# FROM PETRI NETS TO POLYNOMIALS: MODELING, ALGORITHMS, AND COMPLEXITY

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# **OUTLINE OF TALK**

- × some basics of polynomial ideals
- × Petri nets and binomial ideals, and
- complexity theoretic consequences of this relationship
- × Gröbner bases and their complexity
- modeling power of polynomial ideals
- x recent trends and results

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## **Polynomial Ideals**

Given: A finite set of polynomials

$$p_1,\ldots,p_h\in\mathbb{Q}[x_1,\ldots,x_n]$$

and a test polynomial p. The ideal

 $\langle p_1,\ldots,p_h\rangle$ 

generated by the  $p_i$  is the set of all polynomials q which can be written

$$q = \sum_{i=1}^{h} g_i p_i$$

with polynomials  $g_i \in \mathbb{Q}[x_1, \ldots, x_n]$ .

### Examples

• The ideal generated in  $\mathbb{Q}[x, y]$  by the two polynomials

$$p_1 = x^2$$
 and  $p_2 = y$ 

is the set of all those polynomials all of whose monomials are divisible by  $x^2$  or y.

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is the set of all those polynomials all of whose monomials are divisible by  $x^2$  or y.

• We have:

$$y^{2} - xz = (y + x^{2})(y - x^{2}) - x(z - x^{3})$$
$$= (y + x^{2}) \cdot p_{1} - x \cdot p_{2}$$
$$\in \langle p_{1}, p_{2} \rangle$$

Thus

$$y^2 - xz \in \langle y - x^2, z - x^3 \rangle$$
.

We consider the ideal in  $\mathbb{R}^3$  generated by the polynomials

$$p_1$$
:  $z^2 - 8z - \frac{13}{10x + y^2 + 16}$ ,  
 $p_2$ :  $z - 2x^4 - 4y^2x^2 + 4x^2 - 2y^4 + 4y^2 - 5$ , and  
 $p_3$ :  $z - x - 3$ .

### The Zeroes of $p_1$ , $p_2$ , and $p_3$



### **Algebraic Varieties**

**Definition:** The common zeroes  $\in \mathbb{C}^n$  of a (finite) set of polynomials  $\in \mathbb{C}[x_1, \ldots, x_n]$  is called an (algebraic) variety.

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Let *K* be some algebraically closed field. Then, by the strong version of Hilbert's Nullstellensatz, there is a one-to-one correspondence between the radical ideals in  $K[x_1, \ldots, x_n]$  and the algebraic varieties in  $\mathbb{C}^n$ .

### **Polynomial Ideal Membership Problem**

Let polynomials  $p, p_1, \ldots, p_w \in \mathbb{Q}[x_1, \ldots, x_n]$  be given.

Decision problem:

$$\mathbf{Is} \ p \in \langle p_1, \dots, p_w \rangle$$
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Decision problem:

$$\left( \mathsf{Is} \ p \in \langle p_1, \dots, p_w \rangle ? \right)$$

Representation problem:

Determine 
$$g_i \in \mathbb{Q}[x_1, \dots, x_n]$$
 such that  $p = \sum_{i=1}^w g_i p_i$ .

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# **BINOMIAL IDEALS**

- Binomial polynomials are polynomials which are the difference of two monomials
- Binomial ideals are ideals generated by binomial polynomials
- Binomials can be thought of as specifying (symmetric, i.e., Thue) commutative replacement systems
- Every polynomial can be represented by (a system of) trinomials

### **Petri Nets and VAS**



### **Petri Nets and VAS**



marking: number of tokens on places firing of transition: marking change reachability set: set of reachable markings Reversible PNs correspond to systems of binomials: Symbols:  $s_1, s_2, s_3$ 

congruences:

binomials:

$$s_1 \Leftrightarrow s_2 s_3 \qquad p_1 = s_2 s_3 - s_1$$
$$s_2 \Leftrightarrow s_2 s_3 \qquad p_2 = s_2 s_3 - s_2$$
$$s_2 s_3^2 \Leftrightarrow s_1 \qquad p_3 = s_1 - s_2 s_3^2$$

## SOME FACTS ABOUT PETRI NETS

- x invented by Carl Adam Petri in 1962
- x greatly advanced by the MIT Project MAC
- x numerous applications and uses, like
  - + modeling program synchronization
  - + modeling a Berlin beer brewery
  - + modeling the Murmansk economic region
  - + modeling enzyme action and metabolism of cells
- × also see

http://www.informatik.uni-hamburg.de/TGI/pnbib/

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## SOME FACTS ABOUT PETRI-NET COMPLEXITY

- The reachability problem for PNs is decidable: M [1980]
- simple generalizations of the model make the reachability problem undecidable
- The containment and equivalence problems for PNs are undecidable: Hack [1976]
- \* These problems are non-primitive recursive even for finite reachability sets: M [1981]

# SOME RESULTS

#### × upper bounds for PIMP:

- + decidability: G. Hermann [1926]
- + doubly exponential degree bound with coefficients in Q: Hermann [1926]
- + exponential degree bound for special p : Brownawell[1987], Heintz et al. [1988], Berenstein/Yger [1988]
- exponential space upper bound with coefficients in Q, polynomial for special p : M [1988]
- x upper bound for PN reachability:
  - + decidability: M [1980]
  - + exponential space for reversible PN: M/Meyer [1982]

# SOME MORE RESULTS

### × lower bounds for PIMP:

- + doubly exponential degree lower bound in pure difference binomial ideals: M/Meyer [1982]
- + exponential space lower bound: M/Meyer [1982]
- Iower bounds for PN reachability:
  - + exponential space lower bound for general PN: Lipton [1974]
  - + Exponential space lower bound for reversible PN: M/Meyer [1982]

## FURTHER RESULTS FOR POLYNOMIAL IDEAL MEMBERSHIP

## **×** PIMP is in PSPACE for:

- + homogeneous ideals (and complete): M [1988]
- + ideals of constant dimension: Berenstein/Yger [1990]
- + special cases, like *p* = 1: Brownawell [1987]
- The PI triviality problem is in the second level of the polynomial hierarchy: Koiran [1996]

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$$x_{\pi(1)} \succ x_{\pi(2)} \succ \ldots \succ x_{\pi(n)} \succ 1$$

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- **1. lex:**  $x_1^2 \succ x_1 x_2^3 x_3^{1023}$
- **2. grevlex:**  $x_2^3 \succ x_1$  and  $x_1x_2x_3 \succ x_1x_3^2$

Arrange the monomials in polynomials according to  $\prec$  in decreasing order.

## **Polynomial Reduction**

#### **Definition:**

1. A polynomial f is reducible by some other polynomial g if the leading term lt(g) divides one of the momomials m of f. The reduct is

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2. A polynomial f is reducible by a set G of polynomials if there is a sequence  $g = g^{(0)}, g^{(1)}, \ldots, g^{(r)}, r \ge 1$ , such that each  $g^{(i)}$  is the reduct of  $g^{(i-1)}$  by one of the polynomials in G.

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- 3. A polynomial f is in normal form wrt a set G of polynomials if it cannot be reduced by G.

#### **Definition:**

Let *I* be an ideal in  $\mathbb{Q}[x] = \mathbb{Q}[x_1, \dots, x_n]$  and  $\prec$  an admissible term ordering. A set  $G = \{g_1, \dots, g_r\}$  of polynomials in *I* is called a Gröbner basis of *I* (wrt  $\prec$ ) if for all  $f \in \mathbb{Q}[x]$  the normal form of *f* wrt *G* is uniquely determined.

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#### Remark:

Thus, in particular, the normal form does not depend on the order of the reductions by the  $g \in G$ .


- exponential space algorithm for the computation of Gröbner bases: Kühnle/M [1996],
- exponential space bounds also result for a number of ideal operations, like intersection, union, quotient, etc.
- PSPACE algorithms for those cases where exponential degree bounds hold,
- the bounds also hold for characteristic  $\neq 0$  (but infinite fields).

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One example is resolution calculus, with just one derivation rule (resolution for a variable x):

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$$\frac{x \lor A, \neg x \lor B}{A \lor B}$$

The goal is to derive the contradiction consisting of the empty clause (resolution of clauses x and  $\neg x$ ).

#### **Translation to Polynomial Ideals**

- $\phi(x) = 1 x$ ,
- $\phi(\neg x) = 1 \phi(x)$ ,
- $\blacktriangleright \phi(x \lor y) = \phi(x)\phi(y),$
- and with DeMorgan:

$$\phi(x \wedge y) = \phi(\neg(\neg x \vee \neg y)) = \phi(x) + \phi(y) - \phi(x)\phi(y)$$

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- and with DeMorgan:

$$\phi(x \wedge y) = \phi(\neg(\neg x \vee \neg y)) = \phi(x) + \phi(y) - \phi(x)\phi(y)$$

Question: Does the ideal generated by these polynomials contain false, i.e., the constant polynomial 1?

We consider polynomial rings in several variables over GF(2), including the Fermat polynomials  $x_i^2 - x_i = 0$ .

Theorem: Let polynomials  $p, p_1, \ldots, p_w \in GF(2)[x_1, \ldots, x_n]$  be given. The word problem

Is 
$$p \in \langle p_1, \ldots, p_w \rangle$$
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is co-NP-complete.

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**Theorem:** The radical word problem

Is 
$$p \in \sqrt{\langle p_1, \ldots, p_w \rangle}$$
?

is co-NP-complete.

## Properties of Algebraic Derivation Systems

**Theorem:** For each ring R, Frege proofs (and extended Frege proofs) can be simulated efficiently by algebraic derivations of polynomial length.

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Observation: There exist examples, for which algebraic derivation systems (or Gröbner proof systems) are considerably more efficient (asymptotically) than resolution.

# **FURTHER APPLICATIONS**

- × Geometric design
- Computation of the possible movements of robots or multi-joint robot arms
- Modeling of the electrical behavior of integrated circuits
- Modeling of carbon rings and their degrees of freedom in chemistry

# ... CONT'D

- Application of involutive Gröbner bases for the solution of partial differential equations in nuclear physics
- × Combinatorial optimization
- Coding theory
- × Modeling of combinatorial graph properties

## SOME OPEN PROBLEMS

- translate new degree bounds (for polynomials over rings not fields) into space efficient algorithms
- develop and analyze algorithms for ideal operations
- x complexity of radical ideals
- complexity of toric ideals

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# **THE END!** Thank you for your attention!