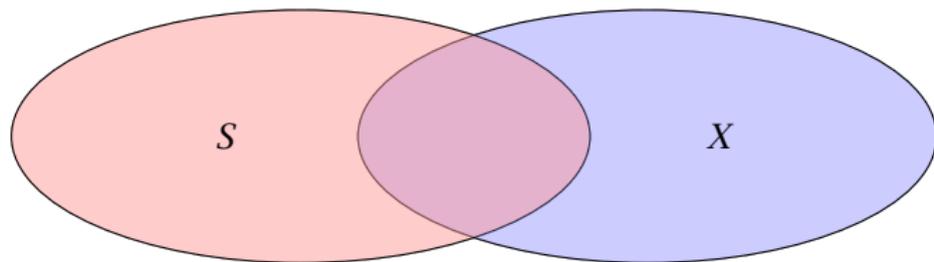
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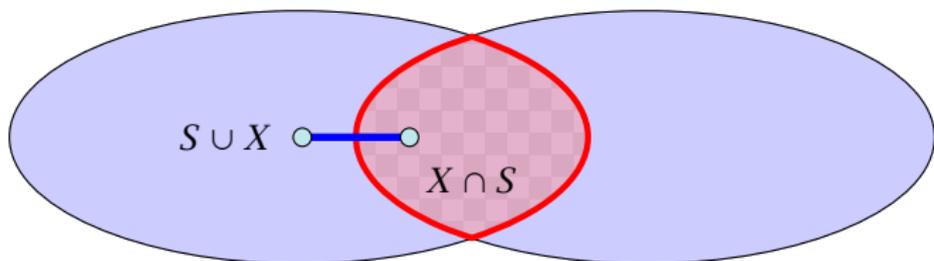
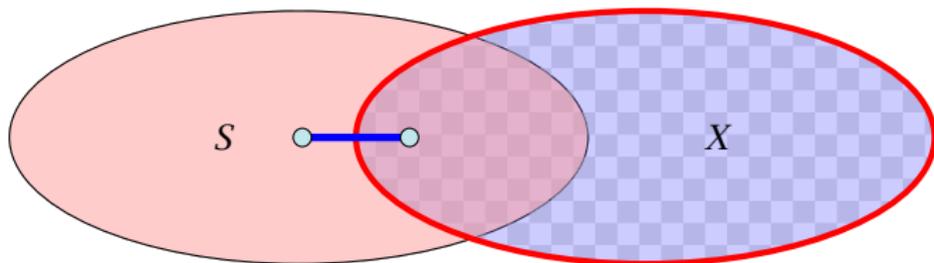
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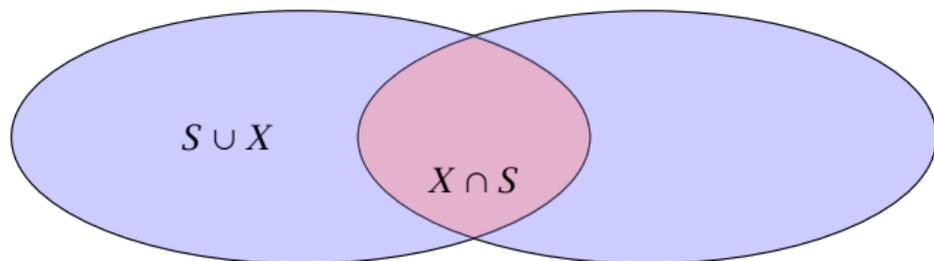
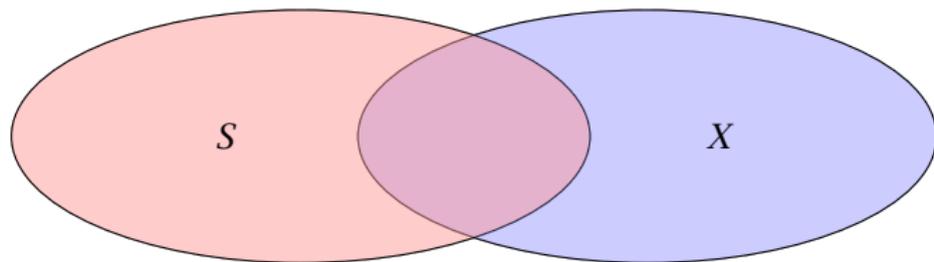
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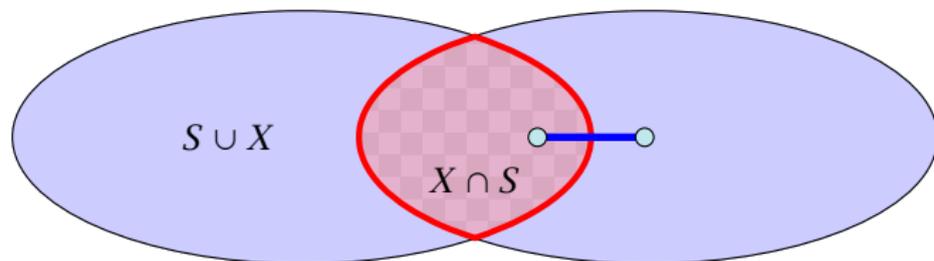
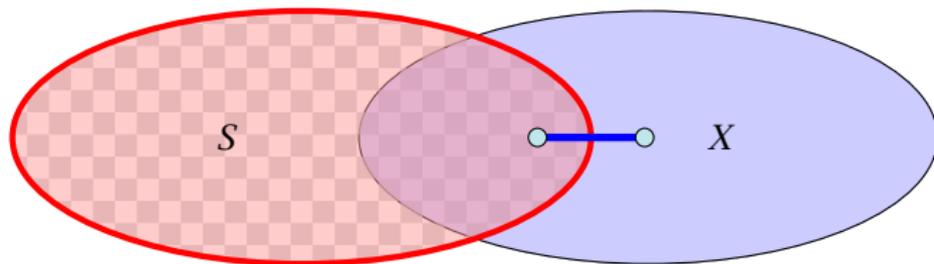
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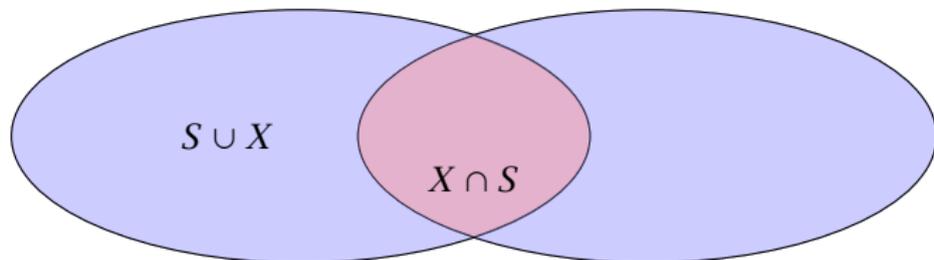
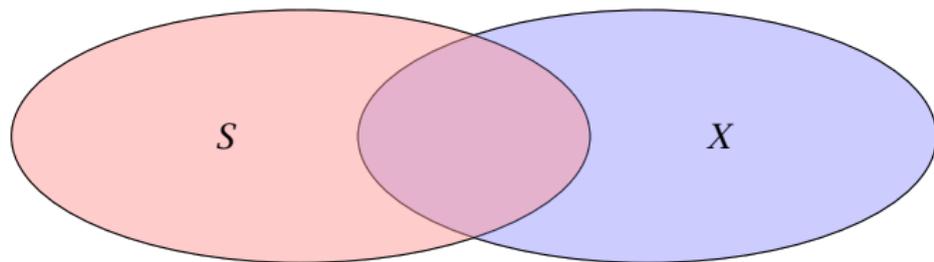
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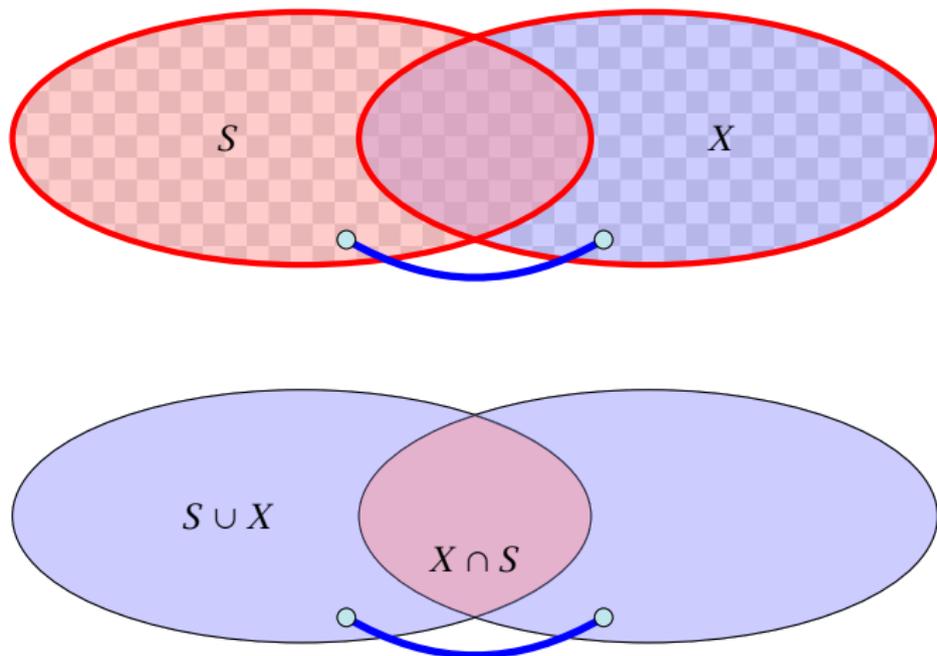
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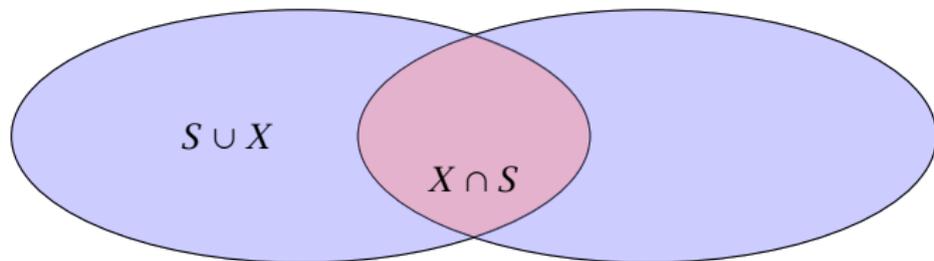
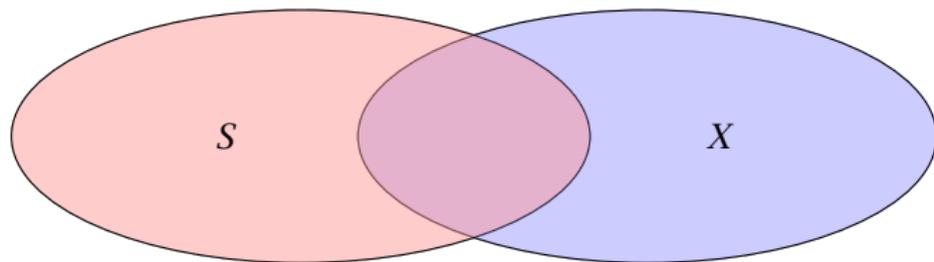
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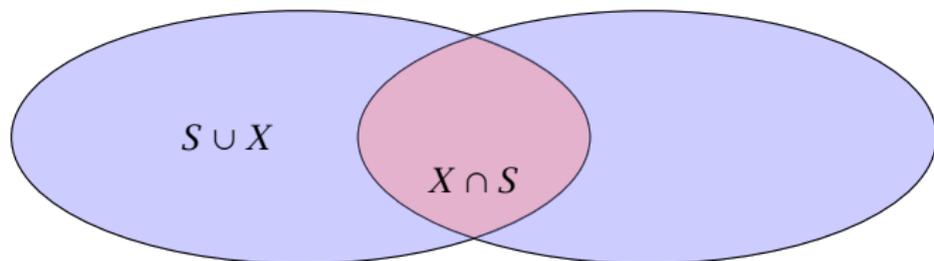
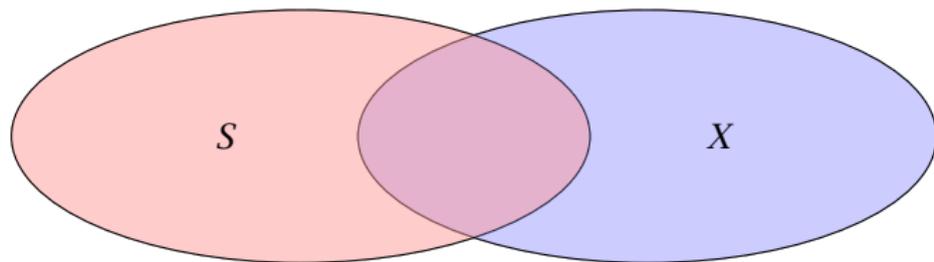
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# Analysis

Lemma 94 tells us that if we have a graph  $G = (V, E)$  and we contract a subset  $X \subset V$  that corresponds to some mincut, then the value of  $f(s, t)$  does not change for two nodes  $s, t \notin X$ .

We will show (later) that the connected components that we contract during a split-operation each correspond to some mincut and, hence,  $f_H(s, t) = f(s, t)$ , where  $f_H(s, t)$  is the value of a minimum  $s$ - $t$  mincut in graph  $H$ .

## Invariant [existence of representatives]:

For any edge  $\{S_i, S_j\}$  in  $T$ , there are vertices  $a \in S_i$  and  $b \in S_j$  such that  $w(S_i, S_j) = f(a, b)$  and the cut defined by edge  $\{S_i, S_j\}$  is a minimum  $a$ - $b$  cut in  $G$ .

## Analysis

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- ▶ Let  $\{x_j, x_{j+1}\}$  be the edge with minimum weight on the path.
- ▶ Since by the invariant this edge induces an  $s$ - $t$  cut with capacity  $f(x_j, x_{j+1})$  we get  $f(s, t) \leq f(x_j, x_{j+1}) = f_T(s, t)$ .

# Analysis

- ▶ Hence,  $f_T(s, t) = f(s, t)$  (flow equivalence).
- ▶ The edge  $\{x_j, x_{j+1}\}$  is a mincut between  $s$  and  $t$  in  $T$ .
- ▶ By invariant, it forms a cut with capacity  $f(x_j, x_{j+1})$  in  $G$  (which separates  $s$  and  $t$ ).
- ▶ Since, we can send a flow of value  $f(x_j, x_{j+1})$  btw.  $s$  and  $t$ , this is an  $s$ - $t$  mincut (cut property).

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# Proof of Invariant

The invariant obviously holds at the beginning of the algorithm.

Now, we show that it holds after a split-operation provided that it was true before the operation.

Let  $S_i$  denote our selected cluster with nodes  $a$  and  $b$ . Because of the invariant all edges leaving  $\{S_i\}$  in  $T$  correspond to some mincuts.

Therefore, contracting the connected components does not change the mincut btw.  $a$  and  $b$  due to Lemma 94.

After the split we have to choose representatives for all edges. For the new edge  $\{S_i^a, S_i^b\}$  with capacity  $w(S_i^a, S_i^b) = f_H(a, b)$  we can simply choose  $a$  and  $b$  as representatives.

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The set  $B$  forms a mincut separating  $a$  from  $b$ . Contracting all nodes in this set gives a new graph  $G'$  where the set  $B$  is represented by node  $v_B$ . Because of Lemma 94 we know that  $f'(x, a) = f(x, a)$  as  $x, a \notin B$ .

We further have  $f'(x, a) \geq \min\{f'(x, v_B), f'(v_B, a)\}$ .

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Also,  $f'(a, v_B) \geq f(a, b) \geq f(x, s)$  since the  $a$ - $b$  cut that splits  $S_i$  into  $S_i^a$  and  $S_i^b$  also separates  $s$  and  $x$ .

## Proof of Invariant

Because the invariant was true before the split we know that the edge  $\{X, S_i\}$  induces a cut in  $G$  of capacity  $f(x, s)$ . Since,  $x$  and  $a$  are on opposite sides of this cut, we know that  $f(x, a) \leq f(x, s)$ .

The set  $B$  forms a mincut separating  $a$  from  $b$ . Contracting all nodes in this set gives a new graph  $G'$  where the set  $B$  is represented by node  $v_B$ . Because of Lemma 94 we know that  $f'(x, a) = f(x, a)$  as  $x, a \notin B$ .

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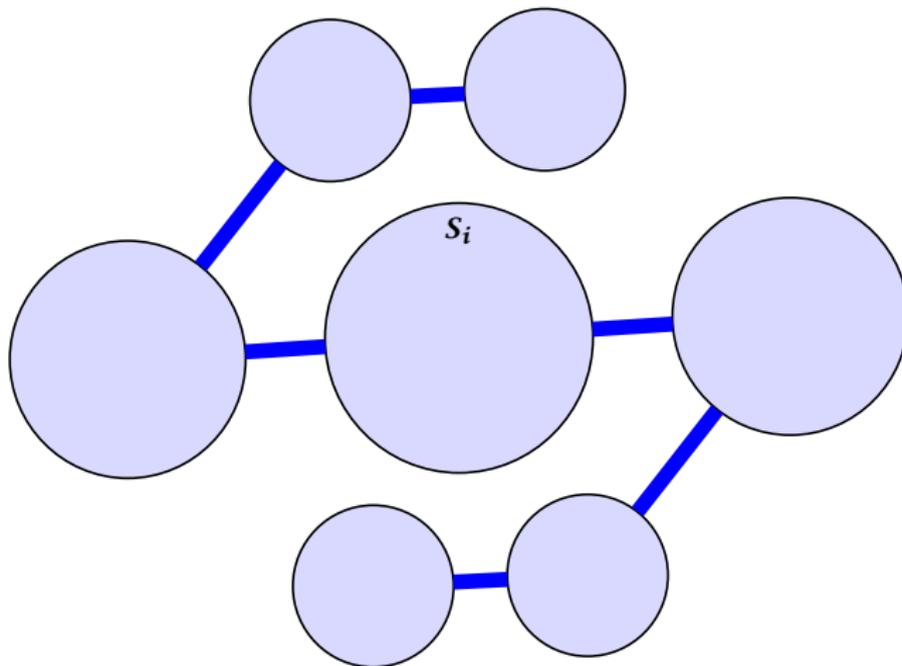
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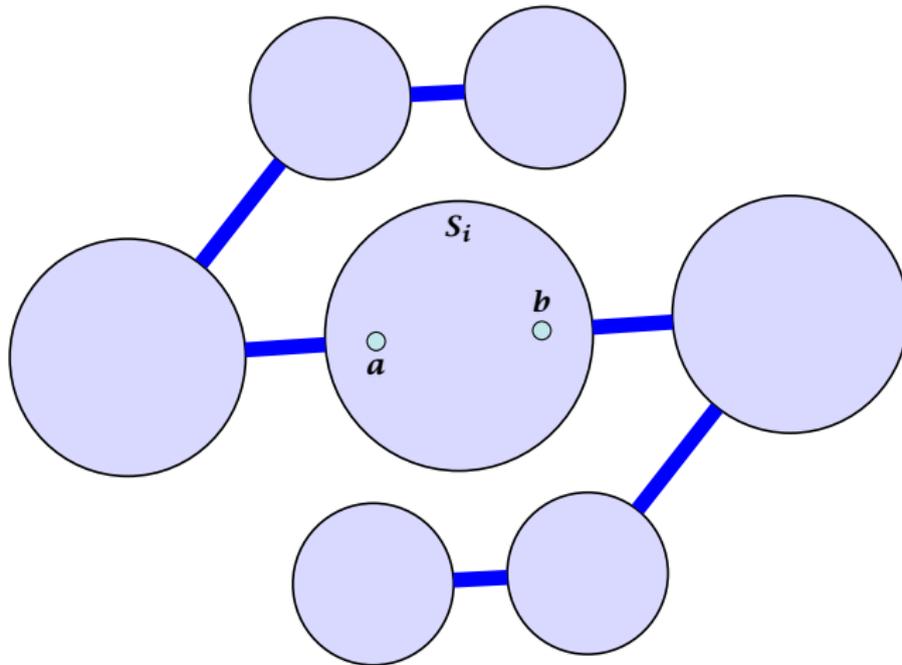
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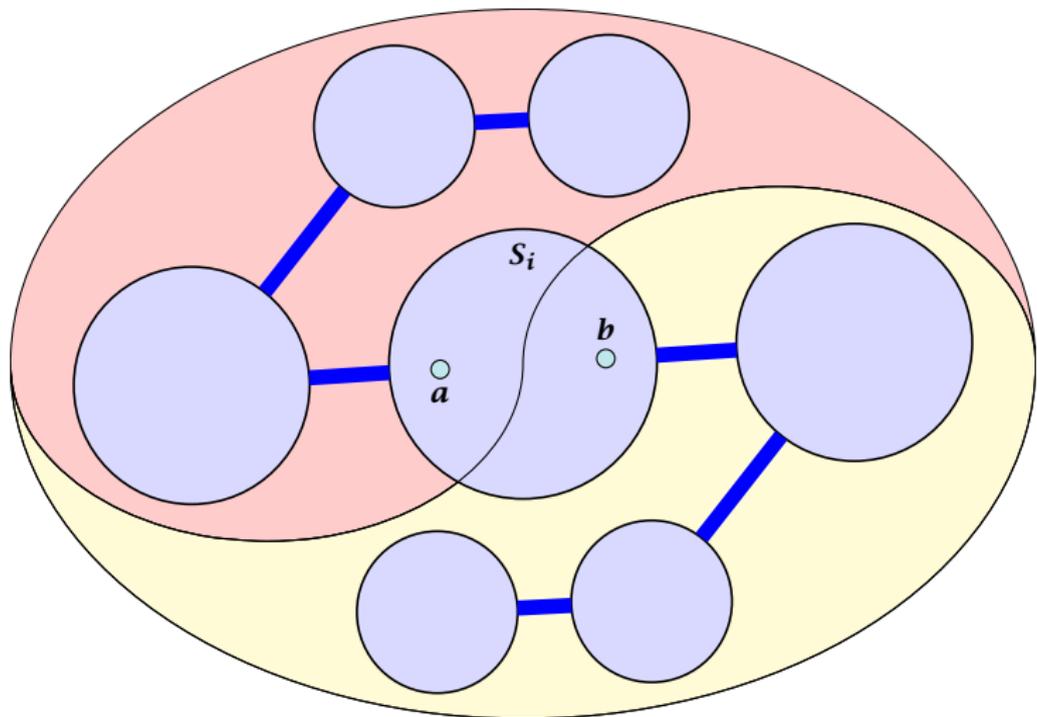
# Analysis



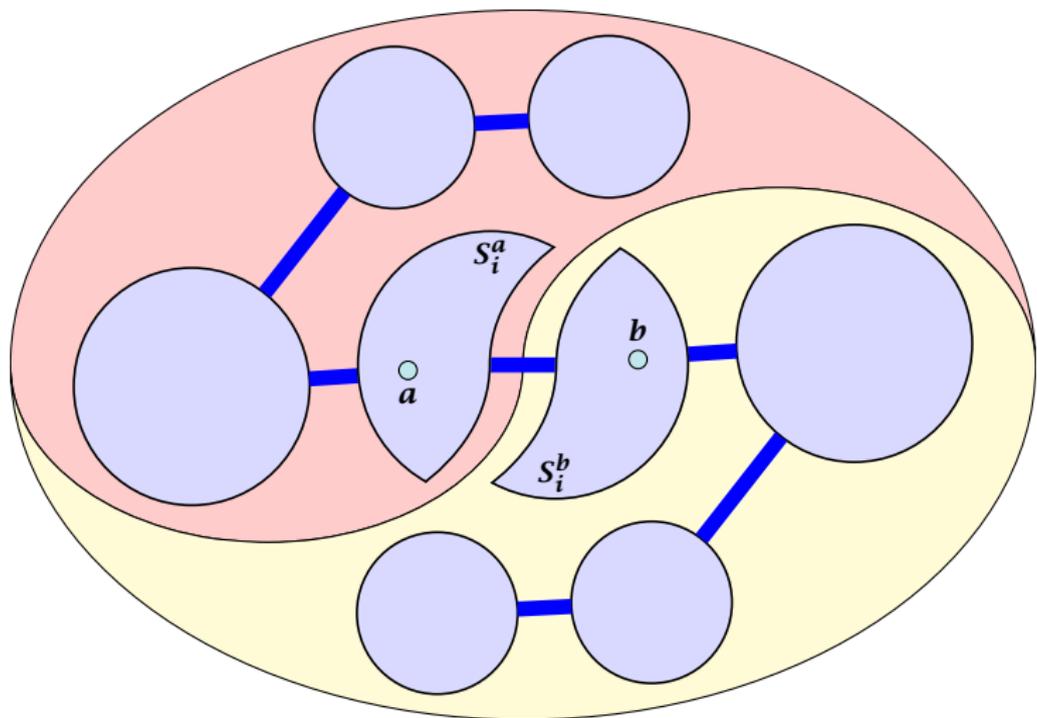
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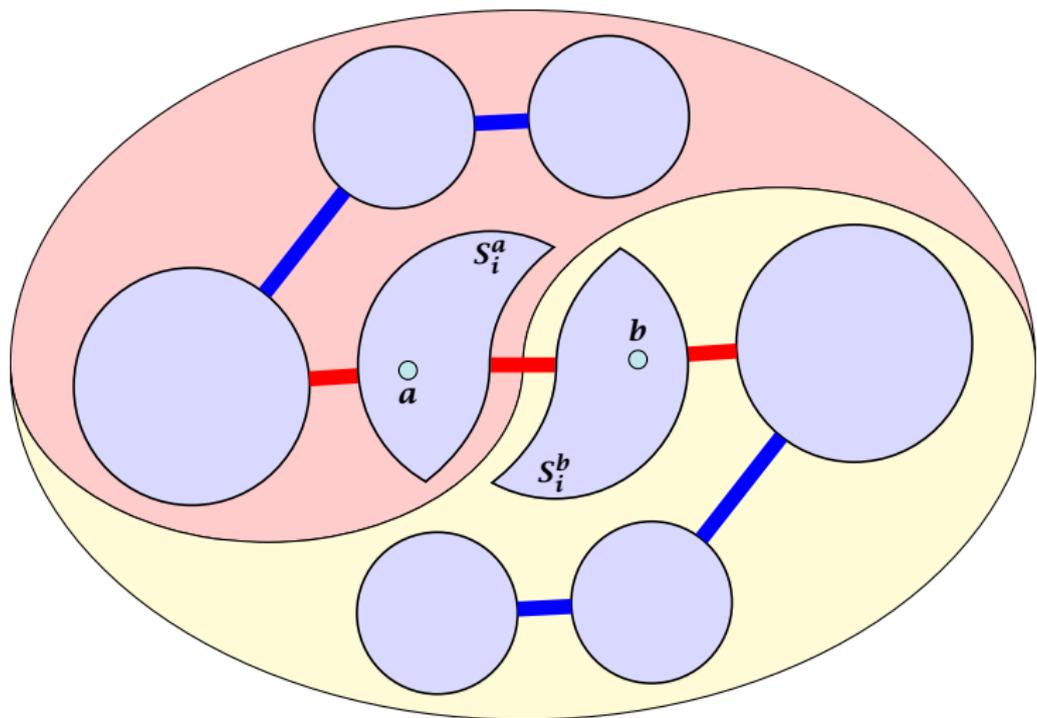
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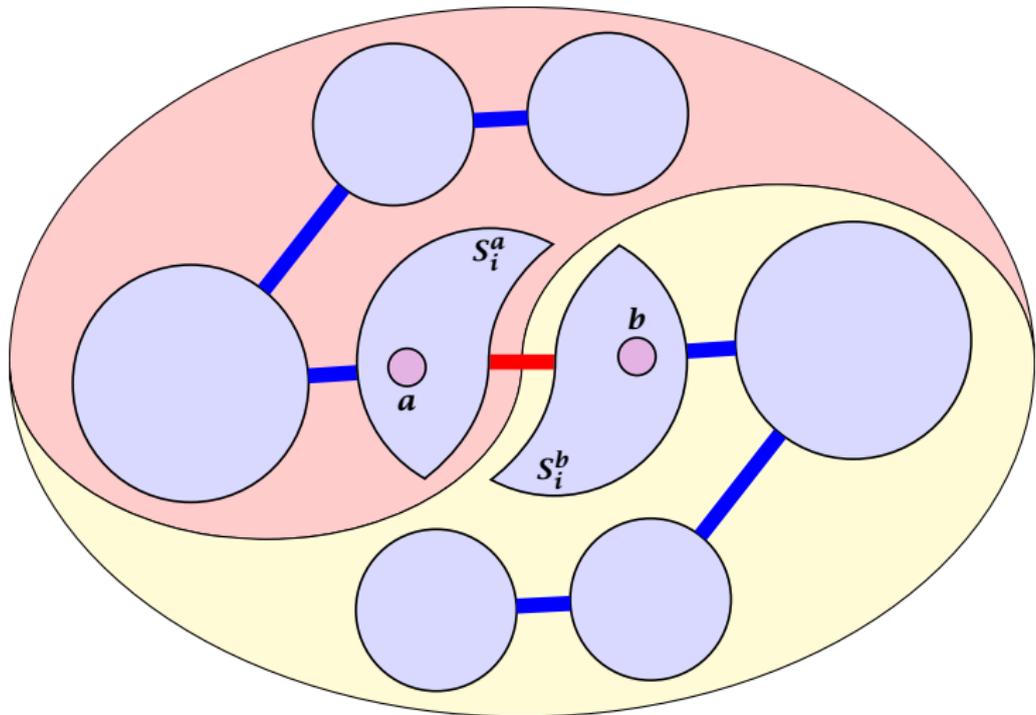
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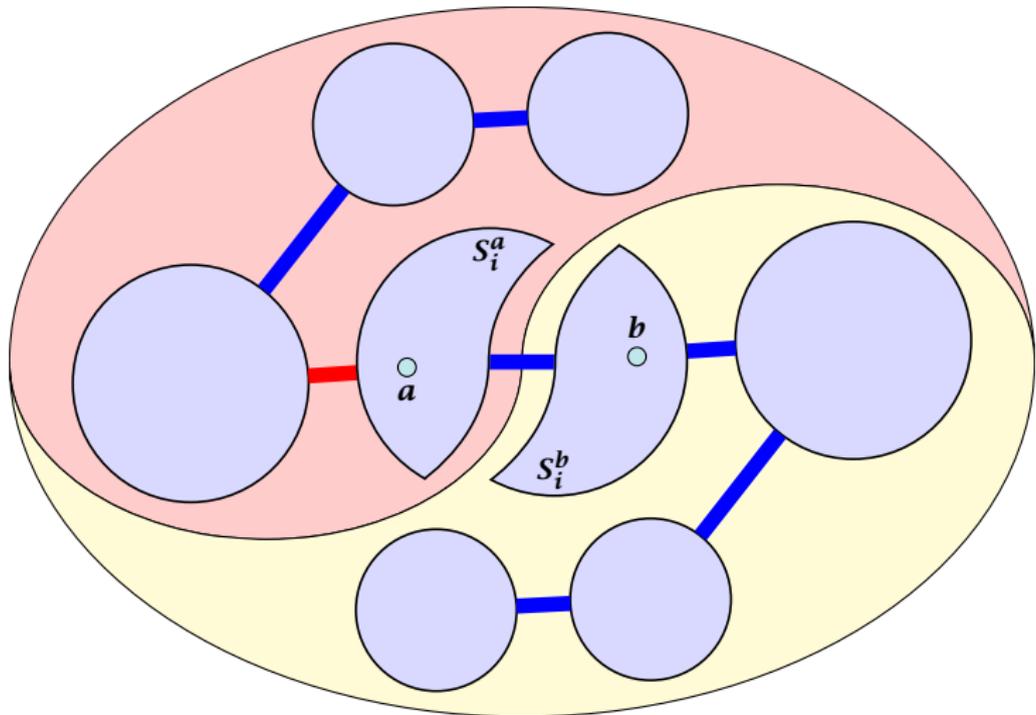
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# Analysis

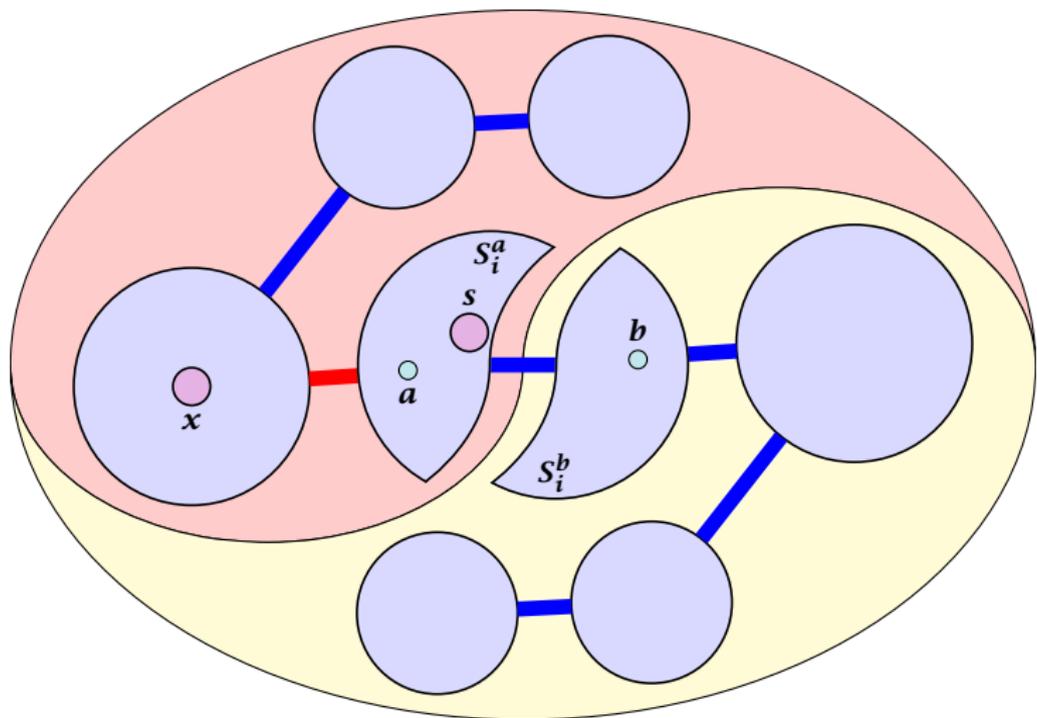


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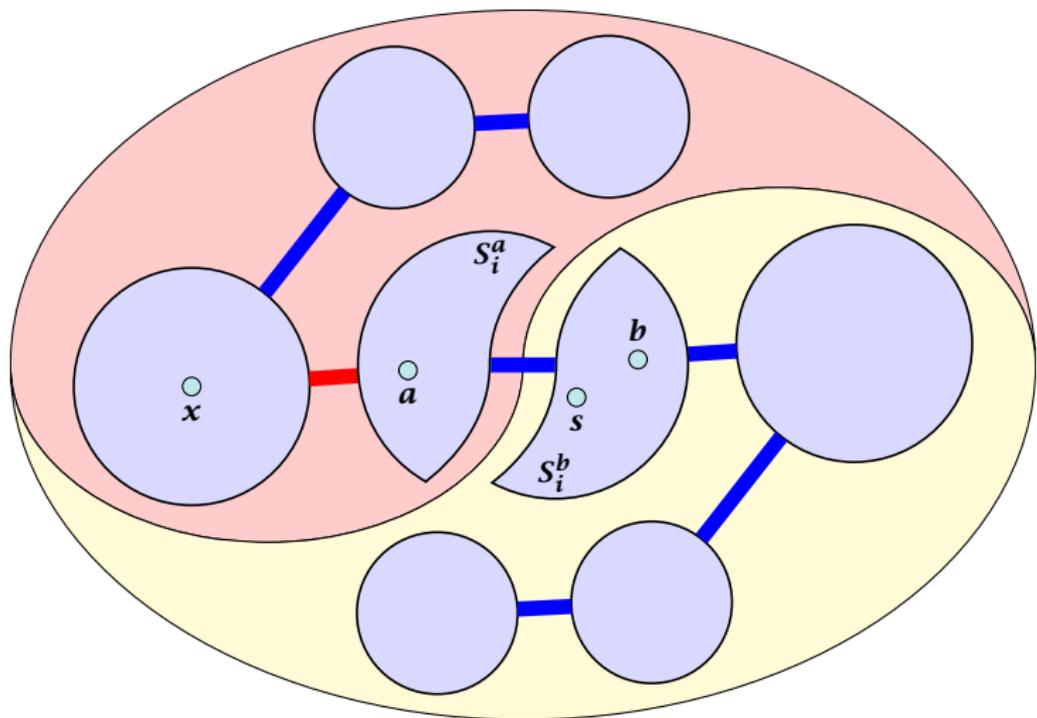




# Analysis



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